

Change Catalog for OLPF

Overall Comments for Operator Licensing Program Feedback for NUREG-1021 Revision 12 Release:

- Updated all references to NUREG-1021, Revision 12 sections.
- Fixed broken hyperlinks to references on the public website.
- Updated all pronouns to “they/them/theirs” to be gender neutral and inclusive.
- Reformatted and revised some OLPF questions to be clearer.
- Minor grammatical and formatting changes.
- Deleted some questions that were no longer relevant and do not provide historical value or were redundant (i.e., information is contained in another OLPF question or in NUREG-1021, Rev. 12).
- Archived questions that were no longer relevant but provided historical value, such as the basis for incorporating a change in NUREG-1021 or for not accepting a recommendation.
- Moved the previous record of changes to the bottom of this document. Record of changes following the date of this Change Catalog will be updated in the OLPF main document.

Detailed Question Changes:

ES-201

Question	Change (none, archive, deleted, revised)	Reason for Change
2.1.1 (201.1)	Updated references, revised	See overall comments, exam sampling goals were removed.
2.1.2 (201.2)	Updated references, revised	See overall comments, deleted NUREG reference
2.1.3 (201.3)	No change	N/A
201.4	Deleted	Incorporated into NUREG-1021, Rev. 11
2.1.4 (201.5)	Revised	Revised the question to be concise and clear
2.1.5 (201.6)	Updated references, revised question and answer	See overall comments, only first paragraph required to answer question
2.1.6 (201.7)	No change	N/A

4.2.17 (201.8)	Updated references, revised	See overall comments. Revised because it is now required to document question history information.
2.1.7 (201.9)	No change	N/A
2.2.1 (201.10)	Updated references, updated to gender neutral pronouns, updated hyperlink	See overall comments.
1.3.1 (201.11)	No change	N/A
1.3.2 (201.12)	Updated references, revised	See overall comments, revised question to be shorter
201.13	Updated references, archived	See overall comment, archived since it's been incorporated
1.3.3 (201.14)	Revised	Minor sentence restructuring
1.3.4 (201.15)	Rephrased question, updated to gender neutral pronouns	Rephrased question to be clearer. See overall comment
1.3.5 (201.16)	Updated references	See overall comment
1.3.6 (201.17)	Updated references	See overall comment
1.3.7 (201.18)	No change	N/A
1.3.8 (201.19)	Updated references, updated to gender neutral pronouns,	See overall comments.
1.3.9 (201.20)	Updated references, updated to gender neutral pronouns, revised question	See overall comments. Revised question to be more concise.
1.3.10 (201.21)	Updated references, revised	See overall comments, added a link to the checklist.
1.3.11 (201.22)	Updated references	See overall comments
1.3.12 (201.23)	Updated to gender neutral pronouns, revised answer	See overall comments, revised answer to be concise and clear
201.24	Deleted.	Deleted since 201.25 answers this better.
1.3.13 (201.25)	Updated references	See overall comments
2.2.2 (201.26)	No change	N/A
1.3.14 (201.27)	Updated references	See overall comments
2.1.8 (201.28)	Updated references	See overall comments

2.1.9 (201.29)	Revised	Updated to include the National Exam Schedule framework.
2.1.10 (201.30)	Revised	Revised question for clarity
201.31	Archived	incorporated
201.32	Deleted	Deleted because the question was outdated and irrelevant.
1.3.15 (201.33)	No change	N/A
1.3.16 (201.34)	revised question, update references	Rephrased question to improve clarity
2.1.12 (201.35)	Updated references	See overall comment

