



# **Risk-Informed Showcase Public Meeting**

February 19, 2026



# Opening Remarks

- Shana Helton, Director, Division of Fuel Management, Office of Nuclear Material Safety and Safeguards
- Rod McCullum, Senior Director, Used Fuel and Decommissioning, Nuclear Energy Institute



# Meeting the Moment

- [EO 14300 and ADVANCE Act](#)
  - Effectiveness, Efficiency, and Timeliness Initiatives that Support Unleashing American Energy
  - Updated NEIMA licensing milestones
- [NMSS Licensing Efficiency Expectations](#)
  - Focus on safety
  - Reduce schedule and cost
- [OEDO Procedure 0235](#): Driving Regulatory Decisions Through More Effective Communications
  - Facilitate timely and efficient resolution of regulatory activities



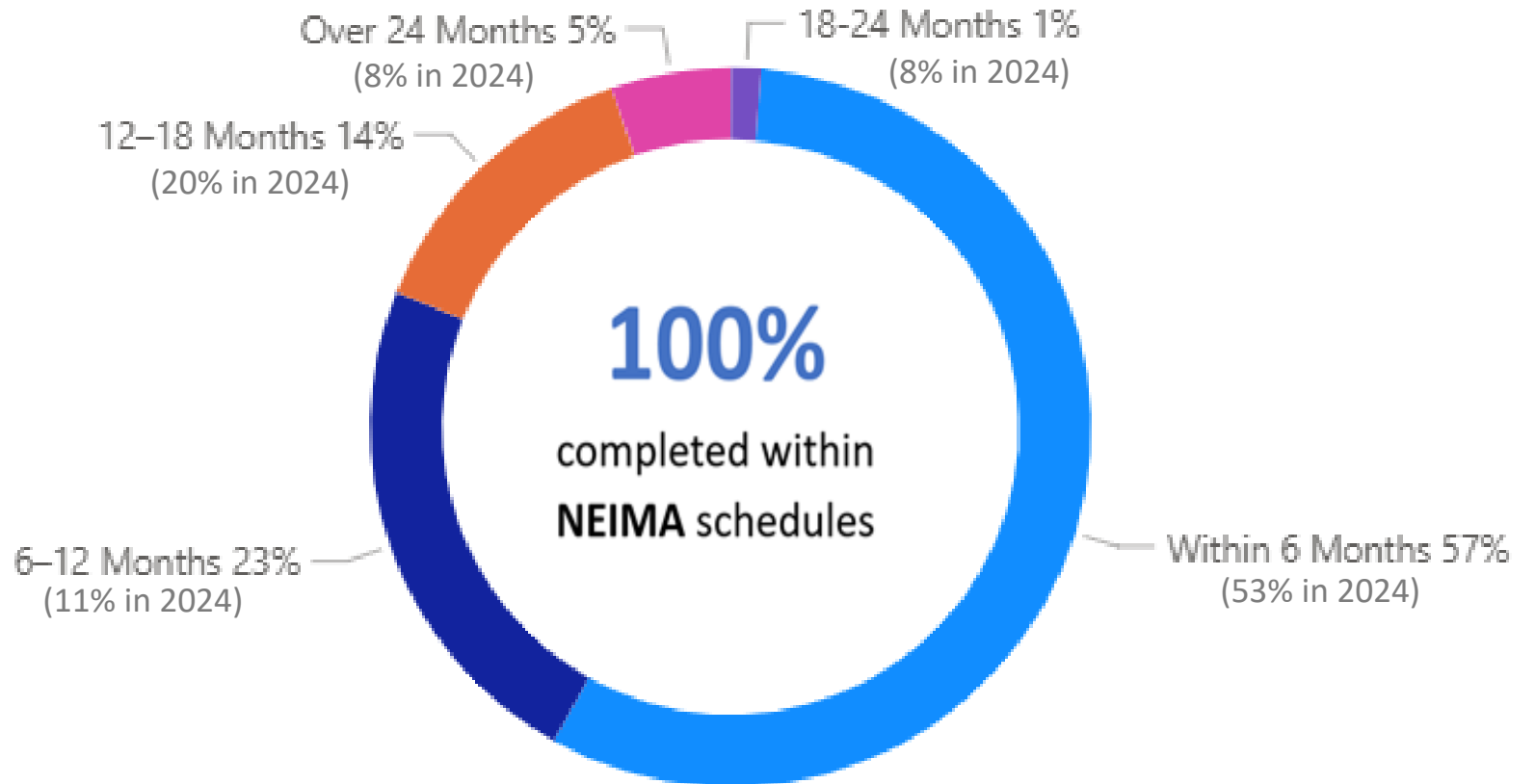
# Licensing Progress: 2025 year in review

82

Licensing Actions  
Completed\*

62

Tracked Under  
NEIMA\*\*



\*3 Licensing action were completed under the new EO 14300 metrics

\*\*Nuclear Energy Innovation and Modernization Act



# Efficient Oversight Achieved by Leveraging Risk Insights

Eucherius Rosario

Storage & Transportation Safety Inspector  
Inspection and Oversight Branch  
Division of Fuel Management



