

From: Sebrosky, Joseph
Sent: Tuesday, March 30, 2021 5:34 AM
To: Chisholm, Brandon Michael
Subject: info: NRC Observations from the March 5, 2021, Versatile Test Reactor Technology Inclusive Content of Application Project Tabletop Exercise
Attachments: NRC Observations of 3-5 VTR Tabletop.docx

Brandon,

The purpose of this email is to provide you with the attached NRC observation team's feedback associated with the March 5, 2021, Versatile Test Reactor (VTR) Technology Inclusive Content of Application Project (TICAP) tabletop exercise. The document also includes the NRC observations from the February 3, 2021, X-energy TICAP tabletop exercise for ease of reference. Based on your email below the attached file does not contain proprietary information. This email along with the attached document will be placed in ADAMS and the document will be made publicly available.

Sincerely,

Joe Sebrosky

From: Chisholm, Brandon Michael
Sent: Thursday, March 25, 2021 11:30 PM
To: Sebrosky, Joseph
Cc: Afzali, Amir ; Steven Nesbit
Subject: [External_Sender] RE: info: NRC Observations from the March 5, 2021, Versatile Test Reactor Technology Inclusive Content of Application Project Tabletop Exercise

Hi Joe,

Thank you for the observations. I can confirm that the document does not contain proprietary or sensitive information.

The TICAP team will work on getting you informal feedback on the observations.

Thanks,
-Brandon

Hearing Identifier: NRR_DRMA
Email Number: 1094

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Subject: info: NRC Observations from the March 5, 2021, Versatile Test Reactor Technology Inclusive Content of Application Project Tabletop Exercise
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From: Sebrosky, Joseph

Created By: Joseph.Sebrosky@nrc.gov

Recipients:
"Chisholm, Brandon Michael" <BMCHISHO@SOUTHERNCO.COM>
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NRC Observations Associated with March 5, Versatile Test Reactor (VTR)
Technology Inclusive Content of Application Project (TICAP) Tabletop Exercise

Note: Attachment 1 to this document provides the NRC Observation from the February 3, 2021, X-energy TICAP tabletop exercise. The attachment is provided for ease of reference. Observations from the X-energy tabletop are not repeated for the VTR TICAP Tabletop exercise.

General Observations

- I. Overall, the VTR tabletop exercise provided useful feedback to the developers of the TICAP guidance document and provided the VTR design team the opportunity to interpret and apply the TICAP guidance. The tabletop exercise focused on TICAP Section 4.2 (DID) and TICAP Chapters 5, 6 and 7 (SSC categorization, safety functions and criteria). The feedback provided by the VTR team consisted of identification of those areas in TICAP where the guidance was unclear or incomplete and suggested additions to the guidance for clarification or to fill in gaps. In addition, the exercise provided the opportunity for the VTR design team to prepare example input to a safety analysis report (SAR) based on their interpretation of the TICAP guidance and to receive feedback from the TICAP team regarding whether or not the interpretation was correct. There was constructive interaction between the VTR and TICAP teams regarding the TICAP guidance and its implementation.
- II. The TICAP guidance document has been expanded substantially from what was provided in Southern Company's October 20, 2020 TICAP report. In many cases, the expanded guidance refers to NEI 18-04, which is appropriate. However, there are still areas where the TICAP guidance does not capture some key direction contained in NEI 18-04. Some of these areas are discussed below.
- III. This general observation relates to the X-energy General Observation item II, and Areas of Requested Feedback item III.d. The NRC/INL staff notes the robust discussion that was held regarding the level of detail in the SAR, supporting information placed on the docket, and information that is available for audit. The NRC staff understands that industry intends to revise the TICAP guidance to provide more guidance in this area. This area has been the topic of much discussion during public meetings and it is expected that NRC staff and stakeholder engagement (including with non-industry stakeholders) will continue in this area.
- IV. During the discussion of non-safety related with special treatment (NSRST) structures, systems, and components (SSC) SAR content the NRC staff raised a question regarding where the reliability information for these SSCs would be located (e.g., PRA or SAR) and what this information might entail. The NRC staff believes further discussion on this topic would be beneficial.
- V. Although it is important to understand the design to ascertain the necessary content for the SAR, the industry should be aware that the NRC staff has been reminded that the purpose of the tabletop exercise is to focus on the appropriateness of the content of the SAR and level of detail. Any observations by the NRC team regarding the design itself should not be interpreted by industry as NRC review.
- VI. The SAR content should focus on presenting the results of implementing the LMP process. For discussion purposes, it may be beneficial to discuss what type of documentation may

