

# TROUTMAN SANDERS

ATTORNEYS AT LAW  
A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

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JAMES A. LAMBERTH

DIRECT: 404-885-3362

November 5, 1996

FOIA/PA REQUEST

Mr. Carlton Kammerer, Director  
Division of Freedom of Information  
and Publications Services  
Office of Administration  
U. S. Nuclear Regulatory Commission  
Washington, DC 20555

Case No. 96-475  
Date Rec'd: 11-13-96  
Action Off: Reed  
Related Case: \_\_\_\_\_

Re: Freedom of Information Act Request

Dear Mr. Kammerer:

This law firm represents Siemens Electromechanical Components, Inc. (formerly known as Potter & Brumfield, Inc.), Siemens Corporation and Siemens AG in the civil lawsuit styled Spectronics, Inc. v. Siemens Electromechanical Components, Inc., Siemens Corporation, Siemens AG, ABC Corp., John Doe, and Richard Doe, United States District Court for the Southern District of Alabama, Civil Action No. 96-0791-RV-S.

Pursuant to the federal Freedom of Information Act ("FOIA"), 5 U.S.C. § 552, as amended, and Nuclear Regulatory Commission ("NRC") regulations, 10 C.F.R. Part 9, I hereby request copies of all "records" as defined in 10 C.F.R. § 9.13 referring, relating or pertaining to Spectronics, Inc. (an Alabama corporation), Morris Earl, or Eugene P. Tolbert.

I understand that such records may include records that were collected, obtained or generated in connection with the investigation of Stokley Enterprises, Inc. (a Virginia corporation, also known as "Stokely Enterprises, Inc."), William M. Stokley, and Janet M. Stokley for the alleged production and/or distribution of counterfeit motor driven relays used in military and nuclear energy applications. Such investigation began in approximately 1990, and resulted in the indictment of Stokley Enterprises, Inc., William M. Stokley, and Janet M. Stokley in the United States District Court for the Eastern District of Virginia, Criminal Case No. 91-83-N. For your reference, I believe that Mr. Kamalakar R. Naidu, from the Office of Nuclear Reactor Regulation, participated in the investigation on behalf of the Nuclear Regulatory Commission.

Mr. Carlton Kammerer

November 5, 1996

Page -2-

I am willing to pay the applicable charges for production of the requested copies in accordance with 10 C.F.R. Part 9 up to a maximum of \$1000.00 and those charges in excess of \$1000.00 of which I am notified and which I approve in advance.

If you have any questions concerning this FOIA request, please feel free to contact me at (404) 885-3362.

Sincerely,



James A. Lamberth

JAL:llw

## CASE CHRONOLOGY

FILE NUMBER

DATE OPENED

OPENED BY

DATE

ACTIVITY

2-3-92

~~22~~ 5' AVO 1545-1615 draft letter to AVSA McKnight

3-17-92

Carolyn Evans, RII Region Counsel stated relays obtained from Shearon Harris could properly be returned to them for whatever disposal they saw fit.

3-23-92

advised Vorse - coordinate thru Evidence Custodian

3/30/92

Pat Patterson, QA Mgr Nutherm International said okay to return to him.

Gary Thompson, Shearon Harris NP said he would find out who to ship relays to. Will advise by fax.

3/31/92

4 relays returned to Nutherm by Evidence Custodian

4/2

Gary Thompson said CP+L did not want relays and we could destroy here (RII)

Carolyn Evans, RII Counsel said okay to destroy here if letter received from CP+L department had authorizing destruction. Thompson advised by voice mail.

4/3/92

Gary Thompson advises to ship relays to Spectronics.

4/7/92

22 relays obtained from CP+L returned to Spectronics

1/25/93

Jack Wheeler, Sequoyah NP 1100A  
LEWIS J. WHEELER 615-843-7807 message  
left for Wheeler to return call.

ACTIVITY CODES

L/M = LETTER OR MEMO

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MTG = MEETING

INV = INVESTIGATION

REP = REPORT ISSUED

OFFICIAL USE ONLY

DO NOT DISCLOSE

B/1

## CASE CHRONOLOGY

FILE NUMBER

DATE OPENED

OPENED BY

DATE

ACTIVITY

12-05

Reviewed case files - Discussed up date

12-6

Evans said Mullins called Steve McKnight but never got return call -

12/12

Evans said deposition was rescheduled for Friday 12/13 at 3:00pm. She said McKnight did not return 2<sup>ND</sup> call from Mullins -Vorse briefed on fact that McKnight has not returned any calls or provided a prosecutive opinion since 5/91. McKnight has not returned calls to me, Barry, Letts or Chuck Mullins. Vorse advised me to prepare letter ~~and~~ informing McKnight of deposition.

12/12

2.0' AVO 2000-2150 prepare material and review for ~~the~~ civil deposition

12/13

Brief Evans on phone call from Anthony Mulford (1430) where he confirmed conference call for 1500 and advised me of questions to be asked.

12/13

Provided deposition to Court reporter for Anthony Mulford, atty for Spectronics and FNU Nichols, atty for Michael Martin.

Deposition provided by telephone from office of Carolyn Evans and in her presence.

1/3/92

Received copy of deposition for correction

1-9-92

1' AVO 2100-2200 proof read deposition

~~1-10-92~~

1-10-92

return deposition to Carolyn Evans

-92

t/c from 1st State Atty Gen (205) 433-1911

provided case caption &amp; # of exhibits v. Spectronics

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## CASE CHRONOLOGY

FILE NUMBER	DATE OPENED	OPENED BY
DATE	ACTIVITY	
12-9-91	rec'd Call from Anthony Mulford, atty for Spectronics who requested info re delays & whether I would be willing to testify in State Court.	
	Referred Mulford to OGC on advice of Carolyn Evans	
11-27	rec'd fax from Mulford with information needed -	
11-27	message to call Neil Jensen, OGC	
11-27	t/c from Carolyn Evans who wanted to know if I was available on 12-9-91. Told her I would not be available on 12-9, 10 or 11 due to Investigator's meeting. Evans said telephone conference call would probably be arranged. Evans said she would call Jensen.	
11-27	Brief Vorse	
12-2	1' AWC 1545-1635 prepare material for response to atty, prepare new working file	
12/2	t/c to Chuck Mullins, OGC w/ Carolyn Evans	
	Mullins inquired as to my knowledge of information requested by letter from Spectronics attorney - I advised him that info was available and that I would be available on 12/6, 12/12 or 12/13 for a conference call. Mullins said he would contact Steve McKnight for concurrence and callback.	
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## CASE CHRONOLOGY

FILE NUMBER

DATE OPENED

OPENED BY

DATE

ACTIVITY

9-10-91

t/c to Steve McKnight AUSA who was not in. Left message in secretary that relay at SGA had been thrown away.

9-10-91

t/c to Chris McKee 615-632-3352 - left message on voice mail re disposal of relay at SGA

DATE COMPLETED

(304) 444-4912

10-4-91

AUSA Steve McKnight was verbally advised that SNP had disposed of one of the relays purchased by from Spectronics - said he would continue to evaluate for prosecution.

3/17/92

RII Counsel Carolyn Evans stated relays could be returned to Nutherm and Sharon Harris. She advised it would be up to the licensee to dispose of them further since there was no regulatory violation.

3/30/92

t/c to Gary Thompson CP+L Sharon Harris (919) 546-7105. not in office - left message on voice mail

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## CASE CHRONOLOGY

FILE NUMBER	DATE OPENED	OPENED BY
DATE	ACTIVITY	
8-19-91	Art Roberts called to provide his current business address and phone # as follows IVA M&L LA (WBR) Box 2000 Spring City Tenn 37381 (615) 365-1436	
8-28-91	temporarily staying at Best Western Sweetwater T/C to Scott Schaeffer to determine location of 3rd relay - said he would research and have <del>the</del> information available by 8-29	
8-29	meet w/ Schaeffer at SNP - said he determined that relay has probably been disposed of but will research further	
9-3-91	Schaeffer said he learned from Melissa Meade SNP licensing, that relay was likely disposed of - MELISSA MEADE 615-843-7766 Can provide specific info	
9-3-91	Chris McRae, IVA I&I said he would inquire into circumstances surrounding disposal of the electrical relay and would not require anything in writing at this time	
9-3-91	Steve McKnight AVSA - not available will return call.	
9-5	Meade provided documentation indicating the subject relay was removed from system and she said her inquiry revealed that the relay was disposed of.	

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## CASE CHRONOLOGY

FILE NUMBER

DATE OPENED

OPENED BY

DATE

ACTIVITY

7-8-91

L/C to AUSA Kevin Comstock who stated trial of Stokley will be scheduled for 2 weeks beginning August 20. KC said he would determine if NLS could serve all subpoenas in view of expense to NCC.

7/16/91

LC from Dave Compton - DC said Stokley is expected to plead guilty on 7-24-91 which will avoid trial on 8-20. DC said he would advise as soon as plea is confirmed.

7/22

L/C to Art Roberts - advised him of probable plea by Stokley and told him to stay in contact in the event of his relocation.

7/23/91

Rec'd fax from U.S. Atty, Norfolk re guilty plea of Stokley.

7/29/91

advised Art Roberts (305) 248-6025 of plea and that no trial would be necessary - advised him to continue to maintain contact if he moved.

7-29-91

fax ~~press~~ press release and briefing to Fortune.

8-1-91

STEVE MCKNIGHT FTS 856-5140 not in office - left message to return call re prosecutive opinion on Spectronics.

8-7-91

Clayton + Williamson visit AUSA McKnight. Said he would prepare prosecutive brief and request an opinion from the U.S. Attorney.

8-12-91

Copy of Stokley press release sent to Naiden.

8-13-91

Letter of confirmation of conversation to McKnight.

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## CASE CHRONOLOGY

FILE NUMBER

DATE OPENED

OPENED BY

DATE

ACTIVITY

6-10-91

received fax press release re Stokely indictment

6-12-91

received overnight pkg from Cassell containing copy of Stokely indictment

6-14-91

discuss indictment w/ D. Letts who questioned exactly what our role is - requested copy of indictment

6-17-91

Copy of indictment to Letts via FedEx

6-17-91

Art Roberts said he was fired from Fla Power on 6-14-91 but will stay in touch. Provided contact number for wife (NANCY) 919-362-8851

6-17-91

Copy of press release to Don Hickman IVA-LE

7-1-91

Paul Cassell, AUSA + Dave Compton NIS, tel cal advised that Stokely would likely be going to trial on 8/20/91. PC stated he would be forwarding blank subpoenas for Roberts + others. Also said he would need Steven Harris relays delivered to him. PC said he would call back for dates of delivery.

7-1-91

t/c to Nancy Roberts, wife of Art Roberts, (919) 362-8851. Roberts said she would be in contact with her husband this week + would have him call NEC or.

7-8-91

Art Roberts provided following contact # (305) 248-6028  
1675 N. Bluebird Lane

Homestead FL 33034

7-1-91 LE

Cassell added that Kevin Comstock would be assisting him in prosecution of this case.

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## CASE CHRONOLOGY

FILE NUMBER	DATE OPENED	OPENED BY
DATE	ACTIVITY	
4/5/91	t/c to AUSA Cassell. said he had rec'd ROI but did not have any additional investigative requests at this time. PC said he would be in trial week of 4/15-19 but still anticipates indictments in May. PC said he would like to meet soon after his trial is complete.	
4/15/91	t/c to Dave Compton, NSS - Compton said he had also received a message to call Charles Wright from International Switchboard. He said Int Switchboard will be inspecting Navy ships under construction in conjunction w/ NSS.	
	Compton also stated he had a confidential source who has alleged counterfeit electronics are being sold by his employer (unnamed) to the U.S. Navy. Compton said he would determine if the source has access to his employer's customer list for <del>off</del> inspection by the FBI.	
5/15/91	Verse and Claxton present Spectronics case to Steve McKnight, AUSA EDT, Chattanooga. McKnight is interested and will contact Paul Cassell, AUSA EDV for coordination.	
6-4-91	Inquiry w/ McKnight re status - not in office - will call back.	
6-7-91	Tel call from Cassell who advised Bill & Jimmie Stokely were indicted for Counterfeiting labels and Conspiracy.	
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## CASE CHRONOLOGY

STURLEY

FILE NUMBER

2-90-014

DATE OPENED

OPENED BY

DATE

ACTIVITY

1/8/91

L/C to Letts - raised 3 questions re report to be addressed - 1. was Morris Earl interviewed  
2. did Shearon Harris requisition require "new"  
3. was Gary Thompson interviewed

1/9/91

advised Vorse of tk with Letts

1/9/91

contacted Gary Thompson, CP+L (919) 546-7105  
Thompson said nothing was said either way by either party (he or Robert) about "new"  
Thompson said industry standard is always new.  
received fax from Thompson of photocopy of original requisition for relays.

1/9/91

1/14/91

review Report of Investigation w/ B Letts (1.5 hrs)

Feb 1/15

Tel Call to L Thompson, CP+L (919) 546-7105  
to confirm information provided during telecall  
1/7/91. 1" AVO 1545-1645

1/16

0.5 AVO 1545-1615

1/18

Frances Hannah, TVA Procurement Specialist was contacted to determine if she could obtain a more legible copy of the invoice which TVA had received from Spectronics. Hannah said she thought the invoice was in file and she would provide it to OI. 211

1/24

Received facsimile copy of Spectronics invoice # 8120 dated 1/18/91 from Frances Hannah, TVA

2/14

L/C from B. Letts - wanted to verify that NIS served search warrant on Sturley which I confirmed.  
Ronald Bond, Procurement Engineer, Sequoyah Nuclear Plant wanted to know b/f on SQN purchase of Spectronics relays. Referred him to Frances Hannah, SQN purchasing dept who could provide copy of contract.

2/21/91

ACTIVITY CODES

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INV = INVESTIGATION

REF = REPORT ISSUED

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# CASE CHRONOLOGY

FILE NUMBER

A

DATE OPENED

OPENED BY

DATE

ACTIVITY

8/78

Tel call to P-B to inquire about Lab report Dave Oldham did he would inquire about status.

"

t/c to Priscilla Resnikow, Siemens Corp. atty, said she would provide Trade name documentation.

