

EXAM ASSIGNMENT TICKLER

Chief:	C. Osterholtz	Facility:	CPNPP	Date of Written Exam:	5/21/2020
U/I:		Start of Op Test:	5/21/2020	End of Op Test:	5/21/2020
Written Exam Developed By: Facility			Operating Test Developed By: N/A		
Due Date	Description	Date Complete	Initials	Notes	
9/19/2019	Examination administration date confirmed	1/7/20	CWO	For NRC prepared exams, arrangements made for the facility to submit reference materials	
10/24/2019	NRC examiners and facility contact assigned	1/7/20	CWO		
10/24/2019	Facility contact briefed on security and other requirements	1/7/20	CWO		
10/24/2019	Reference material due for NRC prepared exams	N/A	N/A	ES-201 Att-3	
10/24/2019	Corporate Notification Letter sent	1/7/20	CWO	ES-201 Att-4 produced by CE	
11/7/2019	NRC developed written examination outline sent to facility contact	1/7/20	CWO	ES-401-1/2 and ES-401-3	
N/A	Operating test outline(s) and other checklists due	N/A	N/A	ES-201-2, 201-3, 301-1, 301-2, 301-5, D-1	
N/A	Operating test outline(s) reviewed by NRC and feedback provided to facility licensee	N/A	N/A	ES-201-2 signed by CE & BC	
3/5/2020	Proposed exams, outlines, supporting documentation, and reference materials due.	3/5/20	CWO	Exams prepared by the NRC are approved by BC and forwarded for facility licensee review	
N/A	Peer review of written exam complete	N/A	N/A	Document review on ES-401-9	
4/2/2020	Written exam and operating test reviews completed	4/2/20	CWO	Document review on ES-401-9	
4/16/2020	Feedback approved by Branch Chief	4/16/20	CWO		
4/16/2020	Examination review results discussed between NRC and facility licensee	4/16/20	CWO		
4/23/2020	Preliminary license applications & waiver/excusal requests due	4/23/20	CWO	NRC Forms 398/396	
N/A	On-site validation & 10% audit of license applications	N/A	N/A		
4/30/2020	Preliminary license applications and waivers reviewed	4/30/20	CWO		
5/7/2020	Final license applications & waiver/excusal requests due	5/7/20	CWO	ES-201-4 prepared by LA	
5/14/2020	Written exams and operating tests approved by Branch Chief	5/14/20	CWO		
5/14/2020	Request facility licensee management feedback on the exam	5/14/20	CWO		
5/14/2020	Final applications reviewed and audited; exam approval and waiver/excusal letters sent	5/14/20	CWO	LA produces / BC signs Exam Approval Letter (ES-201 Att-5)	
5/14/2020	Proctoring/written exam administration guidelines reviewed with facility licensee	5/20/20	CWO		
N/A	Approved scenarios and JPMs distributed to examiners	N/A	N/A		
N/A	Administer Operating Test written exam	5/21/20	CWO		
5/28/2020	Examiner's document op test results on ES 303's	5/27/20	CWO		
6/10/2020	Post exam comments and graded exams received	5/27/20	CWO	ES-403-1 to BC	
6/18/2020	Post exam written comments reviewed and incorporated and NRC grading completed	5/27/20	CWO		
6/18/2020	Post exam operating test comments reviewed and ES-303-1 updated	N/A	N/A		
6/25/2020	Chief examiner review of operating test and/or written exam grading completed	5/27/20	CWO	Signed ES 303's to BC	
7/2/2020	Branch Chief review of exam results completed	6/20/20	CWO		
7/9/2020	Waivers/deferrals reviewed for impact on licensing decision	N/A	N/A		
7/9/2020	License/Proposed Denial letters mailed; Facility notified of results	6/20/20	CWO		
7/9/2020	RPS/IP number of examinees updated	6/20/20	CWO	print Report-21	
7/30/2020	Final Denial Letters mailed (if no request for informal review)	N/A	N/A	21 days after proposed denial letters mailed.	
8/6/2020	Examination Report Issued	6/2/20	CWO	produced by CE	
N/A	Ref Mat'l Returned after Final Resolution of Appeals	N/A	N/A		
9/10/2020	SUNSI checklist complete and exam docs to ADAMS	6/20/20	CWO	SUNSI checklist to LA	
Replaces NUREG-1021, Revision 11, Forms ES-201-1 and ES-501-1					