exist from implementing the LMP process by the applicant, including narrative on the iterations in the process, and the deliberations and decisions of the integrated decision-making process (IDP) and whether this documentation may be something that is audited by the NRC staff.

Areas of Requested Feedback

- I. What inconsistencies, if any, were noted between the sample SAR content discussed during the 3/5 tabletop and NRC expectations.
 - a. NEI 18-04 (Section 3.2.2 – Task 6) states that, where possible, external events are to be analyzed in the PRA but, in some cases, may be selected and treated deterministically. There is no discussion in the TICAP guidance document about how to select and treat external events selected using a deterministic approach. Accordingly, the VTR report did not address this topic. For deterministically selected external events, it is not clear how they can be plotted on the frequency-consequence (F-C) curve since there is no frequency associated with the events. The discussion around this topic did not lead to a clear understanding of which external events are selected and treated deterministically and how they are compared to the F-C curve.
 - b. The largest inconsistency was in the area of defense-in-depth (DID). NEI 18-04 (Chapter 5) contains an extensive description on how to determine the adequacy of DID. DID attributes and evaluation criteria are described in Chapter 5 of NEI 18-04. The final determination of DID adequacy is to be made using an IDP and evaluation criteria as described in Section 5.9.3 of NEI 18-04. The TICAP guidance document does not mention the IDP, but does call for the SAR to include an “Integrated DID Summary.” However, the TICAP guidance document does not explain what the “Integrated DID Summary” is to contain nor does it refer to NEI 18-04, Section 5.9.3. The VTR report (Appendix A, Section 4.2) does mention the IDP in various places, but does not include a summary of the IDP review and conclusions. In fact, the VTR design team, in Appendix A, Section A.4.2.4, of their report, questioned the need for the “Integrated DID Summary,” since DID information can be found elsewhere in their report. The lack of a summary section in the VTR report is a concern because it puts the burden on the reviewer to piece together the DID information in the report and confirm that the evaluation criteria contained in NEI 18-04 (Section 5.9.3) for deciding on the adequacy of DID were used and met. It would seem reasonable to make the “Integrated DID Summary” the focus of the TICAP guidance in order to tie all of the DID pieces together and serve as a roadmap to the other SAR sections for details, thus making the reviewer’s job easier.
- II. Does the NRC/INL have any suggestions for additional contexts that may be helpful to see in the tabletop report
 - a. It was helpful to review the pages of the document prior to page 55 to be able to put the VTR design information into context with the LMP/TICAP process and to note the differences into approaches used for developing the CSDR for DOE and the SAR content for the NRC.
 - b. The format following page 55 of the document showing by color code the guidance, the SAR content developed using the guidance, and comments from the vendor on the

guidance and SAR content was very helpful from a user-friendly standpoint.

- c. It seems that there may be have some duplication in the tables of information presented and some effort to streamline the information presented in the tables and the number of tables would likely be beneficial.
- d. It would be useful to have the tabletop report provide a comprehensive summary discussion on DID adequacy using the IDP review and the evaluation criteria in Section 5.9.3 of NEI 18-04, since these criteria seem to represent the bottom line on DID adequacy, as described in NEI 18-04.
- e. It would be useful to describe how external events are selected and, for those selected deterministically, how they are incorporated into the risk-informed and performance-based LMP process.
- f. The VTR report states that a PRA self-assessment identified some gaps in Capability Category II requirements of the non-LWR PRA standard. It may be useful to specifically discuss the gaps and their resolutions in the PRA summary of the SAR or a peer review report for audit.