# Modernizing the Spent Fuel Storage & Transportation (SFST) Inspection Program

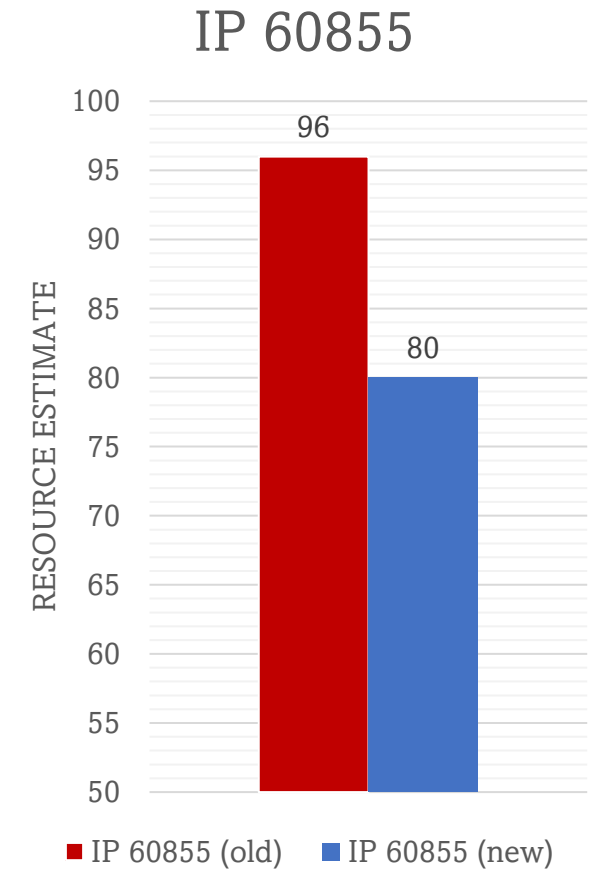
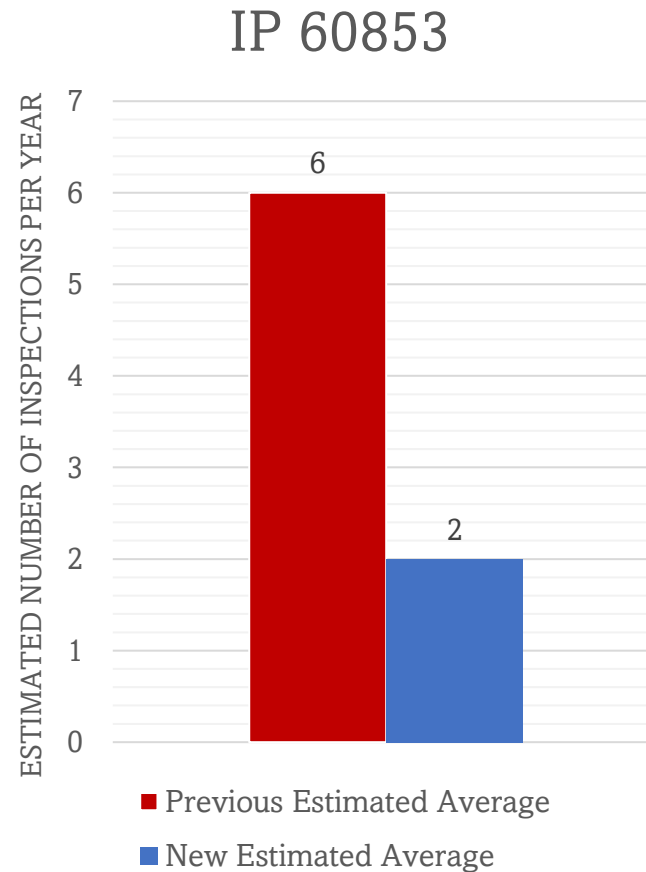
- Independent Spent Fuel Storage Installation (ISFSI) Enhancement Initiative
  - Inspection Manual Chapter (IMC 2690) Overhaul
  - Risk-informed, performance-based inspection program
- Focusing on Safety
  - Graded approach to important to safety (ITS) structures, systems, and components (SSCs)
  - Operating experience
- ADVANCE Act Section 507
  - NRC Report to Congress (ML25077A251)





# Risk-Informing Inspection Procedures (IPs)

IP Number & Title	Estimated Reduction (hours/year)	Potential Savings
IP 60853, “Onsite Fabrication of Components and Construction of an ISFSI”	880	Estimated 4 less not ITS inspections (up to 13% reduction in direct inspection hours per year)
IP 60855, “Operation of an ISFSI”	640	Estimated 17% resources reduction per inspection





# VLSSIR, Expanded: Faster Screens, Smarter Focus

Revised IMC 0610 App G, “Screening and Documentation of **Very Low Safety Significance Issue Resolution (VLSSIR)** Process”

- Tool available for inspectors that is expected to:
  - Promote inspection completion timeliness
  - Reinforce safety focus
  - Improve alignment of resources (16-hour backstop)

***OLD***

**AMBIGUITY:**  
Licensing Basis

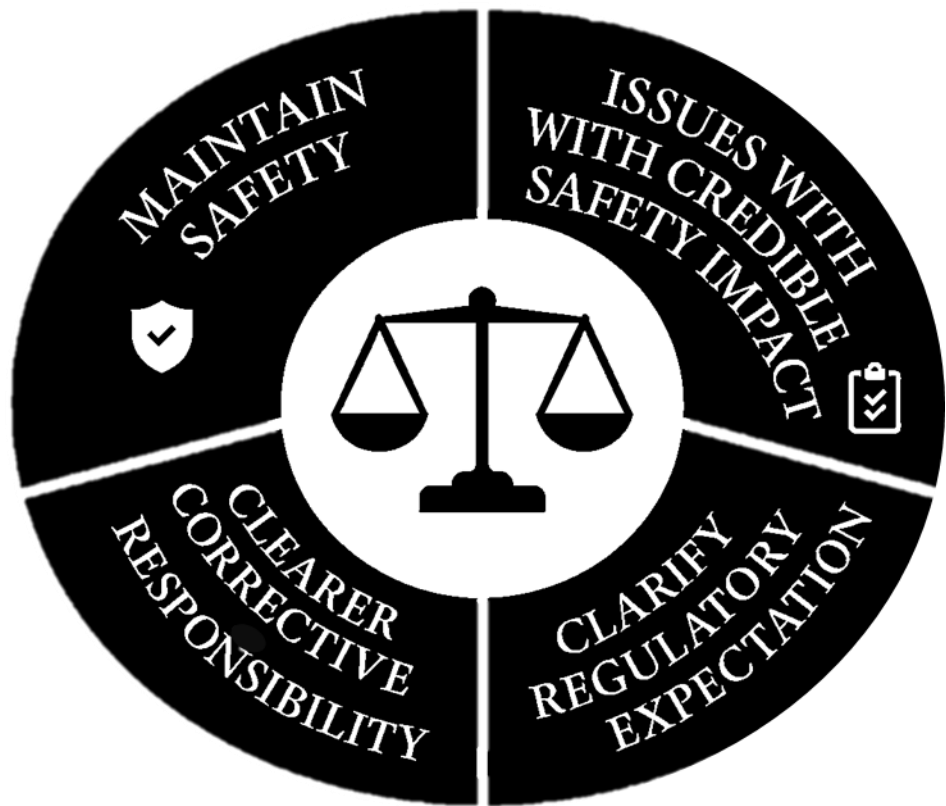
***New***

**AMBIGUITY:**  
Licensing Basis  
Design Basis  
Regulatory Requirements  
Self-Imposed Standards





# Smarter Enforcement: Aim Where the Fix Lives



- Interim Enforcement Policy (IEP) 9.4
  - “Enforcement Discretion for General Licensee Adoption of Certificate of Compliance Holder-Generated Changes” (ML25224A182)
- Clarifies overlapping responsibilities
- Focuses enforcement on entity best positioned to act



# In-Progress Activities & Measuring Effectiveness



- SFST integration of LIC-504, “Integrated risk-informed decision-making process for emergent issues”
- Streamlining inspection reports
- Established standard on follow-up issues involving very low safety or security significant issues and inspection timeliness
- Aligning with the Reactor Oversight Program on similar ADVANCE Act Section 507 actions



# Key Takeaways: What This Means for Stakeholders



Safety mission unchanged



Oversight focused where risk is greatest



Clearer, more predictable inspection and enforcement



Continued engagement and transparency



# **Using the Risk Tool to Streamline Licensing Reviews of Dry Cask Storage Systems**

Alexis Sotomayor-Rivera

Nuclear Engineer

Nuclear Analysis and Risk Assessment Branch

Division of Fuel Management



# The Risk Tool

Introduces a risk-informed framework that helps prioritize review actions based on the potential risks associated with license action requests, such as amendments.

