



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

MAY 02 1985

PDR  
014

Lynne Bernabei, Esquire  
General Counsel  
Government Accountability Project  
1555 Connecticut Avenue, NW  
Suite 202  
Washington, DC 20036

IN RESPONSE REFER  
TO FOIA-84-717

Dear Ms. Bernabei:

This is in response to your letter dated August 29, 1984, in which you requested, pursuant to the Freedom of Information Act (FOIA), documents concerning investigations conducted by the Office of Investigations at the Waterford Steam Electric Station, Unit 3.

The documents listed on Appendix A are being placed in the NRC Public Document Room (PDR), 1717 H Street, NW, Washington, DC. You may obtain access by presenting a copy of this letter or by requesting folder FOIA 84-717 in your name.

Portions of the documents listed on Appendix B are being withheld pursuant to the exemptions noted next to each document. The nonexempt portions of these documents are being placed in the NRC PDR.

The documents listed on Appendix C are being withheld in their entirety pursuant to the exemptions noted next to each document.

Information being withheld pursuant to Exemptions (6), (7)(A), (7)(C), and (7)(D) of the FOIA (5 U.S.C. 552(b)(6), (7)(A), (7)(C), and (7)(D)) and 10 CFR 9.5(a)(6), (7)(i), (7)(iii), and (7)(iv) of the Commission's regulations consists of records compiled for law enforcement purpose, the disclosure of which would interfere with ongoing investigations, cause clearly unwarranted invasions of personal privacy, and would disclose the identities of confidential sources.

Information being withheld from public disclosure pursuant to Exemption (5) of the FOIA (5 U.S.C. 552(b)(5)) and 10 CFR 9.5(a)(5) of the Commission's regulations consists of drafts containing preliminary advice, opinions, and recommendations of members of a Field Office to the Director, Office of Investigations. Disclosure would inhibit the frank and candid exchange of communications in future deliberations and thus would not be in the public interest. There are no reasonably segregable factual portions because release of the facts would permit an indirect inquiry into the predecisional process of the agency.

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Lynne Bernabei, Esquire

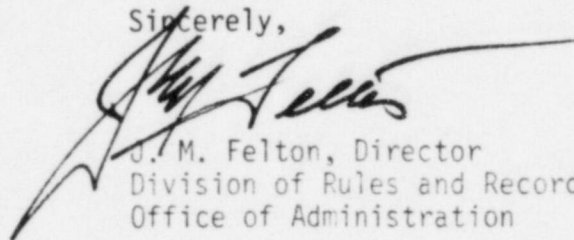
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Pursuant to 10 CFR 9.15 of the Commission's regulations, it has been determined that the information withheld is exempt from production or disclosure and that its production or disclosure is contrary to the public interest. The person responsible for this denial is Ben B. Hayes, Director, Office of Investigations.

This denial may be appealed to the Commission within 30 days from the receipt of this letter. Any such appeal must be in writing, addressed to the Secretary of the Commission, U. S. Nuclear Regulatory Commission, Washington, DC 20555, and should clearly state on the envelope and in the letter that it is an "Appeal from an Initial FOIA Decision."

The search for and review of additional documents subject to this request are continuing. We will notify you when our search and review efforts are completed.

Sincerely,



J. M. Felton, Director  
Division of Rules and Records  
Office of Administration

Enclosures:  
As stated

APPENDIX A

1. Undated Allegation Data Form (1 page)
2. Undated Allegation Data Form (1 page)
3. Undated Allegation Data Form (1 page)
4. Undated Allegation Data Form (1 page)
5. Undated Allegation Data Form (1 page)
6. Undated Allegation Data Form (1 page)
7. Undated Allegation Data Form (1 page)
8. Undated Allegation Data Form (1 page)
9. Undated Allegation Data Form (1 page)
10. 07/25/83 Memorandum for Palladino from Hayes w/o attachment  
(1 page)
11. 07/26/83 Memorandum Closing Inquiry (1 page)
12. 12/20/83 Transmittal Sheet to Ward from Herr (1 page)
13. 12/21/83 Memorandum for Palladino from Hayes w/o attachment  
(1 page)
14. 01/16/84 Letter from Udall to Palladino with attachment (4 pages)
15. 02/21/84 Letter from Udall to Palladino with attachment (7 pages)
16. 02/24/84 Memorandum for Palladino from Hayes w/o attachment  
(1 page)
17. 02/28/84 Letter from Kohl to Hayes (1 page)
18. 03/12/84 Memorandum for Multiple Addressees from Dircks  
(3 pages)
19. 03/26/84 Memorandum for Palladino from Hayes w/o attachment  
(1 page)
20. 03/26/84 Memorandum for Palladino from Hayes w/o attachment (1 page)
21. 03/26/84 Memorandum for Palladino from Hayes w/o attachment (1 page)
22. 04/13/84 Letter for Johnson and Wilber from Hayes (3 pages)
23. 08/13/84 Note to B. Hayes from R. Herr (1 page)
24. 8/17/84 Note to Haller from Hayes (4 pages)