9-20

Review result of interview Tolson - JYU

10-01-90

Review result of interview Boyd, Kelly Evans - JYU

10-18-90

Review result of interview Harwell - JYU

11/9/90

10/9/90

received copy of NIS report re Stokely assist in ~~searching~~ execution of federal search warrant on Stokely Ltd. by NIS interview Allan Evans, Virginia Art metal

9/12/90

9/12/90

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## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ( ) RECORD OF CONVERSATION  
 ( ) CASE REVIEW / STATUS  
 ( ) OTHER

## PARTICIPANTS

Bill Brach VIB

Verse, Clayton

CONFIDENTIALITY REQUESTED

YES NO

## FILE NO.

A2-90-011

## DATE

7/12/90

## TIME

## SUMMARY

Brach inquired about 34 relays sent from Spectronics to Nuthorn who subsequently shipped to Iva. I advised Brach that Pat Patterson, Nuthorn GA, (618) 244-6000 said he could provide traceability to Petter-Brumfield. Patterson said ~~ship~~ packing list indicated relays went from Petter-Brumfield to Dew Electronics to Spectronics to ~~then~~ Nuthorn.

Brach agreed that relays were probably original equipment but would follow up with Iva for confirmation.

PAGE ( OF (

## PREPARED BY

H. Clayton

## DATE

7/12/90

## ACTION REQUIRED

## REVIEWED BY

## DATE

B/2

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## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ☒ RECORD OF CONVERSATION  
☐ CASE REVIEW / STATUS  
☐ OTHER

## PARTICIPANTS

(615) 751-8352  
 BILL MENZEL, TVA  
 MGR, SUPPLIER EVAL GROUP  
 CONFIDENTIALITY REQUESTED YES NO

## FILE NO.

2-90-011

## DATE

7/11/90

## TIME

8:14:51

## SUMMARY

(ELECTRICAL + SERVICE)

DARYL ARMENTROUT (615) 6751-8338  
 MGR, MATERIALS + PROCUREMENT QUAL.  
 8338

Menzel said he had been contacted by Pat Patterson, Nutherm Corp regarding relays. Menzel said that TVA has received 34 relays sold to Nutherm by Spectronics. He said they<sup>(TVA)</sup> are in process of tracing the location of the relays for evaluation. Menzel identified ArmentROUT as his supervisor who will be contact in Menzel's absence.

PAGE OF

## PREPARED BY

D. Clayton

## DATE

## ACTION REQUIRED

## REVIEWED BY

## DATE

OFFICIAL USE ONLY

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Release

## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ☒ RECORD OF CONVERSATION  
☐ CASE REVIEW / STATUS  
☐ OTHER

## PARTICIPANTS

Dwe Compton, NIS  
 804-444-4812

CONFIDENTIALITY REQUESTED YES NO

## FILE NO.

2-90-01

## DATE

7/11/90

## TIME

1:00 p

## SUMMARY

Compton was advised that I was sending him computer print-out of Spectronic Customer list, all sales of PB relays by Spectronic and all sales of Spectronic items purchased thru Stokley.

Compton said he was preparing subpoena for Stokley and anticipated obtaining invoices and purchase orders between Stokley and Spectronics.

Compton requested copies of signed statements by Stokley employees ~~for~~ which he obtained on 4/28/90. He said subpoenaed material would be made available to NRC upon request.

PAGE 1 OF 1

## PREPARED BY

J. Clifton

## DATE

7/4/90

## ACTION REQUIRED

## REVIEWED BY

## DATE

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## MEMORANDUM TO CASE FILE

TYPE ACTION ( ) RECORD OF CONVERSATION ( ) CASE REVIEW / STATUS ( ) OTHER	PARTICIPANTS	FILE NO.
	<i>Ed Boyles, WA</i>	<i>A-2-90-011</i>
	<i>Employees Concern Program</i>	DATE <i>7/5/90</i>
	CONFIDENTIALITY REQUESTED YES NO	TIME <i>3:15/p</i>

## SUMMARY

(615) 843-6954

Boyles was contacted regarding the purchase of 3 motor driven rotary relays (MDR's) from Spectronics Inc. Boyles located the 3 MDR's in Sequoyia's nuclear stores (warehouse/storage). He described <sup>2</sup> the MDR's as definitely new but that one was definitely used ~~to~~ and had the letters "RBS" scratched into the paint.

Boyles also had Jack Wheeler in the office during the teleconference. Wheeler is manager of SNL Nuclear Stores. Wheeler said he would research the documentation on the relays to see if any certification was required by NRC or I. He added that the relays would be taken out of service and held.

PAGE 1 OF 1

PREPARED BY	DATE
<i>Sam H. Patton</i>	<i>7/5/90</i>

## ACTION REQUIRED

REVIEWED BY	DATE

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## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ☒ RECORD OF CONVERSATION  
☐ CASE REVIEW / STATUS  
☐ OTHER

## PARTICIPANTS

Kamal Naidu, NRC VIB

Michael Scully, P+B

CONFIDENTIALITY REQUESTED YES NO

## FILE NO.

A2-90-

## DATE

6-21-90

## TIME

2:35 pm

## SUMMARY

Naidu said he had witnessed the testing and examination of 22 electrical relays. Naidu said all 22 relays had failed QA Tests and had been further examined to determine the cause of failure.

Scully said some of the relays had been assembled from "cannibalized" parts which he thought may be 15-20 years old. He said the relays were originally manufactured by Potter and Brumfield but that the tested units apparently were used and refurbished by unknown ~~or~~ unauthorized persons.

Scully said a log had been maintained concerning the examination results of each unit and would be provided to the NRC.

PAGE OF

## PREPARED BY

A. Clanton

## DATE

6/21/90

## ACTION REQUIRED

advised B. Letts of results 2:50 pm

## REVIEWED BY

## DATE

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Release



## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ☒ RECORD OF CONVERSATION  
( ) CASE REVIEW / STATUS  
( ) OTHER

## PARTICIPANTS

DAVE COMPTON, NIS  
(804) 444-4812

CONFIDENTIALITY REQUESTED

YES NO

## FILE NO.

2-90-014

## DATE

11-4-91

## TIME

## SUMMARY

Compton stated that U.S. Defense Electronic Supply Center was in process of debarring Michael Martin and The Martin Company from entering into defense contracts. Compton requested we provide a copy of OI report of interview with Martin to Mark Boyle, ODESC Office of General Counsel Dayton, Ohio, 513-296-6211 fax 513-296-8673.

Compton also requested a copy of ROI for his own file.

PAGE 67 1

## PREPARED BY

G. Clayton

## DATE

11-4-91

## ACTION REQUIRED

fax copy of Martin ROI to Boyle and Compton. Follow w/ hard copy via U.S. mail to Boyle.

## REVIEWED BY

## DATE

OFFICIAL USE ONLY

DO NOT DISCLOSE

10/1/91



## MEMORANDUM TO CASE FILE

## TYPE ACTION

- ( ) RECORD OF CONVERSATION  
 ( ) CASE REVIEW / STATUS  
 ( ) OTHER

## PARTICIPANTS

(804) 547-9191

Anthony Mulford, ATTY

Clayton

CONFIDENTIALITY REQUESTED

YES

NO

## FILE NO.

2-90-014

## DATE

11-25-91

## TIME

8:45 AM

## SUMMARY

Mulford said he is atty representing Spectronics, Inc. who has been sued by Michael Martin Co. for non-payment. Mulford wanted to know what parts we had, whether they were defective, whether we would honor a state court subpoena and whether the parts could be exhibited.

Mulford said he would fax a list of items that were at issue.

I advised Mulford that I could not say whether or not I could testify since that would have to come from our OGC.

9<sup>00</sup>A Carolyn Evans advised that Mulford would have to contact Wm. Parler for authority.

9<sup>25</sup>A Mulford advised to contact Parler at (301) 492-1743

Vorse advised

PAGE OF

## PREPARED BY

Clayton

## DATE

11-25-91

## ACTION REQUIRED

## REVIEWED BY

## DATE

OFFICIAL USE ONLY

DO NOT DISCLOSE

INVESTIGATION STATUS RECORD

Case No.: 2-90-014                      Facility: Stokley Enterprises/  
Spectronics  
Opened: 07/13/90                      Docket No.:  
Assigned To: Claxton                      Priority: H  
Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR  
Remarks:  
Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

---

Status: page 3

91/12/31: No Change.

92/01/31: No Change.

92/02/29: Local AUSA declined on Spectronics.

92/03/31: Prosecution denied. CLOSED

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Closed: 03/08/91

Issued: 03/20/91

Referred: 03/20/91

DOJ Action:

<input type="checkbox"/> Evaluation	<input type="checkbox"/> Declination
<input type="checkbox"/> Prosecution/Grand Jury	<input type="checkbox"/> Prosecution/Plea
<input type="checkbox"/> Indictment/Pending Trial	<input type="checkbox"/> Indictment/Sealed
<input type="checkbox"/> Trial	<input checked="" type="checkbox"/> Conviction
<input type="checkbox"/> Acquittal	

B/3

INVESTIGATION STATUS RECORD

Case No.: 2-90-014 Facility: Stokley Enterprises/  
Spectronics  
Opened: 07/13/90 Docket No.:  
Assigned To: Claxton Priority: H  
Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR  
Remarks:  
Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

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Status: page 2

91/06/30: USA Eastern District-Virginia indicted Stokley on 06/10/91. USA Eastern District-Tennessee expressing interest in Spectronics.

91/07/31: Stokley pleaded guilty to one count of conspiracy (18 U.S.C. 371) in U.S. District Court, Eastern District of Virginia, on 7/24/91. USA Eastern District-Tennessee still considering prosecution of Spectronics.

91/08/31: Bill Stokley to be sentenced 9/25/91. U.S. Attorney, Eastern District TN, still considering prosecution of Pete Tolbert and Spectronics, Inc.

91/09/30: Stokley sentencing at Eastern District of Virginia continued until 10/04/91.

91/10/31: Stokley sentenced to 2 years imprisonment plus personal and corporate fines and restitution. USA Eastern District of TN has not issued prosecutive opinion on Tolbert and Spectronics.

91/11/30: Still being evaluated by U.S. Attorney, Eastern District of TN.

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Closed: 03/08/91

Issued: 03/20/91

Referred: 03/20/91

DOJ Action:

<input checked="" type="checkbox"/> Evaluation	<input type="checkbox"/> Declination
<input type="checkbox"/> Prosecution/Grand Jury	<input type="checkbox"/> Prosecution/Plea
<input type="checkbox"/> Indictment/Pending Trial	<input type="checkbox"/> Indictment/Sealed
<input type="checkbox"/> Trial	<input checked="" type="checkbox"/> Conviction
<input type="checkbox"/> Acquittal	

INVESTIGATION STATUS RECORD

Case No.: 2-90-014 Facility: Stokley Enterprises/  
Spectronics

Opened: 07/13/90 Docket No.:

Assigned To: Claxton Priority: H

Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR

Remarks:

Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

---

Status: page 2

91/03/31: Closed/Issued/Substantiated/Referred to DOJ

91/04/30: Awaiting response from DOJ

91/05/31: No Change.

91/06/30: USA Eastern District-Virginia indicted Stokley on 06/10/91. USA Eastern District-Tennessee expressing interest in Spectronics.

91/07/31: Stokley pleaded guilty to one count of conspiracy (18 U.S.C. 371) in U.S. District Court, Eastern District of Virginia, on 7/24/91. USA Eastern District-Tennessee still considering prosecution of Spectronics.

91/08/31: Bill Stokley to be sentenced 9/25/91. U.S. Attorney, Eastern District Tennessee, still considering prosecution of Pete Tolbert and Spectronics, Inc.

91/09/30: Stokley sentencing at Eastern District of Virginia continued until 10/04/91.

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Closed: 03/08/91

Issued: 03/20/91

Referred: 03/20/91

DOJ Action:

<input checked="" type="checkbox"/> Evaluation	<input type="checkbox"/> Declination
<input type="checkbox"/> Prosecution/Grand Jury	<input type="checkbox"/> Prosecution/Plea
<input type="checkbox"/> Indictment/Pending Trial	<input type="checkbox"/> Indictment/Sealed
<input type="checkbox"/> Trial	<input checked="" type="checkbox"/> Conviction
<input type="checkbox"/> Acquittal	

INVESTIGATION STATUS RECORD

Case No.: 2-90-014 Facility: Stokley Enterprises/  
Spectronics  
Opened: 07/13/90 Docket No.:  
Assigned To: Claxton Priority: H  
Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR  
Remarks:  
Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

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Status: page 2

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---

Closed: 03/08/91

Issued: 03/20/91

Referred: 03/20/91

DOJ Action:

<input checked="" type="checkbox"/> Evaluation	<input type="checkbox"/> Declination
<input type="checkbox"/> Prosecution/Grand Jury	<input checked="" type="checkbox"/> Prosecution/Plea
<input type="checkbox"/> Indictment/Pending Trial	<input type="checkbox"/> Indictment/Sealed
<input type="checkbox"/> Trial	<input type="checkbox"/> Conviction
<input type="checkbox"/> Acquittal	



INVESTIGATION STATUS RECORD

Case No.: 2-90-014                      Facility: Stokley Enterprises/  
Spectronics  
Opened: 07/13/90                      Docket No.:  
Assigned To: Claxton                      Priority: H  
Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR  
Remarks:  
Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

Status:

90/07/13: On June 13, 1990, the Chief of the Vendor Inspection Branch requested assistance from OI regarding a shipment of possible counterfeit electrical relays. Subsequent testing by the original manufacturer revealed extensive unauthorized mechanical alterations which affected performance of the relays. On June 26, 1990, NRR requested OI investigate the suppliers of the altered relays. ECD 01/91

90/07/31: This matter was initially handled as an assist (A2-90-011). Contact with allegor was made at that time. ECD 01/91

90/08/31: No Change. ECD 01/91

90/09/30: No Change. ECD 01/91

90/10/31: ROI in draft. ECD 12/90

90/11/30: ROI in HQ for review/dissemination. ECD 01/91

90/12/31: No Change. ECD 01/91

91/01/31: ROI returned to RII for revisions. Revised ROI being reviewed by FOD. ECD 03/91

91/02/28: ROI in HQ for review. ECD 03/91

91/03/31: Closed/Issued/Substantiated/Referred to DOJ



INVESTIGATION STATUS RECORD

Case No.: A2-90-011 Facility: Stokley Enterprises, Inc.