III. What other clarifying questions, if any, does the NRC have based on NRC/INL team observations.

- a. Page 27 of NEI 18-04 states that in comparing LBE frequencies and consequences to the F-C curve, 95th percentile values of both frequency and consequence are to be used. In addition, Section 3.2.1 of the TICAP guidance document states that in plotting LBEs on the F-C curve, the 5% and 95% uncertainty bands should be shown. In Appendix B, Section B.5.4.1 of the VTR report, mean values were used in plotting the LBEs on the F-C curve. The use of mean values was questioned during the tabletop exercise and the TICAP team stated that mean values should be used. Emails between Brandon Chisholm (Southern Co.) and Tom King (INL/NRC) were exchanged on 3/6/21 on this topic, but the issue remains open. It is not clear why the TICAP team endorsed the use of mean values when their own guidance states otherwise. Therefore, since NEI 18-04 and the TICAP guidance call for the use of 95th percentile values of frequency and consequence or showing the uncertainty bands, these are what should be used when comparing LBEs to the F-C curve. This will then be consistent with the direction in NEI 18-04 and the guidance in TICAP and will help support the consideration of uncertainty in DID evaluations. In addition, Appendix A, Section A.4.2 (DID), of the VTR report does provide 95th percentile values of LBE frequency and consequence in tabular form, including a comparison to corresponding F-C curve consequence targets. Therefore, for consistency and to avoid confusion, it would seem reasonable to use 95th percentile values or show uncertainty bands in all cases when plotting LBEs on the F-C curve.

NRC Observations Associated with February 3, 2021, X-Energy
TICAP Tabletop Exercise

General Observations

- I. The staff notes that the first TICAP tabletop exercise was run differently than the LMP tabletop exercises. Namely the LMP tabletops were done after the NEI LMP guidance document was already written and therefore provided more insights on implementation of the LMP guidance. For TICAP, it appears that the vendors are implementing the tabletops earlier in the process and using the tabletops to help develop the TICAP guidance. The staff would just like to confirm this understanding is correct going forward. The staff understands that the focus of future tabletops will be to test-run TICAP against selected portions of the application. This is particularly relevant for Chapters 5, 6, and 7 which would help the staff see the difference between the information provided in the SAR for a safety-related SSC and a non-safety-related with special treatment SSC.
- II. The staff would like to confirm industry's understanding of the TICAP guidance related to incorporating by reference technical report/topical reports into the safety analysis report. The staff considers such documents that are incorporated by reference (IBR) into the SAR to be part of the SAR (i.e., part of the licensing basis) and therefore subject to the SAR change process. Although the TICAP guidance on content of application includes reference to NEI 98-03 for distinctions between IBR and documents referenced in the SAR, the discussions of the Chapter 2 content seemed to convey an inconsistently applied approach regarding whether IBR documents are considered part of the SAR. Additional discussions in this area may be needed, and clarifications to the TICAP guidance document may be appropriate.
- III. It may be beneficial for the NRC staff to have a content of application version continuously accessible throughout the entire period of the tabletop exercises such that more meaningful questions/feedback could be developed for the focus area for the tabletop exercise.

Areas of Requested Feedback

- I. What inconsistencies, if any, were noted between the sample SAR content discussed during the 2/3 tabletop and NRC expectations
 - a. The TICAP guidance document that was provided to support the tabletop has more content in it than the staff has previously seen. It provided the staff with a better understanding of how Southern is developing the document. The staff thought this was a step in the right direction.
 - b. The staff was expecting the tabletop to cover more topics. Only two chapters were discussed (chapter 2, Generic Analyses (20-page document – provided) and chapter 8 (plant programs – only 2 pages provided). Chapters 5, 6, and 7 were referenced during discussions but the staff did not have any proposed input to “observe”
 - i. Having information on chapters 5, “SSC Categorization,” Chapter 6, “Safety-related SSC capabilities,” and Chapter 7, “Non-safety related with special treatment capabilities,” would have been helpful to assess level of detail provided for these chapters

