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2 NUCLEAR REGULATORY COMMISSION

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4 ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

5 (ACRS)

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7 METALLURGY AND REACTOR FUELS SUBCOMMITTEE

8 + + + + +

9 WEDNESDAY

10 NOVEMBER 17, 2021

11 + + + + +

12 The Subcommittee met via Video
13 Teleconference, at 1:00 p.m. EST, Ronald Ballinger,
14 Chairman, presiding.

15 COMMITTEE MEMBERS:

16 RONALD G. BALLINGER, Chair

17 DENNIS BLEY, Member

18 CHARLES H. BROWN, JR. Member

19 GREG HALNON, Member

20 DAVID PETTI, Member

21 JOY L. REMPE, Member

22
23 ACRS CONSULTANT:

24 STEPHEN SCHULTZ
25

1 DESIGNATED FEDERAL OFFICIAL:

2 ZENA ABDULLAHI

3

4 ALSO PRESENT:

5 MICHELLE BALES, RES

6 JAMES CORSON, RES

7 ALADAR CSONTOS, Public Participant

8 JOSEPH DONOGHUE, NRR

9 KIMBERLY WEBBER, RES

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P R O C E E D I N G S

1:00 p.m.

CHAIR BALLINGER: The meeting will now come to order, this is a meeting of the Materials in Metallurgy and Reactor Fuels Subcommittee of the Advisory Committee on Reactor Safeguards. I'm Ron Ballinger, Chairman of today's Subcommittee meeting.

ACRS Members in attendance are Charles Brown, Greg Halnon, Joy Rempe, I have to go down here, Dave Petti, and if I've missed somebody I'm sure I'll hear about it.

We have our consultant also in attendance, Steven Schultz. There may be others that will chime in. Judging from the list of attendees, there's a fair amount of interest here.

The purpose of this meeting is for the Staff to brief the Subcommittee on the regulatory information letter RIL 2021-13, interpretation of research on fuel fragmentation, relocation and dispersal at high burnout.

The Subcommittee will hear presentations and buy and hold discussions with the research Staff on this matter. A number of other people have just chimed in, not yet, okay.

By way of background, the RIL is an update

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1 to work in this area that depending on how old you
2 are, it's been ongoing for at least 40 years and Steve
3 Schultz says 50, related to cladding and brittle
4 performance during LOCA.

5 And then more recently, in the early
6 2000s, evolving to fuel fragmentation and dispersal at
7 high burnup.

8 There have been a number of documents that
9 have been released, NUREGs, another RIL previous to
10 this, 0801, and the latest to my knowledge fuel
11 fragmentation relocation and dispersal was NUREG 2121.

12 The ACRS has also issued a number of
13 letters related to this as well as embrittlement. The
14 latest one related to draft final rule of 5046C, which
15 is still under consideration by the Commission.

16 This RIL is a little bit unusual to my
17 mind because it not only summarizes research that's
18 been done in the past and does analysis on the
19 results, but also in Appendix A suggests a model that
20 might be used to accommodate that.

21 In effect, reset the burnup initiation
22 time from about 65 gigawatt days for metric tons --
23 sorry, I'm getting old -- to around 55. So, there's
24 a fair amount of meat in this that will be fruits for
25 discussion.

1 The ACRS was established by statute and is
2 governed by the Federal Advisory Committee Act, FACA.
3 That means the Committee can only speak through its
4 published letter reports. We hold Subcommittee and
5 full Committee meetings to gather information to
6 support our deliberations.

7 I might add that since it's a Subcommittee
8 meeting, the opinions of the members are just that,
9 personal opinions.

10 The ACRS Section of the U.S. NRC public
11 website provides our charter, bylaws, agendas and
12 letter reports and transcripts and all full and
13 Subcommittee meetings, including slides presented at
14 open meetings.

15 The interested parties who wish to provide
16 comments can contact the office requesting time. I
17 think we have had a request for a public comment from
18 at least one member of the public.

19 Closed meeting transcripts are not posted
20 to protect any information that might be proprietary.
21 The Subcommittee will gather information, analyze
22 relevant issues and facts, and formulate proposed
23 positions.

24 The full Committee for the research is
25 scheduled to be held during December full committee

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1 meeting, which is scheduled for November 30th through
2 December 3, 2021. The transcript of the meeting is
3 being kept and will be made available as stated in the
4 Federal Register notice through the COVID-19 pandemic.

5 Today's meeting is being held over
6 Microsoft Teams. For NRC Staff and the stakeholder
7 and public attendees, there's also an MS Teams
8 telephone number that allows participation of the
9 public to make comments in the comment period
10 specified in the posted agenda.

11 When addressing the Subcommittee,
12 participants should first identify themselves and
13 speak with sufficient clarity and volume so that they
14 may be readily heard. When not speaking, we request
15 that participants mute their MS Teams microphone or
16 phone number.

17 We will now proceed with the meeting. Let
18 me call on I think Kim Webber hopefully? Yes, Kim
19 Webber, the Director of the Division of Systems
20 Analysis of the NRC's Research Office to deliver her
21 opening remarks.

22 MS. WEBBER: Yes, thank you, Chair
23 Ballinger, ACRS Members.

24 It's really a pleasure to be here. Just
25 for the record, my name is Kim Webber, I'm the

1 Director of the Division of Systems Analysis and we're
2 here to talk to you today about the research
3 information letter, or RIL, that's related to fuel
4 fragmentation, relocation, and dispersal, or FFRD.

5 The presentation is timely as it addresses
6 the performance of high burnup fuel at a time when
7 industry is interested in pursuing licensing of
8 current fuel designs to higher burnups.

9 And as you can see and as you noted in
10 your opening remarks, we have many industry
11 representatives and members of the public who have
12 interest in this topic.

13 So, it's good to see the broad interest
14 and participation in today's meeting.

15 The Office of Nuclear Regulatory Research,
16 or RES, has sponsored research of the behavior of high
17 burnup under loss of coolant conditions for over two
18 decades. I think you pointed out that the research
19 has been ongoing for even longer than that.

20 Over the last 10 years, the research has
21 increasingly focused on FFRD so today you'll hear from
22 the Staff about recent research which addresses
23 phenomena in which high burnup fuel has been observed
24 to fragment, relocate within the fuel rod, and
25 disperse into the coolant under loss of coolant

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1 conditions.

2 The RIL is an NRC document and
3 specifically an Office of Research document that
4 communicates research findings between the Staff in
5 RES and other offices. In this particular case, the
6 RIL summarizes and communicates to NRR the available
7 research on FFRD.

8 And it provides insights regarding the
9 bases for empirical limits. In the past, RILS have
10 been used to support the development of regulatory
11 guidance and for this situation, the determination to
12 develop guidance associated with high burnup and/or
13 FFRD lies with NRR.

14 My Staff have worked very closely with
15 their counterparts in NRR to discuss the body of
16 research and the information that you will hear in
17 today's presentation.

18 We hope that in some way this will help
19 the licensing reviews of high burnup fuel in the
20 future. Before turning the presentation over to the
21 Staff, I want to say a few words about the third phase
22 of the cladding integrity program, or SCIP-III.

23 The SCIP-III program is one example of a
24 timely research investment that resulted in findings
25 that directly address the open regulatory safety

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1 questions. It's a multi-party international research
2 program that allows NRC to accomplish complex research
3 in a highly leveraged way, both in terms of cost and
4 expertise.

5 For some topics addressed in the RIL, the
6 Staff incorporated conservatisms or stop short of
7 proposing a specific limit due to limited information
8 in the research. Many of these topics are being
9 investigated in the SCIP-III program, of which NRC is
10 a member.

11 The research conducted under the SCIP
12 program is proprietary to the members involved in that
13 program, however, the NRC sought permission from the
14 SCIP-III Management board, which granted our request
15 very recently to site-specific SCIP-III results in the
16 RIL.

17 And so prior to a week or two ago, this
18 information was not yet available to be published and
19 discussed publicly.

20 But we're grateful to the program and to
21 the Management Board because it enables us to make the
22 RIL publicly available, providing maximal transparency
23 about the research on this important topic.

24 And so with that, I'd like to turn the
25 presentation over to Michelle Bales.

1 MS. BALES: Thank you, Kim, I appreciate
2 those introductory remarks from both the Chairman and
3 from Kim. I'm going to now share my screen for the
4 presentation. Can you see the presentation?

5 CHAIR BALLINGER: Very well.

6 MS. BALES: Okay, perfect. My name is
7 Michelle Bales and I will be starting the presentation
8 today and then turning it over to colleague who also
9 works with me in the Office of Research in a few
10 slides.

11 So, I'll today be presenting the research
12 information letter 2021-13. It's an interpretation of
13 research on fuel fragmentation, relocation, and
14 dispersal at high burnup.

15 The controls are a little bit different
16 than I expected. Today's presentation, I'll start
17 with a brief regulatory history of FFRD at NRC, some
18 of which has been mentioned in the introductory
19 remarks.

20 I'll then spend some time talking about
21 the program cited in the RIL and the peer review
22 process that we used. I'm then going to speak to the
23 outcome of the RIL, in other words what the RIL makes
24 possible in terms of safety analysis.

25 And after doing that, I will go back and

1 speak about each empirical threshold and the basis for
2 it as documented in the research information letter.
3 There are a few other matters that we'll cover at the
4 end and then we will be wrapping up.

5 So, first, FFRD history at the NRC. The
6 first mention of fuel relocation and dispersal in a
7 regulatory context was in 2008 with the issuance of
8 RIL 0801.

9 This RIL was written just after fuel
10 dispersal was first observed in the Halden Reactor
11 Project on a rod with over 90 gigawatts of data per
12 time.

13 The RIL 0801 discussed axial fuel
14 relocation and the loss of fuel particles through a
15 rupture opening, and recommended further research in
16 these areas. However, at that time it was documented
17 expected phenomena were occurring at burnups well
18 above current operating limits.

19 In 2012, the Staff conducted an extensive
20 literature review and published NUREG 2121. This
21 literature review captured the results of over 90 LOCA
22 tests performed in 8 different programs over 35 years.

23 Putting all of that information together,
24 the Staff concluded that the occurrence of FFRD
25 couldn't be precluded during the LOCA and really

1 required additional research.

2 In 2015 the Staff was in the middle of
3 rulemaking for loss of coolant accidents in the 5046C
4 rulemaking. And the Staff wrote a secy informing the
5 Commission that we did not plan to include or address
6 FFRD in that rulemaking.

7 But SECY also documented the Staff's
8 evaluation of FFRD and our basis for not including
9 FFRD in the proposed rulemaking.

10 At that time, the Staff also concluded
11 that immediate regulatory action was not needed to
12 address FFRD, however, as stated in that SECY, the
13 conclusion was closely linked to existing fuel design
14 limits and assumptions on how high burnup fuel would
15 be operated.

16 That brings us to today where the Staff
17 has written a new research information letter to
18 document the interpretation of fuel fragmentation,
19 relocation, and dispersal at high burnup.

20 We choose to do this now because industry
21 is pursuing extension of fuel design limits and also
22 because a large body of research has become available.
23 Documenting NRC's interpretation of available FFRD
24 research provides regulatory predictability and
25 technical review consistency.

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1 So, now I'll go into a little bit of
2 background on the program cited in the RIL and the
3 peer review process used. With this illustration, I
4 want to communicate that we've learned a lot about
5 FFRD since the 2015 SECY.

6 The 2015 SECY is shown on this bottom
7 timeline and you can see that both the SCIP-III
8 program and a program at Oak Ridge started and
9 finished since the SECY was issued.

10 The circles that you see are scaled to the
11 size of the program and the shading indicates whether
12 results have been public prior to the RIL, or solid
13 shading indicates that results are in the public
14 domain.

15 As Kim mentioned in her introductory
16 remarks, prior to the RIL, the SCIP-III data was
17 completely proprietary towards members and therefore,
18 the publication of this RIL marks the first time this
19 information will be in the public domain.

20 MEMBER REMPE: Michelle, this is Joy. If
21 you'll go back a slide? Again, there's a lack of
22 reduction in prototypic-ness when you go out of pile,
23 isn't there? Can you kind of give us a feel for what
24 phenomena are considered?

25 Like radiation effects when you're in

1 Halden versus what you would get from the Studsvik and
2 the Oak Ridge test.

3 MS. BALES: Yes, I have a slide coming up
4 that provides some information on the hot cell setup,
5 which is actually very similar between the SCIP, Oak
6 Ridge and NRC's program.

7 So, when I get to that slide I'll be sure
8 to come back to that point because it's important that
9 some of the features of the test should be well
10 understood as we look at their results.

11 MEMBER REMPE: That's fine, whenever it's
12 appropriate is great. Thank you.

13 MS. BALES: I will come back to it. I
14 wanted to say a few words about our peer review group
15 because a peer review is not always part of --

16 (Telephonic interference.)

17 I don't know if I just got feedback but it
18 sounded like someone was asking a question.

19 CHAIR BALLINGER: I would remind people to
20 keep their computer muted. Thank you.

21 MEMBER REMPE: So, peer review is not a
22 necessary part of a research information letter but
23 when we look at the data resources and their recency
24 of the data, I want to explain that the nuclear fuel
25 community has not yet developed consensus around FFRD

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1 phenomenon.

2 Significant research is still ongoing and
3 there's active work trying to develop a mechanistic
4 understanding of FFRD.

5 So, as you wrote the RIL, we recognized
6 that the empirical limits that we were proposing are
7 not consensus-based, mechanistic, or physics-based
8 models, but rather they represent the interpretation
9 of available data at this time from a safety authority
10 perspective.

11 Considering this, we wanted to pursue a
12 review and solicit perspective outside of NRC. So, we
13 specifically select reviewers with extensive
14 familiarity with FFRD research at national labs,
15 international labs, at national regulatory bodies, as
16 well as EPRI.

17 The peer reviewers were asked to identify
18 relevant research results that we might have missed to
19 identify alternative interpretation of the research
20 results presented in the RIL and identify gaps and
21 inadequacies of the basis that we proposed.

22 Ultimately, I want to make it clear that
23 the Staff was responsible for the positions taken in
24 the RIL and we were not subject to the peer review in
25 the sense that they could counter what we were saying.

1 At the end of the day, there were some
2 instances where the Staff took a conservative position
3 based on our perspective, but we took the comments
4 that we received from the peer review very seriously.

5 And as a whole, the peer review process
6 significantly strengthened the document.

7 The peer reviewers provided very
8 thoughtful and detailed comments, they made us go and
9 sharpen our pencils in a number of areas, and in one
10 highlight I want to put in is in the RIL you'll see a
11 definition in the terms section now.

12 And I think that really came out of the
13 peer review where many of the reviewers wanted us to
14 be more definitive about this new terminology that we
15 were introducing in the RIL.

16 In the RIL, there's an appendix which we
17 will keep when it's finally published that summarizes
18 the peer review comments as well as the resolution.
19 So, it's written at a high level but that is included
20 in the publication to provide some transparency for
21 the peer review process.

22 So, as we move into the meat of the
23 presentation, I'm actually going to speak about the
24 outcome of the RIL before I speak to the basis for
25 each of the thresholds in the RIL.

1 It's critical to communicate at a high
2 level how the RIL supports targeted safety evaluations
3 so I'm going to do that first. And Joy, this is the
4 slide that I mentioned. I'm going to say a few words
5 about the experimental methods used in all of the hot
6 cell testing programs.

7 I don't have a similar slide for Halden
8 but I'll speak to it a little bit as I walk through
9 the slides.

10 The RIL actually provides a short
11 orientation to test procedures in each of the programs
12 that are discussed because some details of these tests
13 are important to understand before examining the
14 results.

15 The majority of the test programs that we
16 cite were conducted in a hot cell and subjected to a
17 time temperature profile similar to the one in the
18 lower right-hand corner of the slide.

19 Test segments were placed in accordance
20 and heated in a clamshell furnace with four heating
21 elements. Again, this is characteristic of the hot
22 cell programs. In the Halden reactor, individual rods
23 were placed in a closed loop.

24 There were heaters, electrical heaters in
25 that loop but the whole entire loop was placed in the

1 core and the fuel rods were operated at low power
2 prior to the transient, so that means that the fuel
3 was producing decay heat during the LOCA transient.

4 So, in the case of the hot cell tests, all
5 of the heat that was experienced by the rod is from
6 external heaters and the thermal couple on the
7 cladding really set the furnace profile to target a
8 specific cladding temperature transient.

9 CHAIR BALLINGER: Michelle, this is Ron.

10 I have discovered painfully that knowing
11 the temperature gradient around the cladding is
12 important for these tests and when you use a
13 quadalyptic furnace, which is what this looks like,
14 and you ramp it up very quickly, are people sure --
15 I'm assuming this is a SCIP thing.

16 Are they sure the temperature around the
17 cladding is uniform? Because in past times, when
18 people have done oxidation experiments they've
19 discovered that for this type of furnace, you get kind
20 of a scalloped oxide thickness around.

21 And you can take an average but it's not
22 really representative of what you're saying. The
23 helical texture that's in the zircaloid tubing
24 combined with if there's an uneven temperature around
25 the circumference can complicate things.

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1 MS. BALES: So, I can speak to the SCIP
2 program. Before launching their irradiated testing
3 program, they did a lot of benchmarking of the
4 equipment, and that included some measurements of the
5 circumferential temperature profile.

6 They also looked at oxide measurements
7 after significant transients to look at whether there
8 was any scalloping or non-uniformity. So, the
9 equipment was qualified to produce sufficiently
10 consistent and uniform circumferential temperatures.

11 I guess there was a possibility that
12 individual tests that were done long after that
13 calibration was performed might have some unique
14 effects.

15 In some cases, some of the rods experience
16 bending after rupture, for example, in which case once
17 the rod goes off center, you can't confirm the
18 uniformity of the heating.

19 But I think that would be a unique
20 situation that we can look at to explain anomalies.
21 But generally, the equipment was looked at for that
22 and confirmed to not have any significant deviations
23 that would affect the results.

24 CHAIR BALLINGER: Because that usually
25 translates into an uncertainty bar on the temperature

1 versus time because the average sometimes does not
2 reflect the dispersion. Anyway, okay, thank you.

3 MS. BALES: I will say that in this
4 phenomenon, cladding temperature is not the most
5 critical part of the test.

6 That is certainly important for predicting
7 whether rupture occurs and the moment of rupture, but
8 as we go into the phenomenon that we're looking at of
9 relocation and dispersal, any non-uniformity of the
10 heating is probably going to be in the noise relative
11 to the things we're trying to measure.

12 Once you get above 760 which is the base
13 transformation, all the entropy goes away anyway I
14 suppose.

15 MS. BALES: The other things I wanted to
16 point out about the hot cell test are that the test
17 segments are about 30 to 50 centimeters. This
18 includes the Halden test and Powell was also similar
19 in length.

20 And the hot cell test but also the Halden
21 test were re-pressurized. They were segments cut from
22 commercially irradiated rods, repressurized through a
23 pressure line to various conditions dependent on the
24 test design.