ES-202

Question	Change (none, archive, deleted, revised)	Reason for Change
2.2.3 (202.1)	Updated references, revised	See overall comments, Revised question to be clearer
2.2.4 (202.2)	No change	N/A
202.3	Deleted	Redundant with 2.2.4
2.2.5 (202.4)	Updated references	See overall comments
202.5	Deleted	1 year waiver clock has been removed and this OLPF is no longer applicable
202.6	Updated hyperlink, archived	See overall comments, archived because IOLB no longer reviews waiver requests for eligibility
202.7	Deleted	Updated question contained in 202.20
202.8	Revised, Archived	Deleted "500" in question stem since it's unnecessary, archived since it's included in the licensee's accredited training program
202.9	Deleted	Updated question contained in 202.20
202.10	Deleted	Updated question contained in 202.20
202.11	Archived	Archived because this was incorporated in Rev. 12
2.2.6 (202.12)	No change	N/A
2.2.7 (202.13)	Updated to gender neutral pronouns	See overall comments
2.2.8 (202.14)	Updated references	See overall comments
202.15	Archived	Could provide useful insight when there are gaps in the training program (due to outage for example)
2.2.9 (202.16)	Updated hyperlink, updated response	See overall comments, updated response for status of NRC Form 398

2.2.10 (202.17)	Revised	Revised question by deleting the NUREG-1021 Rev. 9 reference for clarity
202.18	Archived, updated references, updated to gender neutral pronouns, updated hyperlink,	Incorporated into NRC Form 398, archived because those changes are several years old
2.2.11 (202.19)	Updated to gender neutral pronouns	See overall comments
2.2.12 (202.20)	No change	N/A
2.2.13 (202.21)	Revised, updated hyperlinks,	Deleted the second paragraph since unnecessary. Rephrased question
202.22	ArUpdated hyperlinks, rephrased question	See overall comments, rephrased question to delete reference to 202.21 since that was revised
2.2.14 (202.23)	No change	N/A

ES-204 (Deleted)

Question	Change (none, archive, deleted, revised)	Reason for Change
204.1	Deleted	No longer applicable since GFE is no longer a separate examination

ES-205 (Deleted)

Question	Change (none, archive, deleted, revised)	Reason for Change
205.1	Deleted	GFE reintegrated
205.2	Deleted	GFE reintegrated
4.2.1 (205.3)	Revised, moved to new section	GFE reintegrated, revised to fit initial written exam
205.4	Archived	GFE reintegrated and facilities may find it useful for their fundamental questions
205.5	Deleted	GFE reintegrated
205.6	Archived	GFE reintegrated
205.7	Deleted	GFE reintegrated

ES-301

Question	Change (none, archive, deleted, revised)	Reason for Change
3.2.1 (301.1)	Updated references	See overall comments
3.1.1 (301.2)	Updated references	See overall comments

3.1.2 (301.3)	Updated references	See overall comments
3.4.1 (301.4)	Updated references	See overall comments
3.1.3 (301.5)	Updated references	See overall comments
301.6	Archived	Archived because this question has good historical value
301.7	Archived	Old revision and good historical value
3.2.2 (301.8)	Updated references	See overall comments
3.2.3 (301.9)	Updated references, revised answer	See overall comments, made the last sentence clearer.
3.2.4 (301.10)	Revised question	Minor changes for readability
301.11	Deleted	It is not different for two-week exams as 1 week exams, so it was deleted to avoid confusion
301.12	Archived	Archived since it was a change from a very old revision of NUREG-1021
3.2.5 (301.13)	Updated references	See overall comments
3.1.4 (301.14)	Updated references	See overall comments
301.15	Archived	It's no longer applicable, but it is good to show why walk-through portion of operating test changed
3.1.5 (301.16)	Updated references	See overall comments
3.1.6 (301.17)	Updated answer	Updated answer to be current.
301.18	Deleted	incorporated
301.19	Archived	Archived because describing Rev. 10 change
3.4.2 (301.20)	Revised question, updated references, updated to gender neutral pronouns	See overall comments

ES-305

Question	Change (none, archive, deleted, revised)	Reason for Change
3.5.1 (302.1)	Updated to gender neutral pronouns, updated references	See overall comments
3.5.2 (302.2)	Updated to gender neutral pronouns, updated references, updated response	See overall comments