Opened: 90/06/20 Docket No.:

Assigned To: Claxton Priority: N

Subject: POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR

Remarks:

Category: WR

<input type="checkbox"/> SS (false statement/sworn statement)	<input type="checkbox"/> DR (drugs)
<input checked="" type="checkbox"/> WR (false statement/written)	<input type="checkbox"/> MA (misadministration)
<input type="checkbox"/> OM (false statement/omission)	<input type="checkbox"/> LC (license conditions)
<input type="checkbox"/> OR (false statement/oral)	<input type="checkbox"/> AE (Atomic Energy Act)
<input type="checkbox"/> IH (intimidation & harassment)	<input type="checkbox"/> MS (miscellaneous)

Status:

90/06/20: On June 13, 1990, the Chief of the Vendor Inspection Branch, NRR, requested investigative assistance in taking custody of 22 alleged counterfeit electrical relays shipped to Carolina Power and Light Company's plant Harris by Spectronics, Inc., Mobile, Alabama. ECD undetermined at this time.

90/06/30: Electrical relays obtained from licensee and are being evaluated by NRR for possible referral. ECD undetermined

90/07/31: Administrative Closure of this Assist by Memorandum dated 7/12/90. Opened as new investigation under Case No. 2-90-014 on 7/13/90.

Closed: 7/12/90

Issued: 7/12/90

\*IN DATE\*

DUNS: 13-929-6735  
STOKLEY ENTERPRISES INC

DATE PRINTED  
JUN 14 1990

SUMMARY  
RATING --

BOX 14146  
NORFOLK VA 23518  
880 AVE J  
NORFOLK VA 23513  
TEL: 804 480-2822

WHOL ELECTRICAL  
COMPONENTS  
SIC NO.  
50 65

STARTED 1985  
PAYMENTS SEE BELOW  
SALES \$1,000,000  
(PROJ)  
EMPLOYS 6  
HISTORY CLEAR

CHIEF EXECUTIVE: WILLIAM STOKLEY, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
04/90	Ppt	250	100	-0-	N15	1 Mo
03/90	Ppt	15000	5000	-0-		
	(003)	50000	5000		N30	1 Mo

Satisfactory.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

#### FINANCE

03/28/90 On MAR 28 1990 Janet Stokley, secretary-treasurer, declined financial statement.  
She submitted the following partial estimates dated MAR 28 1990:  
Projected annual sales are \$ 1,000,000.

#### HISTORY

03/28/90

WILLIAM STOKLEY, PRES JANET STOKLEY, SEC-TREAS  
DIRECTOR(S): THE OFFICER(S)

Incorporated Virginia Aug 27 1985. Authorized capital consists of 500 shares common stock, \$1 par value.

Business started Jan 1 1985 by present control. 100% of capital stock is owned by officers.

W STOKLEY born 1952 married. Active here since 1985. 1983-1985 employed by K WAT Enterprises, Norfolk, VA. 1977-1983 employed by B & D Electric Supplies, Virginia Beach, VA. 1971-1977 employed by Priest Electronics, Norfolk, VA.

J STOKLEY born 1956 married. Active here since 1985. 1983-1985 employed by K WAT Enterprises, Norfolk, VA. 1976-1983 employed by Navy Exchange, Norfolk, VA.

#### OPERATION

03/28/90

Wholesales electrical components.  
Terms are net 30 days. Has 50 accounts. Sells to shipyards.

B/4



Territory : United States.

Nonseasonal.

EMPLOYEES: 6 including officers.

FACILITIES: Rents 4,500 sq. ft. in a 1 story brick building in good condition.

LOCATION: Suburban business section on well traveled street.

06-14(351 /351) 00000 019

Crestar Bank, Norfolk, VA

FULL DISPLAY COMPLETE

ATTN: BARRY LETTS

\*IN DATE\*

flk  
#290-014

DUNS: 61-136-9158  
MARTIN COMPANY OF VIRGINIA  
INC. THE

+THE MARTIN CO

108 LOCKS RD  
CHESAPEAKE VA 23320  
TEL: 804 547-2799

DATE PRINTED  
JUL 16 1990

WHOLESALE  
ELECTRICAL  
APPARATUS  
SIC NO.  
50 63

SUMMARY  
RATING --

STARTED 1989  
PAYMENTS SEE BELOW  
SALES \$1,000,000  
(PROJ)  
EMPLOYS 4  
HISTORY CLEAR

CHIEF EXECUTIVE: MICHAEL MARTIN, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW DUES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
05/90	Pot	2500			N30	2-3 Mos
	Pot	1000			N30	2-3 Mos
	Pot	500	500	-0-	N15	1 Mo
	Pot	50				2-3 Mos

\* Each experience shown represents a sep rate account reported by a  
supplier. Updated trade experiences replace those previously  
reported.

#### FINANCE

05/09/90 On MAY 07 1990 Michael Martin, president, stated inability to  
provide current figures.  
He submitted the following partial estimates dated MAY 07 1990:  
Projected annual sales are \$ 1,000,000.

#### HISTORY

05/08/90

MICHAEL MARTIN, PRES  
DIRECTOR(S): THE OFFICER(S)

Incorporated Virginia Mar 31 1989. Authorized capital consists  
of 5,000 shares common stock.

Business started 1989 by officer. 100% of capital stock is owned  
by officer. Starting capital \$20,000 derived from \$20,000 savings.

MARTIN born 1956. 1977-1986 employed by B & D Electric Supply,  
VA. 1986-1989 employed by Stockley Enterprises, VA as partner.

#### OPERATION

05/08/90

Wholesale electrical apparatus.  
Terms are net 30 days. Has 13 accounts. Sells to contractors.  
Territory: United States.

EMPLOYEES: 4 including officers.

FACILITIES: Owns 5,000 sq. ft. in a one story frame building.

ATTN: BARRY LETTS

File  
#2-90-014

\*IN DATE\*

Statement Date: SEP 30 1989

DUNS: 09-355-1166  
B & D ELECTRIC SUPPLY INC

DATE PRINTED  
JUL 24 1990

SUMMARY  
RATING BB2

1569 DIAMOND SPRINGS RD, STE-  
102  
VIRGINIA BEACH VA 23455  
TEL: 804 460-2479

WHOL MARINE  
ELECTRICAL SUPPLIES  
SIC NO.  
50 65

STARTED 1978  
PAYMENTS SEE BELOW  
SALES F \$1,567,323  
WORTH F \$281,582  
EMPLOYS 15  
HISTORY CLEAR  
FINANCING SECURED  
CONDITION GOOD

CHIEF EXECUTIVE: E HAWLEY BROOKS, PRES-TREAS

SPECIAL  
EVENTS

03/22/90 In a communication received May 19 1989 management stated that  
Raymond Kassab is now vice president. Antecedents have been requested  
by mail.

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW DUES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
07/90	Slow 30	250	-0-	-0-		2-3 Mos
06/90	Ppt	7500	500	250		1 Mo
	Ppt	500	50	-0-		2-3 Mos
	Ppt	250	-0-	-0-	2 10 N30	6-12 Mos
	Ppt	100	-0-	-0-	Prax	2-3 Mos
	Ppt	50	50	-0-		1 Mo
	Ppt-Slow 60	50	-0-	-0-		6-12 Mos
05/90	Ppt	750	-0-	-0-	N30	6-12 Mos
	Ppt	250	-0-	-0-	N30	6-12 Mos
	Ppt	250	100	-0-	N15	1 Mo
	(011)	250	-0-	-0-		6-12 Mos
03/90	Ppt-Slow 60	250	-0-	-0-		4-5 Mos
	(013)	250	-0-	-0-		6-12 Mos
07/90	Ppt	100	-0-	-0-	N30	6-12 Mos
	Slow 25	2500	-0-	-0-	N30	6-12 Mos
02/90	Ppt	500	-0-	-0-		6-12 Mos
	Slow 15-30	750	750	750	1 10 N30	
05/89	(018)				Sales COD	
03/89	Ppt	100	-0-	-0-		6-12 Mos
	Ppt	50	-0-	-0-		2-3 Mos
07/89	Ppt	100	-0-	-0-	N30	6-12 Mos

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

## FINANCE

A CONSULTING SERVICE IS AVAILABLE TO ASSIST YOU IN REVIEWING THIS ACCOUNT FURTHER. PLEASE CALL (800) 223 - 0141 TO SPEAK WITH A DUNS ACCOUNT CONSULTANT.

03/22/90

	Fiscal Sep 30 1987	Fiscal Sep 30 1988	Fiscal Sep 30 1989
Curr Assets	577,914	508,329	530,118
Curr Liabs	423,083	225,830	264,351
Current Ratio	1.37	2.25	2.01
Working Capital	154,831	282,499	265,767
Other Assets	83,002	73,269	85,815
Worth	237,833	255,768	281,582
Sales	2,000,961	1,854,740	1,567,323
Net Profit (Loss)	12,768	17,935	25,814
Fiscal statement dated SEP 30 1989:			
Cash	\$ 3,065	Accts Pay	\$ 120,296
Accts Rec	347,665	Notes Pay	88,519
Inventory	174,094	Accruals	19,053
Emp Loans	516	Taxes	1,541
Prepaid	4,778	Security Deposits	300
		Employee Benefit	
		Obligation	34,642
Curr Assets	530,118	Curr Liabs	264,351
Fix & Equip	64,477	N/p Stockholder	70,000
CSV of Life Ins.	20,778	COMMON STOCK	100
Deposits	560	ADDIT. PD.-IN CAP	35,888
		TREASURY STOCK	(47,840)
		RETAINED EARNINGS	293,434
Total Assets	615,933	Total	615,933

From OCT 01 1988 to SEP 30 1989 sales \$1,567,323; cost of goods sold \$943,584. Gross profit \$621,739; operating expenses \$650,059. Operating income \$(28,320); other income \$60,114; net income before taxes \$31,794; Federal income tax \$5,980. Net income \$25,814. Monthly rent \$8,750. Lease expires Dec 1994.

Submitted MAR 19 1990 by Deanie Taylor, admin assistant. Extent of audit, if any, not indicated.

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Notes payable is do for a line of credit with a local bank secured by receivables. Note payable/officers is a promissory note due November 15, 1989.

On MAR 19 1990 Deanie Taylor, Administrative Assis, submitted the above figures.

He submitted the following partial estimates dated MAR 19 1990:

Cash	\$	3,000	Accts Pay	\$	100,000
Accts Rec		340,000			
Mdse		175,000			
Fixed Assets		60,000			

## PUBLIC FILINGS

The following data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

## \* \* \* UCC FILING(S) \* \* \*

COLLATERAL: Unspecified including proceeds and products  
FILING NO: 890930537 DATE FILED: 09/21/1989  
TYPE: Continuation ORIG. UCC FILED: 01/25/1985  
SEC. PARTY: SIGNET BANK, NORFOLK, VA FILED WITH: Secretary of State, VA

FILING NO: 860321475  
TYPE: Amendment DATE FILED: 03/20/1986  
SEC. PARTY: Bank of Virginia, Norfolk, VA ORIG. UCC FILED: 01/25/1985  
FILED WITH: Secretary of State, VA

COLLATERAL: Business machinery/equipment and proceeds -- Leased Fixtures  
FILING NO: 860620828 DATE FILED: 06/19/1986  
TYPE: Original FILED WITH: Secretary of State, VA  
SEC. PARTY: BMD Leasing Co, Norfolk, VA  
ASSIGNEE: Bank of Virginia, Virginia Beach VA

The public record items reported above under "PUBLIC FILINGS" and "UCC FILINGS" may have been paid, terminated, vacated or released prior to the date this report was printed.

## BANKING

03/90 Account(s) averages low 5 figures. Account open over 10 years. Account satisfactory. Loans granted to moderate 6 figures on a secured basis. Now owing low 6 figures. Collateral consists of accounts receivable and inventory. Matures in 1 to 5 years. Borrowing account is satisfactory. Overall relations are satisfactory.

## HISTORY

03/22/90

E HAWLEY BROOKS, PRES-TREAS  
DIRECTOR(S): THE OFFICER(S)

RAYMOND KASSAB, V PRES

Incorporated Virginia Oct 22 1978. Authorized capital consists



Of 10,000 shares common stock, \$1 par value.

Business started Nov 1978 by present control. 100% of capital stock is owned by the president.

BROOKS born 1930, not active here. 1950-79 active in the United States Navy. 1979-present active with Sovran Bank, Virginia Beach, VA.

KASSAB born 1927. 1964-85 active in the Civil Service, Philadelphia, PA. 1985-present active here.

#### OPERATION

03/22/90

Wholesales marine electrical supplies (100%).

Terms are net 30 days. Has 200 accounts. Sells to governmental agencies. Territory : United States.

Nonseasonal.

EMPLOYEES: 15 including officers.

FACILITIES: Rents 30,000 sq. ft. in one story brick building in good condition.

LOCATION: Suburban business section on well traveled street.

07-24(106 /106)

00000

019 083

FULL DISPLAY COMPLETE

\*IN DATE\*

DUNS: 13-929-6735  
STOKLEY ENTERPRISES INC

DATE PRINTED  
JUN 14 1990

SUMMARY  
RATING --

BOX 14146  
NORFOLK VA 23518  
880 AVE J  
NORFOLK VA 23513  
TEL: 804 480-2822

WHOL ELECTRICAL  
COMPONENTS  
SIC NO.  
50 65

STARTED 1985  
PAYMENTS SEE BELOW  
SALES \$1,000,000  
(PROJ)  
EMPLOYS 6  
HISTORY CLEAR

CHIEF EXECUTIVE: WILLIAM STOKLEY, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
04/90	Ppt	250	100	-0-	N15	1 Mo
03/90	Ppt	15000	5000	-0-		
	(003)	50000	5000		N30	1 Mo

Satisfactory.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

#### FINANCE

03/28/90

On MAR 28 1990 Janet Stokley, secretary-treasurer, declined financial statement.

She submitted the following partial estimates dated MAR 28 1990:  
Projected annual sales are \$ 1,000,000.

#### HISTORY

03/28/90

WILLIAM STOKLEY, PRES

JANET STOKLEY, SEC-TREAS

DIRECTOR(S): THE OFFICER(S)

Incorporated Virginia Aug 27 1985. Authorized capital consists of 500 shares common stock, \$1 par value.