25 So, as I said, I don't have a picture of

1 the setup in the Halden reactor in this slide deck but
2 the RIL very briefly mentions this and we can speak
3 about it maybe separately if there's a lot of
4 questions about it.

5 There's been some work done to look at the
6 temperature profile across the cladding and across the
7 pellet in comparing hot cell tests and Halden tests.

8 And while obviously the hot cell testing
9 started the rod cold and there's a big difference
10 between what the rod temperature profile looks like
11 before the test.

12 There is some analysis that is showing the
13 temperature profile after about 20 seconds in the
14 transient is very similar between hot cell tests and
15 irradiated tests.

16 And particularly when you look just at the
17 temperature profile and it's ability to introduce
18 thermal gradients.

19 So, even if the absolutely temperature is
20 a little bit different, the fact that it's flat means
21 that the thermal stresses that would be induced across
22 the pellet should be pretty similar even for the hot
23 cell test and in-cell test.

24 As we get into further, that is part of
25 are understanding of the mechanism, that the

1 temperature profile across the pellet would impact or
2 could impact fragmentation.

3 So, I don't know if that was as much as
4 you wanted know or if you have any other questions
5 about the test setups. There's a little bit of
6 discussion about this in the RIL but are there other
7 things that I should mention or that you'd like to
8 address before I go on?

9 MEMBER REMPE: Not at this time. Again,
10 it was something that puzzled me as I reviewed the RIL
11 and I'll ponder it a bit more if I have more
12 questions. But thank you.

13 MS. BALES: The test that I'm referring
14 to, they produce images of fragmented fuel, sometimes
15 fragments that are quite small in size. Dispersal was
16 observed in many of these tests.

17 Looking at the result separately, it can
18 seem that something alarming is happening at some
19 unknown point and it may at first seem intractable.
20 However, as documented in the RIL, a large body of
21 research is available to understand when this happens.

22 There is also repeatable and conforming
23 basis for clear and empirical limits. The RIL
24 provides the basis for when FFID does not occur and
25 allows for a well focused analysis of a relatively

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1 small region of the core vulnerable to FFRD.

2 So, that brings me to the main outcomes of
3 the RIL. The Staff examined available research in
4 order to address five elements of FFRD. First, a
5 threshold for when fine fragmentation begins.

6 Next, a threshold for when we can expect
7 fuel axial relocation. We also sought to quantify a
8 model for predicting the amount of dispersal that you
9 might expect as a function of burnup.

10 The RIL also documents the phenomenon of
11 transient fission gas release distinct from the steady
12 state fission gas release that is already well
13 modeled. And finally, quantifying packing fractions
14 of axially relocated fuel in a balloon region.

15 So, putting these elements together, the
16 RIL forms the basis for targeted analysis of only
17 select rods that are of concern for FFRD. This is a
18 fundamental outcome of the RIL and therefore, I will
19 describe this outcome first and return to the basis
20 for each element after that.

21 To communicate how the RIL supports
22 targeted analysis, I will use an oversimplified
23 schematic to depict the rod population of an LWR core.

24 The schematic represents each assembly at
25 a given time in mind by its average burnup on the X

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1 axis and operating power on the Y axis.

2 Burnup is a key parameter in FFRD
3 phenomena as I will explain. An operating power prior
4 to the start of a LOCA is a key determinant for
5 whether a rod will balloon and rupture, which is
6 another key parameter in FFRD phenomenon.

7 The RIL provides a basis to conclude that
8 only rods over 55 are susceptible to fine
9 fragmentation. The RIL also provides a basis to
10 conclude that only rods with strains greater than
11 three percent are susceptible to axial fuel
12 relocation.

13 Therefore, only high burnup rods with an
14 operating power prior to the transient that is high
15 enough to result in ballooning presented concerns for
16 relocation.

17 Since fine fragmentation and axial
18 relocation are precursors to fuel dispersal, only fuel
19 rods within this box are a concern for dispersal. In
20 this schematic that I'm showing here, there's only a
21 few rods that end up in this dispersal box.

22 However, the number of rods in this box is
23 highly dependent on core design, fuel design, and
24 plant characteristics. This box could be considered
25 just one of many factors that would influence core

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1 design.

2 As you saw in the animation, there's other
3 core design maps that might have relatively lower heat
4 generation rates or have differences in how second
5 cycle and third cycle fuel rods are operated and may
6 have no overlap between the core loading map the
7 region of concern for FFRD.

8 MEMBER PETTI: This is Dave, I had a
9 question.

10 I understand this, it just seems the way
11 the rule is written, it mentions 55 as the burnup but
12 that's just sort of a necessary but not necessarily
13 sufficient metric to characterize if you've got an
14 issue.

15 And that doesn't come through as strongly
16 as what you just talked about here verbally with us.
17 When they read 55, people are going to go 62 is the
18 limit and now you're saying it's 55.

19 You're not really saying that, you're
20 saying that's just the first conditions is these other
21 things that could impact.

22 MS. BALES: It's an excellent point and I
23 think what we need to focus on is the RIL clearly
24 states that fine fragmentation, and I'm going to show
25 some results to demonstrate this, has been seen as

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1 early as 55.

2 Fine fragmentation in and of itself is not
3 obviously presented as a safety concern. If that fuel
4 disperses, that's when you start to need analysis to
5 confirm safety. In order to disperse, there has to be
6 ballooning and rupture.

7 So, a high burnup rod above 55 that
8 doesn't balloon and doesn't rupture, you won't have
9 relocation or dispersal. And it's really the
10 dispersal that should be the focus of the safety
11 evaluations and relocation.

12 But I think your point is right that in
13 the RIL we tried to explain that fine fragmentation
14 and relocation are precursors to dispersal.

15 But perhaps it's not as clean, as I'm
16 walking through it now, that the 55 for fine
17 fragmentation only is not by itself the issue.

18 MR. SCHULTZ: This is Steve Schultz. Can
19 you hear me?

20 MS. BALES: Yes.

21 MR. SCHULTZ: You also mentioned the
22 strain number that was identified in the RIL
23 associated with the small-sized fragmentation. What
24 you seem to be showing here are fuel rods that are at
25 power levels in the core.

1 And I presume what you showed in terms of
2 the data set that's included at that strain level is
3 for the assumed LOCA strain, in other words during the
4 transient. Is that correct?

5 MS. BALES: Correct, you mean as opposed
6 to operating?

7 MR. SCHULTZ: Yes.

8 MS. BALES: Yes, the strain that we're
9 speaking about that is a precursor to relocation is a
10 strain that occurs during the transient.

11 MR. SCHULTZ: And that's of course in
12 terms of what you're showing here like the burnup
13 limit value? That's affected by the assumptions and
14 the evaluation of the LOCA transient and the dynamics
15 of the fuel performance in the LOCA.

16 MS. BALES: Yes, I think the schematic is
17 really an illustration to describe the zones at a very
18 high level. But I think when we get into the
19 technical basis we can speak a little bit more cleanly
20 about what is precisely changing between relocation
21 and dispersal.

22 But one of the things that I was trying to
23 communicate with this, you see this box, any fuel rods
24 above 55 are potentially rods that can finally
25 fragment.

1 But when we look at defining the box for
2 relocation, the horizontal line is my attempt to
3 communicate that only rods that are hot enough to
4 undergo ballooning would experience relocation.

5 So, on the left there's an image that
6 shows the strain limit which I haven't presented yet
7 but we'll get to in a second.

8 But the fact that the box has a horizontal
9 line to only include rods with high enough power to
10 strain under a LOCA is to communicate that this
11 relocation box is less than the population of rods
12 above 55 and subject to fine fragmentation.

13 And without seeing the boxes overlap, if
14 you just watch the figure, the dispersal box is
15 slightly higher, the idea that the difference between
16 relocation and dispersal.

17 There are some rods that are predicted to
18 balloon but not rupture. The dispersal box is
19 intended to only include rods which are predicted to
20 rupture.

21 MR. SCHULTZ: Thank you.

22 CHAIR BALLINGER: This is Ron again. I
23 keep wondering whether we need to be a little bit
24 careful in that the observed behavior is what it is,
25 it's observed.

1 But a calculation for the LOCA calculation
2 is at least Appendix K or is a stylized calculation
3 where there's a lot of conservatism built in.

4 And so do we need to be careful that the
5 stylized calculation, if that's what it is, doesn't
6 get us burst or greater than 3 percent strain when
7 that's due to the conservatism in the calculation
8 that's built in.

9 MS. BALES: I think that's an excellent
10 point and I want to explain that the meat of the RIL,
11 well, the entirety of the RIL is focused on when this
12 phenomenon has been observed in testing, and it's
13 trying to establish empirical limits to define when
14 FFRD will occur.

15 Everything that I'm presenting now is
16 really just in service of communicating that we're not
17 talking about the entire core, that we're not even
18 talking about all high burnup rods.

19 But the schematic is a complete
20 oversimplification not only of a core loading map, but
21 also to what you're saying, where that horizontal line
22 cuts off is going to be extremely complicated to
23 calculate.

24 It's not easy to draw that lower line of
25 the box, that is dependent on the calculation method,

1 the conservatisms used. And so the RIL doesn't
2 attempt to define a ballooning model, for example, or
3 attempt to draw that bottom leg of the box.

4 I'm using it in its presentation because
5 I want to communicate that the RIL is linked to
6 analysis but as we go into the technical basis, and
7 we'll spend more time over there, that's really the
8 meat of the RIL.

9 What has research shown in terms of the
10 onset of these phenomena?

11 CHAIR BALLINGER: I'm sure we'll further
12 discuss this.

13 MS. BALES: So, there's just two more
14 points that are addressed in the RIL that I wanted to
15 walk through the schematic to illustrate.

16 Again, it's just a schematic and it only
17 serves to explain the implications of the RIL, it's
18 just an illustration.

19 Trained fission gas release is another
20 thing that's addressed during steady state operation,
21 diffusion-based fission gas release is expected and
22 it's very well modeled by fuel performance codes.

23 However, transient testing has shown that
24 significant additional gas release can occur during
25 the transient, changing the rod internal pressure.

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1 The rod internal pressure is critical for actively
2 predicting whether rods burst when subject to LOCA
3 conditions.

4 And therefore, accounting for transient
5 fission gas release is critical for defining the
6 dispersal box. So, I think this further emphasizes
7 your point, whether the models are accounting for
8 transient fission gas release affects replacement of
9 this box.

10 Whether the models are accounting for
11 other factors in a conservative or best-estimate way
12 will affect the positioning of this box.

13 The final element the RIL addresses is
14 packing fraction and so this is particularly important
15 for rods where they're above the burnup limit so we
16 expect fine fragmentation.

17 They experience some ballooning and the
18 fine fragmentation can fall into the ballooned region.

19 Accurately accounting for that phenomenon
20 and having a density of relocation or packing fraction
21 is important for accurately predicting whether the rod
22 bursts because temperature is a critical element in
23 those predictions.

24 Also, even if the rod is not predicted to
25 burst, it's important that some accounting for

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1 relocation and packing fraction values are used in a
2 calculation of peak cladding temperature because that
3 is a key metric in LOCA evaluation.

4 So, in summary, with that said on the
5 slides, I wanted to communicate that the RIL forms the
6 basis for targeted FFRD analysis. Only rods with the
7 following characteristics are a concern for FFRD.

8 Fine fragmentation, we have not seen it
9 below 55, for axial relocation we haven't seen
10 cladding with less than 3 percent strain. Fuel
11 dispersal requires axial relocation and therefore can
12 be limited to rods that are above 55 and strained more
13 than 3 percent.

14 But dispersal itself can't happen unless
15 there's rupture so also, the calculation of the
16 rupture event is critical to determining fuel
17 dispersal.

18 CHAIR BALLINGER: This is Ron, I keep
19 beating a dead horse but in this slide, the only thing
20 that's actually calculatable with any precision is the
21 burnup. Everything else is a calculation based on a
22 fuel performance model, which may or may not be
23 accurate.

24 MS. BALES: I think it's important to
25 emphasize that the RIL doesn't prescribe how to

1 analyze FFRD. The RIL simply defines when to analyze
2 it.

3 That means that vendors and their topical
4 reports are to define the models and the basis for
5 those models that need to be used in order to evaluate
6 these limits.

7 Having said that, I want to explain that
8 in some ways the means of analysis are not a dramatic
9 departure from the existing analysis. For example, as
10 you already mentioned, the burnup of each rod is
11 already tracked.

12 Evaluating whether a rod is above or below
13 that threshold is straightforward.

14 The empirical limits depend on ballooning
15 and burst and although there are variability in how
16 accurately that can be predicted, performance codes do
17 already have models for ballooning and the prediction
18 of rupture.

19 Some fuel performance codes even already
20 model axial fuel relocation. So, I said all of that
21 to say the RIL does not define how to analyze FFRD,
22 which models are acceptable, which models are even
23 recommended to match the experiments in the test.

24 It just simply leaves it at here's where
25 these phenomena had been observed and this is where

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1 these phenomena should be analyzed, but the analysis
2 should largely map onto existing tools.

3 Whether they are assessment or ready for
4 use in this analysis is something that would be
5 addressed separately.

6 Now that I've given you a high-level
7 overview of what the outcome of the RIL is and what
8 the RIL makes possible, I'm going to step through each
9 of the empirical thresholds in turn and describe the
10 technical basis for each of the limits.

11 First the empirical threshold at which
12 fuel pellets become susceptible to fine fragmentation.
13 To define the threshold at which fuel pellets become
14 susceptible to fine fragmentation, we examine results
15 from 26 tests in which fuel fragment size was
16 carefully measured.

17 Fuel fragments were processed through a
18 series of sieves and the mass fraction of fragments
19 below 1 millimeter and 2 millimeters are shown in the
20 plot on the left. We see the mass fraction of fine
21 fragments increases with burnup, with some variability
22 between tests.

23 We have detailed fragment size results at
24 a burnup of about 43, showing no fragments smaller
25 than 2 millimeters, and then again at 6 days per ton,

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1 showing fragments start to be observed at these fine
2 size levels.

3 Unfortunately, we don't have any result of
4 fragment size distribution between these levels using
5 that sieve fine resolution picture.

6 But we do have optical microscopy from
7 testing at Argonne National Lab for an average of 55-
8 second burnup before and after LOCA testing that
9 indicates fragments on the order of 1 to 2 millimeters
10 were observed after testing.

11 The A&L results are the ones that anchor
12 our position with the onset of fine fragmentation
13 occurs at 55 gigawatts per ton.

14 CHAIR BALLINGER: This is Ron again. I
15 look at this and I look at, let's say, the lower
16 figure at 66. There are two data-points which look to
17 be almost exactly the same burnup but the variability
18 is plus or minus 30 percent.

19 So, is that a good number to think about
20 in terms of uncertainty?

21 MS. BALES: That's a complicated question
22 because you're previewing one of my concluding
23 remarks, which is we have used burnup as the Y axis in
24 the RIL. And most likely, the mechanism that is at
25 play that controls fine fragmentation has more

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1 variables than just burnup.

2 And so in this we're just simply plotting
3 everything as a function of burnup so in some ways
4 that is true that 30 percent could be an uncertainty
5 factor on the burnup threshold, but I think it's
6 probably more accurate to say that burnup is somewhat
7 of an incomplete parameter in the onset of fine
8 fragmentation.

9 And as we look to the future, a true
10 mechanistic understanding will probably put burnup
11 amongst other factors that control the onset of fine
12 fragmentation.

13 Once we have those delineated, some of
14 this data might be more easy to explain.

15 CHAIR BALLINGER: I wonder whether or not
16 the flow conditions, vibration and such, might also be
17 a significant factor that is unpredictable?

18 MS. BALES: I think in a real LOCA that
19 could be the case.

20 Most of these tests, except for the red
21 Halden tests, and all of the other data-points would,
22 I expect, have been subject to the same forces and
23 conditions because they were all conducted in the same
24 test setup where you have flowing steam in accordance
25 with the furnace heating.

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1 So, I wouldn't expect a lot of variability
2 between tests when it comes to the applied forces.

3 CHAIR BALLINGER: But the Halden results
4 say zero?

5 MS. BALES: For some of these burnups,
6 yes, that is true.

7 CHAIR BALLINGER: High burnup fuel, zero.

8 MS. BALES: I'm trying to bring your
9 attention to the one that is at 64.

10 CHAIR BALLINGER: I see that one but I
11 also see three non-bursts, but let's take the burst
12 ones. At least 2 at 73 or so, or thereabouts?

13 MS. BALES: There is certainly a lot of
14 variability in the fragmentation behavior and in
15 particular, some notable low fragmentation
16 observations in the Halden test.

17 So, I think this gets to the fact that we
18 don't have a fully mechanistic understanding.

19 This is really just empirical threshold
20 that is based only on what we have looked at right now
21 which is the effective burnup.

22 CHAIR BALLINGER: Thanks.

23 MR. SCHULTZ: The other question I had on
24 that slide was -- this is Steve Schultz -- each of
25 these data-points are representative of results

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1 regarding fragmentation that are from a test where the
2 fuel rod has been exposed to a particular tailored
3 time, steam, temperature, pressure, condition presumed
4 to be appropriate for LOCA of a type.

5 In other words, rods and reactors, if
6 they're exposed to a LOCA, are going to behave
7 differently depending on their power history and what
8 their power level and burnup is at the time of the
9 event.

10 I presume there's a lot of variability in
11 the conditions to which these rods have been exposed
12 in the testing.

13 MS. BALES: Yes, there is variability in
14 the conditions of the tests. The time temperature
15 transient is a little bit different amongst these
16 tests. The rod internal pressure was different
17 between some of the tests.

18 In fact, in the SCIP-III program that was
19 one of the parameters that was being investigated, the
20 effect of rod internal pressure. So, that was
21 deliberately varied and so some of these tests have
22 different internal conditions.

23 In addition, I thought what you were
24 mentioning there is their operating history could also
25 be different and so getting to some of these burnups,

1 some of these rods are coming from lead test assembly
2 campaigns.

3 Some are even reinserted rods so they were
4 experiencing a very unique power history during
5 operation. So, there's a lot going on between the
6 tests that with layers of resolution may explain some
7 of the scatter.

8 But at this point, when we looked at what
9 we could say with this level of knowledge, we plotted
10 it all against burnup and generally see an increasing
11 trend although there's a lot of variability.

12 Again, you're previewing some of my
13 comments at the end to wrap up but I think that some
14 of the future research will look at how those
15 different parameters affect fragmentation and could
16 lead to a reduction in the conservatism that's
17 depicted by this burnup threshold.

18 MR. SCHULTZ: You're speaking to my point,
19 I appreciate that. I'll wait for your conclusions
20 later too. Thank you.

21 CHAIR BALLINGER: Do we know what the
22 temperature profile history of this pellet was prior
23 to the test?

24 MS. BALES: Are you referring to the
25 optical microscopy that is shown?

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1 CHAIR BALLINGER: Yes.

