

WOLF CREEK

NUCLEAR OPERATING CORPORATION

Clay C. Warren
Chief Operating Officer

February 21, 1997

WO 97-0026

U. S. Nuclear Regulatory Commission
ATTN: Document Control Desk
Mail Station P1-137
Washington, D. C. 20555

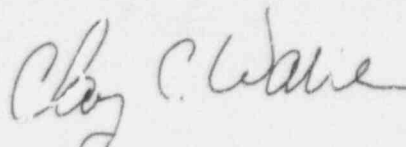
Subject: Docket No. 50-482: Licensee Event Report 97-002-00

Gentlemen:

The attached Licensee Event Report is being submitted pursuant to 10 CFR 73.71 concerning a potential compromise of safeguards information due to an unlocked cabinet.

If you should have any questions regarding this submittal, please contact me at (316) 364-8831 extension 4485, or Mr. Richard D. Flannigan at extension 4500.

Very truly yours,



Clay C. Warren

CCW/jad

Attachment

cc: J. E. Dyer (NRC), w/a
W. D. Johnson (NRC), w/a
J. F. Ringwald (NRC), w/a
J. C. Stone (NRC), w/a

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PDR ADDCK 05000482
S PDR

P.O. Box 411 / Burlington, KS 66839 / Phone: (316) 364-8831

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LICENSEE EVENT REPORT (LER)

(See reverse for required number of digits/characters for each block)

ESTIMATED BURDEN PER RESPONSE TO COMPLY WITH THIS INFORMATION COLLECTION REQUEST: 50.0 HRS. FORWARD COMMENTS REGARDING BURDEN ESTIMATE TO THE INFORMATION AND RECORDS MANAGEMENT BRANCH (MNBB 7714), U.S. NUCLEAR REGULATORY COMMISSION, WASHINGTON, DC 20555-0001, AND TO THE PAPERWORK REDUCTION PROJECT (3150-0104), OFFICE OF MANAGEMENT AND BUDGET, WASHINGTON, DC 20503.

FACILITY NAME (1)

WOLF CREEK GENERATING STATION

DOCKET NUMBER (2)

05000482

PAGE (3)

1 OF 4

TITLE (4)

Potential Compromise of Safeguards Information Due to Unlocked Cabinet.

EVENT DATE (5)			LER NUMBER (6)			REPORT DATE (7)			OTHER FACILITIES INVOLVED (8)	
MONTH	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REV NUMBER	MONTH	DAY	YEAR	FACILITY NAME	DOCKET NUMBER
01	24	97	97	002	00	02	21	97	FACILITY NAME	DOCKET NUMBER
OPERATING		1	THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR §: (Check one or more) (11)							
POWER		100%	20.402(b)			20.405(c)			50.73(a)(2)(iv)	X 73.71(b)
			20.405(a)(1)(i)			50.36(c)(1)			50.73(a)(2)(v)	73.71(c)
			20.405(a)(1)(ii)			50.36(c)(2)			50.73(a)(2)(vii)	OTHER
			20.405(a)(1)(iii)			50.73(a)(2)(i)			50.73(a)(2)(viii)(A)	
			20.405(a)(1)(iv)			50.73(a)(2)(ii)			50.73(a)(2)(viii)(B)	
			20.405(a)(1)(v)			50.73(a)(2)(iii)			50.73(a)(2)(x)	

LICENSEE CONTACT FOR THIS LER (12)

NAME

R. D. Flannigan
Manager Nuclear Engineering, Safety and
Licensing

TELEPHONE NUMBER (Include Area Code)

316-364-8831 Extension 4500

COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT (13)

CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO NPRDS	CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO NPRDS
		N/A							

SUPPLEMENTAL REPORT EXPECTED (14)

EXPECTED

MONTH

DAY

YEAR

YES

X

NO

(If yes, completed EXPECTED SUBMISSION DATE)

ABSTRACT:

On January 24, 1997, at approximately 3:40 P.M., during the performance of the annual safeguards cabinet lock combination change, WCNOG Document Services (DS) Safeguard Information (SI) cabinet number 061 was found not fully locked, with the combination tumblers in the "day lock" position. Cabinet 061 is located inside of the Document Services Clyde Cessna Administration Facility records vault. Due to a potential compromise of safeguards information, a one-hour notification was made to the NRC. A complete audit of the contents of cabinet 061 was performed. No documents were found to be missing. The annual lock combination change was performed on January 31, 1997. Root cause of the event was determined to be isolated personnel error; that is, Document Services personnel failed to spin the lock tumbler to ensure that cabinet 061 was properly locked. Those persons having access to cabinet 061 were counseled, and the Manager of Document Services re-affirmed program requirements and expectations to Document Services Safeguards Information Custodians.