3.5.3 (302.3)	Updated references	See overall comments
3.4.3 (302.4)	Updated references	See overall comments
3.5.4 (302.5)	Updated references, revised question	See overall comments, shortened question to be more clear
3.5.5 (302.6)	Updated references	See overall comments
3.5.6 (302.7)	No change	N/A
3.5.7 (302.8)	No change	N/A
3.5.8 (302.9)	Updated references	See overall comments
3.5.9 (302.10)	Updated to gender neutral pronouns, updated references	See overall comments
3.6.1 (302.11)	Updated references	See overall comments
3.5.10 (302.12)	Updated references, revised answer	See overall comments, revised answer to be consistent with Rev. 12
302.13	Archived	Updated into rev. 12 in one section, ES-3.4

ES-303

Question	Change (none, archive, deleted, revised)	Reason for Change
3.6.2 (303.1)	Updated references	See overall comments
3.6.3 (303.2)	Updated references	See overall comments
3.6.4 (303.3)	Updated references, updated to gender neutral pronouns	See overall comments
303.4	Deleted	Irrelevant
3.6.5 (303.5)	Updated references	See overall comments

ES-401

Question	Change (none, archive, deleted, revised)	Reason for Change
4.1.1 (401.1)	Updated references	See overall comment
4.1.2 (401.2)	Updated references, minor editorial changes	See overall comment

4.1.3 (401.3)	Updated references, revised question to be clear	See overall comment, a question was removed that the NRC did not previously address, and which is not considered an FAQ.
401.4	Archived, updated references	contains the premise of why it would not be appropriate to grade on a curve for NRC exams.
2.1.11 (401.5)	Updated references, rephrased answer	See overall comments, updated answer to match Rev. 12
401.6	Archived, updated reference	See overall comments
4.1.4 (401.7)	Updated references	See overall comments
401.8	Deleted	Deleted since it was incorporated and answered in 4.1.4 (401.7)
4.1.5 (401.9)	Updated references	See overall comments
401.10	Archived, updated references	Archived because it is contained in NUREG-1021 and updated references to Rev. 12
4.1.6 (401.11)	Minor format change	Updated for readability
4.1.7 (401.12)	Updated hyperlink	See overall comments
4.2.2 (401.13)	Updated references	See overall comments
4.2.3 (401.14)	Rephrased question, updated references,	Rephrased question to be more generic, see overall comments
4.1.8 (401.15)	Rephrased question, updated references,	See overall comments
4.2.4 (401.16)	Updated references,	See overall comments
4.2.5 (401.17)	Rephrased question, updated references	Rephrased question to be more generic, see overall comments
401.18	Deleted	Deleted because the policy is no longer in effect
4.1.9 (401.19)	Updated references	See overall comments
401.20	Archived	Archived to retain background information
401.21	Archived	Archived to retain background information
401.22	Deleted	No longer relevant
4.2.6 (401.23)	Updated references, incorporated 401.25, "At what point does a "modified" question become a "new" question?	See overall comments, 401.25 fit better with 401.23 since there was redundant information
4.2.7 (401.24)	No changes	N/A
401.25	Deleted and combined to 401.23	See 4.2.6 (401.23) comment
4.2.8 (401.26)	Rephrased question	Deleted example of "significantly modified" since it is defined in other questions
401.27	Archived	Archived for possible future INPO bank purposes

401.28	Archived	Recommendation for entirely bank exam
4.1.10 (401.29)	Updated references	See overall comments
401.30	Archived	Archived since it was incorporated
401.31	Deleted	Deleted since the question and explanation provide no added clarity to exam security
401.32	Deleted	Deleted since the question and explanation provide no added clarity to exam security
1.3.17 (401.33)	Updated references	See overall comments
401.34	Archived	Archived since it provides good historical information
4.2.9 (401.35)	Updated references	See overall comments
4.1.11 (401.36)	Updated references	See overall comments
4.2.10 (401.37)	Updated references	See overall comments
4.2.11 (401.38)	Updated references	See overall comments
401.39	Archived	Archived since it is a recommendation that the NRC is not currently considering
4.1.12 (401.40)	Updated references	See overall comments
1.3.18 (401.41)	Updated references	See overall comments
4.1.13 (401.42)	Updated to gender neutral pronouns	See overall comments
401.43	Deleted	NUREG-1021 contains clear guidance on what can be duplicated
401.44	Archived	Archived since it contains historical information
4.1.14 (401.45)	No changes	N/A
4.1.15 (401.46)	Updated references	See overall comments
4.2.12 (401.47)	Updated references	See overall comments
4.2.13 (401.48)	Updated references	See overall comments
4.1.16 (401.49)	No changes	N/A
401.50	Archived	Archived for historical information
401.51	Archived	K/A catalog revision added this system.
401.52	Archived	Archived for historical information
4.2.14 (401.53)	Updated references, revised question, updated to gender neutral pronouns	See overall comments, shortened question for clarity