2 MS. BALES: I don't have that handy with
3 me. The testing at Argonne was on commercially
4 irradiated rods and if it was at 55 I wouldn't have
5 expected it to be an LTA rod. I can look that up but
6 I don't have it handy with me.

7 I will say that amongst the tests that are
8 shown in the plot on the left, there was a lot of
9 variability in the operating history during commercial
10 irradiation. So, that represents quite a big span of
11 normal and possibly some extreme operating histories.

12 CHAIR BALLINGER: It's hard to tell from
13 looking at a computer screen of a micrograph of a
14 micrograph, but generally it's not just relocation
15 that occurs, it's restructuring that occurs sometimes.

16 And I'm looking at this circle at about 30
17 percent in or 40 percent in and wondering whether or
18 not that's generally an indication of something of
19 some restructuring that may have occurred.

20 And that also delineates the area on the
21 right where you get a lot of finds in radiuses greater
22 than that little ring. So, again, it's fodder for 10
23 or 20-page theses.

24 MS. BALES: It certainly is, and I'll just
25 say that I think what you're pointing to is the

1 slightly darker circle.

2 It's a little hard because this is a map
3 of many different images so the quality to discern a
4 dark zone, for example, is a little hard in this
5 particular image.

6 The SCIP program has done some really
7 great characterization of pretty transient conditions
8 as well as post-transient conditions.

9 And I'll just say that this phenomena
10 where we see some more fragmented region in a circle
11 and less fragmented in the center and even on the
12 periphery relative to that mostly fragmented in this
13 ring has been seen additional places.

14 This is not the only time that pattern was
15 observed.

16 So, the SCIP reports that are cited in the
17 RIL are still proprietary to the SCIP members but
18 there's quite a bit of information that has been
19 documented to look at before and after and what
20 patterns, porosity, fragment size, and such to see if
21 there's a link to what the final fragmentation results
22 were that were observed.

23 And NRC's program at Studsvic, we actually
24 looked at a collection of fine fragments that were
25 collected in the sieves after the LOCA test, and we

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1 did isotopic analysis to try to determine where they
2 had come from with respect to the pellet radius.

3 And so we saw that the fine fragments that
4 were collected originated not just from the pellet
5 periphery but even some of the interior portions of
6 the rod were origins for those fine fragments.

7 So, that is all to say there has been work
8 to understand where relative to the pellet radius fine
9 fragmentation is occurring, and there's quite a bit of
10 variability.

11 Okay, as I suggested earlier when we were
12 talking about the 55 limit, fragmentation by itself
13 doesn't present a safety concern. However, if fine
14 fragments can relocate axially within a rod, there can
15 be safety implications.

16 On the issue of fuel relocation, tests
17 repeatedly showed that even when fuel was
18 significantly fragmented, not all fuel was able to
19 relocate axially.

20 In the image on the left, we see
21 measurements of one of the NRC's tests at Studsvic.
22 During this test, there was significant fuel dispersal
23 and we're actually going to show a video of this test
24 a little bit later, and I'll say a little bit more
25 about what was observed.

1 But for this point, I want to say that
2 after the LOCA tests a wire probe was inserted into
3 the test segment through a rupture opening and could
4 travel quite far, as indicated by the green span that
5 you see in the lower portion of the graph.

6 After shaking the rodlet, which again I'm
7 going to show in a video in a little bit, additional
8 fuel was collected and the wire probe could travel
9 even further as indicated by the pink span.

10 These measurements suggest a zone of empty
11 cladding but after all testing was completed, a gamma
12 scan was performed and revealed a slightly larger span
13 empty of fuel.

14 So, perhaps more importantly, the gamma
15 scan revealed a section of the rodlet where fuel
16 remained and appears in tact.

17 Pellet interfaces can be discerned in the
18 lower portion of the rod down here, so this span is
19 about a pellet length and so we see a pellet interface
20 and relatively in tact fuel judging by the gamma scan
21 alone.

22 Similar examinations were performed in
23 additional rodlets and these measurements formed the
24 basis for saying that fuel relocation is limited to
25 regions of the fuel rod experiencing greater than 3

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1 percent strain.

2 So, one of the reasons that we care about
3 fuel relocation is that it's a precursor to dispersal.

4 Before I speak to the measurements made of
5 fuel dispersal, I want to provide details of the
6 testing so that we can understand how dispersal
7 measurements were made, especially in the SCIP-III
8 program.

9 The video that I'm going to show will at
10 first be a closeup just below the furnace in the test
11 train. At this location we can see the court's tube
12 and a rodlet is above the frame.

13 At the moment of burst we will see fuel
14 fragments fall into the field of view below the
15 rodlet. The LOCA test is happening and then the burst
16 occurred and then the black dust that you see is fuel
17 material that fell out of the rupture opening and to
18 the bottom of the court's tube.

19 The scan is just showing to illustrate
20 what the rest of the test train looked like and then
21 this is in slow motion. So, after LOCA testing, the
22 fuel material that you see in the bottom of the test
23 train was collected and weighed.

24 And we have 19 measurements showing the
25 mass of fuel collected at this point in the

1 experiment. After the LOCA tests, the rodlets were
2 shaken and this video reveals what that looked like.

3 I show this because in the RIL we ready to
4 mobile fuel and dispersed fuel. In the first video
5 the mass of fuel that was collected just after the
6 test that was found at the bottom of the test train,
7 that's what we talk about as the dispersed fuel.

8 And when we talk about all mobile fuel,
9 we're talking about any fuel material that was able to
10 be shaken out of the rod. And when comparing
11 dispersed fuel to mobile fuel, we see that it all
12 comes down to the burst opening size.

13 The figure on the left highlights two
14 particular tests but the size breakdown of all mobile
15 fuel is quite similar. So, in these bar graphs, the
16 height represents the mass of fuel collected.

17 Blue was fuel that was collected after the
18 LOCA tests and the orange was additional fuel that was
19 collected during the shaking.

20 And you can see that the top graph and the
21 bottom bar graphs have similar situations where we
22 have a lot of particles that are between 2 and 4
23 millimeters, and above 4 millimeters in this case, and
24 then relatively smaller amounts of fine fragments in
25 each of the size bins.

1 However, on the right of this figure I've
2 drawn a representation where the size of the two burst
3 openings is scaled, and so in the upper region the
4 burst opening was much, much larger than that of the
5 lower one.

6 And that is most likely an explanation for
7 why we saw such a difference in the dispersed mass
8 versus what was mobile in total. But if burst opening
9 is a key parameter we face a problem because burst
10 opening size varied between tests.

11 And there was not an obvious burnup trend
12 so when we are correlating in the RIL when we're
13 correlating this phenomenon with burnup, we can't
14 necessarily rule out large rupture openings in either
15 low or high burnup fuel.

16 And so for that reason, the dispersal
17 model that we propose in Element 3 of the RIL was not
18 based on the dispersal observed during the LOCA test
19 that I showed in the first video.

20 The model actually assumes all mobile fuel
21 could disperse and, therefore, it's tied to the limits
22 established for fine fragmentation and relocation.
23 The model proposed in the RIL is for a finely
24 fragmented fuel, all fuel above 55, that can relocate.

25 In other words, strain greater than 50

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1 percent, all of that fuel could disperse.

2 Using this model to examine how well it
3 would predict all mobile fuel in seven LOCA
4 experiments in SCIP-III, we see that it is sometimes
5 conservative and sometimes not.

6 But we're interested in core wide
7 assessment and, therefore, considering these
8 predictions at a core-wide level we expect the results
9 to be conservative.

10 And other models were examined to some
11 extent and that's the documentation that you'll find
12 in Appendix A where we looked at different trend lines
13 that were taken from the size graph that was shown
14 earlier in the presentation, where fragmentation size
15 seems to sync with burnup.

16 But at the end of the evaluation, the
17 Staff concluded that conservatively at this point,
18 because burst opening is stacastic that we want to
19 create a model which was assuming all mobile fuel
20 could disperse.

21 So, there's two additional elements that
22 we're going to go into some details and for that I'm
23 actually going to turn it over to my colleague, James,
24 who is --

25 James, I'm assuming you want me to keep

1 running the slides or do you want me to turn the
2 presentation over to you?

3 MR. CORSON: You can keep driving the
4 slides. Hello, everyone, I'm James Corson and I work
5 in the same branch of the Office of Research as
6 Michelle.

7 So, I'm going to be talking about the
8 fourth element of the RIL and that's transient fission
9 gas release, which could impact ballooning and burst
10 behavior of rods under LOCA conditions.

11 As Michelle said, the modeling of
12 fission gas release during normal operations is pretty
13 well understood but during transients, there aren't
14 really a lot of good models to represent this
15 behavior.

16 So, you can see on the data there seems to
17 be an increasing trend where transient fission gas
18 release becomes more prominent at higher burnup but
19 there's more going on here, there's other factors than
20 just burnup.

21 The fuel temperature plays an important
22 role. Some tests have shown that you need a threshold
23 of about 600 degrees Centigrade before you get any
24 transient fission gas release.

25 Stresses in the fuel also play a role so

1 the cladding mechanical constraint or the internal
2 pressure, those can also influence transient fission
3 gas release, which is why you see quite a bit of
4 scatter on this graph here.

5 Since releasing more fission gas is going
6 to increase the rod internal pressure, that can impact
7 ballooning and bursts, it's something that needs to be
8 accounted for when trying to predict whether or not
9 your rod is building to burst.

10 And as we discussed that, whether you
11 rupture that determines whether or not you can get
12 dispersal.

13 So, right now there are some models that
14 have been proposed for a transient fission gas release
15 but they need a lot more validation at this point
16 before they're ready for use.

17 Next slide, the fifth and final element is
18 related to the packing fraction of the relocated fuel
19 in the balloon region. This is something that's been
20 studies quite extensively over the years, even for
21 lower burnup fuel.

22 But for this higher burnup fuel with fine
23 fragmentation you can get a higher packing fraction
24 and that's just related to the fact that if you have
25 a poly-dispersed size distribution, you can get a

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1 higher theoretically packing fraction.

2 And so SCIP data shows packing fractions
3 ranging from about 70 to 85 percent for fuel that's
4 susceptible to fine fragmentation. And then for the
5 lower burnup fuel they looked at, the one test had a
6 lower packing fraction, which is more consistent with
7 previous measurements.

8 So, again, it's important to account for
9 this because it can affect the LOCA heat transfer
10 behavior and thus impact whether or not you balloon
11 and burst. Next slide.

12 That's it for the five elements the RIL
13 addressed. To wrap up we're going to talk about a few
14 other matters we addressed. Next slide.

15 So, all of this, the RIL really focuses
16 on, again, what rods are susceptible to ballooning and
17 burst, fine fragmentation, relocation, dispersal. It
18 doesn't really talk about how this would be applied on
19 a core-wide basis or what the implications of
20 dispersal would be.

21 Instead it just points back to previous
22 publications in this area to discuss the potential
23 safety concerns.

24 And the ones that were listed and
25 discussed in this previous publications are energetic

1 fuel coolant interactions, re-criticality of dispersed
2 fragments, core coolability and long-term decay heat
3 removal, and potential radiological and source term
4 impacts.

5 The latter topic is being addressed as
6 part of an update to Regulatory Guide 1.183. Next
7 slide. Lastly, the RIL talks about some of the
8 limitations of the empirical database.

9 Michelle has hinted at some of these
10 things already but I just want to make clear that all
11 these tests were performed on standard uranium dioxide
12 fuel pellets in zirconium alloy cladding.

13 So, they weren't performed on any doped
14 fuel or coated cladding and so the limits aren't
15 really applicable to those fuel and cladding types.

16 Additional research may demonstrate that
17 the limits in the RIL may apply to these new fuel
18 types so that they're bounding but more work needs to
19 be done in this area.

20 I should note that doped fuel has
21 different fission gas release behavior so that can
22 impact fine fragmentation relocation and dispersal.

23 And on the other hand, coated claddings at
24 least in unfueled samples have been shown to have less
25 strain and smaller burst openings during burst

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1 testing. So, if you have a smaller burst opening that
2 could significantly limit the amount of fuel
3 dispersal.

4 So, coated cladding could potentially have
5 some beneficial impacts but, again, more research is
6 needed to verify those findings. Next slide.

7 Again, as Michelle said, these are
8 simplistic limits. They were only derived as a
9 function of burnup but in reality, there's more going
10 on than just the burnup.

11 There's the power of the rod right at the
12 start of the LOCA or right before the tests were
13 conducted. Those sorts of things impact things like
14 porosity or stresses within the fuel, it impacts grain
15 growth and subgrain formation.

16 And all these things are heavily
17 influenced by the operating history so as Michelle
18 said, that could potentially explain some of the
19 scatter that was in the plots shown earlier.

20 But we need more research to allow for a
21 more mechanistic treatment. Right now, all we really
22 have is burnup and we have some preliminary
23 indications that there's something else going on but
24 we need more research to verify that.

25 And then finally, these limits anticipate

1 that we can accuracy predict the cladding stream along
2 the rod. Historically, that hasn't been a real focus
3 of ballooning models.

4 Rather, they focused on the burst
5 temperature and burst time or the maximum burst strain
6 at the burst location. They haven't necessarily
7 focused on the actual extent of the balloon region.

8 So, it may be necessary to make some
9 conservative assumptions to identify the mass of fuel
10 susceptible to relocation and dispersal. And as
11 Michelle said, we treated the burst opening size as
12 stacastic.

13 And so we based our limits assuming there
14 would be a large burst opening size.

15 However, there has been some research done
16 by the national labs in the U.S. to look at the
17 influence of different parameters and how they impact
18 burst opening size.

19 So, it's possible that in the future there
20 may be a more mechanistic model to predict burst
21 opening size. Next slide. Where does that leave us?

22 As Kim had mentioned in her introduction,
23 we're participating in the next phase of the SCIP
24 program and this actually includes some testing to
25 address the gaps that are in the existing database.

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1 So, it has some tests on doped fuel, some
2 additional tests to characterize transient fission gas
3 release, and more testing in the immediate burnup
4 range between 45 and 60 gigawatt days for MTU, where
5 there was a bit of a gap in some of the figures that
6 we showed.

7 Separately, at NRC we're working on
8 refining some of our analysis tools to perform coal-
9 wide FFRD analysis.

10 We perform some preliminary calculations
11 in the lead-up to the SECY in 2015 where we used a
12 combination of scale and parks neutronics tools that
13 traced thermal hydraulic systems analysis code and
14 FRAPCON, fuel performance code, to estimate the total
15 mass of fuel dispersed during the LOCA.

16 Since then we've used similar workflows
17 for MELLLA+ analysis on BWRs, so we're looking to
18 extend those to more realistic core designs for LOCAs
19 at higher burnup and to use some of the newer modeling
20 features in our FRAPCON code and in improvements in
21 trace and so on.

22 I'm going to turn it back over to
23 Michelle.

24 MS. BALES: Thanks, James. This is
25 effectively the conclusion slide and I wanted to end

1 the presentation by explaining to you that the RIL is
2 a foundation for next steps.

3 As you would have maybe gathered from some
4 of the questions but also what James just talked about
5 in terms of the limitations, you could consider this
6 an awkward time to write the conclusions of FFRD.

7 There's a lot that we still don't
8 understand and if when we sit down to write an update
9 or a revision in five years, I bet it will be much
10 more precise and much more satisfying.

11 But at this time we wanted to establish
12 what we know today, especially as the industry is
13 looking to increase burnup limits and explain what we
14 know from the available research up until this point.

15 We fully expect research to continue and
16 we would anticipate, for example, industry building
17 from the RIL based on their licensing needs,
18 justifying less conservative limits with more detailed
19 or problem-specific arguments where they're able to do
20 that.

21 We also expect that research at the
22 national labs or in international collaborative
23 programs can build from the basis that the RIL
24 provides and produce information that is needed to go
25 further, either to refine the limits or make them a

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1 function of more properties than just burnup.

2 MEMBER PETTI: Michelle, question? What's
3 the timeframe for the additional testing that you're
4 talking about? Is it five years or less?

5 MS. BALES: The SCIP-IIII program is on
6 Year 2 I believe and that is where we'll get a little
7 bit more information about the midrange burnup as well
8 as some information of doped fuel.

9 I think there are additional research
10 programs that will continue for much longer than that.

11 We know about the SCIP-IV program because
12 we are members of that program but I believe there is
13 research well beyond that that could add to this body
14 of information that might come out in the next couple
15 years.

16 I can only speak to the SCIP-IV program
17 because that's the program we are members of.

18 CHAIR BALLINGER: Thank you for that
19 presentation.

20 I'm going to venture into some stream of
21 consciousness talking here I suppose, but we probably
22 should wait until we hear from Members first and then
23 we'll go and ask for comments from the public.

24 So, other Members, unfortunately we have
25 only four or five of our Members present and this is

1 a very detailed presentation of a multi-variant
2 problem where without being able to be present at the
3 presentation, it's going to make it pretty difficult
4 for other Members to understand what's been going on
5 with the information.

6 So, in any case, Members, questions and
7 comments, please?

8 MEMBER PETTI: Ron, my comment, having
9 read the CAPS paper in JNM and the RIL is there's
10 still a heck of a lot of uncertainty around this.
11 There's questions about prototypicality of the entire
12 experimental database in a lot of ways.

13 You'd expect the in pile to be the most
14 prototypic but it has some problems, no experiment is
15 perfect. And the only way you get a resolution on
16 this sort of stuff is you do lots of testing and lots
17 of different experimental approaches.

18 And like an Impressionist painting you
19 step back. If you look real close you get lose. You
20 step back and you may see some better trends.

21 But there's still a lot that we don't know
22 and it all relies on the mechanical properties of the
23 cladding to find out when it's going to burst, the
24 size it's going to burst.

25 And we don't know the mechanical

1 properties, the relations well enough. So, there's
2 models that exist, I understand that but they weren't
3 looking at this issue.

4 One may need a hell of a lot more
5 precision and better models than what's already there
6 to get a good calculation.

7 CHAIR BALLINGER: Now I'll start the
8 stream of consciousness discussion.

9 The problem is defined in the sense that
10 we have a right circular cylinder with dished pellets
11 and we have a more or less bounded power history for
12 Light Water Reactors.

13 But that's it, that's what constrains it
14 and like you say, it's a multi-variate problem with
15 several variables which are just undefined and maybe
16 unknowable in terms of what the values are.

17 And it's possible that you could develop
18 a program that would go on for the next 20 years and
19 still not get enough information, it's one of these
20 forever things.

21 And you wonder whether or not we should
22 come back and look at the consequences of the
23 phenomena to see if the consequences can somehow focus
24 the next or ongoing or future research efforts so that
25 it doesn't become a never-ending problem.

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1 Because there's probably 15 or 20
2 variables there.

3 There was an infamous figure that was
4 produced by I think Adrian Roberts, who has since
5 passed away, where he was being facetious and he drew
6 a chart which had the relationships between all the
7 variables and fuel performance.

8 And I think it was Adrian Roberts.

9 MEMBER PETTI: I've seen the figure, yes,
10 it was Adrian Roberts I believe.

11 CHAIR BALLINGER: And you sit back and you
12 laugh at it but it was correct. And so I'll just stop
13 talking and ask for other comments from Members.