New guidance scales the level of effort and depth of licensing review by focusing on safety functions and risk significance.

Assists in right-sizing approach to enforcement







# Right-Sizing Resource Estimates

Review Considerations	Limited Review (40-80 hours)	Detailed Review (80-140 hours)	Extensive review (140-180 hours)
<b>Risk Significance</b>	Low Risk: structure, system, component (SSC) failure unlikely to affect safety	Medium Risk: SSC failure + another failure could lead to loss of confinement, shielding or criticality	High Risk: SSC failure could directly lead to loss of confinement, shielding or criticality
<b>Precedence</b>	Numerous references; consistent with prior approvals	Some references; limited with prior approvals	Limited or no references; new designs
<b>LAR Change</b>	Small change from previous approvals	Limited change from previous approvals	Large change from previous approvals
<b>Safety Margin</b>	Significant	Limited	Small
<b>Performing Confirmatory Analysis</b>	Not necessary	May be considered	Should be conducted
<b>Requests for Supplemental Information/Observations</b>	Limited	Possible	Likely



# Next Steps

- ✓ Add additional information to the technical bases to improve clarity
-  Include risk insights on non-accident conditions (e.g., aging management)
-  Incorporate knowledge learned during reviews of evolving cask designs
-  Add a discussion of key assumptions used in risk evaluations
-  Visualize data and group components related to a specific safety function  
(Include additional components)



# **Leveraging ADVANCE Act 505 and M-LEAP Risk- Informed Efficiencies**

Haimanot Yilma

Senior Project Manager

Storage and Transportation Licensing Branch

Division of Fuel Management



# Right-Sizing Review Scope

Implementing a graded approach for Environmental and Financial Reviews for Decommissioning Funding Plans (DFPs)

- ✓ For DFP update: Level of Effort reduction of ~**75%** per review
- ✓ **Safety** remains our **top priority**

Leveraging past decisions and risk insight to focus licensing reviews

- ✓ Identification of technical issues early in the process





# Efficiency in Action: Risk-Informed Approaches That Deliver Results

Using risk to inform safety basis

- ✓ Exemption request to assess impact promptly

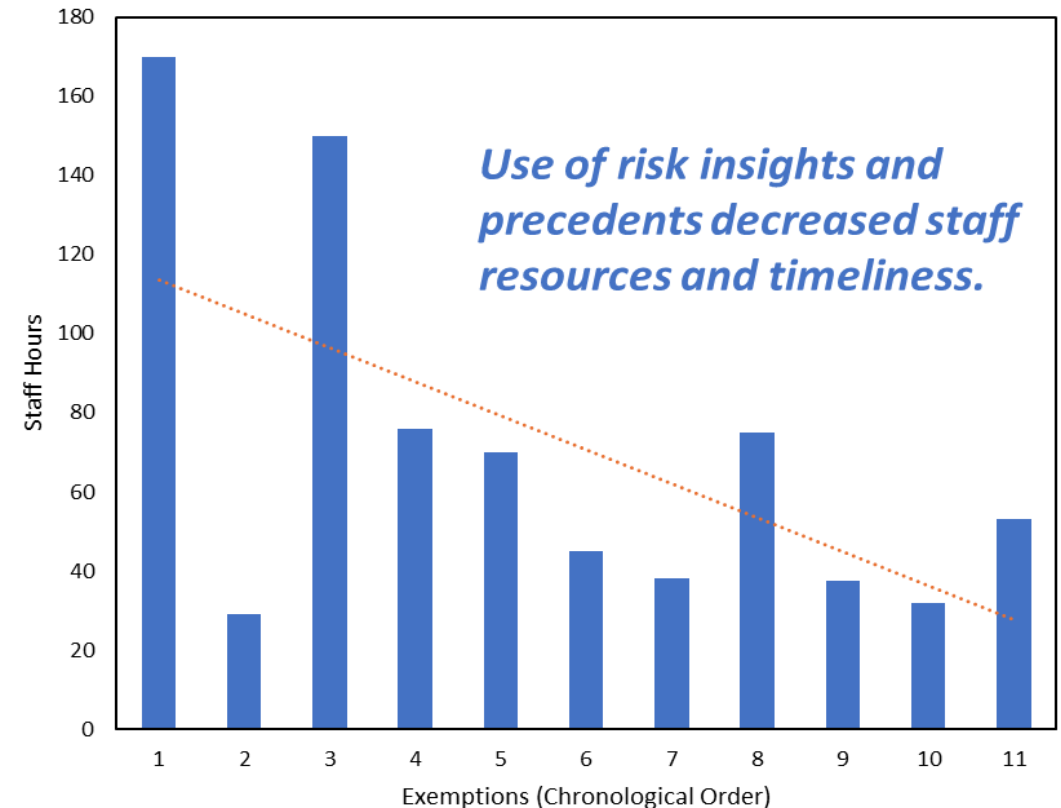
Streamlining review process

- ✓ Saving **~11 months** and **~ 800 hrs.**

(Baseline: 2 years & ~1,300 hrs.)

