



# HITACHI

## GE Hitachi Nuclear Energy

**David J. Heckman**

Regulatory Affairs and Licensing Lead

6705 Vallecitos Road  
Sunol, CA 94586  
USA

T (925) 918-6116  
David.Heckman1@ge.com

M220026

February 11, 2022

U.S. Nuclear Regulatory Commission  
Director of the Office of Nuclear Reactor Regulation  
Director of the Office of Nuclear Material Safety and Safeguards  
Washington, D.C. 20555-001  
Attn: Document Control Desk

Subject: GEH Notification of GE Company Stock Beneficial Ownership Filings

References: 1) NRC Order Approving Transfer of Licenses and Conforming Amendments Relating to the Vallecitos Boiling Water Reactor, GE Test Reactor, Nuclear Test Reactor, and ESADA Vallecitos Experimental Superheat Reactor, dated 9/6/2007 (ML071450156 & ML071450174)  
2) NRC Order Approving Transfer of License Nos. SNM-960 and SNM-1270 From GE to GEH, Dockets 07000754 and 07001220, dated 9/26/2007 (ML071420249)  
3) NRC Order Approving Transfer of License and Approving Conforming Amendment for License SNM-2500 for GE Morris Operation ISFSI, Docket No. 07200001, dated 9/26/2007 (ML071841159)  
4) NRC License DPR-1, Vallecitos Boiling Water Reactor (VBWR), Docket 05000018  
5) NRC License TR-1, General Electric Test Reactor (GETR), Docket 05000070  
6) NRC License R-33, Nuclear Test Reactor (NTR), Docket 05000073  
7) NRC License DR-10, ESADA Vallecitos Experimental Superheat Reactor (EVESR), Docket 05000183

GE Hitachi Nuclear Energy, LLC (GEH) is forwarding the enclosed SEC filings of beneficial ownership of GE Company stock to be docketed for each of the seven dockets in the referenced documents.

Please let me know if there are any questions regarding this information.

Sincerely,

**David J  
Heckman**

Digitally signed by David J  
Heckman  
Date: 2022.02.11 08:17:51  
-07'00'

David Heckman, Vallecitos Licensing Lead

Enclosure: SEC Schedule 13Gs

cc: J. Lubinski, Director NMSS  
D. Hardesty, NRR/DANU/UNPL  
J. Parrott, NMSS/DUWP/RDB  
O. Siurano-Perez, NMSS/DFM/FFLB  
K. Banovac, NMSS/DFM/STLB

DJH 22-002

ENCLOSURE

SCHEDULE 13Gs

<DOCUMENT>  
<TYPE>SC 13G  
<SEQUENCE>1  
<FILENAME>us3696043013\_012822.txt  
<TEXT>  
us3696043013\_012822.txt

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No: )

GENERAL ELECTRIC CO

-----  
(Name of Issuer)

Common Stock

-----  
(Title of Class of Securities)

369604301

-----  
(CUSIP Number)

December 31, 2021

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to  
which this Schedule is filed:

☒ Rule 13d-1(b)  
☐ Rule 13d-1(c)  
☐ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out  
for a reporting person's initial filing on this form with  
respect to the subject class of securities, and for any  
subsequent amendment containing information which  
would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover

page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 369604301

(1) Names of reporting persons. BlackRock, Inc.

(2) Check the appropriate box if a member of a group

(a) ☐

(b) ☒

(3) SEC use only

(4) Citizenship or place of organization

Delaware

Number of shares beneficially owned by each reporting person with:

(5) Sole voting power

59597738

(6) Shared voting power

0

(7) Sole dispositive power

68206900

(8) Shared dispositive power

0

(9) Aggregate amount beneficially owned by each reporting person

68206900

(10) Check if the aggregate amount in Row (9) excludes certain shares

(11) Percent of class represented by amount in Row 9

6.2%

(12) Type of reporting person

HC

Item 1.