14 MEMBER BLEY: Ron, this is Dennis. Your
15 stream of consciousness is worthwhile. It seems to me
16 at points some real discussion inside the Committee
17 and I think your scheduled for a letter but I think
18 you should be if you're not.

19 CHAIR BALLINGER: We are scheduled for a
20 letter but I'm not sure this is the right time for a
21 letter.

22 MEMBER BLEY: Maybe not but it's probably
23 time, given the number of people who were here, to at
24 least have the full Committee meeting and the others
25 know more about this --

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1 I'm not sure if it's time, it could be a
2 good time for a cautionary letter based on what you
3 were just saying. Let's look for places we can bound
4 the consequences and see how much it's worth, how much
5 and when it's worth really going a lot further in
6 this.

7 And most of the time in the past before
8 COVID-19, this was a typical turnout for the
9 Subcommittee and we had to have a pretty good
10 briefing, a couple hours over the same stuff. I think
11 that would be appropriate here.

12 Anyway, enough from me.

13 MEMBER REMPE: This is Joy, I would like
14 to follow up more on the question about the prototypic
15 aspects and non-prototypic aspects of the sources of
16 data and what Dave said I agree with, that there's no
17 perfect test.

18 And if we could ask the Staff before the
19 full Committee meeting to generate some sort of list
20 of pluses and minuses for each source of data to drive
21 home that point, I think it would be a useful task for
22 them to do.

23 What do you think?

24 CHAIR BALLINGER: I think you're correct
25 as far as it goes, but again, I keep coming back to

1 how prototypic does it need to be? In other words, if
2 we need a very precise description of the problem,
3 then test data that's the -- I've got figure out the
4 right word.

5 How prototypic the data needs to be is
6 dictated by the level of detail that you need to base
7 decisions upon. Is that not correct?

8 MEMBER REMPE: I'm with you about we need
9 to bound the problem and I guess I'm doing that as an
10 exercise to drive home the point there's no perfect
11 test and maybe instead of doing more tests that will
12 still not be the perfect test and answer the question,
13 look somewhere else.

14 That's why I think it would be good to
15 help see why there's some problems with each source of
16 data and I didn't get that enough from the RIL. Okay?

17 CHAIR BALLINGER: The other wild card in
18 this is I'm aware of at least one vendor, a fuel
19 vendor, that's looking at this and they have had
20 meetings that I've participated in at least as an
21 observer with the Staff.

22 And so I know that at least one vendor is
23 looking at this and communicating with the Staff. One
24 wonders whether or not the others are not doing the
25 same thing, maybe they are, maybe they aren't.

1 But that's a perspective which when you
2 deal with consequence is an important thing to listen
3 to.

4 And this meeting hasn't done that and I
5 wonder whether or not we shouldn't think about having
6 a follow-up meeting, regardless of whether we write a
7 letter or not, where if the vendors have enough
8 information that they can talk about, we get their
9 perspective as well.

10 What do Members think about that?

11 MEMBER PETTI: I think it's important to
12 do.

13 CHAIR BALLINGER: So, again, that's the
14 stream of consciousness thing. Who else do we have
15 here?

16 (Simultaneous Speaking.)

17 MEMBER PETTI: Steve has his hand up.

18 CHAIR BALLINGER: Who?

19 MEMBER PETTI: Steve Schultz.

20 CHAIR BALLINGER: Okay.

21 MR. SCHULTZ: I just wanted to comment on
22 your last note there.

23 And it also was brought up in James'
24 presentation that there is in fact a lot of work on
25 it, there has been a lot of work going on the, if you

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1 will, forcing function side of things over the last 20
2 years as new information and new evaluation and new
3 analyses have been done associated with the LOCA event
4 itself.

5 As you said, Ron, the experimental
6 database associated with LOCA does go back 40, 50
7 years but there's been a lot of work to look at what
8 is anticipated to be actual conditions in LOCA
9 analysis for the units as well as for the fuel.

10 In addition to that, and again James
11 mentioned it, new fuel types are going to have
12 different performance than those that had been tested
13 here.

14 And as I look at the research papers that
15 are associated with the testing, the researchers have
16 tried extremely hard to differentiate between the
17 cladding materials and the fuel materials that they
18 have in fact tested.

19 But it's very difficult to do, and the
20 technology in fuel design and cladding design has
21 really changed in the last 10 or 15 years and there
22 have been important changes that have improved at
23 least steady state fuel performance.

24 And most of those do in fact affect the
25 transient fuel performance as well. So, just in

1 summary, there is a lot that could be done and if a
2 letter were to be written at this point, it certainly
3 could focus on what we've discussed today.

4 That's the connection between the
5 experimental evaluations and the conclusions that can
6 be drawn from it. But there's three or four other
7 areas that need to be explored including the overall
8 consequence evaluation.

9 CHAIR BALLINGER: Greg, what do you think?
10 You come from the operational side and so this has a
11 significant implication for the operational side.

12 MEMBER HALNON: Hold on, I've got
13 something going on. I'm sorry, Ron, I had a personal
14 issue. So, I'm coming up to speed on this, obviously
15 it's not in my expertise to look at the research and
16 whatnot, but there are some questions that enter my
17 mind.

18 And you all do a lot of research and
19 modeling and prototypical modeling and whatnot. It
20 seems that there's a lot of data out there in the
21 industry that could be ascertained from actual
22 configurations of fuel once it's been removed.

23 And I'm not sure if it's even physically
24 possible to slice and dice a fuel rod as a high burnup
25 and take a look at it.

1 Maybe there is and maybe there isn't but
2 it just seems like the empirical data could be brought
3 in to the equations to confirm where we're going, that
4 would be good.

5 But overall, what I took away from this is
6 that at the very minimum, we as a Committee should
7 encourage prompt continuation of this research and get
8 to a conclusion where we can all stand back and say,
9 yes, that's a good limit.

10 And so the fuel fabricators and the
11 designers going forward, especially for the fuels
12 coming out like ATF, they really have to be --

13 My learned opinion is probably not as
14 useful as some of the you guys who get into more often
15 but that's where my head came away from.

16 CHAIR BALLINGER: I keep coming back, as
17 David has, to the multi-variability of the problem.
18 I was once on a Committee where we were analyzing and
19 doing stress analysis for a complex shape of a device.

20 And I was mystified by the results and I
21 pulled the finite element guy aside and I said, hey,
22 what are the real stresses here? He looked at me with
23 a straight face and said what do you want them to be?

24 And that looks to me like this is so
25 multi-variate, if we're not careful we'll end up

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1 getting what we want them to be. And that could turn
2 out to be not a good thing.

3 So, okay, if we haven't heard from anybody
4 else --

5 MEMBER BROWN: Ron? I've just waited
6 since I'm obviously not a fuel person and I enjoy the
7 graph and data-points. When I look at a research
8 effort such as this, I try to look and see what it's
9 going to be used for, the end result.

10 And I guess Slide 31 or 32, 33 talked
11 about what's next, but all to me all it said was
12 justifying less conservative limits with more detailed
13 product-specific arguments.

14 And I guess I would have looked at this
15 data to say does this allow me to extract more power
16 from a particular fuel design or can I get longer life
17 from that fuel design based on a better understanding
18 of these characteristics?

19 But I didn't see that. Maybe I missed it
20 in the discussion, but it seems to me that if this is
21 going to be done, it ought to have something other
22 than just information value, other than the few
23 statements here about less conservative limits.

24 I wasn't sure how that was going to be
25 used. So, that was what I took away from the

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1 discussions.

2 CHAIR BALLINGER: That's a good comment
3 because that's another reason to want to understand
4 what the industry perspective is on this, and that we
5 haven't heard.

6 And so I keep thinking whatever we write,
7 that's definitely a recommendation at least from my
8 point of view. I'd be curious to know what other
9 members think about that?

10 MEMBER PETTI: I'm quickly skimming that
11 long paper from CAPS again and the thing that strikes
12 me -- I guess there's proposed testing in TREAT -- is
13 what you really have to do now --

14 Okay, we've done everything that's been
15 done to date, how do you do a better experiment?

16 Because it looks like the Halden stuff was
17 done at lower linear power rates than typical but they
18 were done at higher temperatures in terms of the
19 temperature which you get to than a lot of the cores.

20 And so how do you do something when you
21 kind of look at things a little more systematically
22 and start with stuff that you think is going to be
23 good but then things that are going to end up being
24 not good, and try to find that boundary.

25 Is there something that can be done taking

1 everything you've learned to date to design the next
2 generation of experiments, if you will? Because it's
3 hard to tell reading the paper how different was SCIP
4 from Oak Ridge.

5 If they're all very similar, then it's
6 just more data with slightly different run-ups and the
7 like. But there's a lot of stuff in the CAPS paper
8 that says that you could change the conditions a
9 little to be more prototypic and get answers that are
10 more prototypic.

11 CHAIR BALLINGER: Coming back to the
12 consequences issue, let's say everything that we've
13 learned today happens, let's say that in doing a LOCA
14 you do get ballooning, which we know would happen, you
15 do get dispersal, you do get relocation and all the
16 same.

17 How does that affect the answer? If it
18 has a great effect on the answer, and given all the
19 uncertainties, we have to factor that in, what are the
20 temperatures and all that stuff?

21 If it has a very strength effect, that
22 points you in one direction but if in effect, the
23 consequences are within the uncertainty of the
24 analysis, then it may be a nice thing to know that
25 happens but the consequences are such that you don't

1 need to have more detail.

2 Or maybe you need one specific set of
3 experiments to fill out something, to bracket
4 something, but those are two very different paths and
5 they're all dictated by what the consequences are
6 likely to be should it actually happen.

7 MEMBER PETTI: I don't disagree, Ron, I
8 just worry that as you propagate down the
9 calculational train here and you try to do more and
10 more, the uncertainty bounds tend to grow and in some
11 ways I worry that the phenomena that you try to model
12 get even more complex or uncertain.

13 I'd certainly be interested to know what
14 the impact is. If it seems to always be not a big
15 deal but that may be hard in the end. But I think
16 it's worth hearing from industry on that for sure.

17 MEMBER HALNON: This is Greg. What is the
18 burnup that we see in the three cycle fuel now? Isn't
19 it much less than 55?

20 CHAIR BALLINGER: We're over that.

21 MEMBER HALNON: I didn't have a feel for
22 it.

23 CHAIR BALLINGER: Peak rods are well above
24 65. Am I right there? Who should know? Michelle
25 should know.

1 MS. BALES: Currently burnup lead average
2 is limited to 62, so there are some rods that are
3 above 55.

4 MEMBER HALNON: And that has been
5 increased, I assume, over the years just based on
6 better core designs and longer cycles.

7 So, the impact is obviously just going to
8 be in that realm, the life that cycles or the amount
9 of capacity factors that you're able to get, or all of
10 the above.

11 So, certainly the impact is going to be
12 from a physical perspective there, and in the fuel
13 design, I'm not real good on that so I don't know in
14 the fuel fabrication if there's going to be additional
15 margins that have to be put in there or not.

16 MEMBER PETTI: I think some of these
17 things can be done in parallel. It sounds like these
18 two experiments are going to go forward, they're going
19 to try to be more prototypic since the TREAT guys are
20 co-authors of the CAPS paper.

21 And then hearing from industry, they'll be
22 a nexus somewhere here whenever all this stuff gets
23 completed. It will be worth another look.

24 CHAIR BALLINGER: That's a very good segue
25 and I'm assuming that public comments will come from

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1 members of the industry.

2 So, if there aren't any other comments
3 right now from Members, I guess the public line is
4 actually open so can we ask for comments from members
5 of the public? I'm not sure how we do this in terms
6 of who controls what.

7 But I guess if you want to make a public
8 comment, just do star 6 and start talking.

9 MR. SONTOS: Ron, can you hear me?

10 CHAIR BALLINGER: I can hear somebody but
11 I don't know who it is.

12 MR. SONTOS: This is Al Santos. Can I go
13 ahead?

14 CHAIR BALLINGER: You certainly can.

15 MR. SONTOS: Al Santos, NEI. I want to
16 thank the Staff for turning around this report so
17 quickly. We've only had about a week to review it but
18 the industry has a lot of detailed technical comments
19 on the report.

20 So, the industry requests an opportunity
21 and specific time to provide the detailed technical
22 expert comments.

23 Even if we considered a revision to the
24 RIL or to present at a follow-up ACRS Subcommittee or
25 full Subcommittee, full Committee meeting regarding

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1 the RIL.

2 So, those are the first points we wanted
3 to make. The second one is that similar to what I
4 hear, it seems like there's a lot of discussion on
5 this.

6 The RIL as written today is a very
7 considered treatment of the data, even choosing to
8 apply additional conservatisms when presented with
9 evidence that a test may have already been
10 unrealistically conservative.

11 The industry continues to ask the NRC to
12 incorporate the research that has been summarized here
13 in a way that provides reasonable assurance of
14 adequate protection of public health and safety.

15 And lastly, we just want to reiterate that
16 the report, interpretive test data, and conservatisms
17 are in a manner that should be construed as
18 subjective.

19 And industry technical experts request an
20 opportunity to provide a comment and feedback to be
21 incorporated in the report or for the ACRS Committee.
22 We just wanted to have some high-level talking points
23 here to provide fuel.

24 CHAIR BALLINGER: Because of our
25 protocols, our rules, we can only have comments, but

1 I have a question for Michelle, she might know the
2 answer to this hopefully.

3 If we were to treat this RIL as if it were
4 a Reg Guide or a NUREG, it sounds to me like the
5 industry might offer public comments which may in the
6 end result in a modification of the document.

7 Is that one way to look at this? Somebody
8 is doing something here. So, would the Staff consider
9 effectively putting it out for public comments -- I
10 don't know how they treat RILs -- and take those
11 comments into consideration.

12 It sounds to me like the RIL was issued in
13 a very expeditious way but there are some comments
14 that may be important.

15 MS. BALES: It's a good question and I
16 think that in order to answer it I'm going to explain
17 -- and Kim alluded to this in her introductory
18 remarks, but maybe we can go back to the line of
19 thought that she was raising.

20 From the nature of documents the NRC
21 issues, a research information letter is designed to
22 be a communication between the Office of Research
23 Staff to NRR Staff.

24 And we wanted to do that very quickly in
25 a timely manner because we know that NRR Staff is

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1 receiving applications to extend burnup limits right
2 now and we wanted to put information in the hands of
3 those NRR reviewers so they could understand in a
4 concise way what information was available on FFRD.

5 So, that was our motivation for the
6 schedule that we kept and our target audience is NRR
7 reviewers. As Kim mentioned in her introductory
8 remarks, in the past RILs have been the start of other
9 regulatory documents.

10 So, they could be the basis for a later
11 guidance document or information that's contained in
12 a RIL could integrate into the standard review plan.
13 Any of those steps, which are so premature at this
14 stage, necessitate a public engagement.

15 So, if those steps were to be taken, it
16 would naturally happen that the industry and members
17 of the public would be able to engage because those
18 are clearly requirements of those types of documents.

19 So, a RIL typically would not have a
20 public comment period. I think I'm only aware of one
21 instance where there's been a public comment period
22 for RILs.

23 So, I just say that to explain what the
24 RIL is relative to other regulatory tools and to
25 explain that it would not surprise me if a year from

1 now we were talking about something that was built on
2 the RIL that does have public comment.

3 Now, that does not mean that we don't want
4 to continue to engage with stakeholders, I think
5 that's a critical part of NRC's principles of good
6 regulation is to continue to have transparency and
7 engage with our stakeholders.

8 So, I don't want to preclude that in any
9 way and I think that when it comes to the research
10 information letter, to the extent that we understood
11 the importance of going external, that's why we
12 proposed the peer review process, because we wanted to
13 get outside perspective.

14 We wanted to ensure that we weren't
15 operating in a vacuum with such a strict safety
16 authority perspective that we were missing something.
17 And so we sought outside peer review, recognizing the
18 potential for us to be too narrowly focused.

19 So, I'll just stop there and say I think
20 this is the start of additional documents and I see
21 Joe Donahue in NRR has his hand raised. I think he
22 would probably be more appropriate to finish my
23 thought.

24 MR. DONOGHUE: Chairman Ballinger, is that
25 okay with you?

1 CHAIR BALLINGER: Sure.

2 MR. DONOGHUE: I'm the Division Director
3 in the Division of Safety Systems in NRR. I think
4 Michelle put it very eloquently and very well. I'll
5 just add we have been interacting as you know with
6 individual vendors.

7 We've also been interacting with
8 stakeholders in general on high burnup. We've held
9 two public workshops on the topic and I think Michelle
10 already explained the background and the motivation
11 for the RIL being reduced.

12 As far as getting public comments, she let
13 it out very well. This is a step in a direction that
14 is going to include public comment in some form. What
15 I don't want to do today is go out in front of my
16 staff who has to evaluate --

17 As Michelle said, our Staff gets the RIL,
18 we have to decide what's next specifically. Is it
19 just an update to this SECY paper that she mentioned
20 from 2015 or is it more than that?

21 Could it be a guidance document as you've
22 all alluded to? Not exactly sure yet. So, I think
23 that there's fast-paced activities in high burnup and
24 accident-tolerant fuel.

25 I don't want to ask Research to go through

1 a public comment period on this because that's going
2 to delay our ability at NRR to take the information
3 and start taking next steps. That's just my own
4 feeling.

5 It's up to you and the Committee on how
6 much you want to hear. The last thing I'll say is the
7 vendors are all preparing -- you've heard about some
8 of them, but in some ways they're considering -- in
9 one case we have a similar interim increased burnup
10 from one vendor.

11 But topical report reviews are anticipated
12 here and I think you will have the opportunity, the
13 ACRS will have the opportunity, of course, to interact
14 on all of those reviews.

15 CHAIR BALLINGER: Thank you. If I've
16 spoken out of turn in terms of procedure, I apologize.
17 But I wonder whether or not it would not be timely to
18 have a Subcommittee meeting on this particular topic?

19 Not the RIL but high burnup fuel and the
20 issues related to high burnup fuel, where we could
21 have both the NRC Staff as well as the vendors and
22 other stakeholders be able to speak.

23 And it sounds to me like there have been
24 a few public meetings that are sort of on this topic
25 in the recent path. What do Members think about that?

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1 MR. DONOGHUE: That would be helpful for
2 me because clearly an industry perspective and a
3 vendor perspective would round out the holes in my
4 head about this.

5 CHAIR BALLINGER: Joy, Dennis, Steve?

6 MEMBER BLEY: I liked the idea when you
7 first brought it up a while ago, I think that would be
8 a useful thing.

9 CHAIR BALLINGER: I'm trying to get a
10 little bit of my thoughts together and what to say at
11 the full Committee meeting, what a document would look
12 like should we produce one or whether or not we should
13 just recommend that we --

14 Thank you very much, we understand the
15 issues that have been presented to us, the
16 uncertainties are such that we think we should have
17 further discussions at a subsequent meeting, whenever
18 that should occur.