APPENDIX B

WITHHOLDING EXEMPTION NO.

1. Investigator's Handwritten Notes 2/01/83, 3/09/83 and 3/10/83 (3 pages) 7C & 6
2. Letter from an Individual (1 page) 7C
3. Memorandum Regarding Allegation (2 pages) 7C
4. Memorandum Regarding NRC IE Information Notice 81-22 (1 page) 7C
5. Letter Regarding Incident (2 pages) 7C
6. Memorandum Regarding Alleged Harassment (1 page) 7C
7. Investigator's Handwritten Notes-Undated, 01/08/83, 02/08/83, 06/27/83, and 07/22/83 (15 pages) 7C & D
8. Undated Allegation Review Form (1 page) 7C
9. Undated Memorandum from W. A. Crossman to E. H. Johnson (1 page) 7C
10. Undated Quality Assurance Certification Record (2 pages) 7C
11. Undated Allegation Data Form (1 page) 7A
12. 08/03/82 Note to D. Driskill from L. Constable Regarding an Individual (3 pages) 7C
13. 08/17/82 Report of Inquiry No. Q-4-82-014 (1 page) 7C
14. 10/08/82 Report of Inquiry No. Q-4-82-023 (1 page) 7C
15. 01/31/83 Handwritten Memo to NRC Resident Inspector (1 page) 7C
16. 02/ /83 Memorandum to E. Johnson from G. L. Constable Regarding Allegation of QC Inspector (1 page) 7C
17. 02/15/83- Investigation Status Record 7A  
08/31/84

Re: FOIA-84-717

WITHHOLDING EXEMPTION NO.

18.	03/11/83	Speed Letter to OIFO:RIV (1 page)	7C
19.	03/17/83	Report of Inquiry No. Q4-83-006 (2 pages)	7C
20.	07/83- 02/29/84	Investigation Status Record (1 page)	7D
21.	07/20/83- 08/31/84	Investigation Status Record (3 pages)	7A
22.	07/22/83	Confidentiality Agreement (1 page)	7D
23.	11/01/83	Report of Inquiry No. Q4-83-015 (2 pages)	7C & D
24.	12/13/83	Memorandum from Region IV Administrator Regarding Waterford Allegations (2 pages)	7A
25.	12/13/83	Memorandum from Region IV Enforcement Officer Regarding Waterford Allegations (2 pages)	7A & C
26.	12/15/83- 08/31/84	Investigation Status Report (2 pages)	7A
27.	12/27/83	Letter from Confidential Source to NRC OIF Office Director (1 page)	7D
28.	01/10/84- 01/31/84	Investigation Status Record (1 page)	7A
29.	02/01/84	Investigation Status Record (1 page)	7A
30.	02/24/84	Report of Inquiry Supplement No. Q4-83-015(S) (2 pages)	7C & D
31.	02/27/83- 03/31/84	Investigation Status Record (1 page)	7A
32.	03/06/84	Confidentiality Agreement of Allegor (1 page)	7A & D
33.	03/12/84	Memorandum for Denton from Hayes (1 page)	7A, C & 6

Re: FOIA-84-717

WITHHOLDING EXEMPTION NO.

- |     |                       |   |    |
|-----|-----------------------|---|----|
| 34. | 03/16/84              | Memorandum from Region IV Administrator<br>Regarding Waterford Allegation 4-83-A-86<br>(1 page) | 7A |
| 35. | 03/16/84-<br>08/31/84 | Investigation Status Record (2 pages)   | 7A |
| 36. | 03/16/84-<br>08/31/84 | Investigation Status Record (2 pages)   | 7A |
| 37. | 03/16/84-<br>08/31/84 | Investigation Status Record (2 pages)   | 7A |
| 38. | 05/31/84              | Letter to an Individual from D. Smith<br>(1 page)   | 7C |



APPENDIX C

WITHHOLDING EXEMPTION NO.