Business started Jan 1 1985 by present control. 100% of capital stock is owned by officers.

W STOKLEY born 1952 married. Active here since 1985. 1983-1985 employed by K WAT Enterprises, Norfolk, VA. 1977-1983 employed by B & D Electric Supplies, Virginia Beach, VA. 1971-1977 employed by Priest Electronics, Norfolk, VA.

J STOKLEY born 1956 married. Active here since 1985. 1983-1985 employed by K WAT Enterprises, Norfolk, VA. 1976-1983 employed by Navy Exchange, Norfolk, VA.

#### OPERATION

03/28/90

Wholesales electrical components.  
Terms are net 30 days. Has 50 accounts. Sells to shipyards.

Territory : Uni d States.

Nonseasonal.

EMPLOYEES: 6 including officers.

FACILITIES: Rents 4,500 sq. ft. in a 1 story brick building in good condition.

LOCATION: Suburban business section on well traveled street.

06-14(351 /351) 00000

019

Crestar Bank, Norfolk, VA

FULL DISPLAY COMPLETE

## RATING CHANGE

DUNS: 19-691-8809  
SPECTRONICS INC

BOX 9517  
MOBILE AL 36691  
1201 MONTLIMAR DR #650  
MOBILE AL 36609  
TEL: 205 344-0450

DATE PRINTED  
JUN 14 1990  
WHOLESALES  
ELECTRONIC PARTS  
SIC NO.  
50 65

SUMMARY  
RATING CC2  
FORMERLY  
CC3  
STARTED 1988  
PAYMENTS SEE BELOW  
SALES \$3,000,000  
(PROJ)  
WORTH F \$109,800  
EMPLOYS 10  
HISTORY CLEAR  
FINANCING SECURED  
CONDITION GOOD  
TREND UP

CHIEF EXECUTIVE: MORRIS EARL, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
05/90	Disc-Ppt	5000	50	-0-	1 10 N30	1 Mo
	Ppt	15000	2500	-0-	2 10 Prox	1 Mo
	Ppt	750	50	-0-		1 Mo
	Ppt	500	-0-	-0-		4-5 Mos
	Ppt	500	100	-0-	2 10 N30	1 Mo
	Ppt	250	-0-	-0-	N30	1 Mo
	Ppt	100	100	-0-		1 Mo
	Slow 5	2500	2500	-0-		1 Mo
	Slow 15	250	50	-0-	N30	1 Mo
	Slow 30	1000	-0-	-0-		4-5 Mos
	(011)	500	100	-0-	N30	1 Mo
04/90	Disc	250	50	-0-		2-3 Mos
	Ppt	10000	5000	-0-	N30	1 Mo
	Ppt	7500	5000		N30	
	Ppt	2500	-0-	-0-	N30	6-12 Mos
	Ppt	2500	-0-	-0-	1 15 N30	6-12 Mos
	Ppt	750	50	-0-	N15	1 Mo
	Ppt-Slow 5	100	100	50		1 Mo
	Ppt-Slow 15	750	250	100		1 Mo
	Ppt-Slow 30	2500	2500	750		1 Mo
	Slow 30	5000	-0-	-0-		6-12 Mos
	(022)	2500	2500	500		1 Mo
	(023)	500	-0-	-0-		6-12 Mos
03/90	Ppt	5000	2500		N30	1 Mo
	Ppt	1000	250	-0-	N30	1 Mo
	Ppt	500	-0-	-0-		2-3 Mos
	Ppt	100	-0-	-0-		2-3 Mos
	Ppt	50	-0-	-0-	N30	6-12 Mos
	Ppt-Slow 30	1000	-0-	-0-		2-3 Mos
	Ppt-Slow 60	50	50	50	N30	1 Mo
	Slow 90	750	100	-0-	N30	1 Mo

02/90	(032)	750	-0-	-0-	N30	1 Mo
	Ppt	100	-0-	-0-		6-12 Mos
	(034)	2500	1000		1 10 N30	1 Mo
	Satisfactory.					
01/90	Ppt	1000	-0-	-0-		6-12 Mos
	(036)	2500	-0-	-0-	N30	2-3 Mos
12/89	Ppt-Slow 30	2500	2500			1 Mo
11/89	Ppt	250	250	-0-		1 Mo
	Ppt	100	100	-0-	N30	1 Mo
09/89	Ppt-Slow 30	1000	1000	250	N30	1 Mo
	Slow 30	50	50	50	N30	
07/89	Slow 55	500	500	500		

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

#### UPDATE

03/28/90 On Mar 28 1990, Morris Earl, pres, made reference to statement dated Dec 31 1989.

#### STATEMENT UPDATE

03/28/90 Fiscal statement dated DEC 31 1989:

Cash	\$	18,545	Accts Pay	\$	215,524
Accts Rec		308,885	Notes Pay		111,509
Inventory		226,490	Payroll/Sales		
Prepaid Taxes		12,885	Taxes		3,364
			Acc Interest		129
<hr/>					
Curr Assets		566,805	Curr Liabs		330,526
Fixt & Equip		34,394	Notes Payable		152,954
Accum Dep		(8,971)	CAPITAL STOCK		5,000
Or Cost		1,150	RETAINED EARNINGS		104,898
<hr/>					
Total Assets		593,378	Total		593,378

From JAN 01 1989 to DEC 31 1989 sales \$2,842,133; Monthly rent \$3,000. Lease expires Apr 1 1991. Fire insurance on mdse \$300,000;

Signed MAR 09 1990 by Morris Earl, pres. Extent of audit, if any, not indicated.

--0--

#### FINANCE

A CONSULTING SERVICE IS AVAILABLE TO ASSIST YOU IN REVIEWING THIS ACCOUNT FURTHER. PLEASE CALL (800) 223 - 0141 TO SPEAK WITH A DUNS ACCOUNT CONSULTANT.

01/10/90

	Fiscal	Interim
	Dec 31 1988	3 months Mar 31 1989
Curr Assets	380,632	565,620
Curr Liabs	373,044	490,523
Current Ratio	1.02	1.15
Working Capital	7,588	75,097
Other Assets	6,833	6,834



Worth	14,421	81,930
Sales	368,092	722,102
Net Profit (Loss)	10,671	64,698

Interim statement dated MAR 31 1989:

Cash	\$ 18,451	Accts Pay	\$ 294,291
Accts Rec	303,768	Bank Loans	55,446
Inventory	243,401	Accruals	17,786
		Officer Loans	123,000
-----		-----	
Curr Assets	565,620	Curr Liabs	490,523
Fixt & Equip	6,833	CAPITAL STOCK	5,000
Org Cost	1,450	PROFITS	64,698
		RETAINED EARNINGS	13,682
-----		-----	
Total Assets	573,903	Total	573,903

From JAN 01 1989 to MAR 31 1989 sales \$722,102. Operating expenses \$657,404. Net income before taxes \$64,698. Net income \$64,698. Monthly rent \$2,800. Lease expires 1991. Fire insurance on mdse & fixt \$250,000.

Submitted JUN 06 1989 by Morris Earl, pres. Prepared from books without audit.

--0--

Item worth shown in summary section was computed after deduction of intangibles, organization expenses, totaling \$1,450. Fixed assets shown net less \$166 depreciation. Bank loan is a line of credit, secured by accounts receivable and inventory. Line of credit is for \$135,000 of which \$55,446 currently in use. Officer loans \$123,000 is open note due at 10% interest annually and is regarded as non-pressing.

On JAN 10 1990 Morris Earl, president, referred to the above figures.

He submitted the following partial estimates dated JAN 10 1990:

Cash	\$ 20,000	Accts Pay	\$ 196,000
Accts Rec	300,000	Owe Bank	107,000
Mdse	250,000		

Sales for 1989 were \$2,800,000.

Projected annual sales are \$ 3,000,000.

He stated that sales for the 12 months ended Dec 31 1989 were up 20% compared to the same period last year. Operations for the period were conducted at a profit.

He attributed increased sales to normal growth of a new business due to aggressive marketing. Increased sales coupled with controlled expenses has increased profit. Trend is up.

#### PUBLIC FILINGS

##### UCC FILINGS

01/10/90 Financing Statement #A317081 filed 04-14-89 with Secretary, State of AL. Debtor: SPECTRONICS INC, MOBILE, AL 36609. Secured Party: FIRST ALABAMA BANK, MONTGOMERY, AL 36102. Collateral: leased business machines/equipment.

01/10/90 Financing Statement #303000 filed 12-01-88 with Secretary, State of AL. Debtor: SPECTRONICS INC, MOBILE, AL. Secured Party: FIRST ALABAMA BANK, MOBILE, AL.

01/10/90 Financing Statement #30300 filed 12-01-88 with Secretary, State

of AL. Debtor: SPECTRONICS INC, MOBILE, AL. Secured Party: FIRST ALABAMA BANK, M. ILE, AL. Collateral: specified inventory and proceeds.

The public record items reported above under "PUBLIC FILINGS" and "UCC FILINGS" may have been paid, terminated, vacated or released prior to the date this report was printed.

BANKING  
05/90  
HISTORY  
03/28/90

Overall relations are satisfactory.

MORRIS EARL, PRES  
THE OFFICER(S)

PETE TOLBERT, V PRES

Incorporated Alabama Oct 28 1988. Authorized capital consists of 10,000 shares common stock, \$1 par value.

Business started Oct 1988 by officers. 67% of capital stock owned by Morris Earl. 33% of capital stock is owned by Pete Tolbert. Starting capital \$248,000 derived from \$150,000 savings and \$98,000 loan Bank.

MORRIS EARL born 1942 married. 1964-1979 employed Yorke Radio, Decatur, IL. 1979-1985 was president of Leader Electronics, Tampa, FL. That business operated to good advantage and was sold in 1985 to Specialty Distributing Co, Norcross, GA. 1985 to 1988 was vice president of Specialty Distributing Company, Norcross, GA. Resigned that position in 1988 to start this company.

PETE TOLBERT born 1947 married. 1988-present with subject. 1978-1988 employed by Specialty Distributing, locally. 1968-1978 employed by Grice Electronics, locally.

OPERATION  
03/28/90

Wholesales electronic parts (100%).

Terms are net 30 days. Has 500 accounts. Sells to industrial and commercial concerns. Territory : United States. Nonseasonal.

EMPLOYEES: 10 including officers.

FACILITIES: Rents 3,500 sq. ft. in one story brick building in good condition. Premises neat.

LOCATION: Suburban business section on well traveled street.  
06-14(559 /82) 00000 099

FULL DISPLAY COMPLETE

ATTN: BARRY LETTS

\*IN DATE\*

DUNS: 19-466-0130  
DOW ELECTRONICS INC

DATE PRINTED  
JUL 24 1990

RATING BRANCH

3028 ADRIATIC CT  
NORCROSS GA 30071  
TEL: 404 448-4004

WHOL ELECTRONIC  
PARTS AND SUPPLIES  
AND SOUND EQUIPMENT  
SIC NO.  
50 65

EMPLOYS 50

BRANCH MANAGER: PHILIP A DECKER

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
06/90	Ppt	50000	45000	10000	N30	1 Mo
	Ppt	45000	10000	-0-	N30	1 Mo
	Ppt	45000	35000	5000	N30	1 Mo
	Ppt	1000	1000	-0-	N30	1 Mo
	Ppt	50	-0-	-0-	N30	1 Mo
	Ppt-Slow 30	20000	5000	-0-	N30	1 Mo
	Ppt-Slow 30	15000	-0-	-0-	N30	2-3 Mos
	Ppt-Slow 30	100	-0-	-0-	N30	4-5 Mos
	Ppt-Slow 60	15000	-0-	-0-	2 10 EOM	4-5 Mos
	Ppt-Slow 60	500	-0-	-0-	N30	6-12 Mos
	Slow 15	500	250	-0-	N30	1 Mo
	Slow 35	500	100	-0-	N30	1 Mo
05/90	Ppt	500	250	-0-	N15	1 Mo
	Ppt	50	-0-	-0-	N30	6-12 Mos
04/90	Ppt	30000	10000	-0-	N30	1 Mo
	Disc-Slow 45	2500	100	-0-	2 10 N30	1 Mo
	Ppt-Slow 30	5000	2500	1000	N30	6-12 Mos
	Ppt-Slow 30	100	-0-	-0-	N30	6-12 Mos
	Slow 5	15000	50	50	N30	2-3 Mos
	Slow 30	500	-0-	-0-	N30	6-12 Mos
03/90	Ppt	100000	100000	-0-	N30	1 Mo
	Ppt	250	-0-	-0-	N30	6-12 Mos
	Ppt	250	250	-0-	N30	1 Mo
	Ppt-Slow 15	2500	2500	500	N30	1 Mo
	Ppt-Slow 60	100	-0-	-0-	N30	6-12 Mos
	(026)	500	-0-	-0-	N30	1 Mo
	(027)	250	250	250	N30	1 Mo
	(028)	50	-0-	-0-	Regular terms	6-12 Mos
02/90	Disc-Ppt	20000	20000	-0-	2 10 Prox	1 Mo

01/90	Ppt-Slow 30	1000	-0-	-0-	N15	6-12 Mos
	Slow 15	250	-0-	-0-	N30	6-12 Mos
12/89	Ppt	95000	-0-	-0-		6-12 Mos
	Slow 15	500	500	500		
10/89	Slow 30	500	50	50		1 Mo
09/89	Ppt	7500	2500	-0-	N30	1 Mo
	Ppt-Slow 60	7500	7500	2500	N30	1 Mo
08/89	Slow 30	50000	1000	1000	2 10 N30	1 Mo
	(038)	2500			1 10 N30	1 Mo

Satisfactory.

This is a branch: headquarters are located at 5718 Adamo Dr, Tampa, FL. Headquarters D-U-N-S 06-720-9411. This branch wholesales electronic parts, supplies and sound equipment.