Facility: CPNPP		Date of Exam: 05/21/2020		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>		
Item Description				Initial		
				a	b*	c*#
1. Questions and answers are technically accurate and applicable to the facility.				JR	BE	ced
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available. c. Correct answer explanation and distractor analysis provided (ES-401, D.2.g)				JR	BE	ced
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401				JR	BE	ced
4. The sampling process was random and systematic. (If more than four RO or two SRO questions were repeated from the last two NRC licensing exams, consult the NRR/NRO OL program office).				JR	BE	ced
5. Question duplication from the licensee screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate. <input type="checkbox"/> The audit exam was systematically and randomly developed, or <input type="checkbox"/> the audit exam was completed before the license exam was started, or <input type="checkbox"/> the examinations were developed independently, or <input checked="" type="checkbox"/> the licensee certifies that there is no duplication, or <input type="checkbox"/> other (explain).				JR	BE	ced
6. Bank use meets limits (no more than 75% from the bank, at least 10% new, and the rest new or modified); enter the actual RO/SRO-only question distribution(s) at right.				Bank	Modified	New
				47/12	15/6	13/7
7. Between 38 and 45 questions of the questions on the RO exam and at least 13 questions of the questions on the SRO-only portion of the exam are written at the comprehension/analysis level (see ES-401, D.2.c); enter the actual RO/SRO-only question distribution(s) at right.				48/14 Memory	14/4 C/A	N/A
				35/7 36/7	40/18 39/18	JR
8. References/handouts provided do not give away answers or aid in the elimination of distractors.				JR	BE	ced
9. Question content conforms to specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.				JR	BE	ced
10. Question psychometric quality and format meet the guidelines in Appendix B.				JR	BE	ced
11. The exam contains the required number of one-point, multiple-choice items; the total is correct and agrees with the value on the cover sheet.				JR	BE	ced
Printed Name/Signature				Date		
a. Author	Jordan Rush			3/19/2020		
b. Facility Reviewer (*)	Brian Edwards			3/19/2020		
c. NRC Chief Examiner (#)	C. Osterholtz			5/12/20		
d. NRC Regional Supervisor	Gregory E. Werner			05/12/2020		
Note: * The facility reviewer's initials or signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initials items in Column "c"; chief examiner concurrence is required.						

Facility: <u>CPNPP</u>		Date of Exam: <u>5/21/20</u>		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>	
Item Description	Initials				
	a	b	c		
1. Clean answer sheets copied before grading	JR	MT	CO		
2. Proposed answer key changes and question deletions justified and documented (facility reviewer initials not required (N/R) if NO post-examination comments are submitted)	JR	N/A	N/A		
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	JR	MT	CO		
4. Grading for all borderline cases (80% \pm 2% overall and 70% or 80%, as applicable, \pm 4% on the SRO-only exam) reviewed in detail	N/A	N/A	N/A		
5. All other failing examinations checked to ensure that grades are justified	N/A	N/A	N/A		
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by one-half or more of the applicants	JR	MT	CO		

	Printed Name/Signature	Date
a. Grader	<u>Jordan Ruby / JR</u>	<u>5/27/20</u>
b. Facility Reviewer(*)	<u>Scott Helm / MT</u>	<u>5/20/20</u>
c. NRC Chief Examiner (*)	<u>Clyde Osterholtz / CC</u>	<u>5/29/2020</u>
d. NRC Supervisor (*)	<u>Gregory E. Werner / Gregory E. Werner</u>	<u>06/02/2020</u>

(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.

