

VOID SHEET

TO: License Fee Management Branch

FROM: RIII - Lidger Watson

SUBJECT: VOIDED APPLICATION

Control Number: 302314

Applicant: Peak Ridge Iron Ore Co, Inc.

License Number: 24-08918-01

Docket Number: 030-05106

Date Voided: 5/22/97

Reason for Void: Change of ownership

did not require an amendment to the  
license.

Lidger Watson  
Signature

5/22/97  
Date

Attachment:  
Official Record Copy of  
Voided Action

FOR LFMB USE ONLY

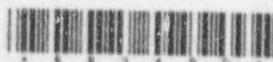
- ☐ Refund Authorized and processed
- ☒ No Refund Due
- ☒ Fee Exempt or Fee Not Required

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Log completed ☒

Processed by: SAC 6/9/97

120013



21  
ML  
30  
SD

BETWEEN:

License Fee Management Branch, ARM  
and  
Regional Licensing Sections

(FOR LFMS USE)  
INFORMATION FROM LTS

Program Code: 03120  
Status Code: 0  
Fee Category: 3P  
Exp. Date: 20041031  
Fee Comments:  
Decom Fin Assur Req'd: N

SS

LICENSE FEE TRANSMITTAL

A. REGION

1. APPLICATION ATTACHED

Applicant/Licensee: PEA RIDGE IRON ORE CO., INC.  
Received Date: 970129  
Docket No: 3005106  
Control No.: 302314  
License No.: 24-08918-01  
Action Type: Amendment

2. FEE ATTACHED

Amount:                       
Check No.:                     

3. COMMENTS

Signed D. Husey  
Date 2-18-97

B. LICENSE FEE MANAGEMENT BRANCH (Check one: Fee Not Required ☒ / Fee Required ☐

1. Fee Category and Amount: 3P

2. Correct Fee Paid. Application may be processed for:

Amendment                       
Renewal                       
License                     

3. OTHER                     

Signed AC  
Date 2/20/97

MAR 03 1997

|                  |                             |
|------------------|-----------------------------|
| Log              | <u>Feb 9 III</u>            |
| Remitter         | <u>                    </u> |
| Check No.        | <u>                    </u> |
| Amount           | <u>                    </u> |
| Fee Category     | <u>3P</u>                   |
| Type of Fee      | <u>AMD</u>                  |
| Date Check Rec'd | <u>                    </u> |
| Date Completed   | <u>2/20/97</u>              |
| By:              | <u>SC</u>                   |

chg. of ownership

1997 FEB 20 PM 1:20

030-05106

**PR HOLDINGS, INC.**

150 NORTH MERAMEC AVENUE, SUITE 300  
ST. LOUIS, MISSOURI 63105-3753

TELEPHONE: 314 726 9500 EXT. 33  
FACSIMILE: 314 727 8300

December 9, 1996

United States  
Nuclear Regulatory Commission  
Office of Nuclear Material Safety and Safeguards  
Washington, D. C. 20555

Re: NRC License No. 24-09818-01

24-08918-01

Dear Sirs:

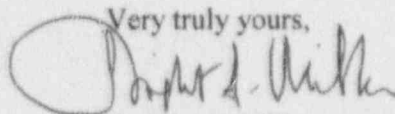
Effective as of November 15, 1996, PR Holdings, Inc. acquired all of the common stock of Licensee Pea Ridge Iron Ore Company, Inc. from Big River Minerals Corporation. (5) The name of the Licensee, Pea Ridge Iron Ore Company, Inc., will remain the same. Parentheticals herein refer to the "Information Needed for Change of Ownership Application" Attachment 1 IN 89-25, Rev. 1, December 7, 1994.

The licensed organization will remain the same (1) as will the licensee contact and telephone numbers (2). Note recent area code change to 573 (from 314). The individuals responsible for the licensed operation will remain the same. (3) The licensee is not transferring the licensed activity. (4) There are no planned changes in organization, etc. (6) There are no planned changes in use, possession etc. of the licensed materials. (7) There is no planned change in organization, location, facilities, etc. except that the name of the new parent company is PR Holdings, Inc. (8) All surveillance items and records will be current at the time of transfer. (9)

Since there will be no transfer to a new licensee, paragraph 10 would not appear to apply. Licensee will continue to be liable with respect to decontamination, if required. (11) No clean up or decontamination is required at the date of the change of ownership. (12) There is no transferee. Licensee will continue to be obligated as before pursuant to all commitments and representations previously made to NRC. (13)