07-24(214

/214)

069105105

FULL DISPLAY COMPLETE

ATTN: BARRY LETTS

\*IN DATE\*

UNSIGNED EST: FEB 16 1990

DUNS: 06-720-9411  
GOW ELECTRONICS INC

DATE PRINTED  
JUL 24 1990

SUMMARY  
RATING 1R2

5710 ADAMS DR  
AND BRANCH(ES) OR DIVISION(S)  
TAMPA FL 33619  
TEL: 813 626-5195

WHOL ELECTRONIC  
PARTS  
SIC NO.  
58 63

STARTED 1974  
PAYMENTS SEE BELOW  
SALES \$22,000,000  
(PROJ)  
WORTH \$1,400,000  
EMPLOYS 115(40 HERE)  
HISTORY CLEAR  
FINANCING SECURED  
CONDITION GOOD  
TREND UP

CHIEF EXECUTIVE: PHILLIP A DECKER, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
7/79	Slow 30	500	250	N30	1 Mo
6/79	Disc-Ppt	20000	2500	-0-	2 10 Prox
	Disc-Ppt	500	50	50	2-3 Mos
	Ppt	900000	300000	7500	1 Mo
	Ppt	50000	45000	10000	1 Mo
	Ppt	45000	10000	-0-	1 Mo
	Ppt	45000	35000	5000	1 Mo
	Ppt	7500	100	-0-	1 Mo
	Ppt	1000	1000	-0-	1 Mo
	Ppt	500	-0-	-0-	N30
	Ppt	250	250	-0-	N30
	Ppt	50	-0-	-0-	N30
	Ppt-Slow 30	20000	5000	-0-	1 Mo
	Ppt-Slow 30	15000	-0-	-0-	N30
	Ppt-Slow 30	100	-0-	-0-	2-3 Mos
	Ppt-Slow 60	15000	-0-	-0-	4-5 Mos
	Ppt-Slow 60	500	-0-	-0-	2 10 EOM
	Ppt-Slow 60	500	-0-	-0-	N30
	Ppt-Slow 60	500	250	-0-	6-12 Mos
	Slow 15	500	250	-0-	1 Mo
	Slow 20	50000	35000	1000	1 Mo
	Slow 10-30	15000	10000	7500	1 Mo
	Slow 30	5000	-0-	-0-	1 10 N30
	Slow 30	100	-0-	-0-	2-3 Mos
	Slow 35	500	100	-0-	1 Mo
	(025)	45000	10000	-0-	N30
	(026)	15000	-0-	-0-	1 Mo
	(027)	500	-0-	-0-	6-12 Mos
	(028)	250	-0-	-0-	2 10 Prox
					6-12 Mos



	(029)	50				6-12 Mos
05/90	Ppt	5000	5000	-0-		1 Mo
	Ppt	500	250	-0-	N15	1 Mo
	Ppt	50	-0-	-0-	N30	6-12 Mos
	Ppt	50	-0-	-0-	N15	4-5 Mos
	Ppt-Slow 60	250	50	-0-	N15	1 Mo
	Ppt-Slow 60	250	-0-	-0-	N15	6-12 Mos
04/90	Ppt	30000	10000	-0-		1 Mo
	Disc-Slow 45	2500	100	-0-	2 10 N30	1 Mo
	Ppt-Slow 15	2500	-0-	-0-	2 10 N30	6-12 Mos
	Ppt-Slow 30	5000	2500	1000	N30	
	Ppt-Slow 30	100	-0-	-0-		6-12 Mos
	Slow 30	500	-0-	-0-		6-12 Mos
03/90	Ppt	100000	100000		N30	1 Mo
	Ppt	5000	1000		N30	2-3 Mos
	Ppt	2500	-0-	-0-	1 15 N30	6-12 Mos
	Ppt	750	750	-0-		1 Mo
	Ppt	250	-0-	-0-	N30	6-12 Mos
	Ppt	250	250	-0-	N30	1 Mo
	Ppt	250	-0-	-0-		2-3 Mos
	Ppt	100	-0-	-0-	N15	6-12 Mos
	Ppt-Slow 15	2500	2500	500	N30	1 Mo
	Ppt-Slow 60	100	-0-	-0-		6-12 Mos
	(052)	250	250	250		
	(053)	50			Regular terms	6-12 Mos
02/90	Disc-Ppt	25000	25000	5000		1 Mo
	Ppt	50	-0-	-0-		6-12 Mos
01/90	Ppt-Slow 30	1000	-0-	-0-	N15	6-12 Mos
	Slow 15	250	-0-	-0-	N30	6-12 Mos
12/89	Ppt	25000	-0-	-0-		6-12 Mos
	Ppt	25000	25000	-0-		1 Mo
	Ppt	15000	-0-	-0-		2-3 Mos
	Ppt	5000	-0-	-0-		6-12 Mos
	Ppt-Slow 60	10000	7500	-0-		1 Mo
	Ppt-Slow 60	10000	-0-	-0-		2-3 Mos
	Slow 15	500	500	500		
	Slow 30-60	30000	30000	15000		1 Mo
	(066)	500			Spec agreement	2-3 Mos
	Satisfactory.					
09/89	Ppt-Slow 30	2500	1000	-0-	N30	1 Mo
	Ppt-Slow 60	7500	7500	2500	N30	1 Mo
	Ppt-Slow 120	1000	50	50		1 Mo
	(070)				Sales COD	
08/89	Slow 30	50000	1000	1000	2 10 N30	1 Mo
	Slow 60	1000	1000	1000		
07/89	Disc	25000	250		2 10 N30	
	Ppt		-0-	-0-	N30	6-12 Mos
	Ppt-Slow 15	1000	-0-	-0-		4-5 Mos
06/89	Slow 30	25000	5000	5000	N30	

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the

result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

## FINANCE

02/22/90

Unsigned estimates dated FEB 16 1990:

Cash	\$	200,000	Accts Pay	\$	2,500,000
Accts Rec		2,500,000			
Inventory		4,700,000			
<hr/>					
Curr Assets		7,400,000	Curr Liabs		2,500,000
Fixed Assets		500,000	Notes Long Term		4,000,000
			EQUITY		1,400,000
<hr/>					
Total Assets		7,900,000	Total		7,900,000

Fire insurance on mdse \$4,700,000;

Submitted FEB 16 1990 by Phillip A Decker, president.

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Long term notes are for fixed assets and inventory secured by the same.

On FEB 16 1990 Phillip A Decker, president, referred to the above figures.

He submitted the following partial estimates dated FEB 16 1990:

Projected annual sales are \$ 22,000,000.

He stated that sales for the 03 months ended Dec 31 1989 were up compared to the same period last year. Profit for the period was up. Operations for the period were conducted at a profit.

Current assets provide good coverage of current liabilities.

## PUBLIC FILINGS

The following data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

\*\*\* UCC FILING(S) \*\*\*

COLLATERAL: S and products - Specified Inventory - Contract rights - Chattel paper			
FILING NO:	3880053031	DATE FILED:	08/05/1988
TYPE:	Amendment	ORIG. UCC FILED:	04/04/1988
SEC. PARTY:	Hitachi Sales Corp. Compton, CA	FILED WITH:	Secretary of State, FL
<hr/>			
COLLATERAL: Specified Chattel paper and proceeds			
FILING NO:	1880055219	DATE FILED:	04/06/1988
TYPE:	Original	FILED WITH:	Secretary of State, FL
SEC. PARTY:	Hitachi Sales Corp. Compton, CA		
<hr/>			
COLLATERAL: genral intangibles including proceeds and products - Specified			

Inventory - Contract rights - Chattel paper  
FILING NO: 1880055219 DATE FILED: 04/04/1988  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: Hitachi Sales Corp, Compton, CA FL

COLLATERAL: general intangibles including proceeds and products - Specified  
Inventory - Equipment - Contract rights - Chattel paper  
FILING NO: 1880126294 DATE FILED: 08/01/1988  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: CITIZENS & SOUTHERN NTL BANK, ATLANTA, GA FL

COLLATERAL: Specified Business machinery/equipment and products  
FILING NO: 0000027586 DATE FILED: 01/30/1989  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: NCNB NATIONAL BANK, TAMPA, FL FL

COLLATERAL: Specified Inventory  
FILING NO: 1880094002 DATE FILED: 06/07/1988  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: Caloric Corp & Raytheon Co, Iopon, PA FL

COLLATERAL: Specified Inventory  
FILING NO: 1880026801 DATE FILED: 02/18/1988  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: NCNB National Bank, Tampa, FL FL

COLLATERAL: Specified Equipment - Vehicles  
FILING NO: 1880026794 DATE FILED: 02/18/1988  
TYPE: Original FILED WITH: Secretary of State,  
SEC. PARTY: NCNB National Bank, Tampa, FL FL

FILING NO: 1880065187  
TYPE: Amendment DATE FILED: 09/26/1988  
SEC. PARTY: LYMAN L HUTCHESON SR, OCALA, FL ORIG. UCC FILED: 03/06/1985  
FILED WITH: Secretary of State, FL

\*\*\* ADDITIONAL PUBLIC FILING INFORMATION \*\*\*

03/15/90 On Feb 19 1990, a suit was filed against Dow Electronics Inc by Terry's Electronics Inc in Osceola County Court (Docket #SP90-126) in Kissimmee, FL. Cause of action was damages.

UCC FILINGS

02/22/90 Financing Statement #241545 filed 02-22-89 with CHATHAM COUNTY CLERK. Debtor: DOW ELECTRONICS INC, TAMPA, FL. Secured Party: C & O NAT BANK, TAMPA, FL. Collateral: real property.

The public record items reported above under "PUBLIC FILINGS" and "UCC FILINGS" may have been paid, terminated, vacated or released prior to the date this report was printed.

## BANKING

02/90

Loans granted to medium 7 figures. Now owing medium 7 figures.  
Overall relations are satisfactory.

## HISTORY

02/22/90

PHILLIP A DECKER, PRES

JOHN YODZIS, V PRES-TREAS

GREGORY BUFFINGTON, SEC

DIRECTOR(S): THE OFFICER(S)

Incorporated Florida Jun 7 1974. Authorized capital consists of 7,500 shares common stock, \$1 par value.

Business started 1959 by Oscar Carter. Present control succeeded Jun 15 1974. 100% of capital stock is owned by Phillip A Decker.

PHILLIP A DECKER born 1929 married. Graduated Syracuse University in 1951 with BS in Accounting. 1951-71 employed with Channel Master, Ellenville, NY. 1971-78 De Manpro Electronics, Boston, MA. 1978-79 president of Freck Radio & Supply Inc, sold to others with no unpaid obligations. Also 1974 to present here.

JOHN YODZIS born 1957. Graduated Merrimac College. MBA degree from Bowling Green University 1980. 1980-82 employed by Proctor & Gamble. 1982 joined subject and continues.

GREGORY BUFFINGTON born 1953. Prior to 1977 a student. 1977-80 employed by Braniff Airlines. 1980 to present here.

## OPERATION

02/22/90

Wholesales electronic parts 100%.

Terms are net 10th 50%. Has 6,000 accounts. Brands include RCA, Channel Master, Sharp, Elounda-Tongue, Sony and Belden. Sells to retail outlets and contractors. Price range moderate. Territory : State of Florida.

Nonseasonal.

EMPLOYEES: 115 including officers. 40 employed here.

FACILITIES: Owns 25,000 sq. ft. in one story metal building in good condition. Premises neat.

LOCATION: Light industrial section on well traveled street.

BRANCHES: Subject operates fifteen branches located in various parts of Florida. All branches wholesale electronic supplies.

Citizens & Southern National Bank, Atlanta, GA 94 022390  
07-24(ODD /96) 00000 059 H

FULL DISPLAY COMPLETE

ATTN: BARRY LETTS

DATE PRINTED: JUL 24, 1990

YOUR INQUIRY IS ON A BRANCH LOCATION. ULTIMATE PARENT INFORMATION FOLLOWS.

DUNS: 06-720-9411 (ULTIMATE)  
GOW ELECTRONICS INC  
5718 ADAMS DR  
TAMPA, FL 33619  
LINE OF BUSINESS: WHOL ELECTRONIC PARTS  
PHONE NUMBER....: 813-626-5195  
CHIEF EXECUTIVE : PHILLIP A DECKER, PRES

RATING...: 1R2

SALES VOL: 22,000,000

NET WORTH: 1,400,000

YR/ST....: 1974

E/TOTAL..: 115

E/HERE...: 40

SIC.....: 5065

TOTAL FAMILY MEMBERS: 12 SB: 0 HQ: 0 BR: 12

\*\*\* END OF FAMILY TREE DISPLAY \*\*\*

ATTN: BARRY LETTS

DATE PRINTED: JUL 24, 1990

\*\*\* FAMILY TREE: COMPLETE \*\*\*

DUNS: 06-720-9411 (ULTIMATE)  
DOW ELECTRONICS INC  
5718 ADAMO DR  
TAMPA, FL 33619  
LINE OF BUSINESS: WHOL ELECTRONIC PARTS  
PHONE NUMBER....: 813-626-5195  
CHIEF EXECUTIVE : PHILLIP A DECKER, PRES

RATING...: 1R2  
SALES VOL: 22,000,000  
NET WORTH: 1,400,000  
YR/ST....: 1974  
E/TOTAL..: 115  
E/HERE...: 40  
SIC.....: 5065

TOTAL FAMILY MEMBERS: 12 SB: 0 HD: 0 BR: 12

UPPER DUNS	PRIME DUNS	COMPANY NAME	RATING
CHIEF EXEC		DIVISION NAME	SALES VOLUME
PHONE NUMBER	SIC	STREET ADDRESS	NET WORTH
LINE OF BUSINESS	YR/ST	CITY, STATE, ZIP	E/TOTAL-E/HERE

\*\*\*\*\*

BR ULTIMATE	10-649-8041	DOW ELECTRONICS INC	N/A
LAWRENCE MC MULLEN		N/A	N/A
813-334-6377	5065 N/A	2847 FOWLER ST	N/A
WHOL ELECTRONIC SUPPLIES		FORT MYERS FL 33901	N/A 3
BR ULTIMATE	03-849-7822	DOW ELECTRONICS INC	N/A
KENNETH CROSBY		N/A	N/A
904-376-3231	5065 N/A	1032 S MAIN ST	N/A
N/A		GAINESVILLE FL 32601	N/A 3
BR ULTIMATE	17-390-4079	DOW ELECTRONICS INC	N/A
PRESTON DECKER		SPECIALTY DISTRIBUTING	N/A
904-356-8426	5065 N/A	956 LIBERTY ST	N/A
N/A		JACKSONVILLE FL 32206	N/A 6
BR ULTIMATE	18-399-4558	DOW ELECTRONICS INC	N/A
PRESTON DECKER		N/A	N/A
904-356-8426	5065 N/A	356 N LIBERTY ST	N/A
WHOLESALES ELECTRONICS		JACKSONVILLE FL 32202	N/A 5
BR ULTIMATE	10-183-5668	DOW ELECTRONICS INC	N/A
SHIRLEY HENDERSON		SPECIALTY DISTRIBUTING	N/A
813-686-8193	5065 N/A	1019 N TENNESSEE	N/A
N/A		LAKELAND FL 33805	N/A 3



