

SSER

Task: Allegation A-116

Reference No.: 4-84-A-06-11

Characterization: It is alleged that unauthorized changes and additions have been made to J. A. Jones concrete placement records by unknown personnel.

Assessment of Allegation: Actual concrete placement records to which the unauthorized changes and additions were allegedly made were not specifically identified to the NRC staff. Thus, the NRC technical staff addressed this allegation by looking for evidence of such alterations during the review of records related to other allegations concerning concrete placement records (including Allegations A-129, A-130, A-131, A-140, A-141, A-159, and A-335, which are related to inspector qualifications, unreviewed records, poor placement practices, and records signed off by inspectors on dates when they allegedly were not on site). These allegations have been addressed by the NRC staff as described in this assessment.

The NRC staff reviewed concrete placement records by looking for any evidence of unauthorized changes or additions, or falsification of documents. The staff looked for whiteout on records, documents or portions of document packages that appeared new but that had old dates, errors in dates, duplicate originals, and other evidence indicating falsification or unauthorized alteration which should be reported to the NRC Office of Investigations (OI).

The review conducted by the NRC technical staff revealed no obvious evidence of unauthorized additions or modifications to J. A. Jones records, even though one apparent falsification was identified in assessing Allegation A-335, where concrete curing records were signed by inspectors on dates when they were

apparently not on site. Although this issue was determined to have no safety significance as described in the assessment of Allegation A-335, the technical staff brought the matter to the attention of OI.

Based on the NRC technical staff's review of this allegation, no case of alteration or falsification of documents related to J. A. Jones concrete placement records has been discovered, except as noted herein. This allegation has neither safety significance nor generic implications. However, the NRC staff's conclusion is contingent on the results of investigations being performed by OI, and further technical evaluations may be necessary depending on the outcome of these investigations.

[Potential Violations: None.]

Actions Required: None.

References

1. Assessments of Allegation: A-129, A-130, A-131, A-140, A-141, A-159, and A-335.

Statement Prepared By:	_____	_____
	J. Strosnider	Date
Reviewed By:	_____	_____
	Team Leader	Date
Reviewed By:	_____	_____
	Site Team Leader(s)	Date
Approved By:	_____	_____
	Task Management	Date

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CONNIE

Author's Name:

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Requestor's ID:  
STCINDY

Author's Name:

Document Comments:

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Reference No.: 4-84-A-06-11

Characterization: It is alleged that unauthorized changes and additions have been made to J. A. Jones concrete placement records by unknown personnel.

Assessment of Allegation: Actual concrete placement records to which the unauthorized changes and additions were allegedly made were not specifically identified to the NRC staff. Thus, the NRC technical staff addressed this allegation looking for evidence of such alterations during the review of records related to other allegations concerning concrete placement records, including Allegations A-129, A-130, A-131, A-140, A-141, A-159, and A-335 (which are related to inspector qualifications, unreviewed records, poor placement practices, and records signed off by inspectors on dates when they allegedly were not on site). These allegations have been addressed by the NRC staff as described in this assessment.

The NRC staff reviewed concrete placement records by looking for any evidence of unauthorized changes or additions, or falsification of documents. The staff looked for whiteout on records, documents or portions of document packages that appeared new but that had old dates, errors in dates, duplicate originals, and other evidence indicating falsification or unauthorized alteration which should be reported to the NRC Office of Investigations (OI).

The review conducted by the NRC technical staff revealed no obvious evidence of unauthorized additions or modifications to J. A. Jones records, even though one apparent falsification was identified in the assessing of Allegation A-335, where concrete curing records were signed by inspectors on dates when they were

apparently not on site. Although this issue was determined to have no safety significance as described in the assessment of Allegation A-335, the technical staff brought the matter to the attention of OI.

Based on the NRC technical staff's review of this allegation, no case of alteration or falsification of documents related to J. A. Jones concrete placement records has been discovered, except as noted herein. The allegation has neither safety significance. It should be noted, however, that this technical conclusion is contingent on the results of investigations being performed by OI and further technical evaluations may be necessary depending on the outcome of these investigations.

Potential Violations: None.

Actions Required: None.

#### References

1. Assessments of Allegation: A-129, A-130, A-131, A-140, A-141, A-159, and A-335.

Statement Prepared By:	_____	_____
	J. Strosnider	Date
Reviewed By:	_____	_____
	Team Leader	Date
Reviewed By:	_____	_____
	Site Team Leader(s)	Date
Approved By:	_____	_____
	Task Management	Date

SSER

Task: Allegation A-116

Reference No.: 4-84-A-06-11

Characterization: It is alleged that unauthorized changes and additions have been made to J. A. Jones concrete placement records by unknown personnel.

Assessment of Allegation: Actual concrete placement records to which the unauthorized changes and additions were allegedly made were not specifically identified to the NRC staff. Thus, the NRC technical staff addressed this allegation <sup>by</sup> looking for evidence of such alterations during the review of records related to other allegations concerning concrete placement records <sup>3</sup> (including Allegations A-129, A-130, A-131, A-140, A-141, A-159, and A-335, <sup>4</sup> which are related to inspector qualifications, unreviewed records, poor placement practices, and records signed off by inspectors on dates when they allegedly were not on site). These allegations have been addressed by the NRC staff as described in this assessment.