Pre-Application Engagement

- ✓ **~50%** schedule saving





# **Optimizing Aging Management Guidance**

Darrell Dunn

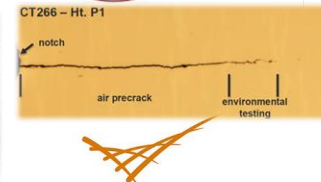
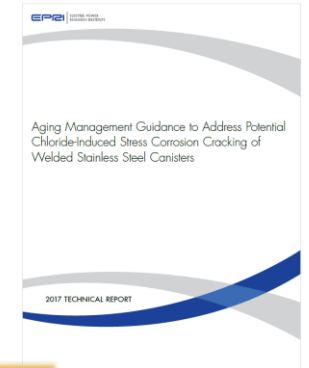
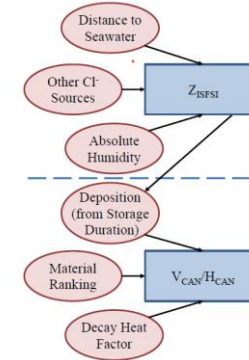
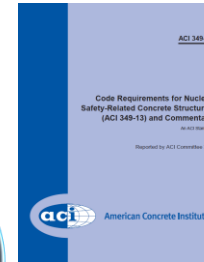
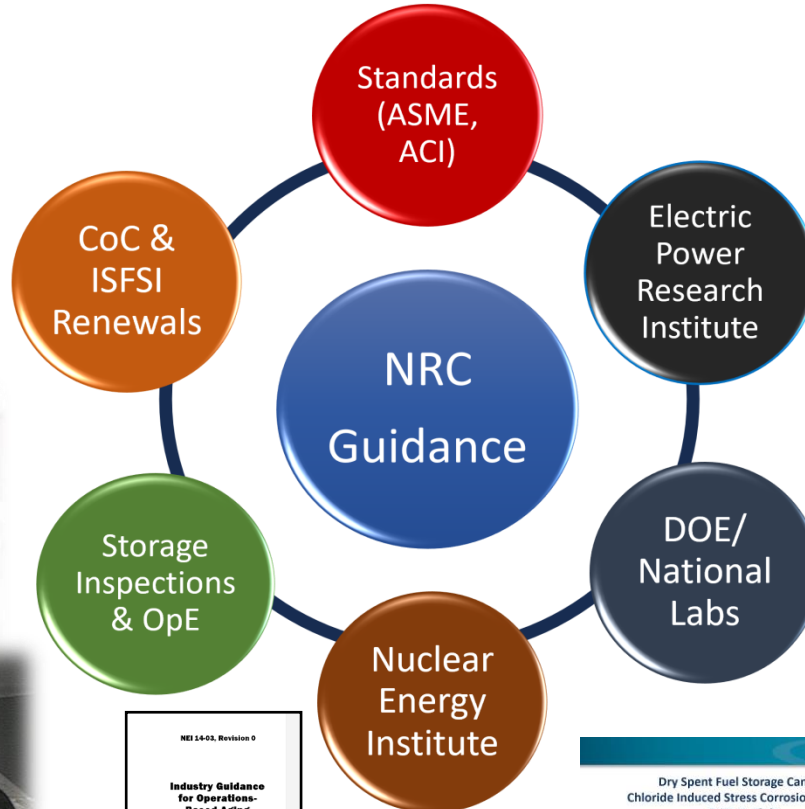
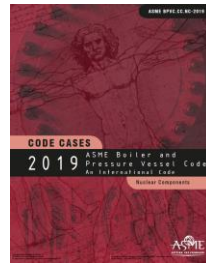
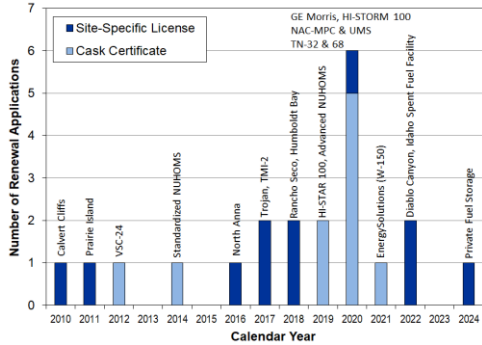
Senior Materials Engineer

Materials and Structural Branch

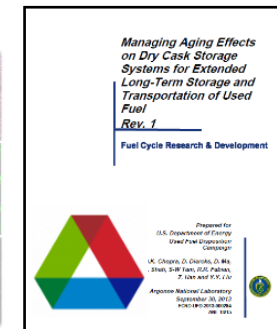
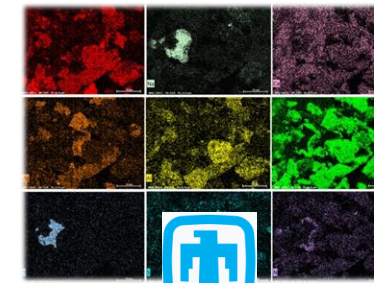
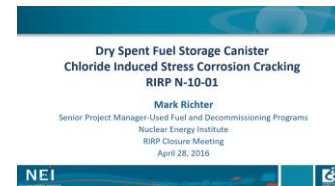
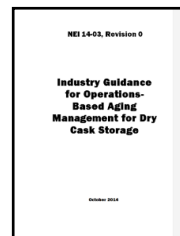
Division of Fuel Management



# Utilize Available Information



Pacific Northwest NATIONAL LABORATORY



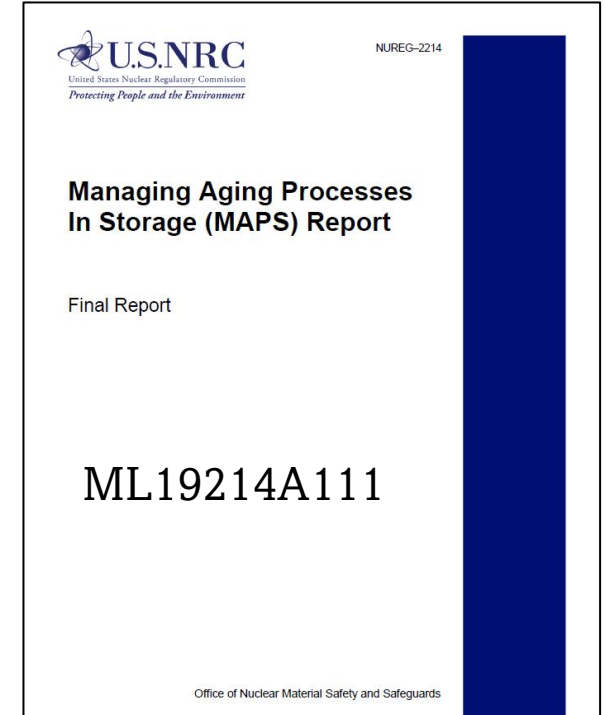


# Focus on Credible Aging Mechanisms

- Renewals up to 40 years
  - Updated time limited aging analyses (TLAAs)
  - Aging management programs (AMPs) as necessary
- § 72.42(a) applicable to independent spent fuel storage installation (ISFSI) licenses
- § 72.240 applicable to dry storage system certificate of compliance (CoC)