UPPER DUNS CHIEF EXEC PHONE NUMBER LINE OF BUSINESS	PRIME DUNS SIC YR/ST	COMPANY NAME DIVISION NAME STREET ADDRESS CITY, STATE, ZIP	RATING SALES VOLUME NET WORTH E/TOTAL-E/HERE
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BR ULTIMATE PHILLIP PARTAIN 407-299-2771 WHOL ELECTRONIC SUPPLIES	10-181-7195 5065 N/A	DOW ELECTRONICS INC N/A 2515 SHADER RD UNIT 16 ORLANDO FL 32804	N/A N/A N/A N/A 10
BR ULTIMATE TOMMY DARSEY 912-435-1711 N/A	07-586-0205 5064 N/A	DOW ELECTRONICS INC SPECIALTY DISTRIBUTING 428 ROSSEVELT AVE ALBANY GA 31701	N/A N/A N/A N/A 4
BR ULTIMATE JOHN H REESE 404-722-1526 N/A	03-358-5340 5065 N/A	DOW ELECTRONICS INC N/A 1251 GORDON PARK RD AUGUSTA GA 30901	N/A N/A N/A N/A 4
BR ULTIMATE L WAYNE SCAGGS 912-743-5421 WHOL RADIO SUPPLIES	08-218-0829 5065 N/A	DOW ELECTRONICS INC DOW ELECTRONICS 3119 MERCER UNIVERSITY DR MACON GA 31204	N/A N/A N/A N/A 4
BR ULTIMATE PHILIP A DECKER 404-448-4004 WHOL ELECTRONIC PARTS & SUPS	19-466-0130 5065 N/A	DOW ELECTRONICS INC N/A 3028 ADRIATIC CT NORCROSS GA 30071	N/A N/A N/A N/A 50
BR ULTIMATE ROBERT H BROWN 912-234-1684 DISTR SOUND EQP ELECTRONIC	07-594-0239 5065 N/A	DOW ELECTRONICS INC SPECIALTY DISTRIBUTING 612 E BROAD ST SAVANNAH GA 31401	N/A N/A N/A N/A 3
BR ULTIMATE BRAD FULTS 615-624-1040 WHOL ELECTRONIC PARTS SUPPLIES	07-903-1183 5065 N/A	DOW ELECTRONICS INC SPECIALTY DISTRIBUTING CO 3720 AMNICOLA #113 CHATTANOOGA TN 37406	N/A N/A N/A N/A 6

## \*\* FAMILY TREE \*\*

The "FAMILY TREE" is designed to identify companies and their inter-relationships within a given business organization. The DUNS Numbering System provides the key for determining the hierarchy of each Family Tree.

## \*\* FAMILY TREE CODES \*\*

SB = Subsidiary	YR/ST = Year started
HQ = Headquarters	E/HERE = Employees here
BR = Branch	E/TOTAL = Employees total
	N/A = Information not available

PRIME DUNS = DUNS Number of the corresponding company/location  
UPPER DUNS = Headquarter DUNS Number for the corresponding prime DUNS Number  
ULTIMATE = Parent company for the entire family (full information for the ultimate parent is located at the beginning of each Family Tree)

## \*\* FAMILY TREE INTERPRETATION \*\*

The Family Tree begins with ULTIMATE DUNS Number information for the parent company, followed by all branches with direct relationships to the ultimate.

Relationships of all other members of the Family Tree can be determined by looking at the "UPPER DUNS" field.

If the word "ULTIMATE" appears in this field, the corresponding company (PRIME DUNS) is directly related to the parent company. If a DUNS Number appears in this field, the prime DUNS Number is directly related to that UPPER DUNS Number.

## \*\* BENEFITS AND APPLICATIONS \*\*

The Family Tree is designed to help gain greater productivity in the areas of sales, credit and purchasing. In analyzing the Family Tree, keep in mind the following uses:

- .....To identify all companies related to a common parent for possible new business with other divisions and subsidiaries. (i.e., Status Indicator).
- .....To determine industry diversification within the corporate structure. (i.e., Line of Business, SIC code)
- .....To check the possible strength or weakness of family members. (i.e., Rating, Net Worth, Sales Volume)
- .....To analyze the possibilities for national buying or selling agreements. (i.e., Total Family Members)

\*\*\* END OF FAMILY TREE DISPLAY \*\*\*

Worth		14,421		81,930
Sales		368,092		722,102
Net Profit (Loss)		10,871		64,698
Interim statement dated MAR 31 1989:				
Cash	\$	18,451	Accts Pay	\$ 294,291
Accts Rec		303,768	Bank Loans	55,446
Inventory		243,401	Accruals	17,786
			Officer Loans	123,000
-----				
Curr Assets		565,620	Curr Liabs	490,523
Fixt & Equip		6,833	CAPITAL STOCK	5,000
Org Cost		1,450	PROFITS	64,698
			RETAINED EARNINGS	13,682
-----				
Total Assets		573,903	Total	573,903

From JAN 01 1989 to MAR 31 1989 sales \$722,102. Operating expenses \$657,404. Net income before taxes \$64,698. Net income \$64,698. Monthly rent \$2,800. Lease expires 1991. Fire insurance on mdse & fixt \$250,000.

Submitted JUN 06 1989 by Morris Earl, pres. Prepared from books without audit.

--0--

Item worth shown in summary section was computed after deduction of intangibles, organization expenses, totaling \$1,450. Fixed assets shown net less \$166 depreciation. Bank loan is a line of credit, secured by accounts receivable and inventory. Line of credit is for \$135,000 of which \$55,446 currently in use. Officer loans \$123,000 is open note due at 10% interest annually and is regarded as non-pressing.

On JAN 10 1990 Morris Earl, president, referred to the above figures.

He submitted the following partial estimates dated JAN 10 1990:

Cash	\$	20,000	Accts Pay	\$	196,000
Accts Rec		300,000	Owe Bank		107,000
Mdse		250,000			

Sales for 1989 were \$2,800,000.

Projected annual sales are \$ 3,000,000.

He stated that sales for the 12 months ended Dec 31 1989 were up 20% compared to the same period last year. Operations for the period were conducted at a profit.

He attributed increased sales to normal growth of a new business due to aggressive marketing. Increased sales coupled with controlled expenses has increased profit. Trend is up.

#### PUBLIC FILINGS

##### UCC FILINGS

- 01/10/90 Financing Statement #A317081 filed 04-14-89 with Secretary, State of AL. Debtor: SPECTRONICS INC, MOBILE, AL 36609. Secured Party: FIRST ALABAMA BANK, MONTGOMERY, AL 36102. Collateral: leased business machines/equipment.
- 01/10/90 Financing Statement #303000 filed 12-01-88 with Secretary, State of AL. Debtor: SPECTRONICS INC, MOBILE, AL. Secured Party: FIRST ALABAMA BANK, MOBILE, AL.
- 01/10/90 Financing Statement #30300 filed 12-01-88 with Secretary, State

of AL. Debtor: SPECTRONICS INC, MOBILE, AL. Secured Party: FIRST ALABAMA BANK, MOBILE, AL. Collateral: specified inventory and proceeds.

The public record items reported above under "PUBLIC FILINGS" and "UCC FILINGS" may have been paid, terminated, vacated or released prior to the date this report was printed.

## BANKING

05/90

Overall relations are satisfactory.

## HISTORY

03/28/90

MORRIS EARL, PRES  
THE OFFICER(S)

PETE TOLBERT, V PRES

Incorporated Alabama Oct 28 1938. Authorized capital consists of 10,000 shares common stock, \$1 par value.

Business started Oct 1988 by officers. 67% of capital stock is owned by Morris Earl. 33% of capital stock is owned by Pete Tolbert. Starting capital \$249,000 derived from \$150,000 savings and \$98,000 loan bank.

MORRIS EARL born 1942 married. 1964-1979 employed Yorke Radio, Decatur, IL. 1979-1985 was president of Leader Electronics, Tampa, FL. That business operated to good advantage and was sold in 1985 to Specialty Distributing Co, Norcross, GA. 1985 to 1988 was vice president of Specialty Distributing Company, Norcross, GA. Resigned that position in 1988 to start this company.

PETE TOLBERT born 1947 married. 1988-present with subject. 1978-1988 employed by Specialty Distributing, locally. 1968-1978 employed by Grice Electronics, locally.

## OPERATION

03/28/90

Wholesales electronic parts (100%).

Terms are net 30 days. Has 500 accounts. Sells to industrial and commercial concerns. Territory : United States. Nonseasonal.

EMPLOYEES: 10 including officers.

FACILITIES: Rents 3,500 sq. ft. in one story brick building in good condition. Premises neat.

LOCATION: Suburban business section on well traveled street.

06-14(559 /82)

00000

099

FULL DISPLAY COMPLETE

\*IN DATE\*

Statement Date: DEC 31 1989

RATING CHANGE

DUNS: 19-691-8809  
SPECTRONICS INC

DATE PRINTED  
JUN 14 1990

BOX 9517  
MOBILE AL 36691  
1201 MONTLIMAR DR #650  
MOBILE AL 36609  
TEL: 205 344-0450

WHOLESALES  
ELECTRONIC PARTS  
SIC NO.  
50 65

SUMMARY  
RATING CC2  
FORMERLY  
CC3  
STARTED 1988  
PAYMENTS SEE BELOW  
SALES \$3,000,000  
(PROJ)  
WORTH F \$109,898  
EMPLOYS 10  
HISTORY CLEAR  
FINANCING SECURED  
CONDITION GOOD  
TREND UP

CHIEF EXECUTIVE: MORRIS EARL, PRES

PAYMENTS (Amounts may be rounded to nearest figure in prescribed ranges)

REPORTED	PAYING RECORD	HIGH CREDIT	NOW OWES	PAST DUE	SELLING TERMS	LAST SALE WITHIN
05/90	Disc-Ppt	5000	50	-0-	1 10 N30	1 Mo
	Ppt	15000	2500	-0-	2 10 Prox	1 Mo
	Ppt	750	50	-0-		1 Mo
	Ppt	500	-0-	-0-		4-5 Mos
	Ppt	500	100	-0-	2 10 N30	1 Mo
	Ppt	250	-0-	-0-	N30	1 Mo
	Ppt	100	100	-0-		1 Mo
	Slow 5	2500	2500	-0-		1 Mo
	Slow 15	250	50	-0-	N30	1 Mo
	Slow 30	1000	-0-	-0-		4-5 Mos
	(011)	500	100	-0-	N30	1 Mo
04/90	Disc	250	50	-0-		2-3 Mos
	Ppt	10000	5000	-0-	N30	1 Mo
	Ppt	7500	5000	-0-	N30	
	Ppt	2500	-0-	-0-	N30	6-12 Mos
	Ppt	2500	-0-	-0-	1 15 N30	6-12 Mos
	Ppt	750	50	-0-	N15	1 Mo
	Ppt-Slow 5	100	100	50		1 Mo
	Ppt-Slow 15	750	250	100		1 Mo
	Ppt-Slow 30	2500	2500	750		1 Mo
	Slow 30	5000	-0-	-0-		6-12 Mos
	(022)	2500	2500	500		1 Mo
	(023)	500	-0-	-0-		6-12 Mos
03/90	Ppt	5000	2500	-0-	N30	1 Mo
	Ppt	1000	250	-0-	N30	1 Mo
	Ppt	500	-0-	-0-		2-3 Mos
	Ppt	100	-0-	-0-		2-3 Mos
	Ppt	50	-0-	-0-	N30	6-12 Mos
	Ppt-Slow 30	1000	-0-	-0-		2-3 Mos
	Ppt-Slow 60	50	50	50	N30	1 Mo
	Slow 90	750	100	-0-	N30	1 Mo

	(032)	750	-0-	-0-	N30	1 Mo
02/90	Ppt	100	-0-	-0-		6-12 Mos
	(034)	2500	1000		1 10 N30	1 Mo
	Satisfactory.					
01/90	Ppt	1000	-0-	-0-		6-12 Mos
	(036)	2500	-0-	-0-	N30	2-3 Mos
12/89	Ppt-Slow 30	2500	2500			1 Mo
11/89	Ppt	250	250	-0-		1 Mo
	Ppt	100	100	-0-	N30	1 Mo
09/89	Ppt-Slow 30	1000	1000	250	N30	1 Mo
	Slow 30	50	50	50	N30	
07/89	Slow 55	500	500	500		

\* Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

\* Each experience shown represents a separate account reported by a supplier. Updated trade experiences replace those previously reported.

## UPDATE

03/28/90 On Mar 28 1990, Morris Earl, pres, made reference to statement dated Dec 31 1989.

## STATEMENT UPDATE

03/28/90 Fiscal statement dated DEC 31, 1989:

Cash	\$	18,545	Accts Pay	\$	215,524
Accts Rec		308,885	Notes Pay		111,509
Inventory		226,490	Payroll/Sales		
Prepaid Taxes		12,885	Taxes		3,364
			Acc Interest		129
<hr/>					
Curr Assets		566,805	Curr Liabs		330,526
Fixt & Equip		34,394	Notes Payable		152,954
Accum Dep		(8,971)	CAPITAL STOCK		5,000
Or Cost		1,150	RETAINED EARNINGS		104,898
<hr/>					
Total Assets		593,378	Total		593,378

From JAN 01 1989 to DEC 31 1989 sales \$2,842,133; Monthly rent \$3,000. Lease expires Apr 1 1991. Fire insurance on mdse \$300,000; Signed MAR 09 1990 by Morris Earl, pres. Extent of audit, if any, not indicated.