19 MEMBER BLEY: The only thing I'd toss in,
20 and you hinted at it, is if we decide to do what you
21 just suggested, our staff and the NRC Staff should get
22 together and we ought to make sure we're not
23 procedurally getting in the way of anything associated
24 with the RIL.

25 I think bringing the general information

1 back to the Committee is probably very helpful.

2 CHAIR BALLINGER: The RIL is what it is.
3 It's issued so there's no question of the Committee,
4 at least I don't think so, saying don't do this.
5 That's just not the way it works.

6 So, our normal letter comments would be
7 different in this case.

8 MEMBER HALNON: Ron, it just seems
9 premature at this point in that we would be getting
10 into some internal processes that we might influence
11 in the direction that is not necessarily fully
12 informed.

13 CHAIR BALLINGER: We could simply treat
14 this meeting as an information briefing, which is
15 exactly what it is and make comments assuming it was
16 an information briefing, with suggestions that we've
17 all bantered about.

18 That may be one way to look at it and that
19 would seem like -- oh, Michelle has got her hand up.

20 MS. BALES: I didn't want to interrupt but
21 I wanted to clarify the RIL isn't issued yet. It was
22 in concurrence and it was made publicly available in
23 draft form in order to facilitate this meeting.

24 But technically, it is not issued so I
25 wanted to just clarify that.

1 CHAIR BALLINGER: Thanks, I don't know how
2 often the Committee has looked at or had meetings on
3 a RIL but I don't think it's been very frequent. Al
4 has his hand up or maybe it's been up for a while and
5 he didn't put it down.

6 MR. SONTOS: I just wanted to say that to
7 Michelle's point, there has been a past present of RIL
8 being commented on by technical experts from the
9 industry. So, putting that out there, that's all.

10 I just wanted to let that be known.

11 CHAIR BALLINGER: Thank you.

12 MEMBER BLEY: Here's a question, can we as
13 a Committee look at RILs? I was trying to search my
14 memory.

15 There have been a number of cases where
16 we've looked at your section of the SRP or a reg guide
17 or a NUREG that also had associated with it a RIL and
18 came under our review as part of the other documents.

19 So, we've seen them before coming before
20 the Committee, I don't think just by themselves but
21 I'm not positive of that.

22 CHAIR BALLINGER: You've been here a lot
23 longer than I have so you would know. Okay, I'm going
24 to have to try to put thoughts together and I'll
25 solicit --

1 Now there's a flashing blue circle around
2 Dave. What does that mean?

3 MEMBER PETTI: I don't know, I just got
4 unmuted to ask you are we going to recommend that we
5 write a letter or not?

6 CHAIR BALLINGER: I'm casting about for
7 suggestions, I'll send an email out to everybody was
8 well because my memory is not as good as me writing
9 something down. And people are taking notes.

10 But yes, I'm casting about for
11 recommendations of whether we should write a letter of
12 some kind and what it should say.

13 MEMBER PETTI: I'm struggling with a
14 letter that would help the draft RIL be, quote,
15 better, that's one thing.

16 The letter could be much broader and talk
17 about the issue in broader terms but it wouldn't
18 necessarily affect the subsequent steps of the RIL.

19 Same thing, we need to get industry's
20 perspective. Some of the things we've heard, I don't
21 know that they affect the next step. So, I'm with
22 you, I'm struggling as well. I'm trying to figure out
23 exactly what we'd say.

24 MEMBER REMPE: Could we ask Michelle or
25 maybe Kim what would a letter from ACRS do? We didn't

1 ask you at the beginning of this meeting, which I
2 sometimes do. What does the Staff anticipate from
3 ACRS and how does it affect your schedule?

4 What would you do with a letter if there
5 were some recommendations or comments in it? Is it
6 too late, this thing is going out the door and you'd
7 consider it as you go forward?

8 What didn't does it make in your opinion?

9 MS. WEBBER: Go ahead, Michelle, I'll add
10 in if I need to.

11 MS. BALES: I was just going to explain
12 that in our office instructions for RILs, in the
13 section on peer review, it acknowledges the ACRS
14 Committee is a body that can serve as peer review.

15 So, in one element we can just think about
16 the benefit of the ACRS writing a letter that examines
17 the RIL from a peer review standpoint and makes
18 comments about your technical review.

19 And I think with respect to the timing of
20 it, the RIL is done from the Staff's perspective, it
21 is complete with concurrence but we're very conscious
22 of not issuing it until the ACRS meetings were
23 complete.

24 Because we anticipated the possibility
25 that ACRS members would have important comments that

1 we needed to consider. So, I think in one respect a
2 letter that serves to expand the sense of peer review
3 is certainly one element.

4 I'll just say for myself, and I'm just
5 reacting to the things in real time so I haven't
6 thought about this too much.

7 Nut some of the ACRS Members were
8 commenting on pulling back to see where does this
9 information fall relative to a broader either research
10 need, or where these phenomena fall relative to
11 looking at upstream LOCA possibility events or
12 downstream consequences.

13 I think that's another area that I thought
14 the ACRS comments were very important to put the RIL
15 in perspective.

16 So, even just in this meeting I think that
17 we've gathered that but it could be that putting that
18 into a letter helps to just place the RIL in the
19 context of a bigger picture.

20 MEMBER REMPE: Michelle, I'm a little slow
21 and so I heard you say at one point from the Staff's
22 perspective the RIL is done.

23 But then I heard you say, which I am more
24 sympathetic and encouraging of, is that you considered
25 us a technical peer review, you've heard things but it

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1 was from individual members that you had thought you
2 didn't want to issue the RIL until the full Committee
3 had written a letter because there might be some
4 changes.

5 Is the latter statements that I've
6 summarized where you're at, that, yes, there is the
7 opportunity that our letter might make you hold off in
8 the final publication and you might consider some of
9 our comments?

10 MS. BALES: Yes, sorry, when I said the
11 letter was done from a Staff's perspective, what I
12 mean is there are no action items that we have on our
13 list that we are still waiting to incorporate, or no
14 additional data that we're waiting for.

15 The only input that we have not received
16 that we know we want to receive are these ACRS
17 meetings. So, what I mean from the saying that the
18 Staff is done, there's nothing else that we know to do
19 that we haven't yet done except for these ACRS
20 meetings.

21 So, the latter is true.

22 MEMBER REMPE: Thank you, that helps.
23 Kim, did you want to add anything else?

24 MS. WEBBER: No, actually, Michelle did a
25 fantastic job explaining it much better than I could

1 because she's very familiar with the RIL process.

2 MEMBER REMPE: Ron, I would like to have
3 a presentation. I'm just one Member but I'd like to
4 see a presentation at full Committee and I think it
5 would be a worthwhile letter for us to put together at
6 this time.

7 Because we do have this opportunity and
8 the Staff has said they're waiting to hear our
9 comments.

10 CHAIR BALLINGER: We've assumed that would
11 be the case and that's why we scheduled the full
12 Committee meeting. So, we're slotted into have this
13 take place so I think for sure, the only questions
14 that I have are the tone and content and scope of the
15 letter.

16 I think I've got a few ideas and I'll be
17 soliciting within the next five minutes written
18 comments from Members that are in attendance so that
19 I can strike while the iron is hot, or Zana and I can
20 strike while the iron is hot.

21 So, that would be the path forward, unless
22 people have other suggestions. Dennis, the blue
23 circle is flashing around you now. I don't know what
24 it means.

25 MEMBER BLEY: I had my mic on for a while

1 then I turned it off.

2 CHAIR BALLINGER: Kim, your hand is up.

3 MS. WEBBER: I was just thinking about
4 Michelle's response and the value of your review and
5 ideas around the RIL.

6 I just wanted to add that I fully agree
7 with Michelle that having your perspectives on the RIL
8 in a letter would be very valuable to us.

9 I know it takes more time, there's a
10 presentation writing the letter, but it would be of
11 value to us and so I think we're looking forward to
12 that.

13 CHAIR BALLINGER: Thank you, again. So,
14 now it comes to the full Committee. I don't have it
15 exactly in front of me so I don't know how much time,
16 Zana might know, we have slotted. Is it an hour or
17 two?

18 MS. ABDULLAHI: Let me look, I'll get it
19 to you in a few minutes.

20 MEMBER BLEY: Ron, whatever it is, I would
21 suggest that we try to make sure it's at least two
22 hours between now and then, because I don't think an
23 hour would be long enough to --

24 (Simultaneous Speaking.)

25 -- the other Members. I think you need a

1 couple hours.

2 CHAIR BALLINGER: We started at 1:00 p.m.
3 and it's 3:00 p.m. and we've had --

4 MEMBER REMPE: This is Joy and I'm just
5 going to interrupt you. Right now you're scheduled
6 for Wednesday morning at 8:30 a.m. to 10:00 a.m. for
7 the presentation.

8 But Matt often puts in an hour right after
9 so he's got, really, from 8:30 a.m. to 11:00 a.m. for
10 the combined time to have the presentation and read
11 him the letter. That's something you might be able to
12 negotiate with him.

13 That's where it's at right now.

14 CHAIR BALLINGER: My guess is that if we
15 allowed that time for presentation and questions as
16 opposed to reading in a letter and then read the
17 letter later on, that might free up some time.

18 Because my guess is that by that time
19 we'll also get requests for members of the public to
20 make comments. So, I think if we go two and a half
21 hours -- did I do the math right?

22 MEMBER REMPE: Yes, you did and by the
23 way, I'd also note that from 1:00 p.m. to 2:30 p.m.
24 was the research review and we got done with that so
25 we do have some flexibility with the schedule in

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1 December.

2 I'm just pointing out some things and what
3 Matt prepared, I'm not the chair.

4 CHAIR BALLINGER: That's good. I don't
5 see anybody's hands or anything up, I guess we've got
6 to notify Matt that we shortened up the meeting once
7 again. So, if there aren't any other comments, I
8 think we are finished.

9 On that basis, the meeting is adjourned.

10 MEMBER REMPE: Thanks everyone for the
11 presentation and discussion.

12 (Whereupon, the above-entitled matter
13 went off the record at 3:08 p.m.)
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Research Information Letter 2021-13: Interpretation of Research on Fuel Fragmentation, Relocation, and Dispersal at High Burnup

Briefing of the Metallurgy & Reactor Fuels Subcommittee
of the Advisory Committee for Reactor Safeguards
November 17, 2021

This presentation will address...

FFRD history at NRC

The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

The basis for empirical thresholds included in the RIL

Other Matters

This presentation will address...

FFRD history at NRC

The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

The basis for empirical thresholds included in the RIL

Other Matters

FFRD history at NRC

- [RIL 2008-01](#), “Technical Basis for Revision of Embrittlement Criteria in 10 CFR 50.46”
- [NUREG-2121](#), “Fuel Fragmentation, Relocation, and Dispersal During the Loss-of-Coolant Accident”
- [SECY-15-0148](#), “Evaluation of Fuel Fragmentation, Relocation and Dispersal under Loss-of-Coolant Accident (LOCA) Conditions Relative to the Draft Final Rule on Emergency Core Cooling System Performance during a LOCA (50.46c)”
- RIL 2021-13, “Interpretation of Research on Fuel Fragmentation, Relocation, and Dispersal at High Burnup”

This presentation will address...

FFRD history at NRC

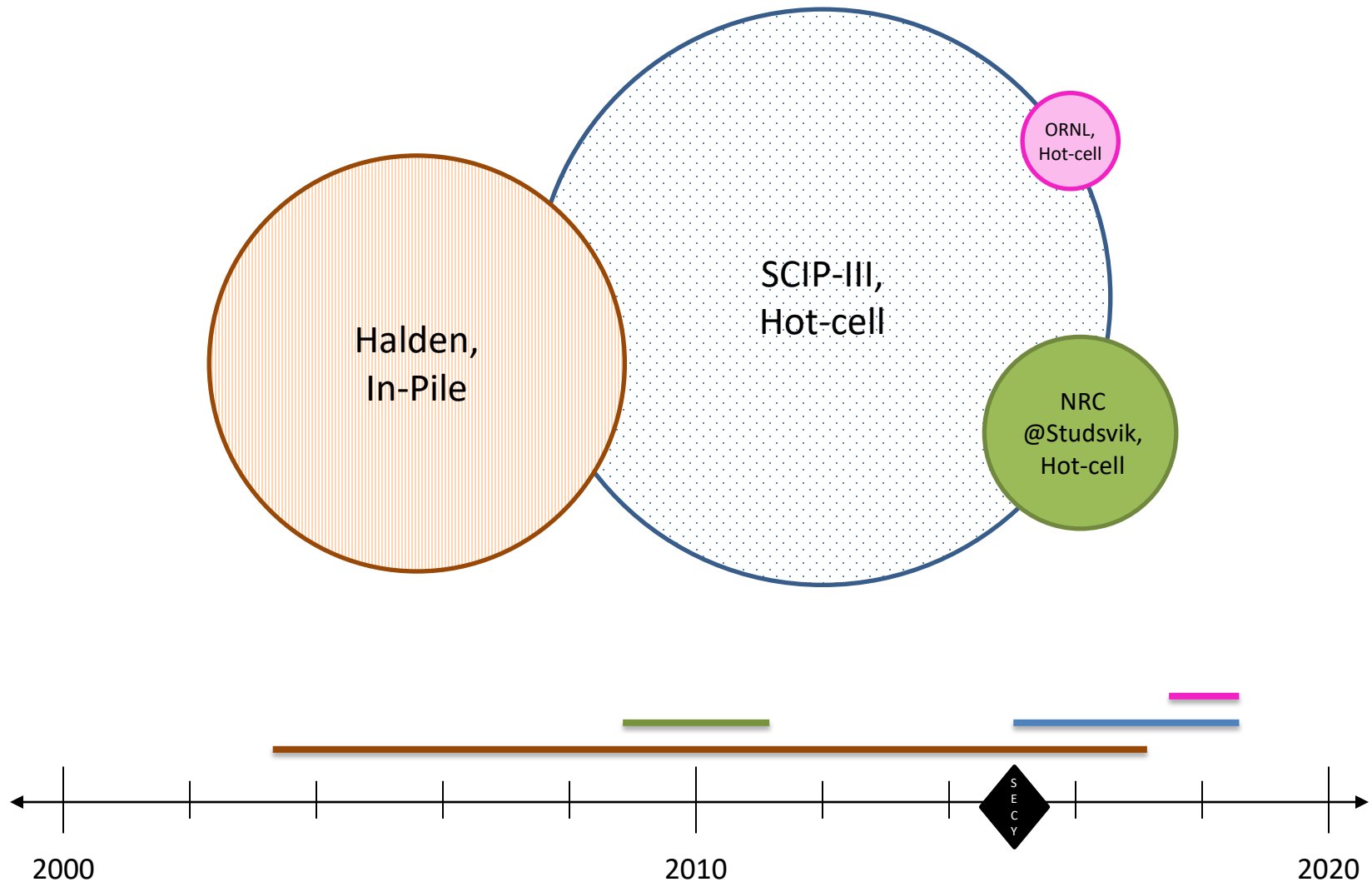
The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

The basis for empirical thresholds included in the RIL

Other Matters

Data Sources for RIL



Peer Review Group

- Ad hoc, issue-focused group. Reviewers selected with extensive familiarity of FFRD research
 - *Nathan Capps, ORNL* – Author of two significant publications on FFRD, extensive experience modeling aspects of FFRD and collaborator in ORNL FFRD experimental program
 - *Tatiana Taurines, IRSN* – Extensive experience modeling aspects of FFRD and leader in SCIP program review group discussions
 - *Fabiola Cappia, INL* – Collaborator in FFRD publications, lead for INL PIE campaigns of HBU fuel, extensive work examining evolution of fuel microstructure with burnup
 - *Ken Yueh, EPRI* – Designed and led FFRD research campaigns at Studsvik for EPRI and NSUF at ORNL/INL, leader in SCIP program review group discussions
 - *Daniel Jädersnäs, Studsvik* – Collaborator in SCIP experimental design and expert in SCIP results

Peer Review Outcome

- Peer Reviewers provided thoughtful and detailed comments
- Reviewers pointed to a number of places where our positions could be stronger. The edits result in a RIL that is more solid.
- Reviewers suggested a “definitions and terms” section.
- We have a 5 page “Summary of Peer Review Comments and Resolution” that may accompany the RIL as documentation of the peer review process.

This presentation will address...