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|-----|--|--------------|
| 1.  | Letter to Louisiana Power and Light Company from Ebasco Services Inc. (2 pages)                  | 7A           |
| 2.  | Interoffice Memorandum (1 page)  | 7A           |
| 3.  | Letter from Ebasco to LP&L (1 page)  | 7A           |
| 4.  | Letter from Ebasco to LP&L (1 page)  | 7A           |
| 5.  | Interoffice Memorandum of Resignation of an Individual (1 page)                                  | 7C           |
| 6.  | Letter from Licensee (1 page)  | 7A           |
| 7.  | Letter from Alleger (2 pages)  | 7A           |
| 8.  | Sworn Statement of Alleger (pages 1-174)   | 7A, C & D    |
| 9.  | Cont'd Sworn Statement of Alleger (pages 175-240)  | 7A, C & D    |
| 10. | Investigator's Handwritten Notes-undated, 4/6/80-10/07/82, undated, undated, & 8/13/82 (5 pages) | 7A, C & 6    |
| 11. | Letter to Louisiana Power & Light from an Individual (2 pages)                                   | 7A           |
| 12. | Traveler with Traveler Supplement Sheet (5 pages)  | 7A           |
| 13. | Data Sheet Nos. 3, 5, & 7 (3 pages)  | 7A           |
| 14. | Sworn Statement of Alleger (pages 1-81)  | 7A, C & D    |
| 15. | Sworn Statement of Confidential Source (pages 1-123)   | 7A, C, D & 6 |
| 16. | 12/29/80 Exhibit (1) Sworn Statement (66 pages)  | 7A           |
| 17. | 09/19/82 Exhibit (2) Sworn Statement (8 pages)   | 7A           |

APPENDIX C

(Continued)

WITHHOLDING EXEMPTION NO.

18.	07/14/82	Exhibit (3) Sworn Statement (3 pages)	7A, C & D
19.	09/21/82	Exhibit (4) Sworn Statement (2 pages)	7A & D
20.	01/28/83	Exhibit (5) Sworn Statement (13 pages)	7A
21.	01/23/83	Exhibit (6) Sworn Statement (6 pages)	7A
22.	02/05/83	Exhibit (7) Sworn Statement (2 pages)	7A & D
23.	09/16/82	Exhibit (8) Sworn Statement (2 pages)	7A & C
24.	Undated	Exhibit (9) Sworn Statement (3 pages)	7A
25.	11/05/82	Exhibit (10) Sworn Statement (3 pages)	7A, C & D
26.	09/17/82	Exhibit (11) Sworn Statement (3 pages)	7A & C
27.	10/27/82	Exhibit (12) Sworn Statement (4 pages)	7A & D
28.	12/10/82	Exhibit (13) Sworn Statement (2 pages)	7A
29.	02/20/83	Exhibit (14) Sworn Statement (4 pages)	7A & D
30.	01/31/83	Exhibit (15) Sworn Statement (2 pages)	7A & D
31.	09/30/82	Exhibit (16) Sworn Statement (2 pages)	7A
31.	02/05/83	Exhibit (17) Sworn Statement (2 pages)	7A & D
33.	08/31/82	Exhibit (18) Sworn Statement (4 pages)	7A
34.	07/15/80	Exhibit (19) Sworn Statement (29 pages)	7A
35.	02/24/82	Exhibit (20) Sworn Statement (4 pages)	7A
36.	01/25/80	Exhibit (21) Sworn Statement (6 pages)	7A
37.	05/31/82	Exhibit (22) Sworn Statement (7 pages)	7A
38.	07/16/81	Exhibit (23) Sworn Statement (56 pages)	7A
39.	12/13/82	Exhibit (24) Sworn Statement (2 pages)	7A



APPENDIX C

(Continued)

WITHHOLDING EXEMPTION NO.