- ii. In areas where another document is referenced or referred to, some sample content (e.g. a table of contents) would be useful in confirming that the total level of detail available for review is adequate for the staff to make findings on the proposed information.
 - c. It was not clear to the staff the extent to which the TICAP guidance document was used to support the tabletop. Most of the exercise discussion was on Chapter 2 and it was not clear to the staff how the TICAP guidance document was used to develop the content for this chapter.
- II. Does the NRC/INL have any suggestions for additional contexts that may be helpful to see in the tabletop report
 - a. Tech specs and other plant programs were referenced in the discussions on Chapter 8. It is unclear what guidance is going to be provided in TICAP regarding these topics. Southern has previously indicated that Tech Specs are outside the scope of the guidance it is developing. Having a clear understanding of what is and is not within the scope of TICAP is needed. Having information in the SAR (perhaps in chapters 5, 6, and 7) regarding associated technical specifications could obviate the need for a technical specification basis document.
 - b. For those guidance documents and plant programs that are not part of TICAP, and for which no development plans are scheduled, it would be beneficial to gather insights on what the industry plans to reference/rely upon for completion of that portion of the SAR (i.e., How to meet 10CFR 50.36(a))
- III. What other clarifying questions, if any, does the NRC have based on NRC/INL team observations
 - a. It would be helpful to provide a definition of what an “Affirmative Safety Case” is and the extent to which it will be described in the guidance document.
 - b. If we agree that Chapter 1 does not contain any licensing basis info that needs to be maintained or is part of change control process scope, no information that would be utilized by the staff in developing its findings should be included in Chapter 1 that is not provided elsewhere in the SAR (e.g., deviations/exceptions to the NEI 18-04 methodology were mentioned in Chapter 1 and those would likely be part of the licensing basis).
 - c. References to Southern Co. documents and DOE documents for additional guidance make the document less user friendly – relevant portions from these documents and/or examples from the LMP tabletops should be included in the TICAP guidance document. See related comment above (i.e., I.b.ii)
 - d. The NRC staff notes that it is important to reach a common understanding about where PRA-related information will be located in the application. To this end, it would be helpful to map each of the SRP Section 19.0 acceptance criteria to the various TICAP chapters. The NRC staff recognizes that some of these acceptance criteria do not apply to non-light water reactors, and that additional information will be provided that is specific to the use of PRA-related information in supporting implementation of the LMP process. The following table provides, as an example, an initial attempt at how this mapping could be

performed using the existing guidance from the SRP. The table is provided for illustrative purposes to assist in further refining the TICAP guidance document.

TICAP Chapter	PRA-Related Information
2 – Generic Analysis	SRP 19.0 Acceptance Criteria: 9 – PRA quality control 10- PRA technical adequacy 11 – Meet Capability Category I 12 – Prior NRC staff reviews, etc. 13 – Use of assumptions 18 – PRA maintenance process 19 – PRA maintenance and upgrade 20 – PRA maintenance and upgrade program 21 – Treatment of tornados 22 – Treatment of hurricane missiles
3 – Licensing Basis Events	Use of PRA-related information for LBE selection (specific to LMP)
4 – Integrated Evaluations	SRP 19.0 Acceptance Criteria: 1 – Use of PRA to identify vulnerabilities 2 – Demonstrate that the QHOs are met 3 – Demonstrate the the CPG is met 4 – Identify risk-informed safety insights 5 – TMI requirement to perform PRA (n/a) 6 – Use PRA results in an integrated fashion 7 – Importance analysis 8 – Uncertainty analysis 14 – PRA quantitative and qualitative results 15 – PRA includes internal floods and fires 16 – Reporting of significant risk contributors 17 – Definition of “significant” 23 – Containment structure integrity 24 - Containment structural integrity
5 – Safety Functions, Design Criteria, and SSC Classification	Use of PRA-related information for SSC classification (specific to LMP)