

Preliminary

ES-201 Examination Security Agreement Form ES-201-3

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 7-20-07-27-2020 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 7-20-07-27-2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Steven W. Pethig Jr.	Exam Author	<i>Steven W. Pethig Jr.</i>	12-5-19	<i>Steven W. Pethig Jr.</i>	9/12/20	
2. Timothy Johnson	Operations Instructor	<i>Timothy Johnson</i>	12-5-19	<i>Timothy Johnson</i>	8/12/20	
3. Kelly Salvati	Simulator System Admin	<i>Kelly Salvati</i>	12-5-19	<i>Kelly Salvati</i>	8/12/20	
4. Jim McGrisson	Simulator of S&P Source	<i>Jim McGrisson</i>	12-5-19	<i>Jim McGrisson</i>	8/12/20	
5. Shana Russo	Software Developer	<i>Shana Russo</i>	12-5-19	<i>Shana Russo</i>	8/12/20	
6. Todd Valley	Exam Author	<i>Todd Valley</i>	12-5-19	<i>Todd Valley</i>	8/12/20	
7. Tim Vercellone	Simulator Supervisor	<i>Tim Vercellone</i>	12-5-19	<i>Tim Vercellone</i>	8/12/20	
8. Scott Vince	Simulator Operator	<i>Scott Vince</i>	12-5-19	<i>Scott Vince</i>	8/12/20	
9. Tanya Ott	Simulator Admin	<i>Tanya Ott</i>	12-5-19	<i>Tanya Ott</i>	8/12/20	
10. Ira Bilancio	Simulator Software	<i>Ira Bilancio</i>	12-5-19	<i>Ira Bilancio</i>	8-18-20	
11. JOSH DAIL	OPERATIONS INSTRUCTOR	<i>JOSH DAIL</i>	12-5-19	<i>JOSH DAIL</i>	8-18-20	
12. MICHAEL SOLOVICH	ITS PCGA	<i>MICHAEL SOLOVICH</i>	12-5-19	<i>MICHAEL SOLOVICH</i>	8-22-20	
13. Tim Wice	Facility Reviewer	<i>Tim Wice</i>	12-5-19	<i>Tim Wice</i>	8/12/20	
14. Chris Peave	Exam Supervisor	<i>Chris Peave</i>	12-5-19	<i>Chris Peave</i>	8-12-20	
15. Peter Nov	Reader Operator	<i>Peter Nov</i>	12-5-19	<i>Peter Nov</i>	8/12/20	

NOTES:

* Signed via e-mail confirmation T Valley page 1

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2. Post-Examination

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>April Lloyd</u>	<u>SRD</u>	<u>April Lloyd</u>	<u>3/28/20</u>	<u>April Lloyd</u>	<u>8/2/20</u>	
2. <u>John Van Gemenen</u>	<u>RO</u>	<u>John Van Gemenen</u>	<u>2/28/20</u>	<u>John Van Gemenen</u>	<u>8/19/20</u>	
3. <u>Jeffrey Sims</u>	<u>Training Instructor</u>	<u>Jeffrey Sims</u>	<u>2/28/20</u>	<u>Jeffrey Sims</u>	<u>8/12/20</u>	
4. <u>Paul Sudarna</u>	<u>RO</u>	<u>Paul Sudarna</u>	<u>2/2/20</u>	<u>Paul Sudarna</u>	<u>8/14/20</u>	
5. <u>Ellie Indye</u>	<u>RCU</u>	<u>Ellie Indye</u>	<u>2/2/20</u>	<u>Ellie Indye</u>	<u>8/14/20</u>	
6. <u>Eric Beck</u>	<u>SRD</u>	<u>Eric Beck</u>	<u>3/4/20</u>	<u>Eric Beck</u>	<u>8/19/20</u>	
7. <u>Erin Bates</u>	<u>RO</u>	<u>Erin Bates</u>	<u>3/18/20</u>	<u>Erin Bates</u>	<u>8-20-20</u>	
8. <u>Sharon Evans</u>	<u>OTM</u>	<u>Sharon Evans</u>	<u>3-26-20</u>	<u>Sharon Evans</u>	<u>8-12-20</u>	
9. <u>Stephanie Meyer</u>	<u>SRD</u>	<u>Stephanie Meyer</u>	<u>4-1-20</u>	<u>Stephanie Meyer</u>	<u>1-10-20</u>	
10. <u>Janet Bunn</u>	<u>RO</u>	<u>Janet Bunn</u>	<u>4-1-20</u>	<u>Janet Bunn</u>	<u>8-13-20</u>	
11. <u>Bryan Nord</u>	<u>RO</u>	<u>Bryan Nord</u>	<u>4-1-20</u>	<u>Bryan Nord</u>	<u>8-20-20</u>	
12. <u>Earl Linthief</u>	<u>ASR</u>	<u>Earl Linthief</u>	<u>4-16-20</u>	<u>Earl Linthief</u>	<u>8-20-20</u>	
13. <u>Matt Sivik</u>	<u>RO</u>	<u>Matt Sivik</u>	<u>4-16-20</u>	<u>Matt Sivik</u>	<u>8-20-20</u>	
14. <u>Tim Schmitt</u>	<u>RO</u>	<u>Tim Schmitt</u>	<u>4/16/20</u>	<u>Tim Schmitt</u>	<u>8-20-20</u>	
15. <u>Chris Zeeb</u>	<u>RO</u>	<u>Chris Zeeb</u>	<u>04-23-2020</u>	<u>Chris Zeeb</u>	<u>08-11-2020</u>	