40.	Undated	Exhibit (25) Sworn Statement (9 pages)	7A
41.	11/26/82	Exhibit (26) Sworn Statement (4 pages)	7A
42.	Undated	Exhibit (27) Sworn Statement (3 pages)	7A
43.	04/08/83	Exhibit (28) Sworn Statement (7 pages)	7A
44.	11/05/82	Exhibit (29) Sworn Statement (3 pages)	7A
45.	09/26/82	Exhibit (30) Sworn Statement (9 pages)	7A
46.	10/01/82	Exhibit (31) Sworn Statement (8 pages)	7A
47.	03/22/83	Exhibit (32) Sworn Statement (6 pages)	7A
48.	03/04/83	Exhibit (33) Sworn Statement (6 pages)	7A
49.	10/24/82	Exhibit (34) Sworn Statement (6 pages)	7A
50.	Undated	Exhibit (35) Sworn Statement (3 pages)	7A
51.	12/01/82	Exhibit (36) Sworn Statement (4 pages)	7A
52.	12/04/80	Exhibit (37) Sworn Statement (11 pages)	7A
53.	10/13/82	Exhibit (38) Sworn Statement (11 pages)	7A
54.	02/24/82	Exhibit (39) Sworn Statement (2 pages)	7A & D
55.	06/06/83	Exhibit (40) Sworn Statement (6 pages)	7A & C
56.	12/15/75	Exhibit (41) Sworn Statement (4 pages)	7A
57.	12/31/75	Exhibit (42) Sworn Statement (4 pages)	7A
58.	06/09/83	Exhibit (43) Sworn Statement (7 pages)	7A
59.	Undated	Exhibit (44) Sworn Statement (1 page)	7A
60.	Undated	Tapes of Sworn Statement (3 tapes)	7A, C, D & 6

APPENDIX C

(Continued)

WITHHOLDING EXEMPTION NO.

61.	Undated	OI Report of Investigation with Related Exhibits (Draft) (231 pages)	7A & 5
62.	Undated	OI Director's Transmittal Letter for Report of Investigation 4-83-080 (Draft) (2 pages)	7A & 5
63.	Undated	Investigator's Handwritten Notes (22 pages)	7A & C
64.	Undated	Resume of an Individual (2 pages)	7C
65.	Undated	Q.C. Inspection Acceptance Stamp Issue Record (3 pages)	7A
66.	Undated	Handwritten Memo from Confidential Source with Attached Printed Transcription for Clarity Purposes (8 pages)	7A & D
67.	09/26/77	Measuring and Test Equipment Calibration Records (44 pages)	7A
68.	12/30/78	Letter to K. V. Seyfrit from an Individual (2 pages)	7A
69.	08/31/79	Letter to K. V. Seyfrit from an Individual (1 page)	7A
70.	07/18/83	Record of Conversation with Confidential Source (1 page)	7A & D
71.	07/20/83	Handwritten Notes by D. D. Driskill (5 pages)	7A
72.	09/02/83	Metrology Customer Service Report (1 page)	7A
73.	12/12/83	Name and Address List (1 page)	7C & D
74.	01/26/84	Report of Inquiry No. Q4-84-005 (1 page)	7A
75.	02/07/84	Envelope from Allegor (1 page)	7A
76.	02/15/84	Report of Inquiry No. Q4-84-009 (1 page)	7A
77.	02/15/84	Handwritten Statement of Confidential Source (7 pages)	7A & D

APPENDIX C

(Continued)

WITHHOLDING EXEMPTION NO.

78.	02/21/84	Return Mail Receipt (1 page)	7A
79.	03/13/84	Report of Inquiry No. Q4-84-017 (1 page)	7A & D
80.	03/13/84	Mail Control Form w/facsimile Transmittal to Hayes from Eisenhower Transmitting a Memorandum with Attachments (10 pages)	7A, C, D & 6
81.	03/19/84	Handwritten Statement of an Individual (93 pages)	7A
82.	03/20/84	Handwritten Statement of an Individual (6 pages)	7A
83.	03/20/84	Qualification Test Record of an Individual (1 page)	7A
84.	04/10/84	Information Request W.A.T. Support (1 page)	7A
85.	05/03/84	Information for a Briefing (1 page)	7A
86.	05/03/84	Information for a Briefing (2 pages)	7A, C, D & 6
87.	05/03/84	Information for a Briefing (1 page)	7A
88.	05/03/84	Information for a Briefing (1 page)	7A
89.	05/03/84	Information for a Briefing (1 page)	7A
90.	05/03/84	Information for a Briefing (1 page)	7A