- Scoping evaluation
- Aging management review (AMR)
- TLAAs and AMPs

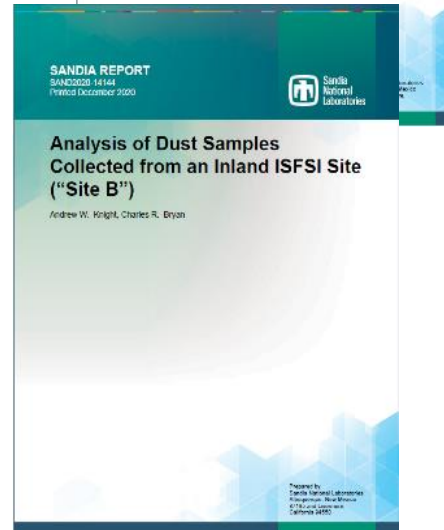


- Material + Environment
- Credible/noncredible aging mechanisms & effects



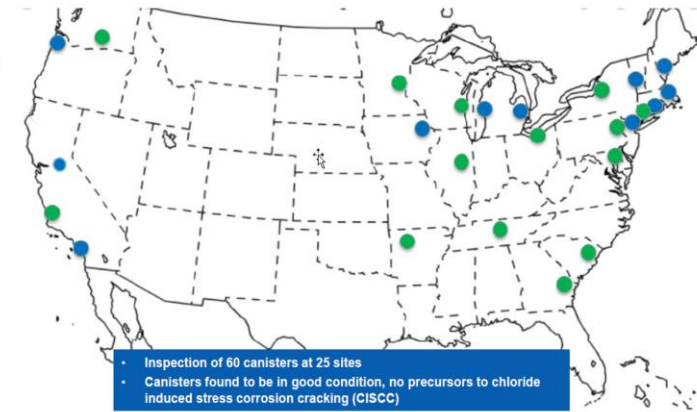
# NRC Endorsement of ASME N-860

- ASME N-860 developed 2015-2020
  - EPRI-3002005371, Susceptibility Assessment Criteria for CISCC
  - Progressive inspection methodology
  - Inspection interval adjustments
- NRC Regulatory Guide (RG) 3.78
  - Additional information to risk inform inspection guidance in ASME N-860
  - CISCC operating experience for operating reactors
  - Inspection results and ISFSI locations



Arkansas Nuclear One: 2023  
 Big Rock Point: 2019, 2022  
 Calvert Cliffs: 2012, 2016, 2022  
 Columbia Generating Station: 2023  
 Connecticut Yankee: 2024  
 Davis Besse: 2020  
 Diablo Canyon: 2014, 2021  
 Dresden: 2024  
 Duane Arnold: 2023  
 Fitzpatrick: 2024  
 Hatch: 2023  
 Hope Creek: 2013  
 Main Yankee: 2016, 2018  
 Millstone: 2024  
 Oconee: 2020  
 Oyster Creek: 2022  
 Palisades: 2024  
 Point Beach: 2024  
 Rancho Seco: 2017, 2022  
 San Onofre: 2019, 2021, 2024  
 Sequoyah: 2024  
 Susquehanna: 2020  
 Trojan: 2008, 2013, 2018, 2023  
 Vermont Yankee: 2018  
 Yankee Rowe: 2022

Operating plants = Green  
 Decommission plants = Blue



Jerry Stephenson, Manager, ISFSI Engineering

San Onofre Community Engagement Panel Meeting, 3/20/2025





# RG 3.78 - Risk Informed Approach

$Z_{ISFSI}$ EPRI-3002005371	Distance to ocean (km)	Number of ISFSI sites	Max. inspection interval (yr)	N-860 or RG 3.78
1-3	$\geq 10$	60	40	RG 3.78
4-7	0.25 – 15	6	20	N-860
8-10	$\leq 1.5$	5	10	N-860

- NRC RG 3.78, February 2025 (ML24225A160)
- Absence of aggressive chloride salts
- Low, or very low, surface chloride concentrations
- Presence of inhibiting species (e.g., nitrate)
- Inspection results to date show no indications of aging

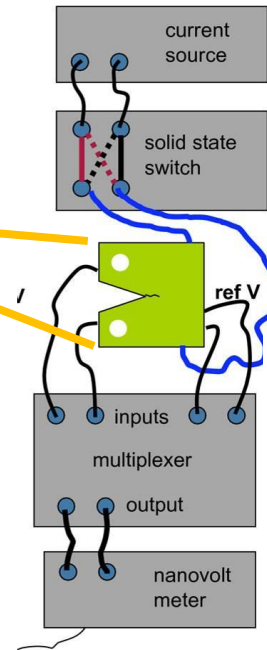


## RG 3.78 Regulatory Analysis (ML24093A012)

- Relatively small cost to NRC
- Potential net benefit of \$25.9M over 40 yrs

# Potential Approach for Non-Inland sites

- Risk assessment for CISCC
  - Measurements of CISCC growth rates
  - Probabilistic model for CISCC
  - Consequence analysis for CISCC



PNNL-35667  
ML24212A106

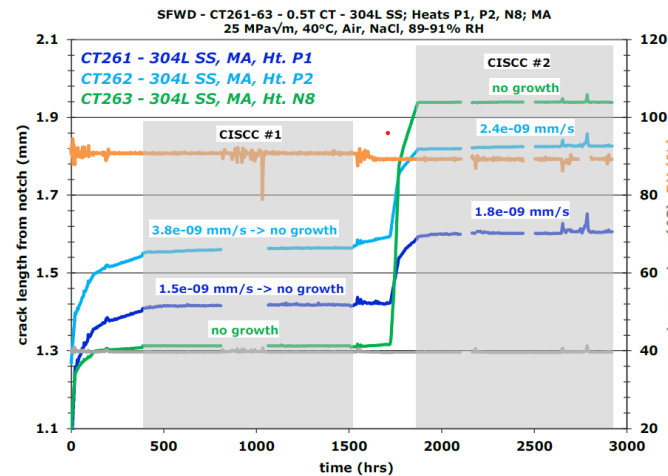


Figure 36. CISCC growth behavior of CT261-63 (one specimen each of heat P1, P2, and N8) in a 40°C deliquescent NaCl environment at ~90% RH.


Dose at controlled area boundary vs. Regulatory limits in 10 CFR Part 72

- Respirable fines
- Noble gasses
- Volatile species
- CRUD



# What's Next?

- Fuel Performance
  - Licensing approaches for storage and transportation
  - NUREG-2224, “Dry Storage and Transportation of High Burnup Spent Nuclear Fuel.”
  - EPRI/DOE High Burnup Fuel Demonstration Cask
  - Test results from the sister rods
- Stakeholder Feedback


NUREG-2224

## Dry Storage and Transportation of High Burnup Spent Nuclear Fuel

Final Report

***PNNL FY 2022 Sibling Pin Testing Results***

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**Spent Fuel and Waste Disposition**

Prepared for  
 US Department of Energy  
 Spent Fuel and Waste Science and Technology  
 Pacific Northwest National Laboratory  
 RW Shimskey, JR Allred,  
 SE Asmussen, HT Brown, SK Cooley,  
 RC Daniel, MK Edwards, J Geeting,  
 AP Goulet, CH Holbrook,  
 PJ MacFarlan, EK Nickerson,  
 LJ Richmond, TJ Roosendaal,  
 AM Westesen, BE Westman and  
 BD Hanson