--0--

## FINANCE

A CONSULTING SERVICE IS AVAILABLE TO ASSIST YOU IN REVIEWING THIS ACCOUNT FURTHER. PLEASE CALL (800) 223 - 0141 TO SPEAK WITH A DUNS ACCOUNT CONSULTANT.

	Fiscal	Interim
	Dec 31 1988	3 months Mar 31 1989
Curr Assets	380,632	565,620
Curr Liabs	373,044	490,523
Current Ratio	1.02	1.15
Working Capital	7,588	75,097
Other Assets	6,833	6,834



STOKLEY ENTERPRISES/SPECTRONICS (#2-90-014)

- On May 24, 1990, Carolina Power & Light (CP&L) issued a purchase order to Spectronics, an authorized distributor of Potter & Brumfield (PB) relays, for the supply of commercial grade PB relays for the Shearon Harris Nuclear Plant (SHNP). CP&L intended to dedicate them for safety related service.
- On June 7, 1990, CP&L reported to the NRC that they had received a drop shipment of 22 relays from Stokley with name plates that appeared to be counterfeit.
- Review and testing witnessed by the NRC Vendor Inspection Branch (VIB) suggested that Stokley and Spectronics supplied unauthorized, refurbished PB relays as new relays to SHNP. Similar type relays from other suppliers had previously been used in emergency diesel generator load-sequencing systems to minimize the effects of excessive voltage drops on the safety-related electrical buses during a LOCA or LAMP.
- OI concluded that Spectronics Vice President, Eugene P. Tolbert, knowingly provided SHNP, Nutherm, Intl. (ultimate destination-Watts Bar), and Sequoyah Nuclear Plant (SNP) with used or refurbished PB relays, as if they were new. OI further concluded that Tolbert utilized interstate telephone transmissions and the U.S. mail to conclude the sales of these commercial grade relays. (The Martin Company, Norfolk, Virginia, was involved in the shipments to Nutherm and SNP.)
- As a result of the OI investigation, collateral information was developed regarding possible fraudulent sales of electrical components to several ship building firms holding contracts with the U.S. Navy. That information was provided to the Naval Investigative Service (NIS) and U.S. Attorney's Office in Norfolk.
- On June 10, 1991, a Federal grand jury returned a twenty-count indictment against William Stokley, Janet Stokley, and their company, Stokley Enterprises, Inc., for selling counterfeit electronic parts to navy warships and commercial nuclear reactors. Each was charged with one count of conspiracy and nineteen counts of trafficking in counterfeit goods.
- On July 24, 1991, William Stokley entered a plea of guilty to one count of the indictment (i.e., conspiracy to traffic in counterfeit goods) and Stokley Enterprises entered a plea of guilty to three counts of the indictment (i.e., conspiracy to traffic and trafficking in counterfeit goods).
- On October 24, 1991, William Stokley was sentenced to two years, with no parole, fined \$7,500, and ordered to contribute \$350,000 toward the \$2.5 million restitution payment the company was ordered to pay. The company was also fined \$30,000.
- DOJ has yet to decide what, if any, action to take regarding the actions of Tolbert and Spectronics and the Martin Company.

B/5

ORDER NO 4312  
DATE 5/24/90

SOLD TO Spectronics

ADDRESS \_\_\_\_\_

SHIP TO \_\_\_\_\_

ADDRESS \_\_\_\_\_

SALESMAN \_\_\_\_\_ SHIP VIA UPS-Red TERMS \_\_\_\_\_ F O B \_\_\_\_\_

2e	MDR173-1	600.00	3600
2e	MDR142-1	600.00	2400
4e	MDR173-1 Conversion	150.00	
2e	MDR142-1 Conversion	150.00	
12e	MDR138-8	280.00	3360

568740-M-BJ

S.T.  
CAROLINA Power & Light  
HARRIS Plant  
Operations Receiving Bldg  
State Rd 1134  
New Hill N.C. 27562

*Spectronics Paper*  
*129.*

*List AS*  
*6e) MDR173-1*  
*4e) MDR142-1*  
*12e) MDR138-8*

UPS NEXT DAY AIR SHIPPER RECEIPT TRACKING NO 1485 2836 403

PURCHASE! UPS NEXT DAY AIR SHIPPER RECEIPT TRACKING NO 1485 2836 412

Wilson Jones  
Carbonless  
none 5-1000. zone 5  
061 # 025 # \$2400.

*B/K*

# STOKLEY ENTERPRISES, INC.

880 Ave. J.  
NORFOLK, VA 23513  
P.O. Box 14146 Norfolk, VA 23518-0146  
Office (804) 480-2822 Home (804) 480-2674

## INVOICE

0006892

DATE: 5-9-90

S-O-W PROS	Spectronics		CUST. ORDER NO. 4028	DATE SHIPPED 5-9-90	
	1201 Montlinear Dr. Suite 650		SHIPPED VIA UPS	TERMS Net 30	
	Mobile, Al. 36609		SALESMAN Bill		
			F.O.B. Norf.	OUR ORDER NO.	

QTY. ORDERED	B/O	QTY. SHIPPED	DESCRIPTION	UNIT PRICE	AMOUNT
3 ea.		3 ea.	Convert MDR167-1 To MDR172-1	\$ 90 00	\$ 270 00
1 ea.		1 ea.	Convert MDR5035 To MDR67-3		65 00
			UPS		6 27
			Total		\$ 341 27

All accounts are due and payable within 30 days. A Finance Charge of 1 1/2% per month which is a true and correct percentage rate of 18% will be charged on all past due accounts. All collection and late charges are to be paid by purchaser when past due invoice are submitted for collection through Agency, Attorney, or court.

SOLD TO SPECTRONICS DATE 5/1/90

SHIP TO \_\_\_\_\_

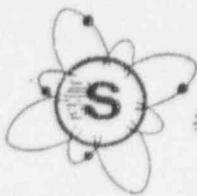
SALESMAN	SHIP VIA	WHEN	TERMS	F.O.B.
Bill	UPS	ASAP		

300 CONVERT MDR167-1 TO MDR172-1  
100 CONVERT MDR5035 TO MDR167-3

5

5# 1400

PURCHASED BY Pete



**SPECTRONICS, INC.**

1201 Montlimar Drive #650

Mobile, Alabama 36609

205 / 344-0450

ELECTRONIC COMPONENTS AND SUPPLIES

Bill

Convert 3 ea 167-1 to 172-1 90<sup>00</sup>

Convert 1 ea 5035 to 67-3 65<sup>00</sup>

Put

Put on PO 4028



(205) 344-0450

Toll Free 1-800-678-6758

Fax (205) 344-0452

ORDER NO 4028  
DATE 5/1 1990

SOLD TO SPECTRONICS

ADDRESS \_\_\_\_\_

SHIP TO \_\_\_\_\_

ADDRESS \_\_\_\_\_

SALESMAN	SHIP VIA	WHEN	TERMS	FOB
<u>Bill</u>	<u>UPS</u>	<u>ASAP</u>		

3ea. CONVERT MDR167-1 TO MDR172-1 90  
1ea. CONVERT MDR5035 TO MDR167-3 6'

ODC 3.

one 5  
107-1 \$400

PURCHASED BY Pote



# STOKLEY ENTERPRISES, INC.

880 Ave. J.  
NORFOLK, VA 23513  
P.O. Box 14146 Norfolk, VA 23518-0146  
Office (804) 480-2822 Home (804) 480-2674

## INVOICE

0006892

DATE: 5-9-90

<b>STOKLEY ENTERPRISES, INC.</b> Spectronics 1201 Montlimar Dr. Suite 650 Mobile, Al. 36609			CUST. ORDER NO. 4028		DATE SHIPPED 5-9-90	
			SHIPPED VIA UPS		TERMS Net 30	
			SALESMAN Bill			
			F.O.B. Norf.		OUR ORDER NO.	
QTY. ORDERED	B/O	QTY. SHIPPED	DESCRIPTION	UNIT PRICE	AMOUNT	
3 ea.		3 ea.	Convert MDR167-1 To MDR172-1	\$ 90.00	\$ 270	00
1 ea.		1 ea.	Convert MDR5035 To MDR67-3		60	00
			UPS		6	27
			Total		\$ 341	27

All accounts are due and payable within 30 days. A Finance Charge of 1 1/2% per month which is a percentage rate of 18% will be charged on all past due accounts. All collection and late charges are to be paid by purchaser when past due invoices are submitted for collection through Agency, Attorney, or court.

Thank You

Bill

Convert ~~100~~ to ~~100~~ 172-4Convert 3 ea 167-1 to 172-1 90<sup>00</sup>Convert 1 ea 5035 to 67-3 65<sup>00</sup>

RUB

Anton PO 4028

**EY ENTERPRISES, INC.**

880 Ave. J.

NORFOLK, VA 23513

14146 Norfolk, VA 23518-0146

480-2822 Home (804) 480-2674

**INVOICE**

0006892

DATE: 5-9-90

electronics

01 Montlimar Dr. Suite 650

Mobile, AL 36609

CUST. ORDER NO 4028

DATE SHIPPED 5-9-90

SHIPPED VIA UPS

TERMS Net 30

SALESMAN Bill

F.O.B. Norf.

OUR ORDER NO.

QTY. SHIPPED	DESCRIPTION	UNIT PRICE		AMOUNT	
3 ea.	Convert MDR167-1 To MDR172-1	\$ 90	00	\$ 270	00
1 ea.	Convert MDR5035 To MDR67-3			65	00
	UPS			6	27
	Total			\$ 341	27
All accounts are due and payable within 30 days. A finance charge of 1 1/2% per month which is a percentage rate of 18% will be charged on all past due accounts. All collection and handling charges are to be paid by purchaser when past due invoices are submitted for collection through Agency, Attorney, or court.					

*Thank You*

ADDRESS

## SALT STAINING

REVIEWS

Ms. 2.1.1.1.1

Supply VTA

SALESMAN  
BILL

UPS	ASAP
-----	------

32a. CONVERT MDR167-1 TO MDR172-1	90 <sup>02</sup>
10a CONVERT MDR5035 TO MDR67-3	65 <sup>02</sup>

Oct 3.

2014  
5-11-14

PURCHASED BY

*With loving*

Inten 1 - 2

**CARTWRIGHT & BEAN, INC.**

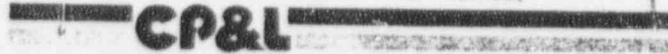
ELECTRONIC MANUFACTURERS' REPRESENTATIVES  
3325 EXECUTIVE DRIVE  
RALEIGH, NC 27609  
919/876-4130 • FAX: 919/876-4132

GERALD E. "GERRY" WHITE  
SALES ENGINEER

ATLANTA/CHARLOTTE/DEERFIELD BEACH/HUNTSVILLE/JACKSON/  
KNOXVILLE/MEMPHIS/NEW ORLEANS/ORLANDO/RALEIGH

ARTHUR J. ROBERTS

*Senior Specialist -  
Mechanical*



**Carolina Power & Light Company**

SHEARON HARRIS NUCLEAR POWER PLANT

P. O. BOX 165

NEW HILL, N. C. 27562

TELEPHONE  
(919) 362-2586

B/7

FACSIMILE TRANSMITTAL  
U.S. NRC - RII  
ATLANTA, GA

TO: STEVE MCKNIGHT / AUSA

CHECK APPROPRIATE BOX:

☐

INFO  
9/952-6728

☐

WV88  
492-8185

☐

PHILLIPS  
492-8110

☐

WHITE FLINT  
492-0260

☐

WOODMONT  
492-7056

☐

PAYROLL  
492-4371

☐

TRAINING CTR.  
615/855-6543

☐

RI  
346-5324

☐

RII  
388-5691

☐

RV  
728-8210

☐

RV  
463-3804

☐

RESIDENT SITE  
(SEE REVERSE)

☐

OTHER: AT US ATTORNEY'S OFFICE (HATTANOOGA TN)  
OFFICE/LOCATION

FAX NO.: (615) 752-5150  
FTS 856-5150

VERIFICATION: \_\_\_\_\_

NO. OF PAGES 4 + TRANSMITTAL SHEET

FROM: JAMES VORSE / NRC OFFICE OF INVESTIGATIONS

FAX: 841-4479 / VERIF. - 5510

B/S  
sent  
6/12/91  
DW





## U.S. Department of Justice

United States Attorney  
Eastern District of Virginia

Huffman Courthouse Building  
Room 401, 600 Granby Street  
Norfolk, Virginia 23510

804/441-6331  
FTS/827-6331

## PRESS RELEASE

FOR IMMEDIATE RELEASE  
NORFOLK, VIRGINIA  
June 10, 1991

HENRY E. HUDSON, UNITED STATES ATTORNEY FOR THE EASTERN DISTRICT OF VIRGINIA, AND NEILL R. ROBINS, SPECIAL AGENT IN CHARGE, MID-ATLANTIC REGIONAL FRAUD UNIT, NAVAL INVESTIGATIVE SERVICE, NORFOLK, ANNOUNCED TODAY THAT A FEDERAL GRAND JURY RETURNED A TWENTY-COUNT INDICTMENT IN A CASE INVOLVING COUNTERFEIT ELECTRONIC PARTS SOLD TO NAVY WARSHIPS AND COMMERCIAL NUCLEAR REACTORS.

THE INDICTMENT CHARGES WILLIAM M. STOKLEY, JANET M. STOKLEY, AND THEIR COMPANY, STOKLEY ENTERPRISES, INC., WITH ONE COUNT OF CONSPIRACY AND NINETEEN COUNTS OF TRAFFICKING IN COUNTERFEIT GOODS. WILLIAM M. STOKLEY IS A 39-YEAR-OLD NORFOLK RESIDENT. HIS WIFE, JANET STOKLEY, IS 34-YEARS-OLD. THE HEADQUARTERS FOR STOKLEY ENTERPRISES, INC., IS 880 AVENUE J., IN NORFOLK, VIRGINIA.