4.2.15 (401.54)	Updated references	See overall comments
4.1.17 (401.55)	Updated references	See overall comments
4.1.18 (401.56)	No changes	N/A
4.2.16 (401.57)	No changes	N/A

ES-402

Question	Change (none, archive, deleted, revised)	Reason for Change
402.1	Archived	Archived because it's no longer applicable
4.3.1 (402.2)	Updated references	See overall comments
4.3.2 (402.3)	Updated references, updated to gender neutral pronouns	See overall comments

ES-403 (Deleted)

Question	Change (none, archive, deleted, revised)	Reason for Change
403.1	Deleted	No form anymore and it's integrated into ES-4.4

ES-501

Question	Change (none, archive, deleted, revised)	Reason for Change
501.1	Deleted	This is better explained in NUREG-1021
501.2	Archived	Incorporated into NUREG-1021 since Rev. 8, provides historical information
4.4.1 (501.3)	Updated references	See overall comments
501.4	Archived	Archived since it was incorporated since Rev. 8 and provides historical information
5.1.1 (501.5)	Updated references	See overall comments
501.6	Deleted	This is no longer practice and there is no reference to this practice in Revision 12 regarding holding licenses that were close to failing written examination.
501.7	Archived	Archived since it discusses deregulation impacts on exam availability to public.

ES-502

Question	Change (none, archive, deleted, revised)	Reason for Change
5.2.1 (502.1)	Update hyperlink	See overall comments
5.2.2 (502.2)	Updated references	See overall comments
5.2.3 (502.3)	No change	N/A
5.2.4 (502.4)	revised question	Eliminated NUREG-1021 reference

ES-601

Question	Change (none, archive, deleted, revised)	Reason for Change
6.1.1 (601.1)	Revised question, updated references, updated to gender neutral pronouns	Minor formatting changes to the question, see overall comments
6.1.2 (601.2)	Updated references	See overall comment
3.5.11 (601.3)	Updated references, updated to gender neutral pronouns	See overall comment

ES-602

Question	Change (none, archive, deleted, revised)	Reason for Change
602.1	Archived	No longer applicable
602.2	Revised, archived	No longer applicable, archived for historical purposes
602.3	Deleted	Deleted because information about developing a sample plan is covered by NUREG-1021, Rev 12.
602.4	Archived	Question governed by ACAD

ES-603

Question	Change (none, archive, deleted, revised)	Reason for Change
6.3.1 (603.1)	Updated references	See overall comments

6.3.2 (603.2)	Updated references, revised question	See overall comments, deleted repetition requirement
6.3.3 (603.3)	No changes	N/A
6.3.4 (603.4)	Updated references	See overall comments
6.3.5 (603.5)	Updated references	See overall comments
6.3.6 (603.6)	Updated references, revised question	See overall comments, revised question to be clearer

ES-604

Question	Change (none, archive, deleted, revised)	Reason for Change
6.1.3 (604.1)	Updated references	See overall comments
6.4.1 (604.2)	No changes	N/A
6.4.2 (604.3)	No changes	N/A