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The NRC staff reviewed concrete placement records by looking for any evidence of unauthorized changes or additions, or falsification of documents. The staff looked for whiteout on records, documents or portions of document packages that appeared new but that had old dates, errors in dates, duplicate originals, and other evidence indicating falsification or unauthorized alteration which should be reported to the NRC Office of Investigations (OI).

The review conducted by the NRC technical staff revealed no obvious evidence of unauthorized additions or modifications to J. A. Jones records, even though one apparent falsification was identified in ~~the~~ assessing ~~of~~ Allegation A-335, where concrete curing records were signed by inspectors on dates when they were

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apparently not on site. Although this issue was determined to have no safety significance as described in the assessment of Allegation A-335, the technical staff brought the matter to the attention of OI.

Based on the NRC technical staff's review of this allegation, no case of alteration or falsification of documents related to J. A. Jones concrete placement records has been discovered, except as noted herein. The allegation has neither safety significance <sup>nor generic implications</sup>. ~~It should be noted, however, that this~~ <sup>the NRC staff's</sup> technical conclusion is contingent on the results of investigations being performed by OI, and further technical evaluations may be necessary depending on the outcome of these investigations. ✓  
✓  
✓

Potential Violations: None.

Actions Required: None.

#### References

1. Assessments of Allegation: A-129, A-130, A-131, A-140, A-141, A-159, and A-335.

Statement Prepared By: \_\_\_\_\_

J. Strosnider

\_\_\_\_\_  
Date

Reviewed By: \_\_\_\_\_

Team Leader

\_\_\_\_\_  
Date

Reviewed By: \_\_\_\_\_

Site Team Leader(s)

\_\_\_\_\_  
Date

Approved By: \_\_\_\_\_

Task Management

\_\_\_\_\_  
Date



Document Name:  
SSER A-116

ECW 6/14

Requestor's ID:  
STCINDY

Author's Name:

Document Comments:

*Bob Stennard*  
*Even after it is reviewed,*  
*this writing should be*  
*flagged out for management review*  
DRAFT 1  
05/25/84 (TSK4)  
*L. Alao 5/29/84*  
SSER

Task: Allegation A-116

Reference No.: 4-84-A-06-11

Characterization: It has been alleged that unauthorized changes and additions have been made to concrete placement records by unknown personnel.

Assessment of Allegation: Records to which the unauthorized changes and additions were allegedly made were not specifically identified to the NRC staff. Thus, the NRC technical staff's approach to addressing this allegation was to look for evidence of such alterations during the review of records related to other allegations made with regard to concrete placement. The NRC technical staff's inspection at Waterford included the review and assessment of many allegations related to concrete placement records. These included A-129, A-130, A-131, A-140, A-141, A-159, and A-335 (which are related to inspector qualifications, unreviewed records, poor placement practices, and records signed off by inspectors on dates when they allegedly weren't on site). All of these allegations have been addressed technically as described in the assessments of the specific allegations presented in this report.

*The NRC staff reviewed concrete placement records by looking*  
During the document reviews the NRC staff looked for any evidence of unauthorized changes or additions, and falsification of documents. In this regard the NRC staff looked for whiteout on documents, documents or portions of document packages that appeared fresh but had old dates, errors in dates, duplicate originals, and other situations that could indicate falsification or unauthorized alteration of documents which should be reported to the Office of Investigations (OI).

*Do we make such evaluation or OI?*  
The review conducted by the NRC technical staff revealed no obvious evidence of unauthorized additions or modifications to records. One item of apparent falsification was identified in the assessment of Allegation A-335, where concrete curing records were signed by inspectors on dates when they were apparently not on site. This issue was determined to have no safety significance, as described in the assessment of Allegation A-335, in this report and the matter was brought to the attention of OI investigators.

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*of this allegation,*  
Based on the NRC technical staff's review and knowledge as of May 25, 1984, no case of alteration or falsification of documents related to concrete placement *except as noted herein* has been discovered that has any adverse safety significance. *Q.A. Jones* It should be noted, however, that this technical conclusion is contingent on the results of investigations being performed by OI and ~~that~~ further technical evaluations *records* *may* could be necessary depending on the outcome of these investigations. *These allegations are rather not serious implications*

[Potential Violations: None.]

Actions Required: None.

#### References

1. Assessments of Allegation: A-129, A-130, A-131, A-140, A-141, A-159, and A-335.

Statement Prepared By: \_\_\_\_\_

J. Strosnider

\_\_\_\_\_  
Date

Reviewed By: \_\_\_\_\_

\_\_\_\_\_  
Team Leader

\_\_\_\_\_  
Date

Reviewed By: \_\_\_\_\_

\_\_\_\_\_  
Site Team Leader(s)

\_\_\_\_\_  
Date

Approved By: \_\_\_\_\_

\_\_\_\_\_  
Task Management

\_\_\_\_\_  
Date

*The one mentioned has adverse safety significance?*

*Let's talk to Cutchfield  
How should we handle this.  
L. Allen 5/28/84*