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>Janice Carter</u>	<u>U.S.</u>	<u>[Signature]</u>	<u>4-23-20</u>	<u>[Signature]</u>	<u>8-12-20</u>	
2. <u>Scott Barker</u>	<u>RO</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/19/20</u>	
3. <u>Greg Sanders</u>	<u>Unit Sup</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/12/20</u>	
4. <u>Jeff Simmons</u>	<u>Unit Sup</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/12/20</u>	
5. <u>Michael Wilson</u>	<u>RO</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/12/20</u>	
6. <u>Todd Kasper</u>	<u>US</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/12/20</u>	
7. <u>Scott Wiles</u>	<u>US</u>	<u>[Signature]</u>	<u>4/23/20</u>	<u>[Signature]</u>	<u>8/12/20</u>	
8. <u>TOD KASPER</u>	<u>OTM</u>	<u>[Signature]</u>	<u>6/10/20</u>	<u>[Signature]</u>	<u>8-12-20</u>	
9. <u>Garrett Wilson</u>	<u>RO</u>	<u>[Signature]</u>	<u>7/13/20</u>	<u>[Signature]</u>	<u>8-20-20</u>	
10. <u>Andy Gardner</u>	<u>LT SV</u>	<u>[Signature]</u>	<u>7/13/20</u>	<u>[Signature]</u>	<u>8-12-20</u>	
11. <u>Michael Wilson</u>	<u>RO</u>	<u>[Signature]</u>	<u>7/14/20</u>	<u>[Signature]</u>	<u>8-20-20</u>	
12. <u>John Dutton</u>	<u>US</u>	<u>[Signature]</u>	<u>7-15-2020</u>	<u>[Signature]</u>	<u>8-12-20</u>	
13. <u>Robert Bair</u>	<u>RO</u>	<u>[Signature]</u>	<u>7-15-20</u>	<u>[Signature]</u>	<u>8-13-20</u>	
14. <u>John Bair</u>	<u>US/RO</u>	<u>[Signature]</u>	<u>7/31/20</u>	<u>[Signature]</u>	<u>8-19-20</u>	
15. <u>Alexander Grayson</u>	<u>US/RO</u>	<u>[Signature]</u>	<u>7/31/20</u>	<u>[Signature]</u>	<u>8-12-20</u>	

NOTES:

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I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8-3-20 to 8-10-20 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>Jeffrey Kiniewicz</u>	<u>1st INSTRUCTOR</u>		<u>7/31/20</u>		<u>8/24/20</u>	
2. <u>Scott Hohl</u>	<u>1st INSTRUCTOR</u>		<u>7/31/20</u>		<u>8/24/20</u>	
3. <u>Theresa Hrabovitch</u>	<u>1LT SR Admin</u>		<u>8/3/20</u>		<u>8/12/20</u>	
4. <u>KENNETH LEWIS</u>	<u>1LT INSTRUCTOR</u>		<u>8/4/20</u>		<u>8/12/20</u>	
5. <u>BOB BRANCH</u>	<u>1LT INSTRUCTOR</u>		<u>8/7/20</u>		<u>8/12/20</u>	
6. <u>KENNETH LEWIS</u>	<u>1LT INSTRUCTOR</u>		<u>8/7/20</u>		<u>8/12/20</u>	
7. _____	_____	_____	_____	_____	_____	_____
8. _____	_____	_____	_____	_____	_____	_____
9. _____	_____	_____	_____	_____	_____	_____
10. _____	_____	_____	_____	_____	_____	_____
11. _____	_____	_____	_____	_____	_____	_____
12. _____	_____	_____	_____	_____	_____	_____
13. _____	_____	_____	_____	_____	_____	_____
14. _____	_____	_____	_____	_____	_____	_____
15. _____	_____	_____	_____	_____	_____	_____

NOTES:

Todd J Valley

From: Horton, J R <J.Horton@duke-energy.com>
Sent: Wednesday, August 19, 2020 12:29 PM
To: Todd J Valley
Subject: [EXTERNAL] RE: DC Cook Initial License exam Security

This is an **EXTERNAL** email. **STOP. THINK** before you **CLICK** links or **OPEN** attachments. If suspicious please click the '**Report to Incidents**' button in Outlook or forward to incidents@aep.com from a mobile device.

I did NOT divulge exam related information: 2020 DC Cook Station NRC Exam Completion

From: Todd J Valley [mailto:tjvalley@aep.com]
Sent: Wednesday, August 19, 2020 8:46 AM
To: Horton, J R <J.Horton@duke-energy.com>
Subject: [EXTERNAL] DC Cook Initial License exam Security

***** CAUTION! EXTERNAL SENDER *** STOP & THINK!** Do you know and trust this sender? Were you expecting this email? Are grammar and spelling correct? Does the content make sense? If suspicious, then do not click links, open attachments or enter your ID or password.

Richard,

The NRC license exam has been completed for DC Cook as of 8-12-2020 and security has been released. You were on exam security due to exam room access for our 7.11.11 inspection I believe?

If you could send an email stating you have not divulged any exam info I will sign the ES 201-3 stating such and include your e-mail as part of the closeout.

Thank you,
Todd

Looks like 16 for 16 as far as the students