LICENSEE EVENT REPORT (LER)
TEXT CONTINUATION

ESTIMATED BURDEN PER RESPONSE TO COMPLY WITH THIS INFORMATION COLLECTION REQUEST: 50.0 HRS. FORWARD COMMENTS REGARDING BURDEN ESTIMATE TO THE INFORMATION AND RECORDS MANAGEMENT BRANCH (MNBB 7714), U.S. NUCLEAR REGULATORY COMMISSION, WASHINGTON, DC 20555-0001, AND TO THE PAPERWORK REDUCTION PROJECT (3150-0104), OFFICE OF MANAGEMENT AND BUDGET, WASHINGTON, DC 20503.

FACILITY NAME (1)		DOCKET NUMBER (2)	LER NUMBER (6)			PAGE (3)
Wolf Creek Generating Station		05000482	YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	2 OF 4
			97	002	00	

TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

PLANT CONDITIONS AT THE TIME OF EVENT

MODE = 1
Reactor Coolant Pressure = 2237.9 psig
Reactor Power = 100%

BASIS FOR REPORTABILITY

10 CFR 73.71(d) requires a thirty-day written report on Form 366 as a follow-up to the one hour notification required by 10 CFR 73.71.

DESCRIPTION OF EVENT

On January 24, 1997, at approximately 3:40 P.M., WCNOG Document Services (DS) Safeguard Information (SI) cabinet number 061 was found unlocked. This resulted in a potential compromise of safeguards information documents. Due to a potential compromise of safeguards information, a one-hour notification was made to the NRC.

Cabinet 061 was found open during the performance of the annual safeguards cabinet lock combination change. Cabinet 061 is infrequently accessed. It is located inside of the Document Services records vault room in the Wolf Creek Generating Station Clyde Cessna Administration Facility. This records vault room has controlled, limited access to WCNOG personnel.

Security and Document Services were jointly performing the annual safeguards information cabinet lock combination change, when cabinet 061 was found unlocked. The cabinet was found not fully locked, with the combination tumblers in the "day lock" position. "Day Lock" is a condition in which the cabinet appears to be locked but can be unlocked by turning the tumblers a partial turn. Security management was immediately notified of the event, and the one-hour notification was made to the NRC.

A complete audit of the contents of cabinet 061 was performed. No documents were found to be missing, and inspection of the cabinet and lock showed them to be in good condition. There were no signs of the cabinet or lock having been compromised. The annual cabinet lock combination change was performed on January 31, 1997.

At the time of the discovery, direct vault access was limited to fifty-one specific employees. Each employee having approval for direct access to the records vault was either qualified as a Safeguards Recipient (thirty-five employees), or was qualified as a

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FACILITY NAME (1)	DOCKET NUMBER (2)	LER NUMBER (6)			PAGE (3)
Wolf Creek Generating Station	05000482	YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	3 OF 4
		97	002	00	

TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

Safegaurds Custodian (sixteen employees). Any persons not on the approved list were required to be escorted by one of the access-approved employees.

Cabinet 061 is seldom accessed. During interviews with Document Services personnel who knew the lock combination, it was determined that the last date that safeguards cabinet 061 was unlocked was either on December 9, 1996, or on December 10, 1996. From December 9, 1996, through January 24, 1997, there were only three Document Services personnel who knew the combination, and who may have accessed cabinet 061. These individuals are experienced and knowledgeable in maintaining Safeguards Information, and in properly working the combination locks. They are also aware of the requirements and procedures for handling of Safeguards Information material.

Root Cause and Corrective Actions:

Root Cause

Isolated personnel error is the root cause. A work practice barrier failed. Personnel failed to spin the lock tumbler of the cabinet 061 to ensure that the SI cabinet 061 was securely locked.

Corrective Actions

Immediate Corrective Actions:

- Security was immediately notified, and a one-hour notification made to the NRC.
- An inspection of the cabinet and lock showed them to be in good condition. There were no signs of the cabinet or lock having been compromised.
- A complete audit of the contents of cabinet 061 was performed. No documents were found to be missing.
- The annual lock combination change was performed on January 31, 1997.

Corrective Actions to Prevent Recurrence:

- Although the personnel responsible could not be specifically identified, the three employees having access to cabinet 061 were counseled.

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		97	002	00	

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- The Manager of Document Services re-affirmed program requirements and expectations to Document Services Safeguards Information Custodians in letter DS 97-0003.

Safety Significance:

This event had no impact on plant equipment, plant operation, or the health and safety of the public. The potential for compromise of safeguard information did exist; however, access to the records vault where the cabinet was located was limited and controlled.

All personnel having access to the records vault where cabinet 061 resided were either escorted, or were trained and qualified Safeguard Information Recipients or Safeguard Information Custodians; therefore, the potential for the compromise of safeguards information was very small.

Other Previous Occurrences:

Previous incidents of unlocked safeguards cabinets were reported in LER 89-S02-00 and LER 92-S01-00.