ES-605

Question	Change (none, archive, deleted, revised)	Reason for Change
5.3.1 (605.1)	Updated references	See overall comments
605.2	Deleted	Contained in ES-8
605.3	Deleted	Contained in ES-5.3 A.3.b and A.3.c
5.3.2 (605.4)	Updated references	See overall comments
5.3.3 (605.5)	Updated references	See overall comments
5.3.4 (605.6)	No changes	N/A
5.3.5 (605.7)	No changes	N/A
605.8	Deleted	Guidance is contained in NUREG-1021 5.3 A.2.h
5.3.6 (605.9)	Updated to gender neutral pronouns	See overall comments
5.3.7 (605.10)	Updated to gender neutral pronouns	See overall comments
5.3.8 (605.11)	Updated references	See overall comments
5.3.9 (605.12)	No changes	N/A

5.3.10 (605.13)	Updated references	See overall comments
605.14	Archived	Contains the basis for incorporating the LO/applicant's signature into NRC Form 396

IP

Question	Change (none, archive, deleted, revised)	Reason for Change
IP.1	No changes	N/A
IP.2	Rephrased question	Minor editing changes to question
IP.3	Updated references	See overall comments
IP.4	Updated references	See overall comments
IP.5	Updated references	See overall comments
IP.6	Updated references	See overall comments
IP.7	Updated references, updated to gender neutral pronouns	See overall comments
IP.8	No changes	N/A
IP.9	No changes	N/A
IP.10	Updated references, revised question	See overall comments, revised question to be clearer
IP.11	No changes	N/A
IP.12	Archived	Easily found in NUREG-1021 Rev. 12
IP.13	Updated references	See overall comments
IP.14	Updated references, updated to gender neutral pronouns	See overall comments
IP.15	Updated references, revised answer	See overall comments, deleted irrelevant sentences in the answer

SIM

Question	Change (none, archive, deleted, revised)	Reason for Change
Sim.1	No changes	N/A
Sim.2	No changes	N/A
Sim.3	No changes	N/A
Sim.4	Updated references	See overall comments
Sim.5	No changes	N/A
Sim.6	No changes	N/A
Sim.7	No changes	N/A
Sim.8	No changes	N/A
Sim.9	Updated references	See overall comments
Sim.10	No changes	N/A
Sim.11	Updated references	See overall comments
Sim.12	Updated to gender neutral pronouns	See overall comments

Sim.13	No changes	N/A
Sim.14	Updated to gender neutral pronouns	See overall comments
Sim.15	No changes	N/A
Sim.16	No changes	N/A
Sim.17	No changes	N/A
Sim.18	Updated references	See overall comments
Sim.19	No changes	N/A

CFR

Question	Change (none, archive, deleted, revised)	Reason for Change
CFR.1	Revised	Revised to include updated information

GENERAL

Question	Change (none, archive, deleted, revised)	Reason for Change
Gen.1	No changes	N/A
Gen.2	Archive	Archived for historical value
Gen.3	Revised	Revised question to be clearer
Gen.4	Archived	Answer is contained in ES-8
Gen.5	No changes	N/A
Gen.6	No changes	N/A
Gen.7	Updated	Updated to mention rulemaking efforts
Gen.8	Revised question	Revised question to be more clear
Gen.9	Updated references	See overall comments
Gen.10	Updated references	See overall comments
Gen.11	Revised	Revised the question to be clear, concise, and general.
Gen.12	Archived	Archived since the Sonalysts workshop is no longer offered but can apply to similar workshops
Gen.13	Deleted.	The question was confusing, and the information is contained in the OLPF
Gen.14	Revised question	Revised question to be clearer
Gen.15	Archived	Archived since it's historical information
Gen.16	Updated references	See overall comments
Gen.17	Revised question	Deleted parenthetical information
Gen.18	Updated hyperlinks	See overall comments
Gen.19	No changes	N/A
Gen.20	Updated references, updated to gender neutral pronouns, updated hyperlinks	See overall comments