There is to be no transfer of the licensed material or activity. Licensee will remain responsible to discharge its duties under the License. (14) Licensee will continue to be bound by the constraints, conditions, requirements, representations and commitments of the existing License, and PR Holdings, Inc. acknowledges the obligations of Pea Ridge Iron Ore Company, Inc. to discharge such obligations under the License. (15)

Very truly yours,



Dwight A. Miller,  
Executive Vice President

*Chg. of ownership*  
**FEE NOT REQUIRED**

**RECEIVED**

**JAN 29 1997**

**REGION III**

*312314*

**DEC 29 1996**

DATE: 1-30-97

CORRESPONDENCE CLARIFICATION SHEET

REVIEWER: BJ HOLT  
LICENSEE: PEA RIDGE  
LICENSE NUMBER: 24-08918-01

The following correspondence has been received from the above licensee and it is not clear what action(s) is(are) required: Please review this correspondence and indicate which of the following applies, and please return to Debbie Hersey, as soon as possible.

☐ Additional Information to Control No. \_\_\_\_\_  
Process in as a new action, additional information, and no fee required.

☐ Process as new licensing action. Review has already been started on Control No. \_\_\_\_\_ and this information cannot be combined with current in-house action.

☐ Can be combined with Control No. \_\_\_\_\_. Review has not started.

☐ Appears to be information for the license file - file it.

☒ Licensee is adding Nuclear Pharmacists.

☒ Amendment is necessary \_\_\_\_\_. Amendment is not necessary \_\_\_\_\_.  
(Information for license file)

☐ Licensee is adding authorized users.

☐ A check is included \_\_\_\_\_. No check is included \_\_\_\_\_.  
Amendment is necessary \_\_\_\_\_. Amendment is not necessary \_\_\_\_\_.  
(This is a Notification)

☒ Process in as a new licensing action:

- A. Amendment \_\_\_\_\_  
B. Renewal \_\_\_\_\_  
C. New License Application \_\_\_\_\_

☐ Other: Assign to Watson

Thank You For Your Help!!!

10/16/96



MAY 22 1997

Dwight A. Miller  
Executive Vice President  
Pea Ridge Iron Ore Company, Inc.  
HC 65, Box 110  
Sullivan, MO 63080

Dear Mr. Miller:

This refers to your letter dated December 9, 1996, in which you notified the NRC of the transfer of ownership and control of NRC License No. 24-08918-01. Based upon information submitted in your letter, the NRC consents to the transfer as stated.

As we discussed with E. R. Koebbe on May 13, 1997, the change of ownership transaction does not require an amendment to the existing license. Failure to obtain NRC consent to the change of ownership prior to the change is violation of 10 CFR 30.34(b), and is addressed in the attached Notice of Violation. The root cause and corrective action for the violation appear to have been addressed by, Pea Ridge Iron Ore Company, Inc., therefore, no response to the violation is required. However, we caution you that future violations of this type involving Pea Ridge Iron Ore Company, Inc.'s licensed activities may result in escalated enforcement actions.

If you have any questions or require clarification on any of the information stated above, you may contact us at (630) 829-9887.

Sincerely,

Roy J. Caniano, Acting Director  
Division of Nuclear Materials Safety

License No. 24-08918-01  
Docket No. 030-05106

Enclosure: Notice of Violation

DOCUMENT NAME: M:\03030837.NO7

To receive a copy of this document, indicate in the box: "C" = Copy without attachment/enclosure "E" = Copy with attachment/enclosure  
"N" = No copy

| OFFICE | DNMS/RIII   | DNMS/RIII | E | DNMS/RIII |  |  |  |
|--------|-------------|-----------|---|-----------|--|--|--|
| NAME   | GWatson:brt | CFrazier  |   | RJCaniano |  |  |  |
| DATE   | 05/20/97    | 05/20/97  |   | 05/27/97  |  |  |  |

OFFICIAL RECORD COPY

NOTICE OF VIOLATION

Pea Ridge Iron Ore Company, Inc.  
Sullivan, Missouri

License No. 24-08918-01  
Docket No. 030-05106

During the NRC review of your letter dated November 26, 1996, a violation of NRC requirements was identified. In accordance with the "General Statement of Policy and Procedure for NRC Enforcement Actions," NUREG-1600, the violation is listed below:

10 CFR 30.34(b) states that no license issued or granted pursuant to the regulations of this part or, Parts 31 through 39, nor any right under a license shall be transferred, assigned or in any manner disposed of, either voluntarily or involuntarily, directly or indirectly, through transfer of control of any license to any other person, unless the Commission shall, after securing full information, find that the transfer is in accordance with the provisions of the Act and shall give its consent in writing.