Item 1(a) Name of issuer:

-----  
GENERAL ELECTRIC CO

Item 1(b) Address of issuer's principal executive offices:

-----  
5 NECCO STREET  
BOSTON MA 02210

Item 2.

2(a) Name of person filing:

-----  
BlackRock, Inc.

2(b) Address or principal business office or, if none, residence:

-----  
BlackRock, Inc.  
55 East 52nd Street  
New York, NY 10055

2(c) Citizenship:

-----  
See Item 4 of Cover Page

2(d) Title of class of securities:

## Common Stock

2(e) CUSIP No.:

See Cover Page

## Item 3.

If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- ☐ Broker or dealer registered under Section 15 of the Act;
- ☐ Bank as defined in Section 3(a)(6) of the Act;
- ☐ Insurance company as defined in Section 3(a)(19) of the Act;
- ☐ Investment company registered under Section 8 of the Investment Company Act of 1940;
- ☐ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- ☒ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940;
- ☐ A non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J);
- ☐ Group, in accordance with Rule 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

## Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

Amount beneficially owned:

68206900

Percent of class

6.2%

Number of shares as to which such person has:

Sole power to vote or to direct the vote

59597738

Shared power to vote or to direct the vote

0

Sole power to dispose or to direct the disposition of

68206900

Shared power to dispose or to direct the disposition of

0

#### Item 5.

Ownership of 5 Percent or Less of a Class. If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following [ ☐ ].

#### Item 6. Ownership of More than 5 Percent on Behalf of Another Person

If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, such securities, a statement to that effect should be included in response to this item and, if such interest relates to more than 5 percent of the class, such person should be identified. A listing of the shareholders of an investment company registered under the Investment Company Act of 1940 or the beneficiaries of employee benefit plan, pension fund or endowment fund is not required.

Various persons have the right to receive or the power to direct

the receipt of dividends from, or the proceeds from the sale of  
the common stock of  
GENERAL ELECTRIC CO.  
No one person's interest in the common stock of  
GENERAL ELECTRIC CO  
is more than five percent of the total outstanding common shares.

Item 7. Identification and Classification of the Subsidiary Which  
Acquired the Security Being Reported on by the Parent Holding  
Company or Control Person.

See Exhibit A

Item 8. Identification and Classification of Members of the Group

If a group has filed this schedule pursuant to Rule 13d-1(b)(ii)(J),  
so indicate under Item 3(j) and attach an exhibit stating the identity  
and Item 3 classification of each member of the group. If a group  
has filed this schedule pursuant to Rule 13d-1(c) or Rule 13d-1(d),  
attach an exhibit stating the identity of each member of the group.

Item 9. Notice of Dissolution of Group

Notice of dissolution of a group may be furnished as an exhibit  
stating the date of the dissolution and that all further filings with  
respect to transactions in the security reported on will be filed,  
if required, by members of the group, in their individual capacity.

See Item 5.

Item 10. Certifications

By signing below I certify that, to the best of my knowledge and  
belief, the securities referred to above were acquired and are  
held in the ordinary course of business and were not acquired  
and are not held for the purpose of or with the effect of changing  
or influencing the control of the issuer of the securities and were  
not acquired and are not held in connection with or as a participant  
in any transaction having that purpose or effect.

Signature.

After reasonable inquiry and to the best of my knowledge and  
belief, I certify that the information set forth in this statement  
is true, complete and correct.



Dated: January 28, 2022  
BlackRock, Inc.

Signature: Spencer Fleming

-----  
Name/Title Attorney-In-Fact

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (see 18 U.S.C. 1001).