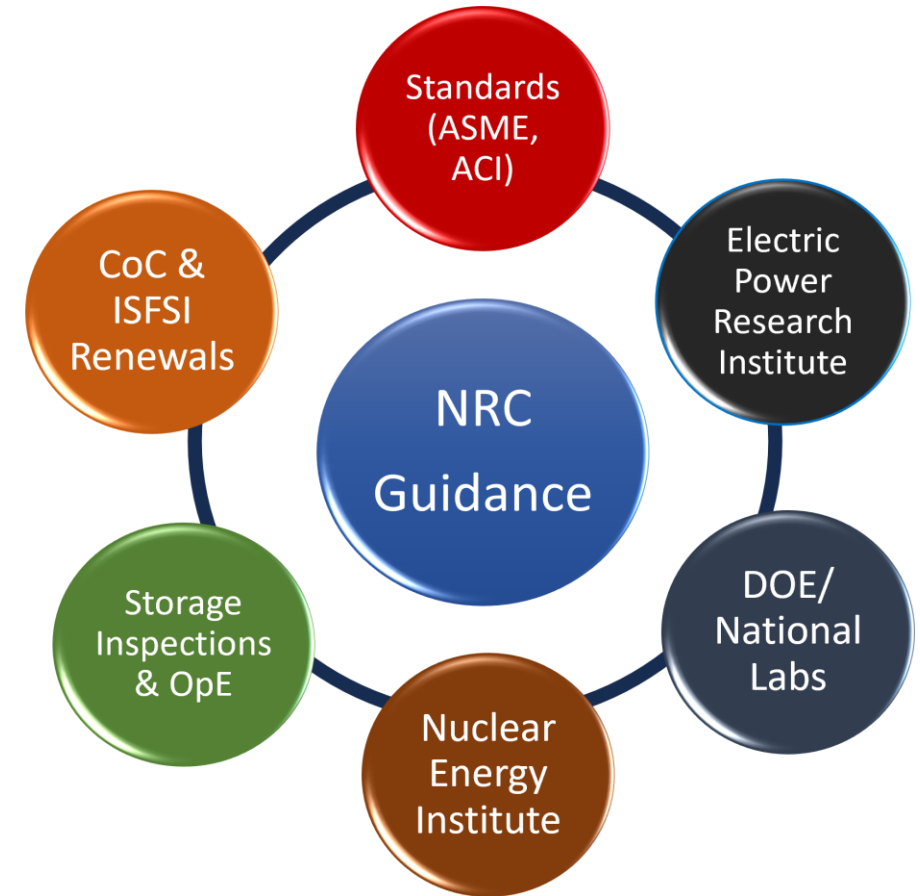
December 19, 2022  
 M2SF-23PN010201041  
 PNNL-33781





# Going Forward

- Continue engagement with NEI, EPRI, DOE/National Laboratories, NRC licensees, and public stakeholders
- Focus on credible aging mechanisms
- Utilize operating experience and available data to optimize aging management guidance





# Summary

- Risk informed approach used in the development of NUREG-2214
- Regulatory Guide 3.78 and ASME Code Case N-860 incorporate operating experience and risk insights to optimize inspection intervals and resource use
- Current staff focus is on developing information to risk inform canister inspection frequencies at non-inland ISFSI sites
- Future work may address fuel performance and AMPs for high burnup fuel



# **Using Risk to Enable Transportable Microreactors**

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Reliability and Risk Analyst

Nuclear Analysis and Risk Assessment Branch

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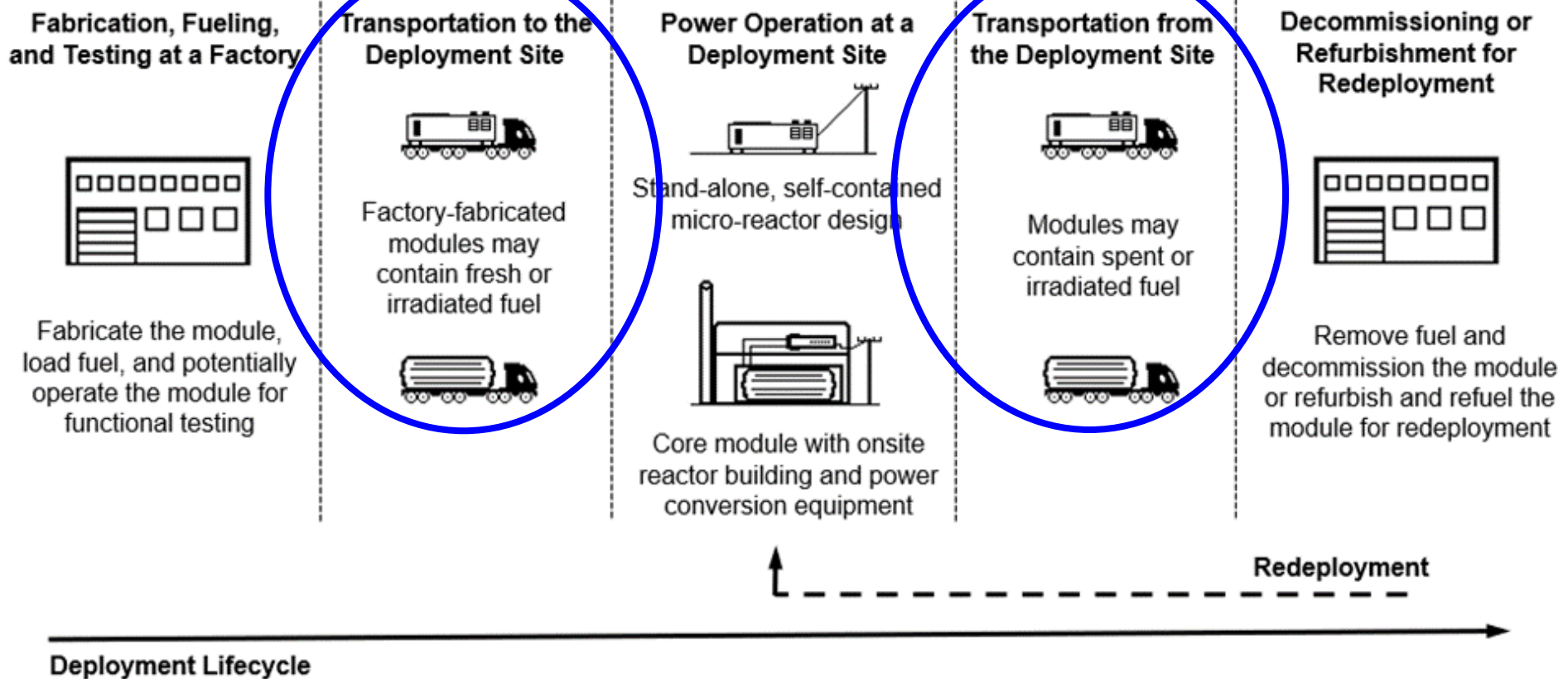