FFRD history at NRC

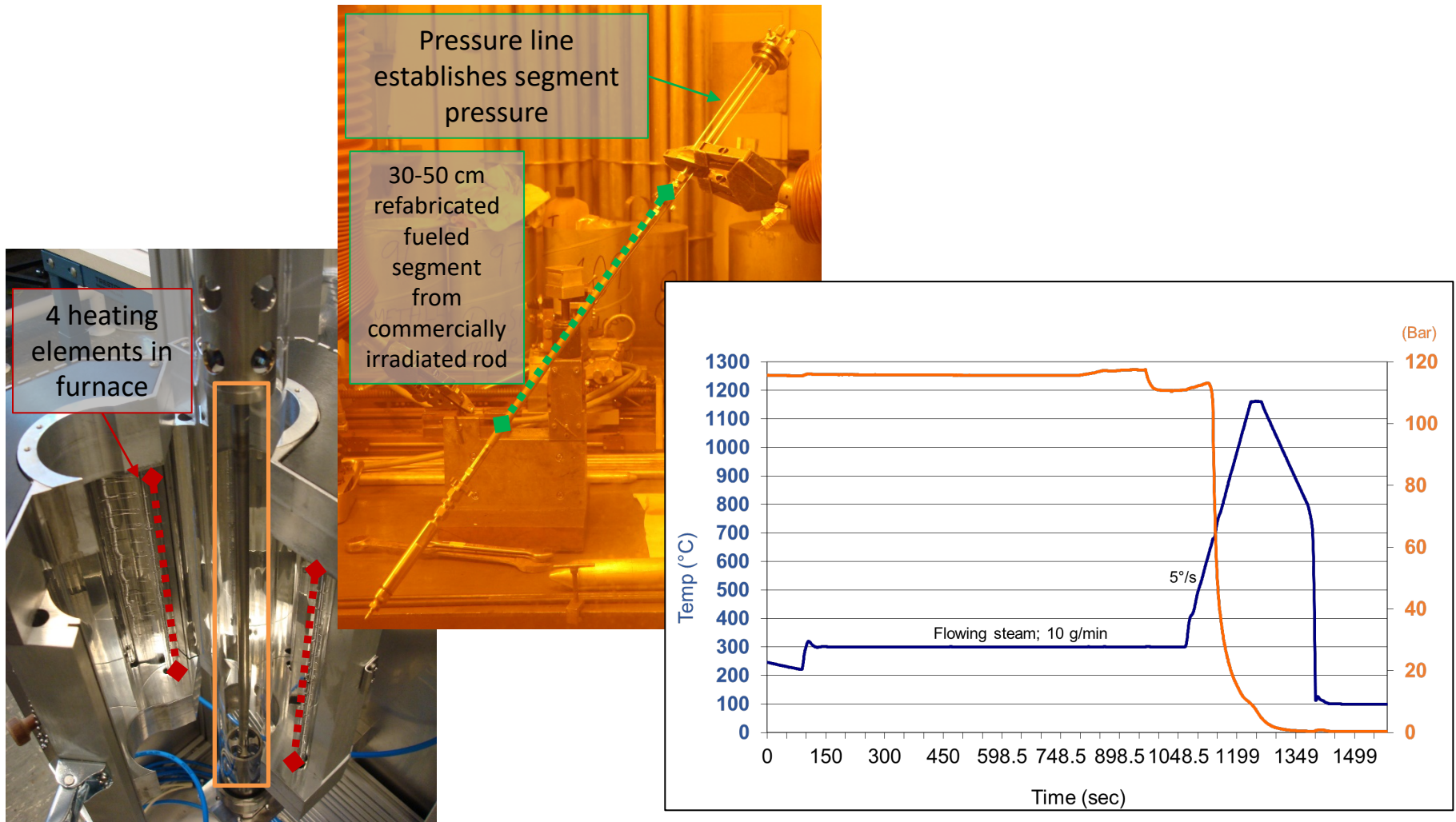
The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

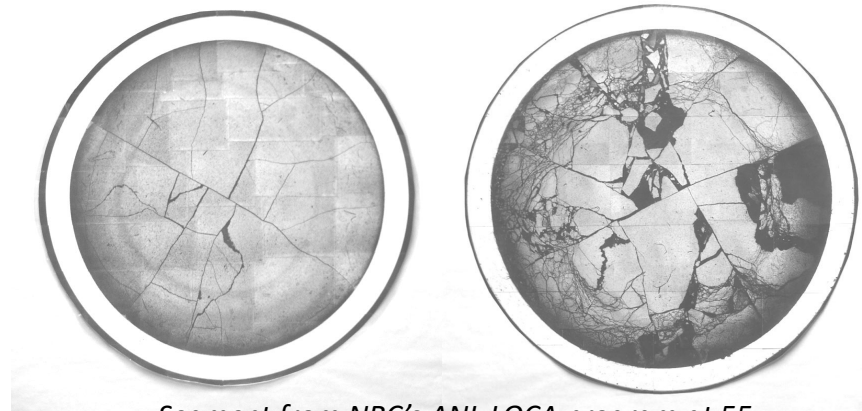
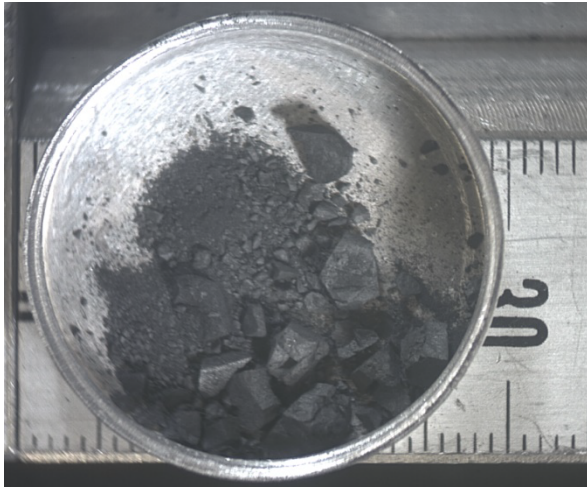
The basis for empirical thresholds included in the RIL

Other Matters

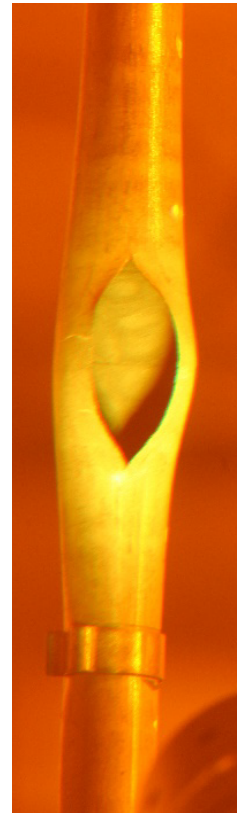
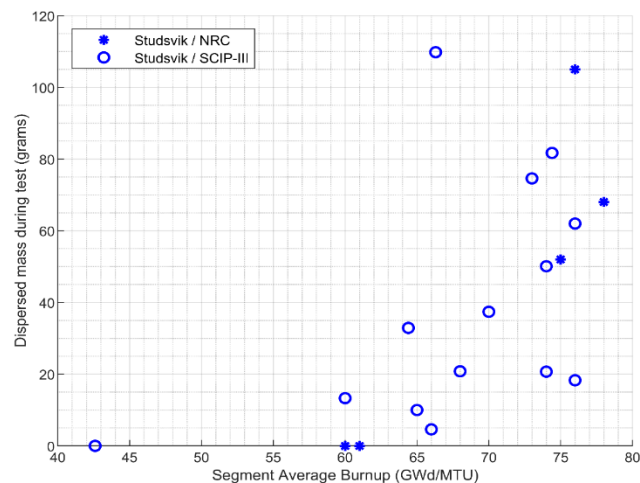
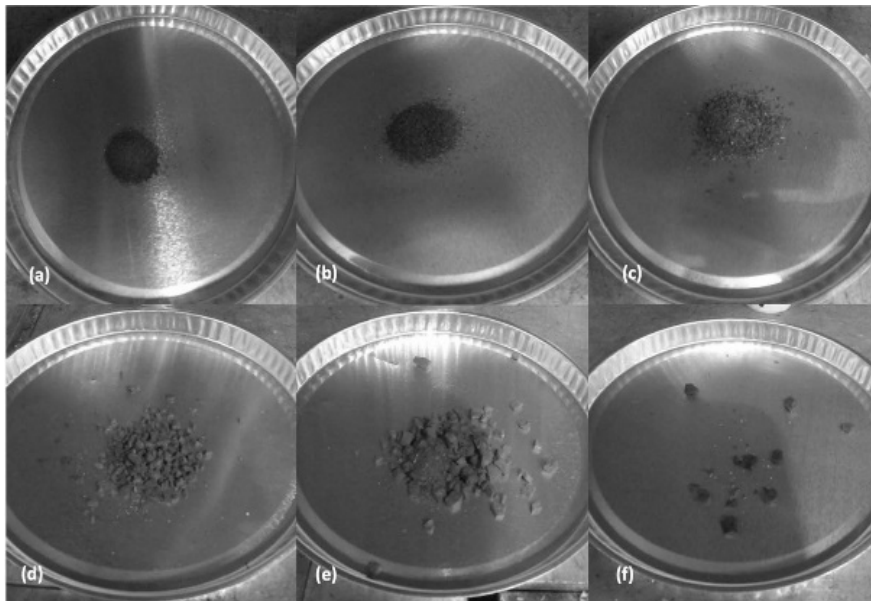
Hot-cell testing to simulate Loss-of Coolant Accident condition



Outcome of the RIL: *Identify when FFRD occurs*



Segment from NRC's ANL LOCA program at 55 GWd/MTU before and after testing



Outcome of the RIL:

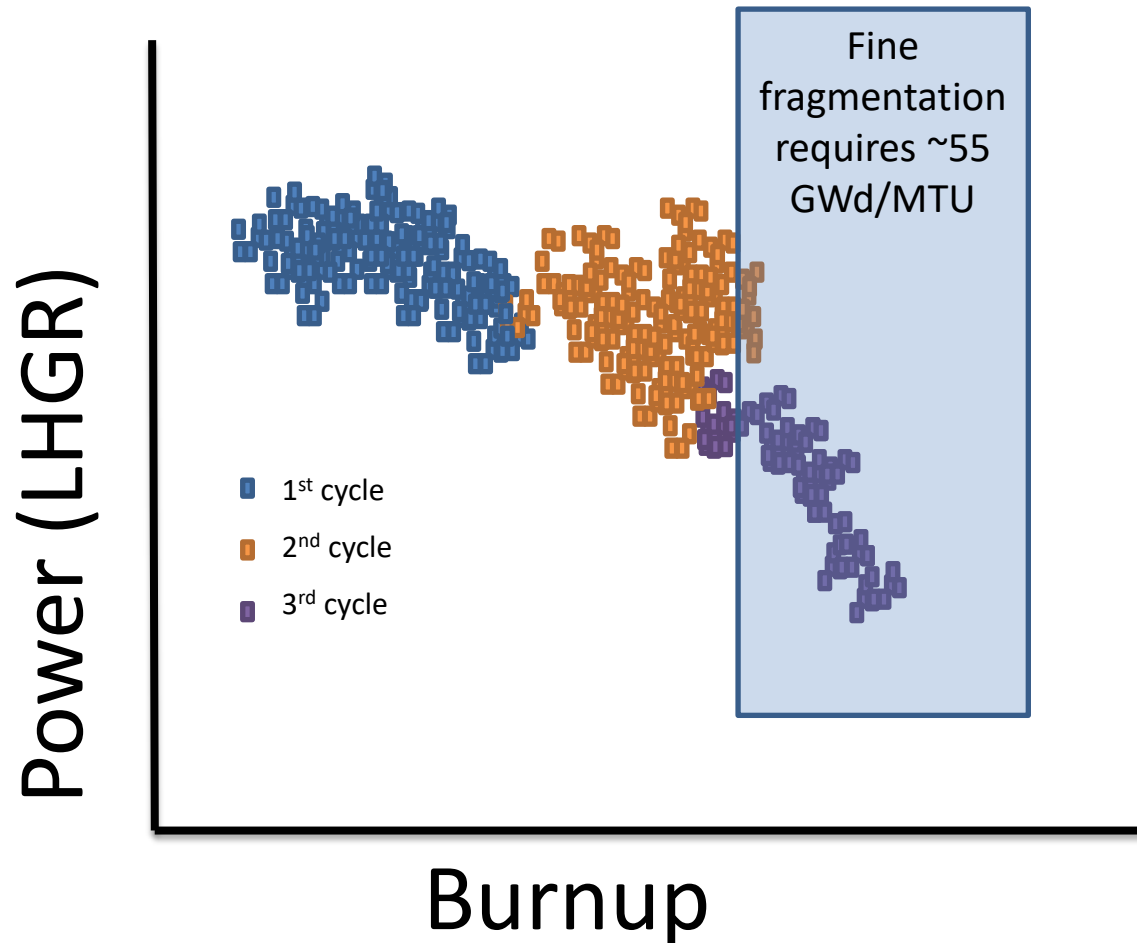
Identify when FFRD occurs

Address five elements of the RES staff's interpretation of FFRD research and describe the technical basis for these elements:

1. Fine fragmentation threshold
2. Fuel relocation threshold
3. Model to quantify dispersal
4. Document *transient* fission gas release
5. Quantify packing fractions in the balloon region

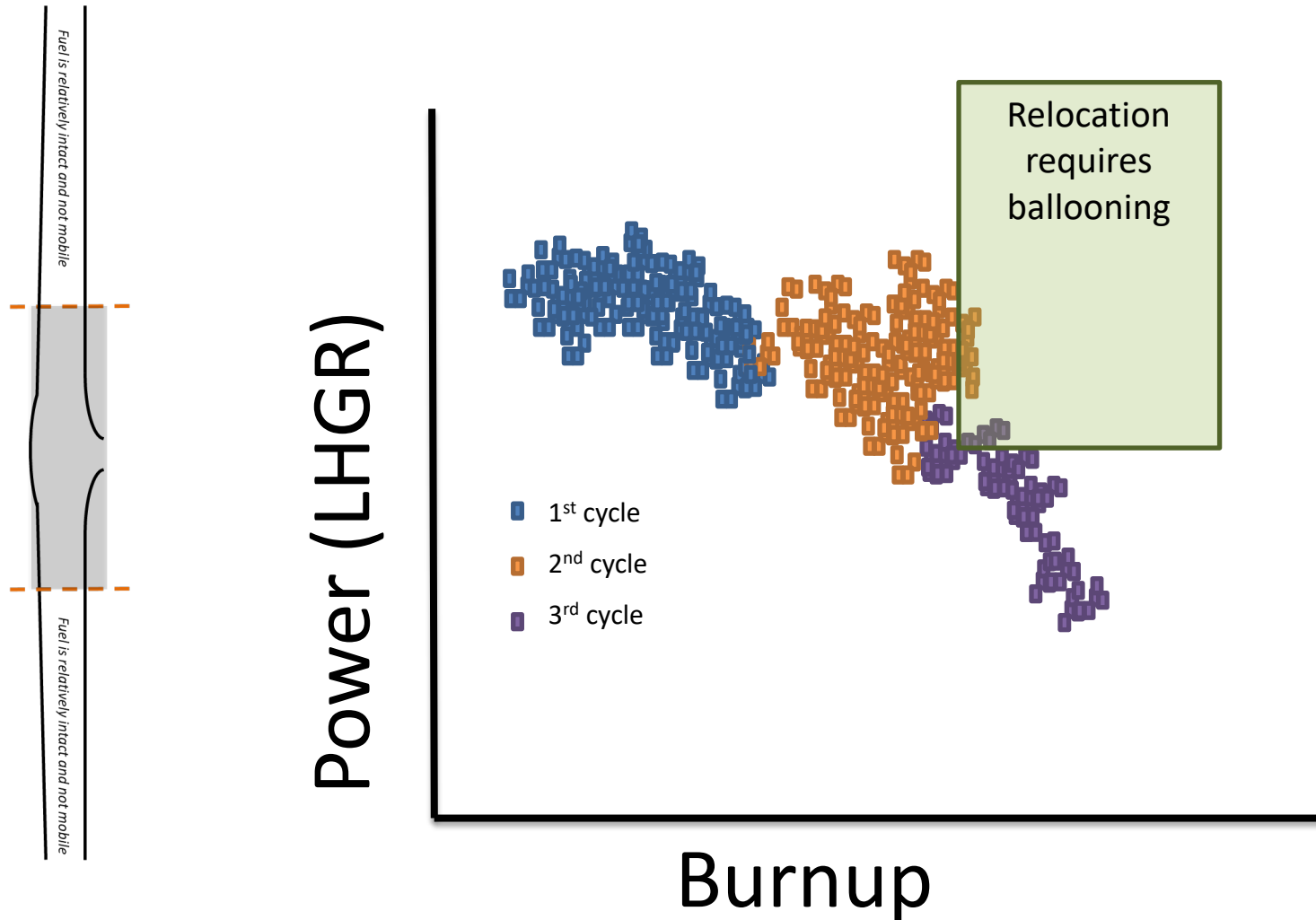
Outcome of the RIL:

Identify which rods are a concern for FFRD



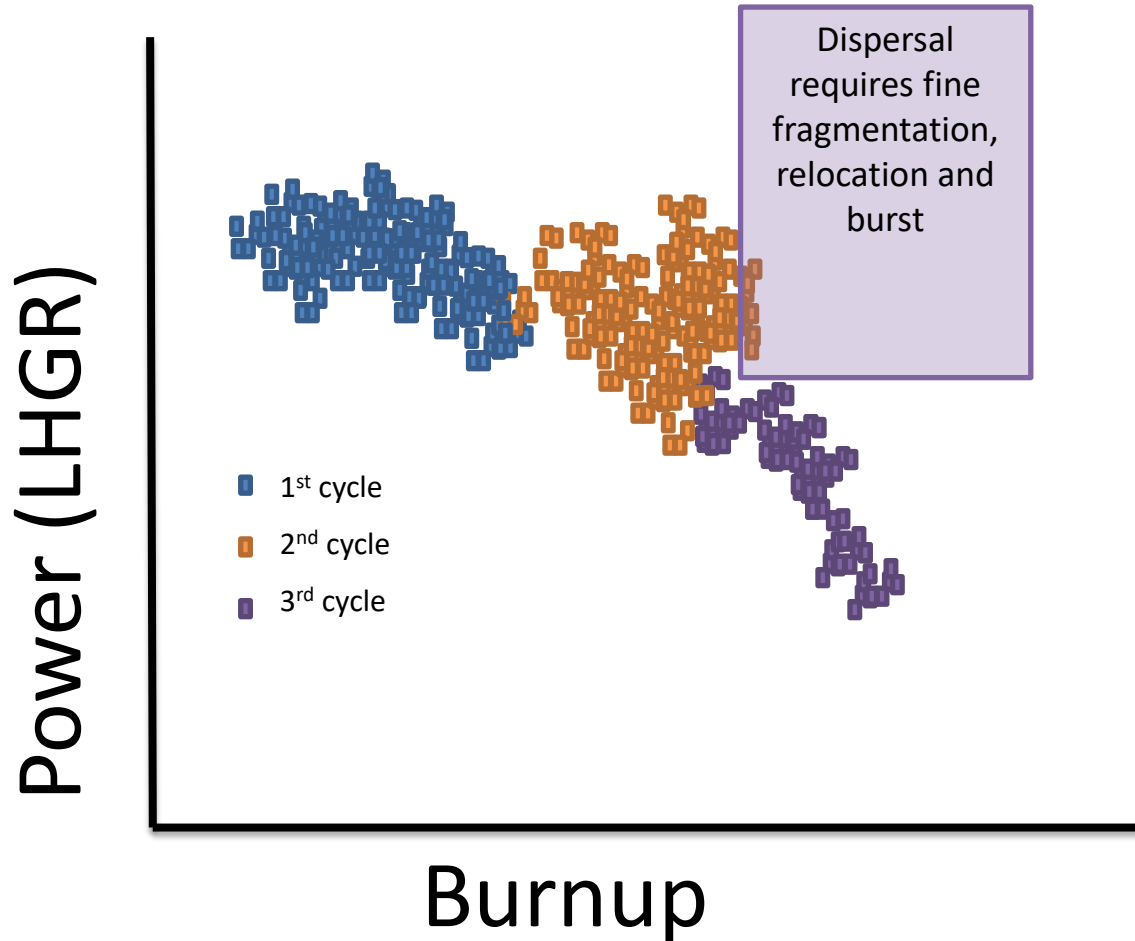
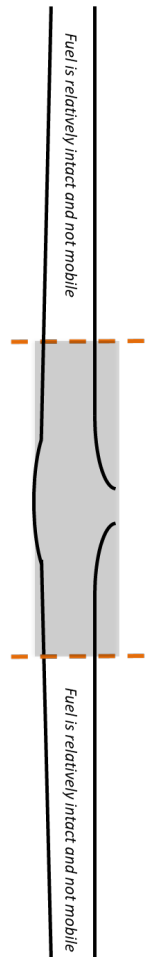
Outcome of the RIL:

Identify which rods are a concern for FFRD



Outcome of the RIL:

Identify which rods are a concern for FFRD

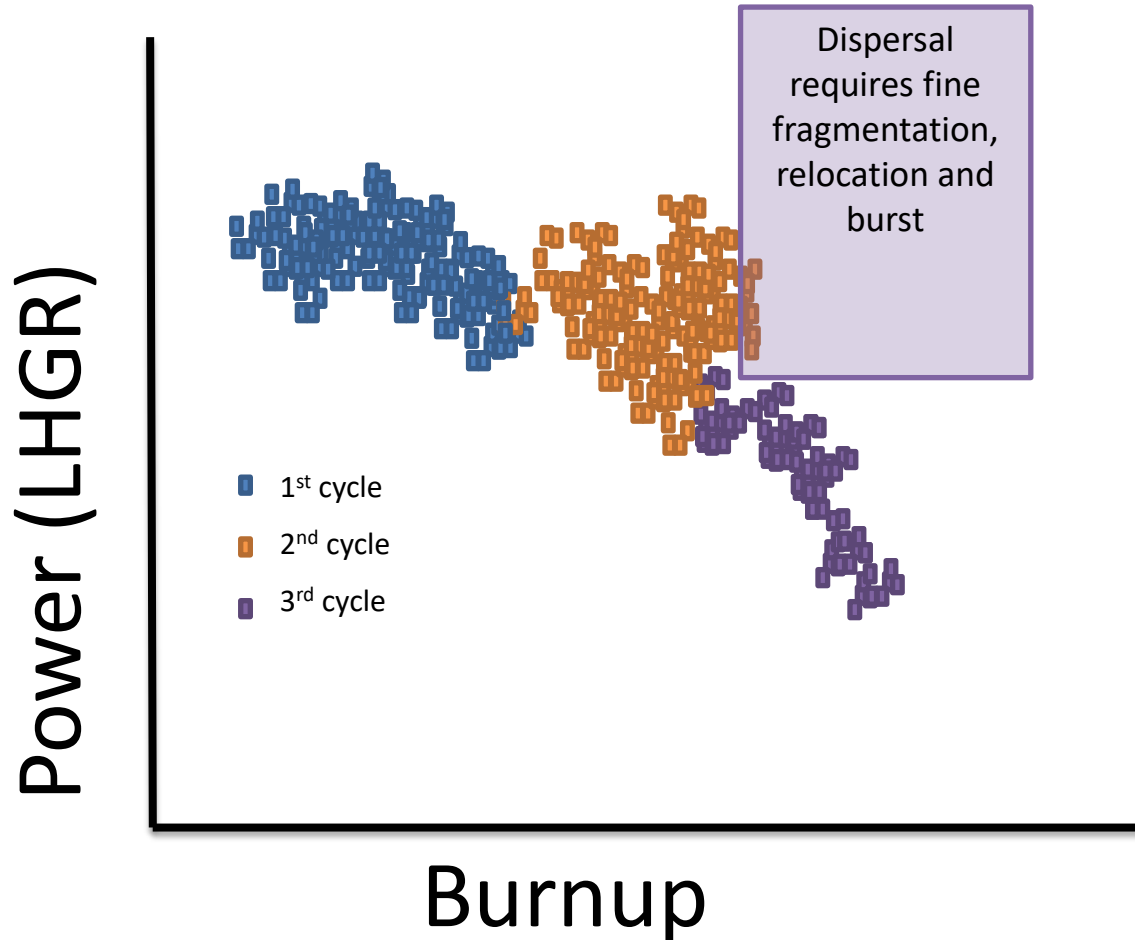


For the **dispersal** zone, some core loadings may result in no region of overlap

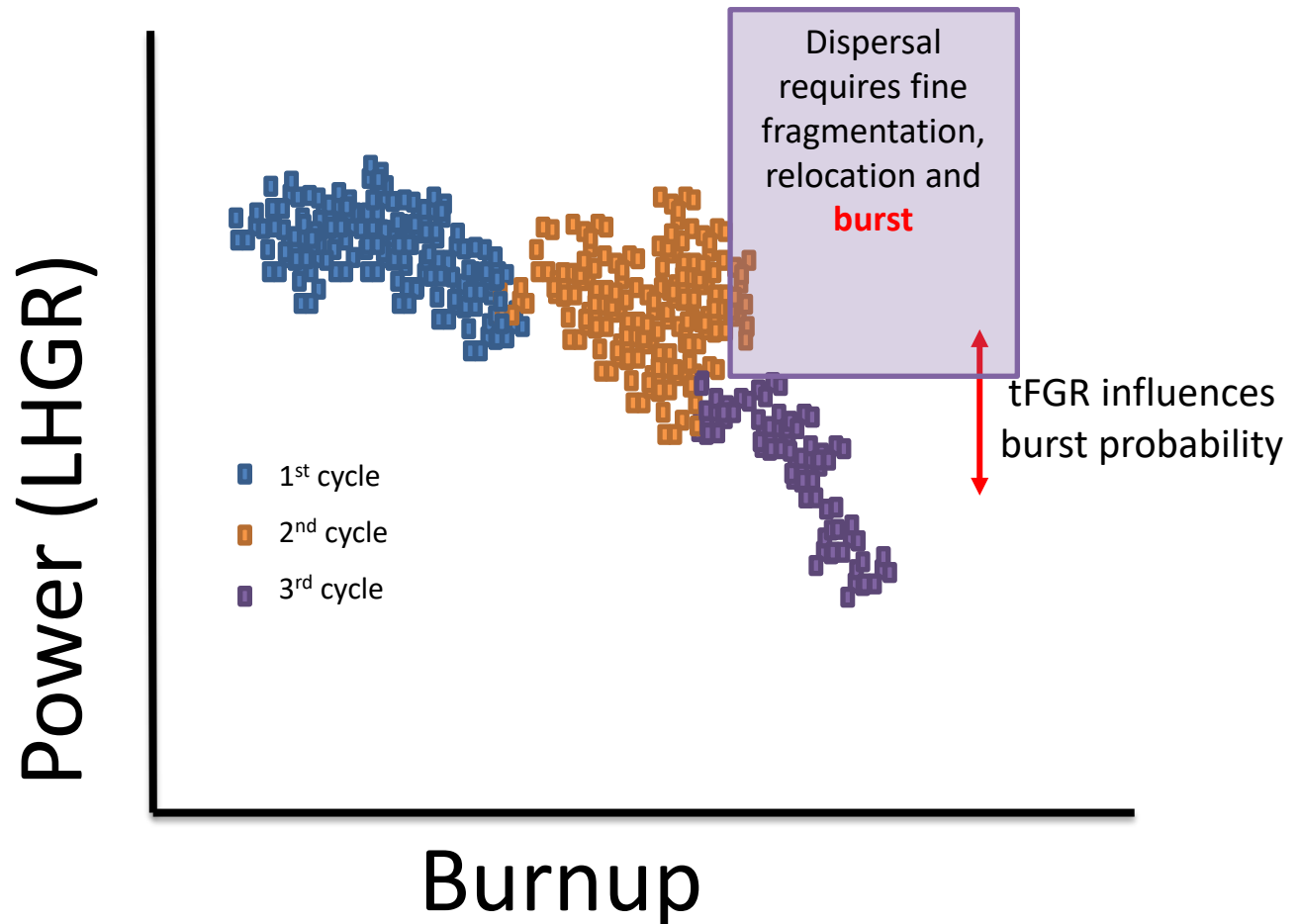
Overlap
influenced by:

- ECCS response
- Plant design
- Loading pattern
- Fuel and cladding design

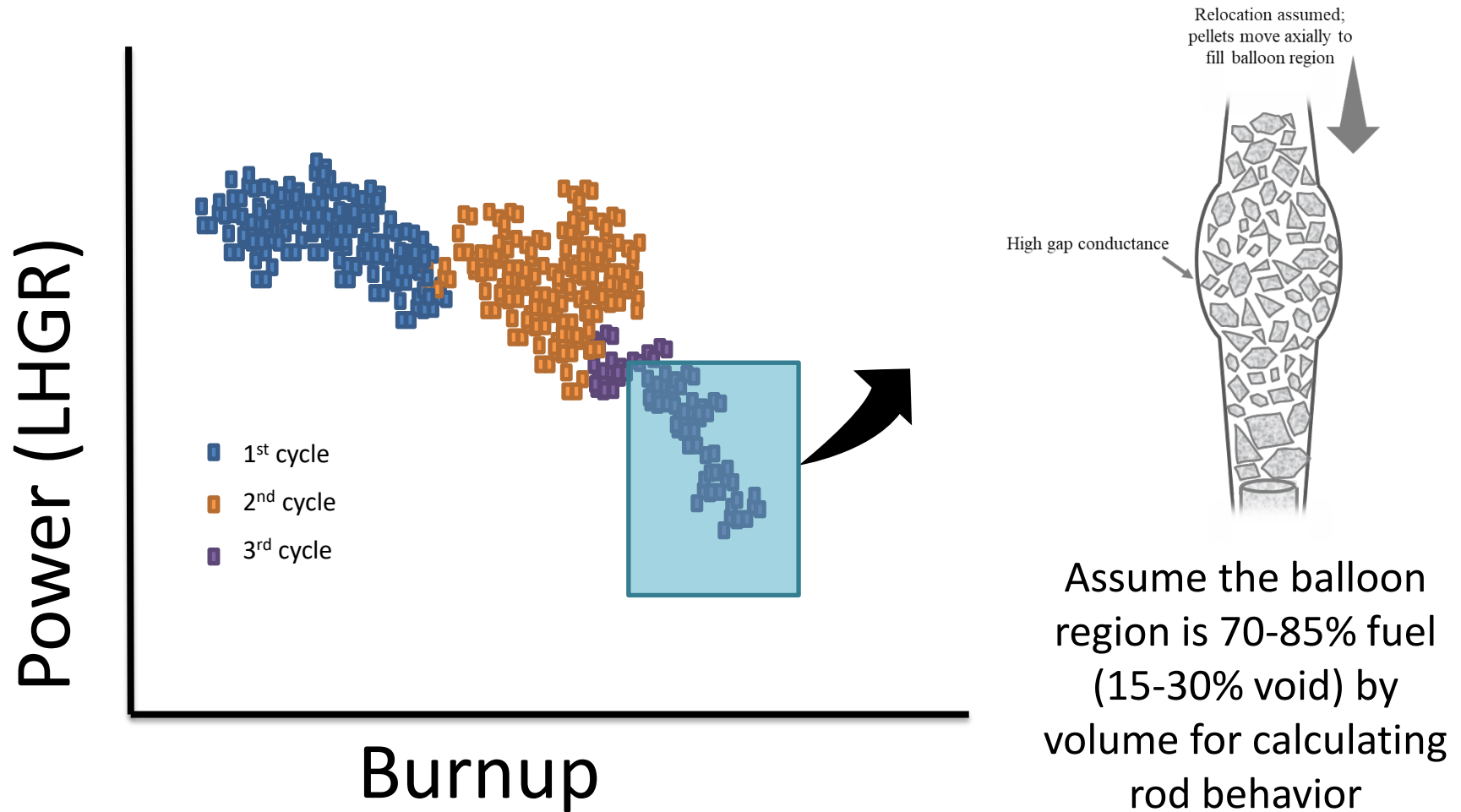
This information is prototypical of PWR. BWR's will have few if any rods susceptible to dispersal due to different operating practices, system pressure, etc.



Transient Fission Gas Release - Increasingly important at higher burnup; Important to accurately predict burst



Relocation **packing fraction** - Important to accurately predict burst and peak temperature for non-burst high burnup rods



The RIL supports targeted FFRD analysis

- Fine fragmentation
 - Not seen below 55
- Fuel axial relocation
 - Not seen in cladding with less than 3% strain
- Fuel dispersal
 - Fine fragmentation and relocation are prerequisites; Therefore, dispersal requires $BU > 55$, $strain > 3\%$
 - Doesn't happen unless there's rupture
- Transient Fission Gas Release
 - Increasingly important at higher burnup
- Relocation packing fraction
 - Important for non-burst high burnup rods

This presentation will address...

FFRD history at NRC

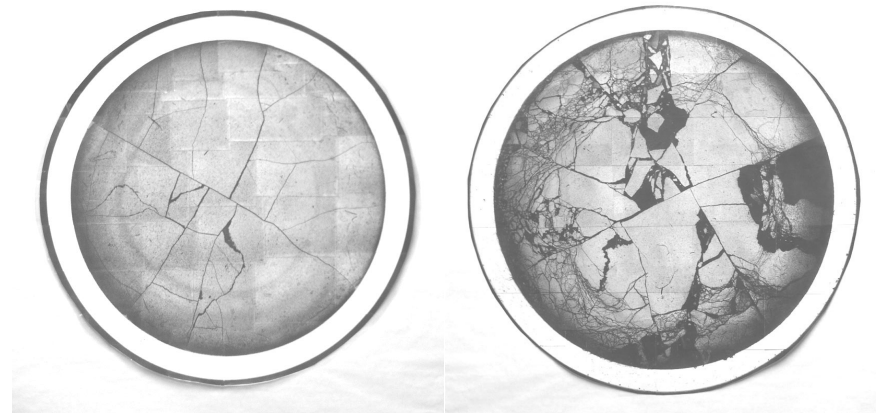
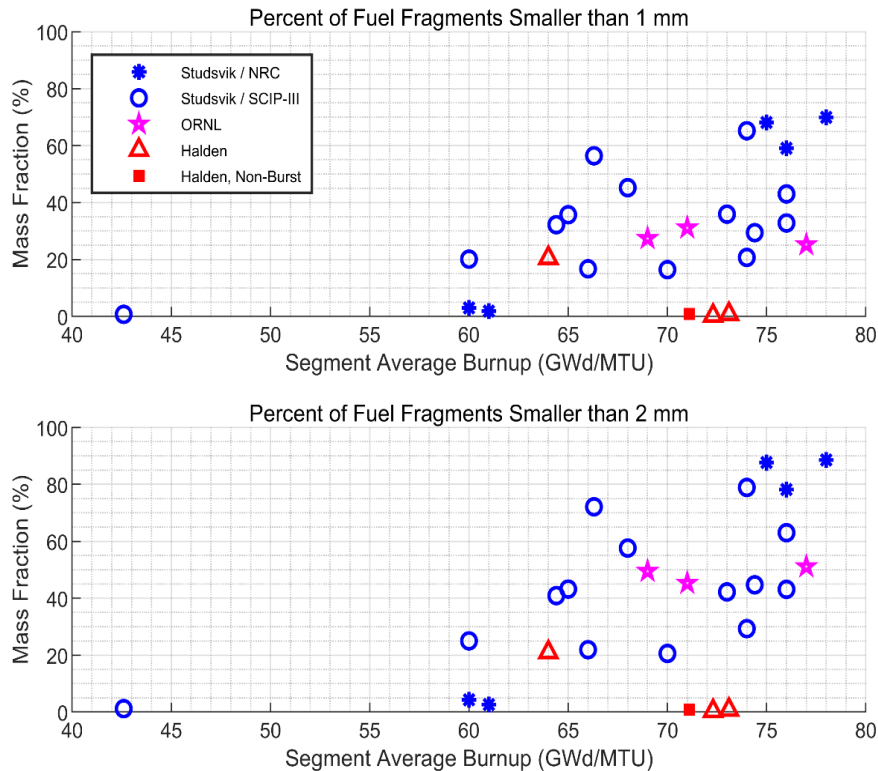
The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

The basis for empirical thresholds included in the RIL

Other Matters

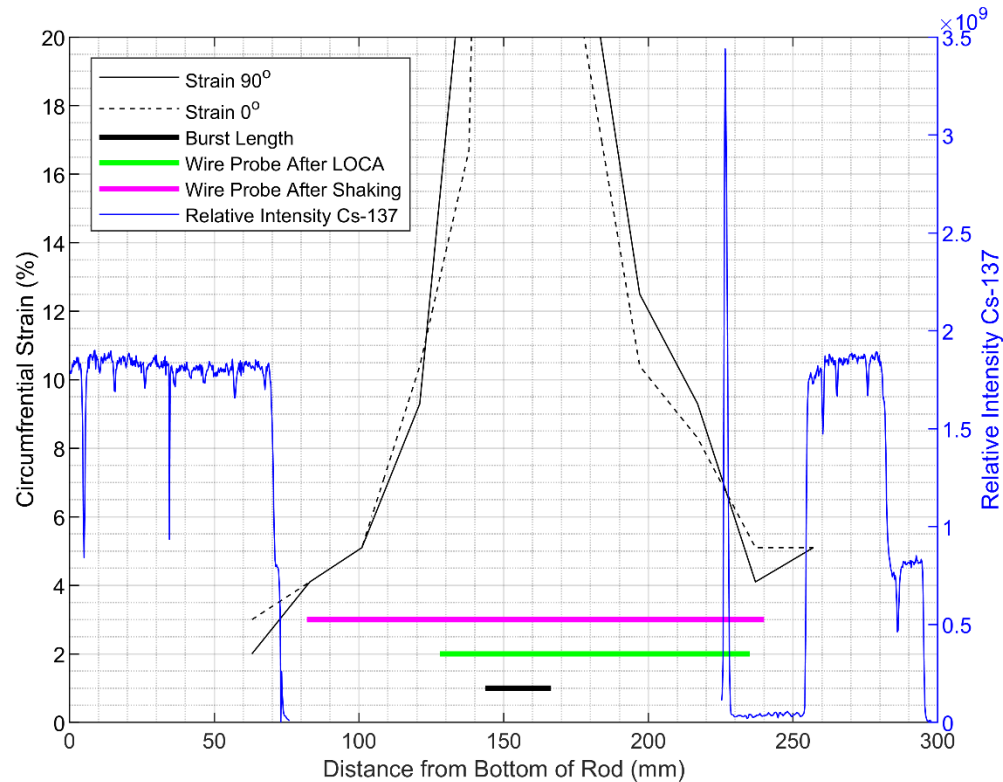
Element 1: Empirical threshold at which fuel pellets become susceptible to fine fragmentation



Segment from NRC's ANL LOCA program at 55 GWd/MTU before and after testing

Research supports a pellet-average burnup limit of **55 GWd/MTU** as the **onset of fine fuel fragmentation**

Element 2: A local cladding strain threshold below which relocation is limited

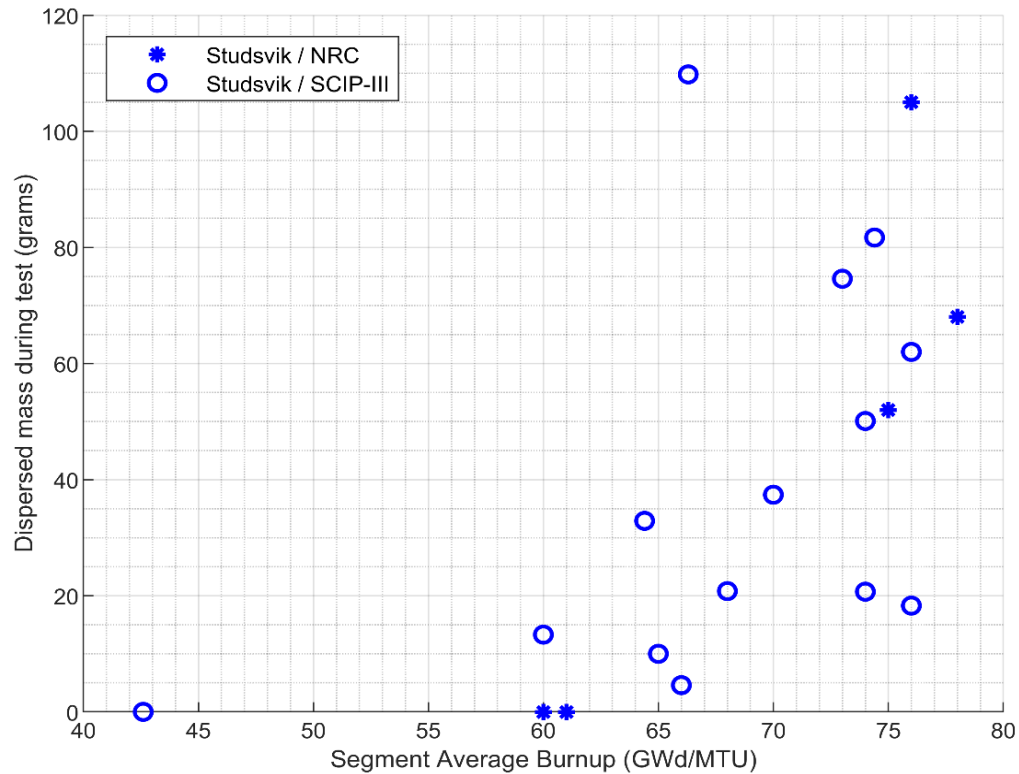


NRC test #	Strain threshold, top (%)	Strain threshold, bottom (%)
189	6.0	3.0
191	6.0	4.0
192	5.0	4.0
193	1.0	4.0
196	3.0	5.0
198	4.5	9.0

Research suggests **fuel relocation is limited** in regions of the fuel rod experiencing **less than 3% cladding strain**.