Contrary to the above, on November 15, 1996, Big Rivers Mineral Corporation transferred control of License No. 24-08918-01 to PR Holdings, Inc. and the Commission had not been provided full information about the transfer or given its consent to the transfer in writing prior to November 15, 1996.

This is a Severity Level IV violation (Supplement VI).

The review showed that steps had been taken to correct the identified violation and to prevent recurrence. Consequently, the NRC requires no reply to the violation and we have no further questions regarding this matter.

Dated at Lisle, Illinois  
this 22<sup>nd</sup> day of May 1997

**BIG RIVER MINERALS CORPORATION**  
150 NORTH MERAMEC AVENUE, SUITE 300  
ST. LOUIS, MISSOURI 63105-3753

TELEPHONE: 314-726-9500  
FACSIMILE: 314-727-8300

May 19, 1997

United States  
Nuclear Regulatory Commission  
Office of Nuclear Material Safety and Safeguards  
Washington, D. C. 20555

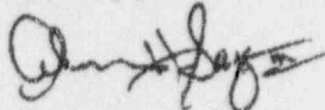
Attention: Gidget Watson

Re: NRC License No. 24-09818-01; Control # 302314

Dear Sirs:

This will confirm pursuant to paragraph 14 of Attachment 1 of IN 89-25, Rev 1 that Big River Minerals Corporation, which sold all of the outstanding common stock of Pea Ridge Iron Ore Company, Inc. to PR Holdings, Inc. on November 15, 1996, as such transferor agrees to the change in control of the licensed material and activity and the conditions of transfer and has made the transferee aware of all open inspection items and its responsibility for possible resulting enforcement actions.

Very truly yours,



Alvan H. Sage, III,  
Executive Vice President  
and Chief Operating Officer

## CONVERSATION RECORD

TIME

DATE

5/13/97

☐ VISIT☐ CONFERENCE☒ TELEPHONE☐ INCOMING☒ OUTGOING

NAME OF PERSON(S) CONTACTED OR IN CONTACT

ORGANIZATION (OFFICE, DEPT. ETC.)

TELEPHONE NO.

E. R. Koebbe, RSO

Pea Ridge Iron Ore Co., INC.

## SUBJECT

License No. 24-08918-01 Change of Ownership

## SUMMARY

I informed Mr. Koebbe that an NOV would be issued for failure to obtain NRC consent prior to the change of ownership (10 CFR 30.34(b)). I also informed Mr. Koebbe that this is a repeat violation. **Mr. Koebbe stated that advanced notice was not given before the actual change of ownership took place and that should a change occur in the future he would be sure to notify the NRC prior to the change.**

Dwight Miller, Executive Vice President 5/13/97

I informed Mr. Miller that the NRC was still in need of documentation that the transferor agrees to the change in ownership or control of the licensed material and activity, and the conditions of the transfer.

**Mr. Miller stated that he would forward the information ASAP.**

## ACTION REQUIRED

NAME OF PERSON DOCUMENTING CONVERSATION

SIGNATURE

DATE

## ACTION TAKEN

SIGNATURE

TITLE

DATE



## CONVERSATION RECORD

TIME

DATE

4/24/97

☐ VISIT☒ CONFERENCE

TELEPHONE

☐ INCOMING

OUTGOING

NAME OF PERSON(S) CONTACTED OR IN CONTACT

ORGANIZATION (OFFICE, DEPT. ETC.)

TELEPHONE NO.

Monte Phillips, Chief NMIB2

## SUBJECT

License No. 24-08918-01 Change of Ownership  
Pea Ridge Iron Ore, Co., Inc.

## SUMMARY

We discussed the fact that a repeat violation of 10 CFR 30.34(b) had taken place. The NRC was not notified in regards to a change of ownership in 1990. Monte stated that since the licensee responded to IN 89-25 in letter dated 12/9/96 a Severity Level IV violation could be issued instead of a Severity Level III violation.

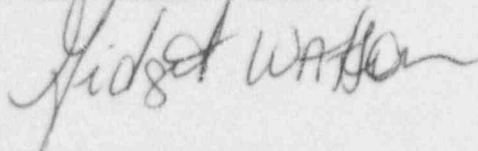
## ACTION REQUIRED

Severity Level IV violation will be issued by licensing.

NAME OF PERSON DOCUMENTING CONVERSATION

SIGNATURE

DATE



4/24/97

## ACTION TAKEN

SIGNATURE

TITLE

DATE