# Key Messages

- The NRC endorsed a risk-informed methodology to support transportation of microreactors, enabling a safe and efficient regulatory approval process for innovative designs.
- The methodology enables use of probabilistic risk assessment and risk evaluation guidelines to justify exemptions and inform package design under 10 CFR Part 71.
- Transport regulations in 10 CFR Part 71 offers flexibility to expand the methodology to support additional applications (e.g., fuel types, # of shipments, destinations)

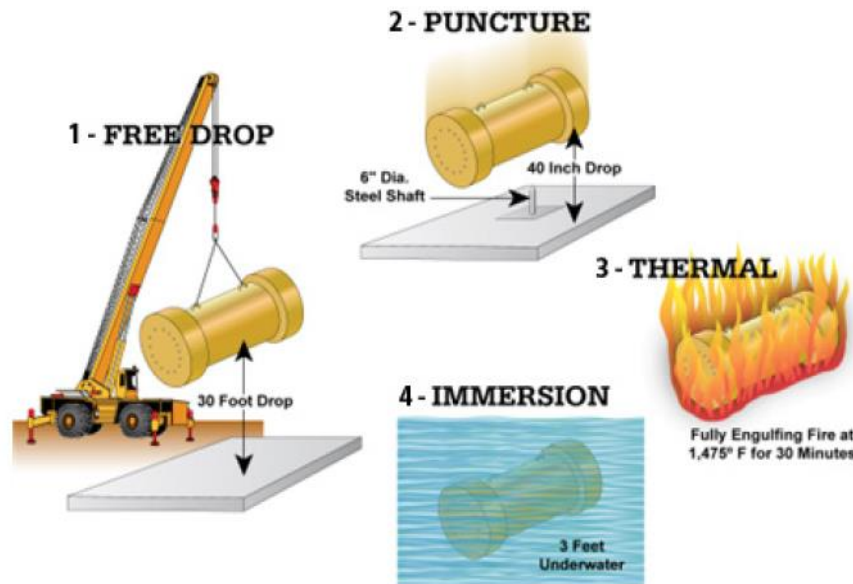


# Conceptual Deployment Model for Factory-Fabricated Micro-Reactors



# Package Performance Tests and Conditions

- Normal conditions of transport (10 CFR 71.71)
  - Hot and cold temperatures
  - Reduced and increased external pressure
  - Vibration
  - Water spray
  - Free drop (1 to 4 feet)
  - Corner drop
  - Compression test
  - Penetration test



- Hypothetical accident conditions (10 CFR 71.73)
  - 30-foot drop test
  - 40-inch puncture test
  - 30-minute fire at 1,475 degrees Fahrenheit
  - Water immersion test (fissile/nonfissile)



# Why a Risk Methodology?

- Hypothetical accident conditions (10 CFR 71.73)
- Additional requirements for Type B packages
  - Containment criteria for normal form material (10 CFR 71.51(a)(1) and (2))
  - Dose rates after hypothetical accident conditions (10 CFR 71.51(a)(2))
- Regulatory approval pathways
  - Alternative test criteria in 10 CFR 71.41(c)
  - Exemptions (10 CFR 71.12) from specific requirements using a risk-informed approach
- Endorsed a risk methodology to support exemptions for Project Pele, discussed in SECY-24-0062



# A Risk-Informed Methodology

- The risk-informed methodology consists of (1) risk evaluation guidelines and (2) a systematic process to develop a probabilistic risk assessment.
- Risk evaluation guidelines:
  - Frequency-consequence (F-C) plots used as surrogate guidelines for acceptable levels of risk of bounding representative accidents
  - Informed by existing NRC performance criteria for nuclear fuel facilities, U.S. Department of Energy nuclear facilities, and International Atomic Energy Agency risk evaluation guidelines
  - Consistent with similar risk-informed, performance-based approaches endorsed by the NRC, such as described in Regulatory Guide 1.233