Gen.21	Updated hyperlinks, updated to gender neutral pronouns	See overall comments
Gen.22	Updated references, updated hyperlinks	See overall comments
Gen.23	Updated references, updated hyperlinks, updated to gender neutral pronouns	See overall comments
Gen.24	Updated references, Grammatical change, updated hyperlink	See overall comments
Gen.25	Updated hyperlink, updated to gender neutral pronouns	See overall comments
Gen.26	updated to gender neutral pronouns	See overall comments
Gen.27	No change	N/A
Gen.28	Deleted	Deleted since the requirements are the same for all reported conditions
Gen.29	Updated to gender neutral pronouns, revised	See overall comments, revised answer to be more generic
Gen.30	No change	N/A
Gen.31	Updated to gender neutral pronouns	See overall comments
Gen.32	Deleted	Redundant question
Gen.33	Archived	Archived since Gen. 29 has a very similar answer
Gen.34	Revised, updated to gender neutral pronouns	Revised to make the answer more generic, see overall comments
Gen.35	Updated hyperlinks, clarified guidance	See overall comments. Added an expected timeframe to use the updated NRC Form 396
Gen.36	revised question	Revised question to specify that it is referring to a licensed operator and not a non-licensed employee
Gen.37	Updated hyperlink, updated to gender neutral pronouns,	See overall comments
Gen.38	Updated to gender neutral pronouns,	See overall comments
Gen.39	Archived, updated hyperlink	Archived because it has been several years since this FAQ and Form clarity has been substantially improved, see overall comments
Gen.40	No change	N/A
Gen.41	Updated hyperlink, revised question	See overall comment, replaced brand name drug with its generic name
Gen.42	Updated to gender neutral pronouns, Revised question	See overall comment, replaced brand name drug with its generic name

Gen.43	Updated hyperlinks, fixed Form 396 and 398 reference	See overall comments, Forms 396 and 398 have been revised since publication of this question and so it was fixed
Gen.44	Updated hyperlink, updated to gender neutral pronouns	See overall comments
Gen.45	Update to gender neutral pronouns, revised question grammatically	See overall comments
Gen.46	Updated to gender neutral pronouns,	See overall comments
Gen.47	Updated to gender neutral pronouns,	See overall comments
Gen.48	Updated hyperlink	See overall comments
Gen.49	Updated hyperlinks, updated to gender neutral pronouns	See overall comments
Gen.50	Updated hyperlinks,	See overall comments
Gen.51	Updated hyperlink, fixed form 396 reference	Form 396 has been revised since publication of this question and so it was fixed
Gen.52	Archived	Gen. 20 answers this question
Gen.53	Archived, updated hyperlink	Change was incorporated into NRC Form 396
Gen.54	updated hyperlink	See overall comments
Gen.55	Updated hyperlinks, rephrased question	See overall comment, rephrased question to make it more appropriate
Gen.56	No change	N/A
Gen.57	Revised	Deleted two signatures discussion as that is no longer relevant
Gen.58	No change	N/A
Gen.59	No change	N/A
Gen.60	No change	N/A
Gen.61	No change	N/A

Record of Changes (Prior to NUREG-1021 Rev. 12)

Date	Section(s) Affected	Summary
06/14/2021	General	<p>New Question Gen. 61 created to provide example of written examination questions based on component and theory K/A statements.</p> <p>Revised Question Gen. 60 to add more information about the process to request an exemption and which K/A catalog to use if a transition to Revision 12 of NUREG-12 is required after an outline was developed using Revision 11 of NUREG-1021.</p>
06/01/2021	ES-202	Question 202.20 was updated to reflect revision 2 to The National Academy for Nuclear Training's (NANT) ACAD 10-0001, "Guidelines for Initial Training and Qualification of Licensed Operators" which updated and clarified the experience and education eligibility requirements.
03/31/2021	General	New Question Gen. 60 created to provide guidance on how to prepare for the rollout of NUREG-1021 rev. 12 changes to the initial licensing written and operating test, including the incorporation of generic fundamentals.
02/19/2021	General	Question Gen. 45 and 56 revised to clarify requests for operator license information.
02/19/2021	General	New Question Gen. 58 created for COVID-19 considerations for examinations.
02/19/2021	General	New Question Gen. 59 created for waiving pulmonary function tests during COVID-19 public health emergency.
12/4/2020	ES-205	New Question 205.7 created to address how future GFEs will be administered in relation to Revision 3 of the PWR and BWR Knowledge and Abilities Catalogs.
11/2/2020	ES-401	New Question 401.57 created to address testing Diverse and Flexible Mitigation Capability (FLEX) strategies on operator licensing examinations.