Exhibit A

Subsidiary

BlackRock Life Limited  
BlackRock Advisors, LLC  
Aperio Group, LLC  
BlackRock Capital Management, Inc.  
BlackRock (Netherlands) B.V.  
BlackRock Institutional Trust Company, National Association  
BlackRock Asset Management Ireland Limited  
BlackRock Financial Management, Inc.  
BlackRock Japan Co., Ltd.  
BlackRock Asset Management Schweiz AG  
BlackRock Investment Management, LLC

FutureAdvisor, Inc.  
BlackRock Investment Management (UK) Limited  
BlackRock Asset Management Canada Limited  
BlackRock (Luxembourg) S.A.  
BlackRock Investment Management (Australia) Limited  
BlackRock Advisors (UK) Limited  
BlackRock Fund Advisors  
BlackRock Asset Management North Asia Limited  
BlackRock (Singapore) Limited  
BlackRock Fund Managers Ltd

\*Entity beneficially owns 5% or greater of the outstanding shares of the security class being reported on this Schedule 13G.  
Exhibit B

#### POWER OF ATTORNEY

The undersigned, BLACKROCK, INC., a corporation duly organized under the laws of the State of Delaware, United States (the "Company"), does hereby make, constitute and appoint each of Christopher Meade, Daniel Waltcher, Una Neary, Richard Cundiff, Charles Park, Enda McMahon, Arlene Klein, Con Tzatzakis, Karen Clark, David Maryles, Daniel Ronnen, John Stelley, Daniel Riemer, Elizabeth Kogut, Maureen Gleeson, Daniel Kalish and Spencer Fleming acting severally, as its true and lawful attorneys-in-fact, for the purpose of, from time to time, executing in its name and on its behalf, whether the Company individually or as representative of others, any and all documents, is acting certificates, instruments, statements, other filings and amendments to the foregoing (collectively, "documents") determined by such person to be necessary or appropriate to comply with ownership or control-person reporting requirements imposed by any United States or non-United States governmental or regulatory authority, Including without limitation Forms 3, 4, 5, 13D, 13F, 13G and 13H and any amendments to any of the Foregoing as may be required to be filed with the Securities and Exchange Commission, and delivering, furnishing or filing any such documents with the appropriate governmental, regulatory authority or other person, and giving and granting to each such attorney-in-fact power and authority to act in the premises as fully and to all intents and purposes as the Company might or could do if personally present by one of its authorized signatories, hereby ratifying and confirming all that said attorney-in-fact shall lawfully do or cause to be done by virtue hereof. Any such determination by an attorney-in-fact named herein shall be conclusively evidenced by such person's execution, delivery, furnishing or filing of the applicable document.

This power of attorney shall expressly revoke the power of attorney dated 8th day of December, 2015 in respect of the subject matter hereof, shall be valid from the date hereof and shall remain in full force and effect until either revoked in writing by the Company, or, in respect of any attorney-in-fact named herein, until such person ceases to be an employee of the Company or one of its affiliates.

IN WITNESS WHEREOF, the undersigned has caused this power of attorney to be executed as of this 2nd day of January, 2019.

BLACKROCK, INC.

By: \_ /s/ Daniel Waltcher  
Name: Daniel Waltcher  
Title: Deputy General Counsel

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<DOCUMENT>  
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SCHEDULE 13G

Amendment No. 2  
GENERAL ELECTRIC CO  
COMMON STOCK  
Cusip #369604301  
Check the appropriate box to designate the rule pursuant to which this  
Schedule is filed:  
[x] Rule 13d-1(b)  
[ ] Rule 13d-1(c)  
[ ] Rule 13d-1(d)

Cusip #369604301  
Item 1: Reporting Person - FMR LLC  
Item 2: (a) [ ]  
(b) [ ]  
Item 4: Delaware  
Item 5: 6,178,216  
Item 6: 0  
Item 7: 63,476,985  
Item 8: 0  
Item 9: 63,476,985  
Item 11: 5.780%  
Item 12: HC

Cusip #369604301  
Item 1: Reporting Person - Abigail P. Johnson  
Item 2: (a) [ ]  
(b) [ ]  
Item 4: United States of America  
Item 5: 0  
Item 6: 0  
Item 7: 63,476,985  
Item 8: 0  
Item 9: 63,476,985  
Item 11: 5.780%  
Item 12: IN

Item 1(a). Name of Issuer:

GENERAL ELECTRIC CO

## Item 1(b). Address of Issuer's Principal Executive Offices:

5 Necco Street  
Boston, MA 02210  
USA

## Item 2(a). Name of Person Filing:

FMR LLC

## Item 2(b). Address or Principal Business Office or, if None, Residence:

245 Summer Street, Boston, Massachusetts 02210

## Item 2(c). Citizenship:

Not applicable

## Item 2(d). Title of Class of Securities:

COMMON STOCK

## Item 2(e). CUSIP Number:

369604301

Item 3. This statement is filed pursuant to Rule 13d-1(b) or 13d-2(b) or (c) and the person filing, FMR LLC, is a parent holding company in accordance with Section 240.13d-1(b)(1)(ii)(G). (Note: See Exhibit A).

## Item 4. Ownership

(a) Amount Beneficially Owned: 63,476,985

(b) Percent of Class: 5.780%

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote: 6,178,216

(ii) shared power to vote or to direct the vote: 0

(iii) sole power to dispose or to direct the disposition of: 63,476,985

(iv) shared power to dispose or to direct the disposition of: 0

## Item 5. Ownership of Five Percent or Less of a Class.

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

One or more other persons are known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the COMMON STOCK of GENERAL ELECTRIC CO. No one other person's interest in the COMMON STOCK of GENERAL ELECTRIC CO is more than five percent of the total outstanding COMMON STOCK.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

See attached Exhibit A.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 8, 2022

Date

/s/ Kevin M. Meagher

Signature

Kevin M. Meagher

Duly authorized under Power of Attorney effective as of September 28, 2018, by and on behalf of FMR LLC and its direct and indirect subsidiaries\*

\* This power of attorney is incorporated herein by reference to Exhibit 24 to the Schedule 13G filed by FMR LLC on October 9, 2018, accession number: 0000315066-18-002414.

#### Exhibit A

Pursuant to the instructions in Item 7 of Schedule 13G, the following table lists the identity and Item 3 classification, if applicable, of each relevant entity that beneficially owns shares of the security class being reported on this Schedule 13G.

Entity	ITEM 3 Classification
--------	-----------------------

Crosby Advisors LLC	N/A
FIAM LLC	IA
Fidelity Institutional Asset Management Trust Company	BK
Fidelity Management & Research Company LLC	IA
Fidelity Management Trust Company	BK
Strategic Advisers LLC	IA

Abigail P. Johnson is a Director, the Chairman and the Chief Executive Officer of FMR LLC.

Members of the Johnson family, including Abigail P. Johnson, are the predominant owners, directly or through trusts, of Series B voting common shares of FMR LLC, representing 49% of the voting power of FMR LLC. The Johnson family group and all other Series B shareholders have entered into a shareholders' voting agreement under which all Series B voting common shares will be voted in accordance with the majority vote of Series B voting common shares. Accordingly, through their ownership of voting common shares and the execution of the shareholders' voting agreement, members of the Johnson family may be deemed, under the Investment Company Act of 1940, to form a controlling group with respect to FMR LLC.

Neither FMR LLC nor Abigail P. Johnson has the sole power to vote or direct the voting of the shares owned directly by the various investment companies registered under the Investment Company Act ("Fidelity Funds") advised by Fidelity Management & Research Company LLC ("FMR Co. LLC"), a wholly owned subsidiary of FMR LLC, which power resides with the Fidelity Funds' Boards of Trustees. FMR Co. LLC carries out the voting of the shares under written guidelines established by the Fidelity Funds' Boards of Trustees.

This filing reflects the securities beneficially owned, or that may be deemed to be beneficially owned, by FMR LLC, certain of its subsidiaries and

affiliates, and other companies (collectively, the "FMR Reporters"). This filing does not reflect securities, if any, beneficially owned by certain other companies whose beneficial ownership of securities is disaggregated from that of the FMR Reporters in accordance with Securities and Exchange Commission Release No. 34-39538 (January 12, 1998).