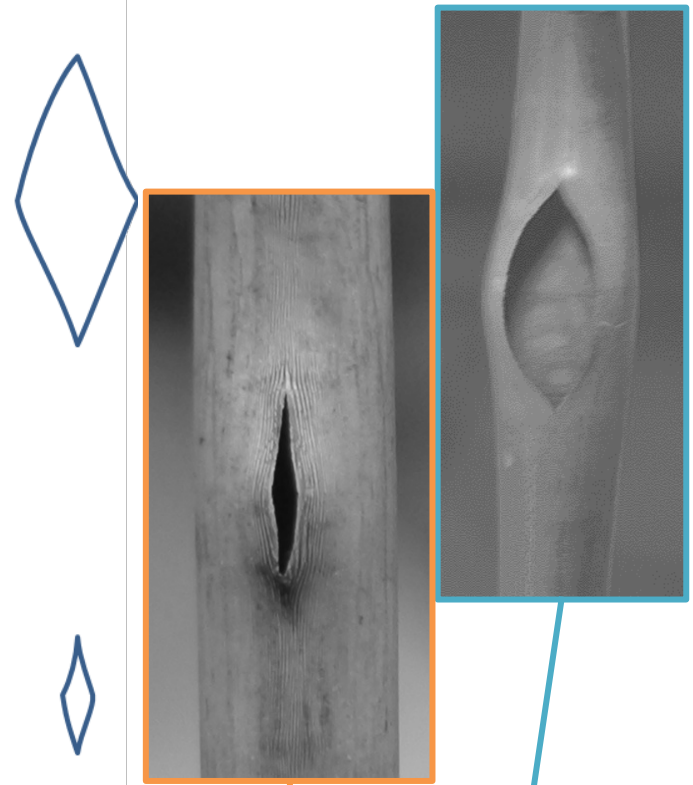
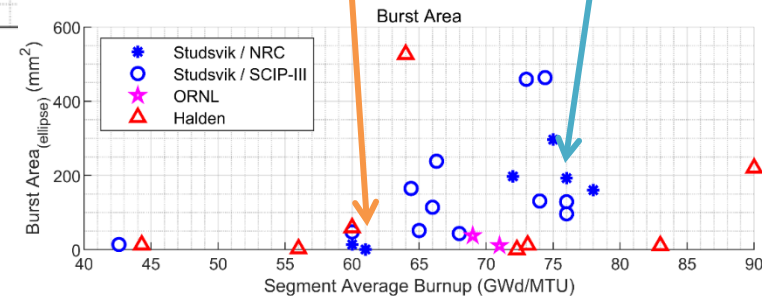
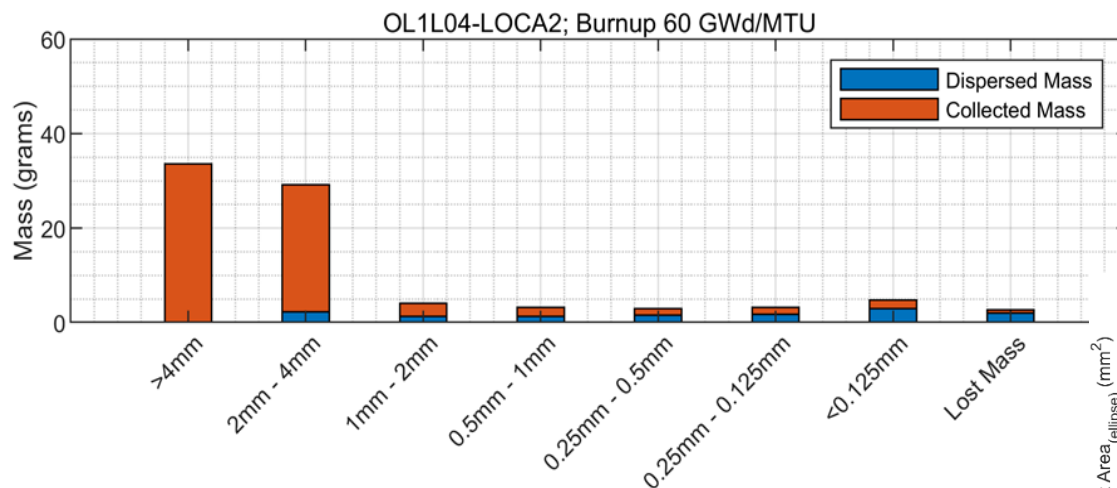
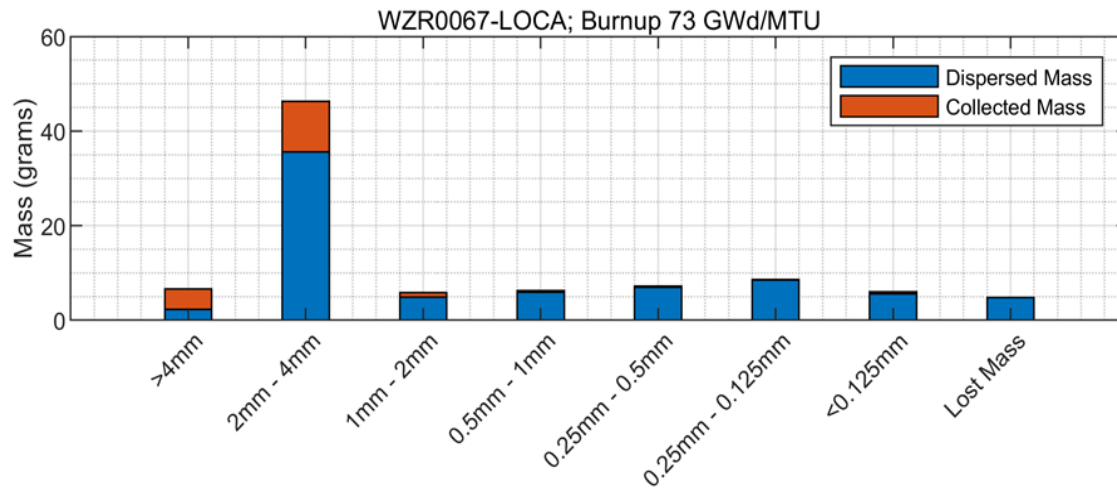
Element 3: A conservative value for the mass of “dispersible” fuel as a function of burnup

What do dispersal measurements look like?

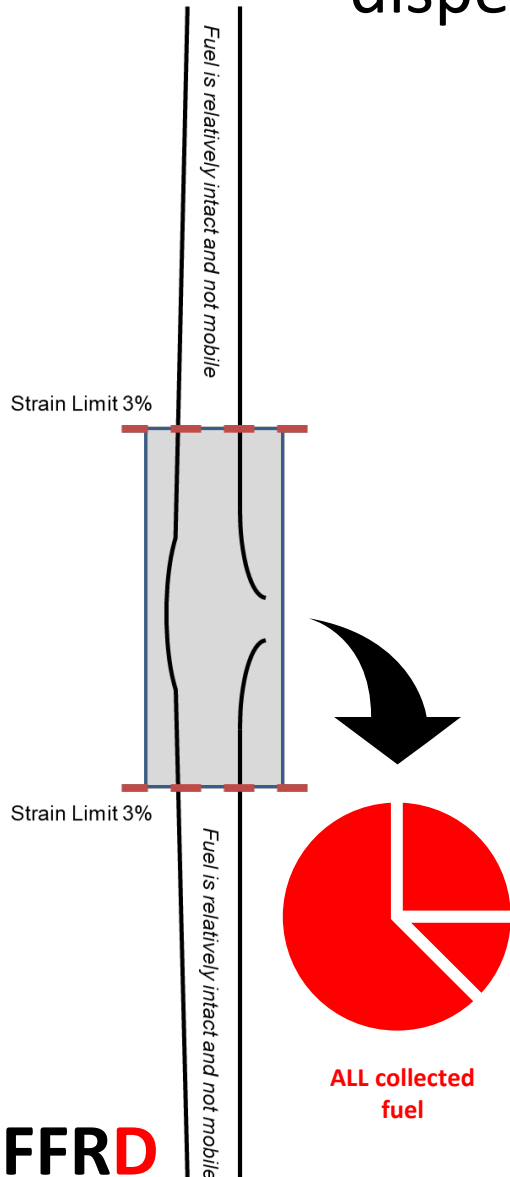


Dispersal
“during the
test”

Element 3: A conservative value for the mass of “dispersible” fuel as a function of burnup



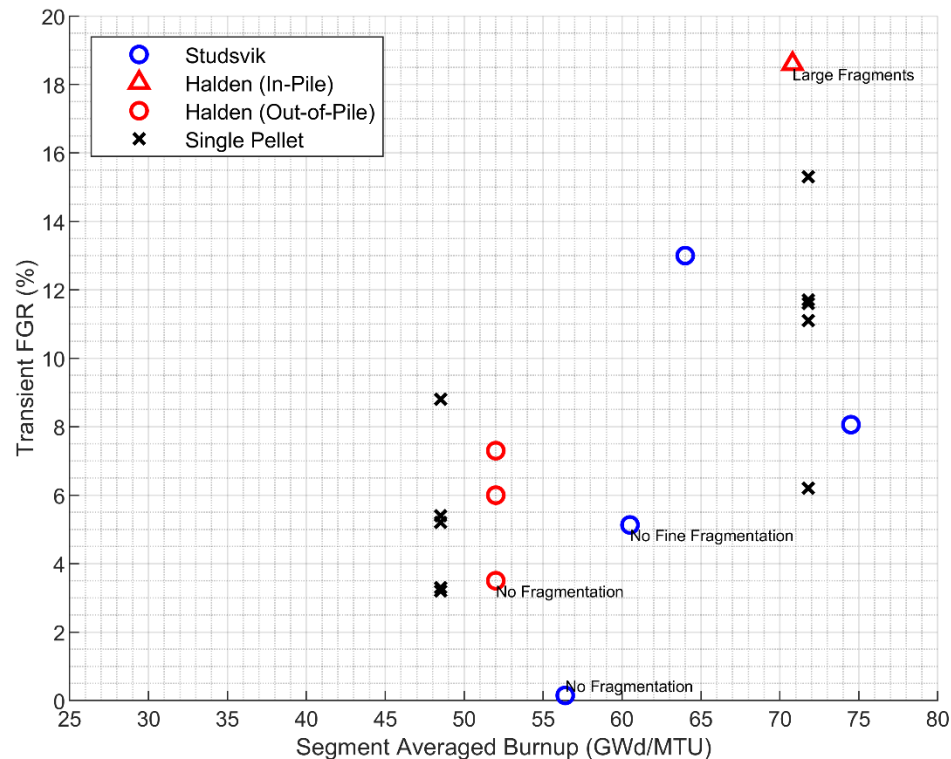
Element 3: A conservative value for the mass of “dispersible” fuel as a function of burnup



	Difference between dispersal predicted by the model and all mobile fuel observed in the experiment	
SCIP test	Mass (g)	Prediction/Measured
OL1L04-LOCA-2	125	250%
N05-LOCA	-19	76%
VUR1-LOCA-1	15	109%
WZR0067-LOCA	-16	83%
VUL2-LOCA1	-7	94%
VUL2-LOCA3	8	105%
VUL2-LOCA4	5	102%

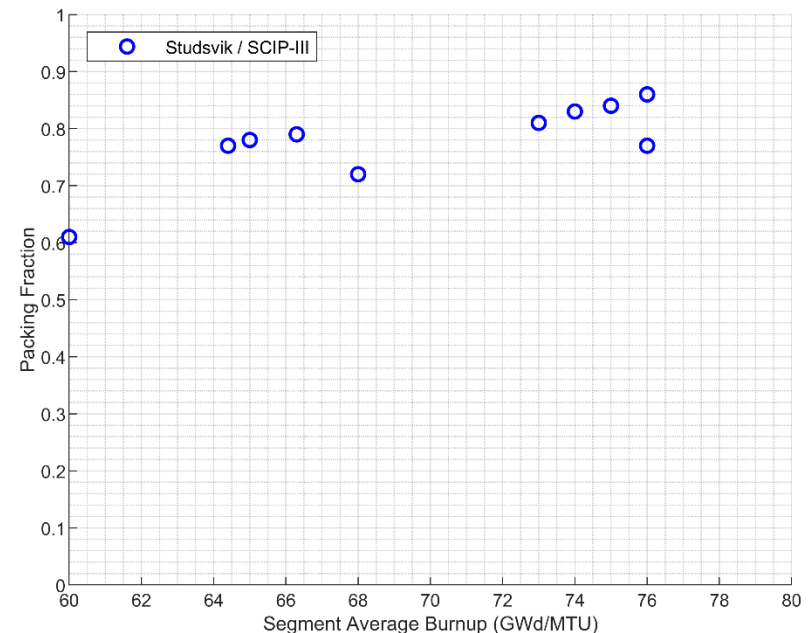
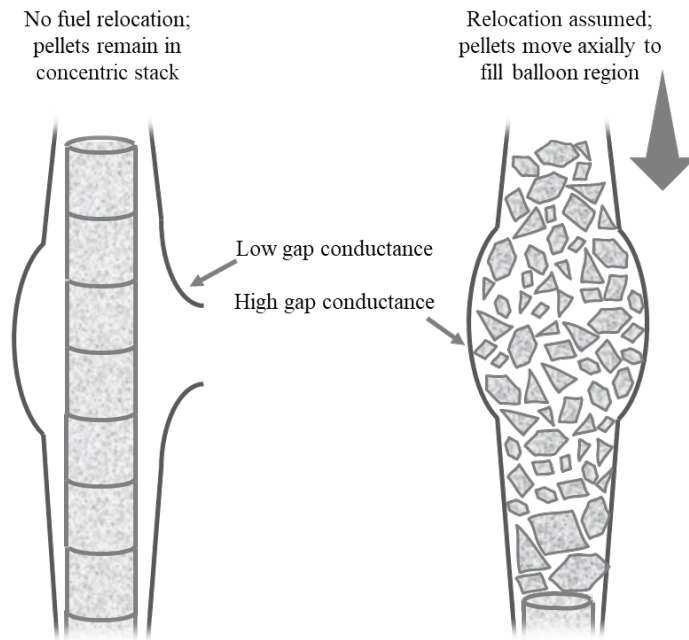
Recommend a model to predict the mass of fuel dispersal to be all fuel above the **burnup threshold of 55 GWd/MTU** in the length of the rod with **greater than 3% cladding strain** to disperse.

Element 4: Provide evidence of significant tFGR that may impact ballooning and burst behavior of high burnup fuel under LOCA conditions



Data shows increasing transient fission gas release with burnup. However, many other factors besides burnup impact tFGR (e.g., fuel temperature, stresses in fuel). Licensees will need to address tFGR in their LOCA evaluation models. Some models exist for tFGR, but more validation of those models is needed.

Element 5: Establish a value for the packing fraction of relocated but non-dispersed fuel in the balloon region



It is reasonable to use packing fraction values between 70 to 85 percent for fuel susceptible to fine fragmentation. (Fuel at lower burnup would likely have a lower packing fraction).

To determine the impact on ballooning and burst, it is important to examine a range of packing fractions to account for these effects.

This presentation will address...

FFRD history at NRC

The programs cited in the RIL and the peer reviewers used

The outcome of the RIL

The basis for empirical thresholds included in the RIL

Other Matters

Discussion of Consequences and Consequence Modeling

- Refers to SECY-15-0148 and NUREG-2121
- Reiterates potential safety concerns associated with FFRD:
 - energetic fuel-coolant interactions
 - recriticality of dispersed fragments
 - core coolability and long-term decay heat removal
 - radiological impacts, including control room dose and equipment qualification*

** Being addressed outside of the RIL, as part of an update to Regulatory Guide 1.183, "Alternative Radiological Source Terms for Evaluating Design Basis Accidents at Nuclear Power Reactors."*

Limitations of the Empirical Database

- Limits are not applicable to doped fuel or coated cladding.
 - Additional research could demonstrate that the limits in the RIL apply or are bounding
 - Note doped fuels have different FGR behavior, so it would be important to understand implications on FFRD
 - Note coated claddings have been shown to have less strain and smaller burst openings, which could mean better performance with respect to FFRD

Limitations of the Empirical Database

- Limits are simplistic, derived as a function of burnup only.
 - Burnup is likely a surrogate for more direct variables such as porosity, stresses within the fuel pellet, grain growth and subgrain formation. These features are likely influenced by operating history
 - Additional research to allow for more mechanistic treatment of these variables could allow for refinement of the limits
- Limits anticipate accurate prediction of cladding strain along the axial length of a fuel rod. Burst opening size is presumed to be stochastic and therefore limits assume large opening size.
 - Additional research to validate balloon height, axial strain profile and burst opening could allow for refinement of the limits

What's next?

- Participating in SCIP IV
 - Includes testing of non-standard fuel
 - Additional testing to characterize tFGR
 - Testing in the mid-level burnup range
- Refining analysis tools to improve core-wide FFRD analysis
 - Building from 2015 and MELLLA+ experience
 - Enhancing resolution and realism
 - Utilizing new modeling features

What's next?

- RIL will establish foundation for next steps
 - Industry can build from the RIL based on their licensing needs, justifying less conservative limits with more detailed/product specific arguments
 - Researchers can build programs from the RIL that produce the information needed to go further



Questions?