Date	Section(s) Affected	Summary
11/2/2020	ES-202	New Question 202.23 created to address use of contractors as licensed operators and senior operators.
09/03/2020	ES-401	New Question 401.56 created to address transition to Revision 3 of the PWR and BWR Knowledge and Abilities Catalogs.
06/11/2020	General	New Question Gen. 57 created to address electronic signature usage on the NRC Form 396 and NRC Form 398.
04/06/2020	ES-401	Question 401.55 and its response were revised to clarify the intent and rating of Tier 1 written exam questions.
03/30/2020	General	Question Gen.45 updated to reflect current process of obtaining physical copy of an RO and/or SRO license. New Question Gen.56 created to address process of third-party obtaining license verification information.
06/13/2019	ES-401	Question 401.55 was revised to be clearer on the intent and rating of Tier 1 written exam questions that do not reference specific procedures or require procedural knowledge to answer.
06/27/2018	ES-401	Question 401.55 was revised to provide further clarification on evaluating Tier 1 questions as “unacceptable” or “deficient” based on the question failing to reference a procedure.
11/28/2017	ES-301	New Question 301.20 was added to provide clarification to Section D.5.b and what is meant by a “new” scenario.
11/14/2017	ES-202 and Record of Changes	New Question 202.22 was added to highlight and summarize the noteworthy changes made to NRC Form 396 and NRC Form 398 as a result of the October 2017 revisions. Organized “Record of Changes” table to show most-recent change at the top.
10/26/2017	ES-202	New Question 202.21 was added to provide clarification and guidance when revisions to NRC Form 396 and 398 are issued and as a result of the October 2017 revisions to NRC Form 396 and 398.

Date	Section(s) Affected	Summary
08/31/2017	All and General	<p>Incorporated formatting changes and hyperlinks throughout to improve ease of use. Removed “FAQ” terminology.</p> <p>New Questions Gen. 54 and Gen. 55 were added to provide clarification on medical reporting requirements and Question Gen. 25.</p> <p>Questions Gen. 24 and Gen. 25 were revised to reference the correct NRC Form 396 medical condition, i.e., NRC Form 396 Box 5 condition changed to Box 4.</p>
08/25/2017	ES-401	<p>New Question 401.55 was added to provide clarification on evaluating Tier 1 questions as “unacceptable” or “deficient” based on the question failing to reference an Emergency or Abnormal Operating Procedure even though the question met the K/A statement.</p>
08/16/2017	ES-605	<p>New Question 605.14 was added to provide clarification and interim guidance as a result of NUREG-1021, Revision 11, requiring the operator’s signature when a license amendment is requested.</p>
07/07/2017	ES-205	<p>New Question 205.6 was added to provide clarification on how the revision to ES-205, Attachment 4, GFE Test Item Distribution, as a result of NUREG-1021, Revision 11, will effect content in September 2017 GFE.</p>
03/13/2017	ES-202	<p>Question 202.20 revised to include discussion of latest revision to ACAD 10-001 (revised in November 2016).</p> <p>Question 205.1 revised to include Revision 11 of NUREG-1021 changes (i.e., the allowance for facility licensee (other authors) to develop GFEs) and to further clarify response.</p>
03/18/2016	ES-202	<p>Slight clarification added to Question 202.1 to align with what is stated in 10 CFR 55.59 and NUREG-1021 for what constitutes a power change.</p>

