

| Facility: <u>Prairie Island</u>  |   | Date of Examination: <u>8/17/2020</u> |
|--|---|---------------------------------------|
| Developed by: Written: Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/> // Operating: Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/>   |   |                                       |
| Target Date*   | Task Description (Reference)  | Chief Examiner's Initials             |
| 12/21  | 1. Examination administration date confirmed (C.1.a; C.2.a-b). For NRC-prepared exams, arrangements are made for the facility to submit reference materials (C.1.e; C.3.c; Attachment 3).   | GWC                                   |
| 1/20<br>10/12  | 2. NRC examiners and facility contact assigned (C.1.d; C.2.f).  | GWC                                   |
| 1/20<br>10/12  | 3. Facility contact briefed on security and other requirements (C.2.c). As applicable, the facility contact submits to the NRC any prescreened K/As for elimination from the written examination outline, with a description of the facility's prescreening process (ES-401, D.1.b).  | GWC                                   |
| N/A  | 4. <del>Reference material due for NRC-prepared exams (C.1.e; C.3.c; Attachment 3).</del>   | N/A                                   |
| 1/21   | 5. Corporate notification letter sent (C.2.e).  | GWC                                   |
| 2/4<br>10/21   | 6. NRC-developed written examination outline (ES-401-1/2 or ES-401N-1/2 and ES-401-3 or ES-401N-3) sent to facility contact (must be on the exam security agreement) (C.1.e-f; C.2.h; C.3.d-e).   | GWC                                   |
| 3/16   | 7. Operating test outline(s) and other checklists due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, and ES-D-1, as applicable (C.1.e-f; C.3.d-e).  | GWC                                   |
| 4/3  | 8. Operating test outline(s) reviewed by the NRC and feedback provided to facility licensee (C.2.h; C.3.d-e).   | GWC                                   |
| 6/3  | 9. Proposed examinations (written, JPMs, and scenarios, as applicable) and outlines (Forms ES-301-1, ES-301-2, ES-D-1, ES-401-1/2 or ES-401N-1/2, and ES-401-3 or ES-401N-3); supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, ES-401-6, ES-401N-6, and any Form ES-201-2 and ES-201-3 updates); and reference materials due (C.1.e-h; C.3.d). | GWC                                   |
| N/A  | 10. <del>Examinations prepared by the NRC are approved by the NRC supervisor and forwarded for facility licensee review (C.1.i; C.2.h; C.3.f-g).</del>  | N/A                                   |
| 6/18   | 11. Preliminary waiver/excusal requests due (C.1.m; C.2.c; ES-202).   | GWC                                   |
| 6/19   | 12. Written exam and operating test reviews completed (C.3.f).  | GWC                                   |
| 7/13   | 13. Examination review results discussed between the NRC and facility licensee (C.1.i; C.1.k-l; C.2.h; C.3.g). The NRC and the facility licensee conduct exam preparatory week.   | GWC                                   |
| 7/18   | 14. Preliminary license applications and waiver/excusal requests, as applicable (NRC Form 398) due (C.1.m; C.2.i; ES-202).  | GWC                                   |
| 8/3  | 15. Final license applications and waiver/excusal requests, as applicable (NRC Form 398), due and Form ES-201-4 prepared (C.1.m; C.2.k; ES-202).  | GWC                                   |
| 8/10   | 16. Written examinations and operating tests approved by the NRC supervisor (C.2.j-k; C.3.h).   | GWC                                   |
| 8/10   | 17. Request facility licensee management feedback on the examination (C.2.l).   | GWC                                   |
| 8/10   | 18. Final applications reviewed; one or two (if more than 10) applications audited to confirm qualifications/eligibility; and examination approval and waiver/excusal letters sent (C.2.k; Attachment 5; ES-202, C.3.j; ES-204).  | GWC                                   |
| 8/10   | 19. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k).  | GWC                                   |
| 8/10   | 20. Approved scenarios and job performance measures distributed to NRC examiners (C.3.i).   | GWC                                   |
| * Target dates are based on facility-prepared examinations and the examination date identified in the corporate notification letter. These dates are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee. |   |                                       |