

Perspectives on Recent Security Event Notifications

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Public Meeting With Industry and Stakeholders

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Meeting Category and Public Participation

This is an Information Meeting with Question-and-Answer sessions. Attendees will have an opportunity to ask questions of the U.S. Nuclear Regulatory Commission (NRC) staff. The NRC is seeking industry perspectives on three topics but is not actively soliciting comments towards regulatory decisions in this meeting.

Meeting Purpose

- NRC staff are reviewing all recent security event notifications under 10 CFR 73.1200 when submitted.
- NRC staff are using this meeting to provide perspectives on recent security event notifications and regulatory guidance.
- The Nuclear Energy Institute (NEI) will provide its perspectives.

Agenda

- Topic 1: Lost Badge Events
- Topic 2: Attempted Unauthorized Access
- Topic 3: External Interest Events
- NEI Presentation
- Open Discussion

Topic 1 – Lost Badges

- Loss of control of badges (4-hr vs. 8-hr)
 - Outside a facility's Protected Area (PA) (8-hr)
 - See Regulatory Guide (RG) 5.62, Staff Regulatory Guidance Position (SRGP) 10.1, Ex. 5, Note
 - Inside a facility's PA, Vital Area (VA), Material Access Area (MAA), or Controlled Access Area (CAA) (4-hr)
 - See RG 5.62, SRGP 9.1, Ex. 1
 - Malevolent intent considerations
 - Review badge activity report.
 - Was the badge used to gain unauthorized access?

Topic 2 – Attempted Unauthorized Access

- Attempted unauthorized entry into PA, VA, MAA, or CAA (§ 73.1200(e)(1)(ii)) (4-hr)
 - Malevolent intent considerations
 - Was the person authorized/directed to enter an area by their supervisor?
 - Access authorized but entry unintentionally restricted/prevented?
 - Did the access control system perform as designed/expected?

Topic 2 – Attempted Unauthorized Access (cont.)

- Use the 4-hr timeliness requirement to evaluate for malevolent intent.
- If security controls functioned as designed, also consider insider mitigation program.
- Consider recording event under § 73.1210 vs. reporting under § 73.1200.

Topic 3 – External Interest Events

- Events that could reasonably be expected to lead to public or media interest (§ 73.1200(e)(3)(i)) (4-hr)
 - “[C]ould be reasonably expected” means a licensee has some discretion of likelihood of media interest – consider local circumstances.
 - See RG 5.62, SRGP 9.1, para. 3 and 4, on exceptions.

Additional Topics

- NEI Presentation
- Open Discussion

Closing

- The NRC appreciates the views and feedback expressed on these topics by licensees, stakeholders, and the public.
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