



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

January 13, 2022

MEMORANDUM TO: Eric Rivera
Acting Assistant Inspector General for Audit

FROM: Jennifer M. Golder, Director
Office of Administration

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE
U.S. NUCLEAR REGULATORY COMMISSION'S GRANTS
PRE-AWARD AND AWARD PROCESSES (OIG-21-A-11)

Signed by Golder, Jennifer
on 01/13/22

This memorandum provides a status of our response to the remaining resolved recommendations as requested in your August 12, 2021, memorandum titled "Status of Recommendations: The Office of the Inspector General's Audit of the U.S. Nuclear Regulatory Commission's (NRC) Grants Pre-Award and Award Processes (OIG-21-A-11)."

Recommendation 1: Revise agency policies to require:

- A. A review of applicants' geographic diversity, diversity in technical disciplines, prior award performance issues, number of prior NRC awards, and current unexpended grant funds, include the NRC staff responsible for the review, and
- B. A review of the NRC's suspended Automated Standard Application for Payments account list to determine applicants' performance histories, include the NRC staff responsible for the review.

Status: Completed.

The Office of Administration issued interim guidance to Management Directive 11.6, Financial Assistance Program, on January 7, 2022 (ADAMS accession no. ML22005A024) that requires

1. a review of applicants' geographic diversity, diversity in technical disciplines, prior award performance issues, number of prior NRC awards, and current unexpended grant funds, and
2. a review of the NRC's suspended Automated Standard Application for Payments account list to determine applicants' performance histories. The interim guidance includes the NRC staff responsible for these reviews.

Point of Contact: Jill Daly, 301 415-8079

Recommendation 2: Develop agency guidance for when to use additional and specific conditions into grant agreements for awardees that have prior inconsistent application of grant requirements.

Status: Completed.

The Office of Administration issued interim guidance to Management Directive 11.6, Financial Assistance Program, on January 7, 2022 (ADAMS accession no. ML22005A024) that provides guidance for when to use additional and specific conditions into grant agreements for awardees that have prior inconsistent application of grant requirements.

Point of Contact: Jill Daly, 301-415-8079

STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S GRANTS PRE-AWARD AND AWARD PROCESSES (OIG-21-A-11) DATED JANUARY 13, 2022

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