#### RULE 13d-1(k)(1) AGREEMENT

The undersigned persons, on February 8, 2022, agree and consent to the joint filing on their behalf of this Schedule 13G in connection with their beneficial ownership of the COMMON STOCK of GENERAL ELECTRIC CO at December 31, 2021.

FMR LLC

By /s/ Kevin M. Meagher  
Kevin M. Meagher

Duly authorized under Power of Attorney effective as of September 28, 2018, by and on behalf of FMR LLC and its direct and indirect subsidiaries\*

Abigail P. Johnson

By /s/ Kevin M. Meagher  
Kevin M. Meagher

Duly authorized under Power of Attorney effective as of September 30, 2018, by and on behalf of Abigail P. Johnson\*

\* This power of attorney is incorporated herein by reference to Exhibit 24 to the Schedule 13G filed by FMR LLC on October 9, 2018, accession number: 0000315066-18-002414.

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SC 13G/A 1 tv0051-generalelectricco.htm SCHEDULE 13G/A

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Schedule 13G

Under the Securities Exchange Act of 1934  
(Amendment No.: 7)\*

Name of issuer: General Electric Co.

Title of Class of Securities: Common Stock

CUSIP Number: 369604301

Date of Event Which Requires Filing of this Statement: **December 31, 2021**

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(Continued on the following page(s))

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13G

CUSIP No.: 369604301

1. NAME OF REPORTING PERSON  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

The Vanguard Group - 23-1945930

2. CHECK THE APPROPRIATE [LINE] IF A MEMBER OF A GROUP

A.

B. X

3. SEC USE ONLY

4. CITIZENSHIP OF PLACE OF ORGANIZATION

Pennsylvania

(For questions 5-8, report the number of shares beneficially owned by each reporting person with:)

5. SOLE VOTING POWER

0

6. SHARED VOTING POWER

1,677,190

7. SOLE DISPOSITIVE POWER

77,917,990

8. SHARED DISPOSITIVE POWER

4,296,700

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

82,214,690

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

N/A

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

7.49%

12. TYPE OF REPORTING PERSON

IA

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SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Schedule 13G  
Under the Securities Act of 1934

Item 1(a) - Name of Issuer:

General Electric Co.

Item 1(b) - Address of Issuer's Principal Executive Offices:

5 Necco Street  
Boston, MA 02210

Item 2(a) - Name of Person Filing:

The Vanguard Group - 23-1945930

Item 2(b) – Address of Principal Business Office or, if none, residence:

100 Vanguard Blvd.  
Malvern, PA 19355

Item 2(c) – Citizenship:

Pennsylvania

Item 2(d) - Title of Class of Securities:

Common Stock

Item 2(e) - CUSIP Number

369604301

Item 3 - Type of Filing:

This statement is being filed pursuant to Rule 13d-1. An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E).

Item 4 - Ownership:

(a) Amount Beneficially Owned:

(b) Percent of Class:



(c) Number of shares as to which such person has:

(i) sole power to vote or direct to vote:

(ii) shared power to vote or direct to vote:

(iii) sole power to dispose of or to direct the disposition of:

(iv) shared power to dispose or to direct the disposition of:

Comments:

The responses to questions 5 through 9 and 11 on the cover page(s) are incorporated by reference into this Item 4.

Item 5 - Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following ☐

Item 6 - Ownership of More Than Five Percent on Behalf of Another Person:

The Vanguard Group, Inc.'s clients, including investment companies registered under the Investment Company Act of 1940 and other managed accounts, have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the securities reported herein.

No one other person's interest in the securities reported herein is more than 5%.

Item 7 - Identification and Classification of the Subsidiary Which Acquired The Security Being Reported on by the Parent Holding Company:

Not applicable

Item 8 - Identification and Classification of Members of Group:

Not applicable

Item 9 - Notice of Dissolution of Group:

Not applicable

Item 10 - Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 9, 2022

By /s/ Christine M. Buchanan

Name: Christine M. Buchanan

Title: Principal