



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**

Yellow Announcement: YA-20-0083

Date: October 5, 2021

Expiration Date: October 8, 2024

TO: All NRC Employees and Contractor Employees

SUBJECT: NRC PERSONNEL SECURITY REPORTING REQUIREMENTS

This announcement serves as a reminder regarding reporting requirements for those who hold national security clearances issued by the U.S. Nuclear Regulatory Commission (NRC). This includes employees and cleared contractors of NRC and our licensees.

On December 14, 2016, the Office of the Director of National Intelligence issued "Security Executive Agent Directive 3, Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position," (SEAD 3). SEAD 3 establishes standardized reporting requirements across the Federal Government for all covered individuals. Many of the reporting requirements detailed in SEAD 3 were already in place at the NRC and are described in Management Directive (MD) 12.3, "NRC Personnel Security Program," Handbook Section II.J, "Personnel Reporting Requirements."

All NRC employees, consultants, experts, panel members, cleared contractors, cleared licensees, cleared licensee contractors, and other cleared persons designated by the Executive Director for Operations shall report planned or actual involvement in any of the activities listed below before participating in such activities, or within 5 days following the start of their involvement (foreign travel within 5 days of return), by e-mail to [PSB Reporting Resource](#).

- Unofficial foreign travel to countries for which the U.S. Department of State has issued a Level 3 or 4 [travel advisory](#). (See Yellow Announcement YA-18-0025 for additional information.)
- Any arrests and detentions, issues with customs or law enforcement, or concerns that you were being followed or monitored while on official or unofficial travel.
- Travel to a foreign country where a passport other than a U.S. passport is used to enter or leave the country.
- Application for, possession of, or use of a foreign passport or identity card for travel.

- Unofficial contact with a known or suspected foreign intelligence entity.
- Foreign national contacts including business or personal contacts and continuing association with a known foreign national(s) or foreign national roommate(s).
- Direct involvement in a foreign business.
- Foreign bank accounts.
- Ownership of foreign property
- Foreign citizenship.
- Voting in a foreign election.
- Adoption of non-U.S. citizen child.
- Attempted elicitation, exploitation, blackmail, coercion, or enticement to obtain classified information or other information specifically prohibited by law from disclosure.
- Media contacts (not for official duties), where the media seeks access to classified or otherwise "protected" information (specifically prohibited by law from disclosure), whether or not the contact results in an unauthorized disclosure. Media contacts related to the fulfillment of official duties of the position held by the individual need not be reported.
- Arrests, charges, or detentions.
- Financial issues and anomalies including, but not limited to, bankruptcy; foreclosure; tax liens; failure to pay Federal or State taxes; garnishment; over 120 days delinquent on any debt; and any unusual infusion of \$10,000 or greater such as an inheritance, winnings, or similar financial gain.
- Cohabitant(s) and any changes.
- Change in marital status (including marriage and legal separation).
- Change of name.
- Enrollment in an alcohol- and drug-related treatment or program.
- Involvement in civil court actions.
- Outside employment that creates a conflict of interest.
- Treatment for emotional, mental, or personality disorders (except marriage, grief, or family counseling).

Additionally, as described in MD 12.3, Section II.J.3, all covered individuals have a continuing obligation and responsibility to report information pertaining to other individuals that may be of potential security or counterintelligence concern through the internal NRC Incident Reporting page. The following information must be reported:

- An unwillingness to comply with rules and regulations or to cooperate with security requirements.
- Unexplained affluence or excessive indebtedness.
- Alcohol abuse.
- Illegal use or misuse of drugs or drug activity.

- Apparent or suspected mental health issues where there is a reason to believe it may impact the covered individual's ability to protect classified information or other information specifically prohibited by law from disclosure.
- Criminal conduct.
- Any activity that raises doubts as to whether another covered individual's continued national security eligibility is clearly consistent with the interests of national security.
- Misuse of U.S. Government property or information systems.
- Commission of, attempted commission of, or conspiracy to commit any act of sabotage, treason, or sedition.
- Holding membership in, with the intention of furthering the aims of, or actively participating in any foreign or domestic organization or group that advocates the commission of illegal acts by force or violence.
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- Advocating or participating in the activities of a group or organization that has as its goal revolution by force or violence to overthrow the Government of the United States by unconstitutional means, with the knowledge that this support will further the goals of the group or organization.
- Renouncing U.S. citizenship or representing a foreign nation in activities that may be contrary to the national security of the United States.
- Parent(s), brother(s), sister(s), spouse, or offspring living in a nation whose interests may be adverse to the interests of the United States, or in satellite states or occupied areas.
- Refusing to testify before a congressional committee, a Federal or State court, or a Federal administrative body regarding charges relevant to eligibility for NRC security access authorization.

Failure to comply with reporting requirements and/or agency security inquiries may result in administrative action that may include, but is not limited to, revocation of national security eligibility or access authorization.

Please report promptly to the Office of Administration, Division of Facilities and Security, Personnel Security Branch, either by e-mail to [PSB Reporting Resource](#) or through the internal [NRC Incident Reporting Page](#). Depending on the nature of the report, a Personnel Security Specialist may contact you to obtain additional information and/or clarification.

For additional information regarding SEAD 3 and the reporting requirements, please go to the [Required Reporting for Clearance Holders](#) Web page.



Signed by Golder, Jennifer
on 10/05/21

Jennifer M. Golder, Director
Office of Administration

Management Directive Reference: MD 12.3, "[NRC Personnel Security Program](#)," Handbook Section V

NRC PERSONNEL SECURITY REPORTING REQUIREMENTS DATED October 5, 2021

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