# Proposed Risk Evaluation Guidelines for the Public

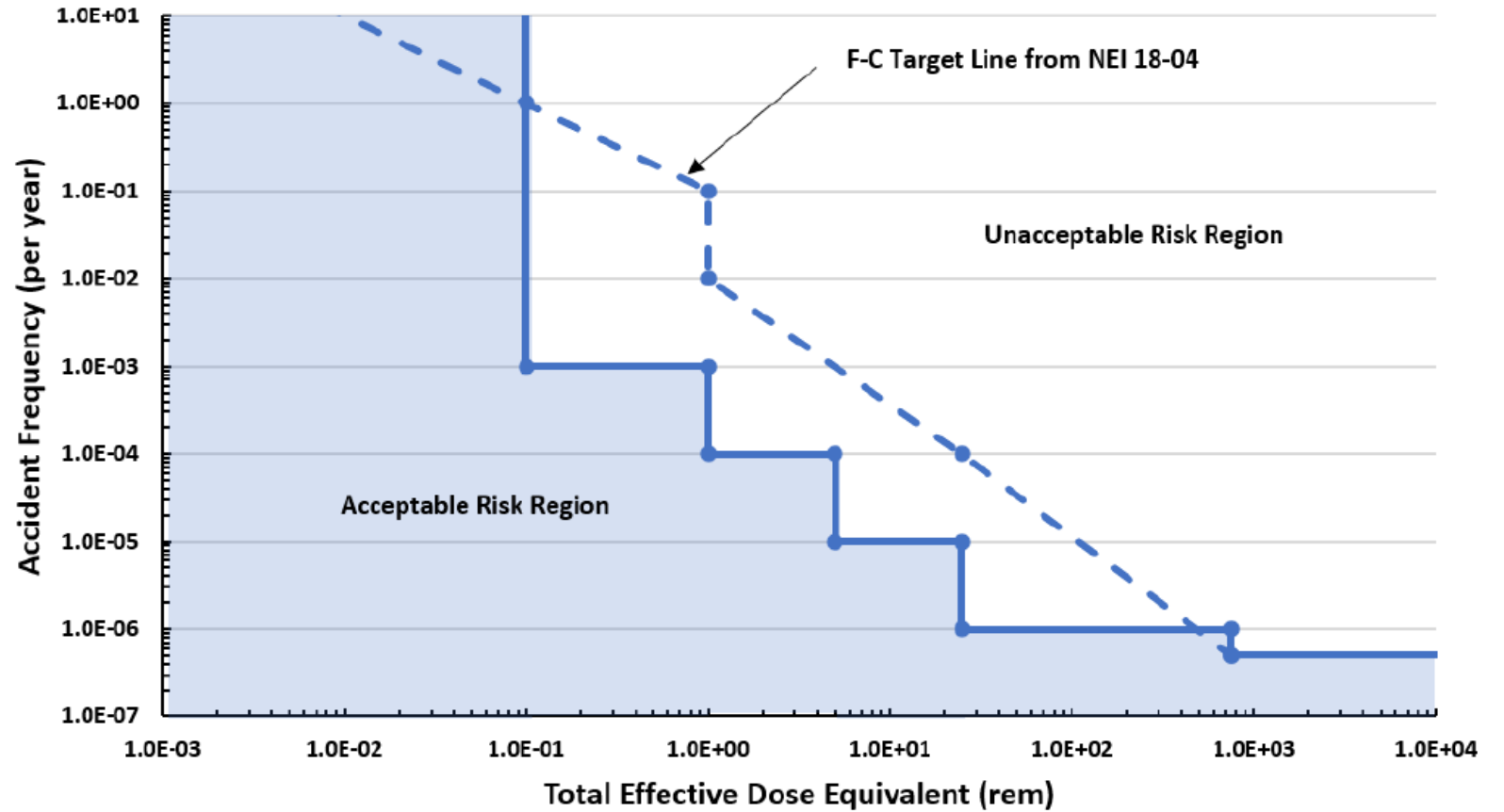


Figure 4.7. Proposed Offsite Public Risk Evaluation Guidelines Chart for Transport of a TNPP Package



# Proposed Risk Evaluation Guidelines for Workers

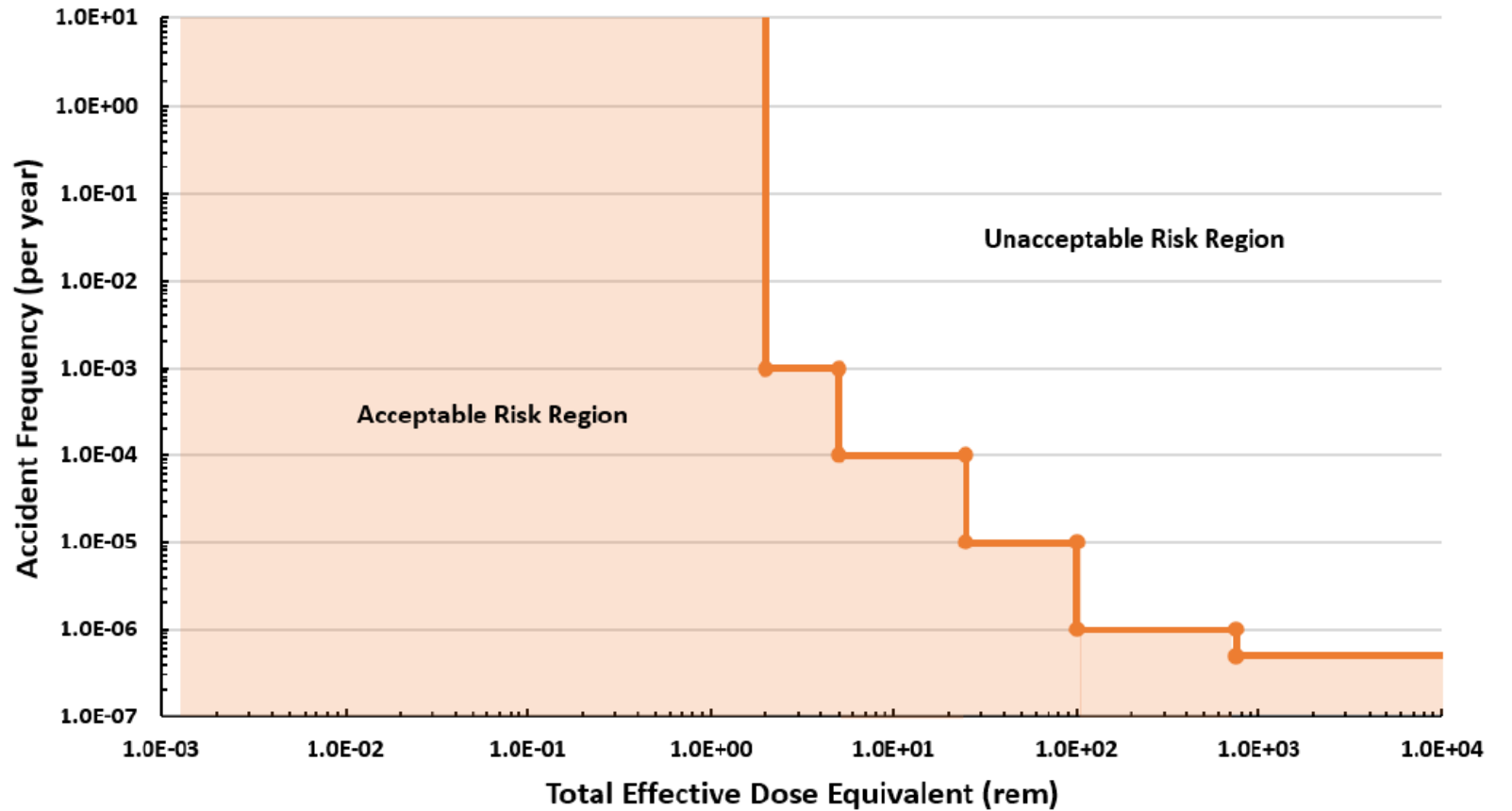


Figure 4.8. Proposed Worker Risk Evaluation Guidelines Chart for Transport of a TNPP Package



# A Risk-Informed Methodology

- Probabilistic risk assessment to identify and evaluate bounding representative accidents
- Goals to (1) “inform” the package design regarding the risk significance of containment and shielding features and (2) identify the need for compensatory measures during transportation
- Elements of the probabilistic risk assessment
  - Identification of safety functions
  - Characterization of hazardous conditions to identify accidents
  - Determination of accident likelihoods
  - Consequence analysis
  - Evaluation of probabilistic risk assessment results against risk evaluation guidelines
  - Sensitivity studies
  - Uncertainty analysis
  - Defense in depth



# References

- SECY-24-0062: <https://www.nrc.gov/docs/ML2332/ML23320A124.html>
- Letter of Approval: <https://www.nrc.gov/docs/ML2332/ML23321A131.html>
- Final Endorsement Letter: <https://www.nrc.gov/docs/ML2427/ML24271A054.pdf>
- Final PNNL Report: <https://www.nrc.gov/docs/ML2426/ML24268A100.html>
- NEI 18-04: <https://www.nrc.gov/docs/ML1924/ML19241A472.pdf>
- Regulatory Guide 1.233: <https://www.nrc.gov/docs/ml2009/ml200911698.pdf>



# High Burnup Research Cask Data Collection

Steve Vitto

Program Manager

Office of Storage and Transportation

Department of Energy



# **PRESENTATION TBD**

## Nuclear Energy Institute



# **Phenomena Identification and Ranking Table (PIRTs) Update**

Electric Power Research Institute (EPRI)



# CLOSING REMARKS