IP 21 Operator Licensing Exam Schedule

From 10/01/2019 to 09/30/2020

Phase Code: 2 - Construction, 5 - Operational

Region: 4

		# Candidates								
Exam Week	Site / Docket # / Insp Rpt #	RO	LSRO	SROI	SROU	Type	Exam Author	Chief Examiner	Examiners Assigned	
10/13/2019	Comanche Peak / 05000445 / 2020301 CAC #: 000491	1	0	1	2	FB-OR- EXAM RETAKE (EXRT)	NFF	Osterholtz, Clyde	Doyle,Matthew Kirkland,John Osterholtz,Clyde	

Sites: CP

Orgs: ALL

Exam Author: ALL

Activity Codes: EXAD, EXRT, NEXAD, NOV, OV

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of May 18, 2020 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of May 18, 2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Jordan Ruby	Exam Author		12/17/19		5/21/20	
2. Carrie Hamilton	Department Coordinator		12/17/19		5/26/20	
3. Hender Schill	OPS Support Manager		12/17/19		5/26/20	
4. Brian Edwards	SRO		12/17/19		5/26/20	
5. Scott Helton	SRO / Exam Support		12/17/19		5/26/20	
6. Bill Gross	Contractor		1/7/20		5/26/20	
7. MARK MESWARS	SIM		1/28/20		5/26/20	
8. DAVID GOODMAN	SIM		1/28/20		5/26/20	
9. Karen Uehlein	Admin		1/28/20		5/26/20	
10. Bill Markham	Instructor ISTP		2/17/20		5/26/20	
11. Christian Miller	Lead Operator		2/17/20		5/26/20	
12. LASEY DAVIES	SRO		2/17/20		6/2/20	
13. Brian Mitchell	SIM		2/17/20		6-2-20	
14. Jim Mitchell	SRO		2-17-20		6-2-20	
15. Michael Evans	SRO		2-17-20		5/26/20	

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2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of May 18, 2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. DUSTIN C. MARTIN	Reactor OPERATOR		2/17/2020		6/2/20	
2. Johnathon S. Williams	Reactor OPERATOR		2/18/20		6/2/20	
3. Tom Morales	OTM		2/18/20		5/26/20	
4. Sean Woods	SRO		3/3/20		6/2/20	
5. JASON PERRY	REACTOR OPERATOR		3/3/20		6/2/20	
6. Domenic Ferrand	SRO		3/3/20		5/26/20	
7. Brandon Martin	RO		3/3/20		6/2/20	
8. Christopher G. Metz	Ops Supv Man (SRO)		3/11/2020		5/27/20	
9. Barton J. Smith	SRO		3/11/2020		5/27/20	
10. Robert V. J. Daniels	Chem/Env Manager (SRO)		3/12/20		5/26/20	
11. JEFF GORDY	RO		4-8-20		5-27-20	
12. Evan Jones	SRO		4/8/20		5/27/20	
13. Aaron Kettering	RO		4/8/20		5/28/20	
14. John Fallerich	SRO		4/8/20		5/27/20	
15. RYAN BURKE	SRO		4/30/20		6/2/20	

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2. Post-Examination

ES-201, Page 31 of 31 To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of May 18, 2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>CLOUD, JAMES C.</u>	<u>SRO</u>	<u>[Signature]</u>	<u>4/30/20</u>	<u>[Signature]</u>	<u>6/2/20</u>	
2. <u>Tannacchino, Kyle D</u>	<u>SRO</u>	<u>[Signature]</u>	<u>4/30/20</u>	<u>[Signature]</u>	<u>5/27/20</u>	
3. <u>Loren Stuck</u>	<u>SM</u>	<u>[Signature]</u>	<u>5-2-20</u>	<u>[Signature]</u>	<u>6/2/20</u>	
4. <u>Rucker, Scott</u>	<u>SRO</u>	<u>[Signature]</u>	<u>5-2-20</u>	<u>[Signature]</u>	<u>6/2/20</u>	
5. <u>Alan Marchloff</u>	<u>Training Director</u>	<u>[Signature]</u>	<u>5/4/20</u>	<u>[Signature]</u>	<u>5/6/20</u>	
6. <u>James Tank</u>	<u>Instructor</u>	<u>[Signature]</u>	<u>5/7/20</u>	<u>[Signature]</u>	<u>5/6/20</u>	
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