

NOTICE OF VIOLATION

Ellis & Watts (E&W)
Batavia, Ohio

Docket No.: 99901308

During a U.S. Nuclear Regulatory Commission (NRC) inspection from December 2 through 6, 1996, a violation of NRC requirements was identified. In accordance with the "General Statement of Policy and Procedure for NRC Enforcement Actions," NUREG-1600, the violation is listed below:

Section 21.21 of Title 10 of the Code of Federal Regulations (10 CFR Part 21.21), "Notification of failure to comply or existence of a defect and its evaluation," requires, in part, that each corporation subject to the regulations adopt appropriate procedures to ensure the evaluation and proper reporting of deviations and failures to comply.

Contrary to the above requirement, E&W's procedure QA-112, "Compliance to Regulation 10 CFR Part 21 Procedure," Revision 3, failed to address the identification or evaluation of deviations. As a result, personnel were not alerted to the need to identify deviations from safety-related purchase order requirements, and to evaluate the deviations to determine if they could become defects. Based on E&W's December 30, 1996, letter to the NRC, the QA manager revised procedure QA-112 to address evaluation of deviations and require pertinent training for appropriate employees. No further response is required (99901308/96-01-01).

This is a Severity Level IV violation (Supplement VII).

Dated at Rockville, Maryland
this 10th day of February 1997

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