Date	Section(s) Affected	Summary
09/22/2015	ES-401	New Question 401.54 was added to clarify the required Technical Specification knowledge for a RO applicant
3/18/2015	ES-301	New Question 301.19 was added to clarify Form ES-301-5 new instruction 4 which addresses position rotation requirements for SRO-I evaluations.
9/21/2012	General	New Question Gen 53 was added to clarify documentation requirements associated with minor medical conditions.
2/1/2012	Simulators	This section was updated to address current practices concerning simulator scope, fidelity and performance testing.
7/12/2011	ES-401	Question 401.30 was updated to reflect additional guidance on SRO questions.
6/28/2011	ES-605	New Question 605.13 was added to clarify the “no solo” restriction for SROs supervising core alterations.
6/17/2011	General	New Question Gen 52 was added to clarify reporting requirement for temporary medical conditions/restrictions.
4/21/2011	ES-605	New Question 605.12 was added to clarify requirements for maintaining active license status.
3/11/2011	ES-605	Question 605.3 was revised to clarify notification requirements concerning temporary suspension of licenses.
6/4/2010	ES-202	New Question 202.20 was added to address ACAD 10-001 instructions on eligibility determinations.
5/12/2010	General	New Questions Gen 49 - 51 were added to provide additional guidance on NRC Form 396, “Certification of Medical Examination by Facility Licensee.”
1/7/2010	ES-401	Updated Questions 401.35 and 401.52 to reflect clarifications and enhancements incorporated by NUGEG-1022/1123 Rev2, Supp. 1

Date	Section(s) Affected	Summary
5/21/2009	General	New Question 202.19 was added to provide guidance on repeating control manipulations and other training for license reapplications; Question 204.1 was edited to clarify the selection of GFE waiver examinations; and Gen.48 was added to address the privacy of medical information.
3/5/2009	ES-202	New Question 202.18 was added to clarify the instructions for NRC Form 398, "Personal Qualification Statement – Licensee" item 12a associated with eligibility waivers.
12/11/2008	ES-401 and General	New Question 401.53 was added to address the use of open-reference questions on the initial exam and Gen.47 to address keeping required medications on-site for emergencies.
9/10/2008	ES-301, ES-605, and General	New Questions 301.18, 605.11, and Gen.46 were added to address the "Emergency Procedures/Plan" Administrative Topic of the walk-through operating test, rehiring retired operators, and sleep apnea, respectively.
5/24/2008	General	New Question Gen.45 was added to address Privacy Act record requests.
11/29/2007	ES-201 and ES-401	Question 201.35 was revised to reference new procedure "freeze" guidance that was added by Supplement 1 to Revision 9 of NUREG-1021. Questions 401.53 and 54 were deleted based on Supplement 1 changes to NUREGs-1021, 1122, and 1123.
9/25/2007	General	New Questions Gen.29 - Gen.44 were added to clarify medical requirements and guidance. Most of the questions were submitted during the August 2007 medical issues seminar.
2/8/2007	ES-605	Delete Question 605.10 which is no longer necessary given the 2006 revision of NRC Form 398, and replace with a new question regarding the "no-solo" license restriction.
8/23/2006	General	New Question Gen.28 was added to further clarify medical reporting requirements.
8/3/2006	General	New Questions Gen.25 - Gen.27 were added to clarify medical reporting requirements.

Date	Section(s) Affected	Summary
7/21/2006	ES-201 and ES-302	New Questions 201.35 and 302.13 were added to clarify policy regarding procedure “freezes” prior to initial licensing examinations and the use of surrogates during simulator operating tests.
3/10/2006	ES-401; General	New Questions 401.53 – 54 were added to clarify the K/A suppression criteria in Attachment 2 of ES-401; new Questions Gen.19 – 24 were added to address a number of medical issues, including “permanent disabilities” and recent changes to NRC Form 396.
7/14/2005	ES-605; Simulators; General	New Question 605.10 was added to clarify the need to include requalification training time on renewal applications; Sim.37 was added to clarify policy regarding design changes; Gen.18 was added to address electronic submittal of applications.
3/30/2005	ES-605	New Question 605.9 was added regarding no-solo license conditions.
2/29/2005	All; ES-204	All question numbers were revised to include a section designator (e.g., 401.7, Sim.2); new Question 204.1 was added.
12/9/2004	Various	Revision 9 changes were marked with searchable (R9) flags.