THE INDICTMENT ALLEGES THAT THE STOKLEYS, OPERATING THROUGH STOKLEY ENTERPRISES, MANUFACTURED A VARIETY OF COUNTERFEIT ELECTRONIC PARTS, SUCH AS CIRCUIT BREAKERS, TRANSFORMERS, AND SHIPBOARD CONTROLLERS. ACCORDING TO THE INDICTMENT, THESE PARTS WERE THEN SOLD TO VARIOUS CUSTOMERS, INCLUDING THE UNITED STATES

NAVY AND SUBCONTRACTORS WORKING ON NAVY VESSELS. THE INDICTMENT CHARGES THAT THESE PARTS WERE DESTINED TO BE USED IN IMPORTANT APPLICATIONS. FOR EXAMPLE, THE INDICTMENT ALLEGES THAT SOME OF THE PARTS WERE TO BE USED IN THE OVERHAUL OF THE POWER CONTROL SYSTEM OF THE REACTOR COOLANT PUMPS AND THE PRESSURIZER FOR THE NUCLEAR-POWERED FAST ATTACK SUBMARINE USS BALTIMORE. ALSO ALLEGED IS THAT A CIRCUIT BREAKER WAS INSTALLED IN SUPPORT OF A FIRE CONTROL RADAR ON THE AEGIS CLASS GUIDED MISSILE CRUISER USS PRINCETON AND WAS IN USE IN THE VESSEL WHEN IT WAS DEPLOYED TO THE PERSIAN GULF DURING OPERATION DESERT STORM. (THE USS PRINCETON HIT A SEA-MINE WHILE DEPLOYED IN THE GULF.) THE INDICTMENT ALSO CHARGES THAT THE STOKLEYS SENT 22 MOTOR-DRIVEN RELAYS TO THE SHEARON HARRIS NUCLEAR POWER PLANT IN NEW HILL, NORTH CAROLINA.

THE INDICTMENT ALLEGES THAT THE STOKLEYS HIRED VARIOUS EMPLOYEES AT THEIR PLANT, WHO THEN REWORKED OLD AND SURPLUS ELECTRONIC PARTS TO MAKE THEM APPEAR TO BE NEW. THE INDICTMENT ALSO CHARGES THAT THE STOKLEYS WOULD BUY COUNTERFEIT LABEL PLATES FROM VIRGINIA ART METAL AND PLASTIC COMPANY TO INSTALL ON THE REWORKED PARTS. THE COUNTERFEIT LABEL PLATES BORE THE TRADEMARKS OF SUCH COMPANIES AS GENERAL ELECTRIC, WESTINGHOUSE, JEFFERSON ELECTRIC, AND CUTLER-HAMMER. ACCORDING TO THE INDICTMENT, STOKLEY EMPLOYEES ADDED THE PHONY LABEL PLATES TO MAKE THE PRODUCTS APPEAR TO BE BRAND NEW AND MANUFACTURED BY LEGITIMATE ELECTRONIC COMPANIES.

THE INDICTMENT ALLEGES THAT THESE LABEL PLATES LEAD TO

CONFUSION, DECEPTION, AND MISTAKE BY CUSTOMERS OF THE STOKLEYS. THE INDICTMENT ALLEGES THAT SOME OF THE PARTS THAT THE STOKLEYS SOLD WERE UNSAFE AND THAT OTHERS WOULD NOT OPERATE PROPERLY.

THE INDICTMENT ALSO CHARGES THAT WILLIAM STOKLEY GAVE FALSE INFORMATION TO INVESTIGATORS FROM THE NUCLEAR REGULATORY COMMISSION WHO WERE INVESTIGATING THE COUNTERFEIT PARTS THAT THE STOKLEYS SHIPPED TO THE SHEARON HARRIS NUCLEAR POWER PLANT. ACCORDING TO THE INDICTMENT, ON JUNE 14, 1990, THE STOKLEYS SENT 22 COUNTERFEIT RELAYS TO THE PLANT. ON JUNE 27, 1990, INVESTIGATORS FROM THE NUCLEAR REGULATORY COMMISSION CAME TO NORFOLK TO INTERVIEW STOKLEY, THE INDICTMENT ALLEGES. AT THAT TIME, STOKLEY LIED BY SAYING THAT HIS COMPANY DID NOT REPLACE LABEL PLATES ON THE ELECTRONIC COMPONENTS THAT IT SOLD AND BY SAYING THAT HIS COMPANY DID NOT REWORK ELECTRONIC PARTS, THE INDICTMENT CHARGES.

THE INDICTMENT ALSO ALLEGES THAT STOKLEY ENTERPRISES WAS IN THE PROCESS OF ASSEMBLING COUNTERFEIT CIRCUIT BREAKERS AND SHIPBOARD CONTROLS WHEN AGENTS FROM THE NAVAL INVESTIGATIVE SERVICE DESCENDED ON THE PLANT TO EXECUTE A FEDERAL SEARCH WARRANT ON SEPTEMBER 13, 1990. THE AGENTS FOUND THE EMPLOYEES OF STOKLEY WERE REPLATING CONTACTS AND REPAINTING PARTS ON AN ORDER FOR NEW GENERAL ELECTRIC SHIPBOARD CONTROLS THAT WAS TO BE SENT TO THE INGALLS SHIPBUILDING COMPANY IN PASCAGOULA, MISSISSIPPI. THE INDICTMENT ALSO ALLEGES THAT THE STOKLEYS HAD AN INVENTORY OF COUNTERFEIT LABEL PLATES, INCLUDING COUNTERFEIT GENERAL ELECTRIC LABEL PLATES THAT THEY WERE USING TO MAKE THE PHONY GENERAL

ELECTRIC SHIPBOARD CONTROLS.

UNDER THE INDICTMENT, THE STOKLEYS FACE A MAXIMUM POSSIBLE PRISON TERM OF 100 YEARS AND FIVE MILLION DOLLARS IN FINES. THE COMPANY FACES A MAXIMUM POSSIBLE PENALTY OF TEN MILLION DOLLARS IN FINES.

THE INVESTIGATION OF THE STOKLEYS WAS CONDUCTED BY THE NAVAL INVESTIGATIVE SERVICE AND BY THE NUCLEAR REGULATORY COMMISSION, SAID HUDSON. HUDSON PRAISED THE ELEVEN-MONTH INVESTIGATION, CITING IT AS AN EXAMPLE OF CLOSE COOPERATION BETWEEN DIFFERENT FEDERAL AGENCIES.

HUDSON STATED, "THOSE WHO PRODUCE COUNTERFEIT PARTS MUST BE AWARE THAT THEY CAN BE HELD ACCOUNTABLE UNDER THE FEDERAL CRIMINAL STATUTE." HUDSON ADDED, "DELIBERATELY PRODUCING COUNTERFEIT PARTS FOR OUR FIGHTING VESSELS IS REPREHENSIBLE CONDUCT, AND THOSE WHO ARE RESPONSIBLE WILL BE VIGOROUSLY PROSECUTED BY THE UNITED STATES ATTORNEY'S OFFICE." HUDSON ALSO NOTED THAT THE NAVAL INVESTIGATIVE SERVICES IS CONDUCTING ADDITIONAL INVESTIGATIONS INTO THE PRODUCTION OF COUNTERFEIT PARTS IN THE TIDEWATER AREA.

UNITED STATES MAGISTRATE THOMAS MILLER SET ARRAIGNMENT FOR THE STOKLEYS FOR 11:00 A.M. ON JUNE 12, 1991, IN NORFOLK.

ASSISTANT UNITED STATES ATTORNEY PAUL G. CASSELL AND SPECIAL ASSISTANT UNITED STATES ATTORNEY KEVIN M. COMSTOCK HANDLED THE CASE FOR HUDSON'S OFFICE.

FURTHER QUESTIONS MAY BE DIRECTED TO THE UNITED STATES ATTORNEY'S OFFICE AT 804-441-6331.

FACSIMILE TRANSMITTAL  
U.S. NRC - RII  
ATLANTA, GA

TO: STEVE MCKNIGHT, ASST. U.S. ATTY

CHECK APPROPRIATE BOX:

<input type="checkbox"/> INFO 9/952-6728	<input type="checkbox"/> WHEB 492-8185	<input type="checkbox"/> PHILLIPS 492-8110
<input type="checkbox"/> WHITE FLINT 492-0260	<input type="checkbox"/> WOODMONT 492-7056	<input type="checkbox"/> PAYROLL 492-4371
<input type="checkbox"/> TRAINING CTR. 615/855-6543	<input type="checkbox"/> RI 346-5324	<input type="checkbox"/> RII 388-5691
<input type="checkbox"/> RV 728-8210	<input type="checkbox"/> RV 463-3804	<input type="checkbox"/> RESIDENT SITE (SEE REVERSE)

☒ OTHER (220) U.S. ATTY CHATTANOOGA, TN  
OFFICE/LOCATION

(615) 752-5150  
FAX NO.: FTS 856-5150

VERIFICATION: \_\_\_\_\_

NO. OF PAGES 14 + TRANSMITTAL SHEET

FROM: GARY CLAXTON, NRC OFFICE OF INVESTIGATIONS  
FAX: 841-4479 / VERIF. - 5510

*B/g*  
*Sent 1/29/91*  
*DW*

# RESIDENT INSPECTOR ADDRESSES REGION I

RESIDENT INSPECTORS ADDRESSES	LICENSEE DOCKET NOS.	RESIDENT TELEPHONE NO.	RESIDENT INSPECTORS	PROJECT ENGINEER	SECTION CHIEF	BRANCH CHIEF	NRR LIC. PROJ. MGR.
BARCOCK & WILCOX P. O. BOX 785 LYNCHBURG, VA 24505-0785	NWFD 70-27 50-438 50-439	804/847-7343 804/847-7408 FAX/847-7504	M. ELLIOTT, RI *S. BURKE	G. TROUP EXT. 15566	E. MCALPINE EXT. 15547	W. CLINE EXT. 10346	N/A
BELLEFONTE P. O. BOX 477 HOLLYWOOD, AL 35752	TVA 50-438 50-439	205/259-2615 205/259-2710 FAX/259-6144	*E. CONORT	H. LIVERMORE EXT. 15536 A. LONG EXT. 14664 R. GIBBS EXT. 15544	K. BARR EXT. 10342	B. WILSON EXT. 15526	M. THADANI #92-1419
BROWNS FERRY RTE. 12, BOX 637 ATHENS, AL 35611	TVA 50-259 50-260 50-296	205/729-6196 205/729-6197 FAX/729-6137	C. PATTERSON, BRO E. CHRISTNOT, RI W. BEARDEN, RI K. IVEY, RI G. HUMPHREY, RI *P. BERRY *S. MOSE	R. BERNHARD EXT. 10339	P. KELLOGG EXT. 17878	B. WILSON EXT. 15526	T. ROSS #92-1313
BRUNSWICK STAR RTE. 1, BOX 208 SOUTHPORT, NC 28461	CP&L 50-325 50-324	919/457-9531 FAX/457-9154	R. PREVATTE, BRO W. LEVY, RI B. NELSON, RI *G. MARSHALL	R. CARROLL EXT. 15543 M. GLASMAN EXT. 15581	H. CHRISTENSEN EXT. 15533	D. VERRELLI EXT. 15535	N. LE #92-1855
CATAWBA RTE. 2, BOX 179-N YORK, SC 29745	DPC 50-413 50-414	803/831-2963/64 FAX/831-7468	W. ORDERS, BRO P. HOPKINS, RI J. ZEILER, RI *L. HARMON	W. MILLER EXT. 14198 S. NINH EXT. 12797	A. BELISLE EXT. 14196	A. HERDT EXT. 15583	R. MARTIN #92-1894
CRYSTAL RIVER 6745 N. Tallahassee Rd CRYSTAL RIVER, FL 32629	FPC 50-302	904/795-7677 FAX/795-7664	P. HOLMES-RAY, BRO R. FREUDENBERGER, RI *A. TITUS	R. SCHIN EXT. 15561	K. LANDIS #2 EXT. 15097	M. SINKULE EXT. 15506	H. SILVER #92-1470
FARLEY RTE. 2, BOX 24 COLUMBIA, AL 36319	APC 50-348 50-364	205/899-3386/7 FAX/899-3380	G. MAXWELL, BRO H. MORGAN, RI *S. PAUL	R. WRIGHT EXT. 10345 J. MUNDAY #1 EXT. 15568	F. CARTRELL EXT. 15534	D. VERRELLI EXT. 15535	S. HOFFMAN #92-1463
GRAND GULF RTE. 2, BOX 399 PORT GIBSON, MS 39150	SERI 50-416 50-417	601/437-4620/31 FAX/437-4653	J. KATHIS, BRO C. HUOHVY, RI #2 *M. STRAWBERRY	R. WRIGHT EXT. 10345 J. MUNDAY #1 EXT. 15568	F. CARTRELL EXT. 15534	D. VERRELLI EXT. 15535	L. KINTNER #92-0010
HARRIS RTE. 1, BOX 3158 NEW HILL, NC 27562	CP&L 50-400	919/362-0601 FAX/362-0640	J. TEDROW, BRO M. SHANNON, RI *L. VINCANNON	R. CARROLL EXT. 15543 M. GLASMAN EXT. 15581	H. CHRISTENSEN EXT. 15533	D. VERRELLI EXT. 15535	B. MOZAFARI #92-1466
HATCH RTE. 1, BOX 725 BAXLEY, GA 31513	GPC 50-321 50-366	912/367-9881 FAX/367-6297	L. WERT, BRO R. NUSSER, RI *E. DYAL	S. SPARKS EXT. 15619	P. SKINNER EXT. 16299	A. HERDT EXT. 15583	K. JABBOUR #92-1496
MCQUIRE 12700 HAGERS FERRY RD HUNTERSVILLE, NC 28078-	DPC 50-369 50-370	704/875-1681/82 FAX/875-9371	K. VAN DOORN, BRO T. COOPER, RI W. SARTOR, RI #3 *L. ROTH	W. MILLER EXT. 14198 S. NINH EXT. 12797	A. BELISLE EXT. 14196	A. HERDT EXT. 15583	T. REED #92-1479
NFS P. O. BOX 147 ERWIN, TN 37650	*70-743	615/743-2038 615/743-2048 FAX/615/743-2058	S. BURRIS, BRO	C. BASSETT EXT. 15566	E. MCALPINE EXT. 15547	W. CLINE EXT. 10346	N/A
NORTH ANNA RTE. 2, BOX 78-A MINERAL, VA 23117	VEPCO 50-338 50-339	703/894-5421 FAX/894-5450	M. LESSER, BRO J. NEWING, RI *E. SHOGARS	A. RUFF EXT. 14199	P. FREDRICKSON EXT. 15649	M. SINKULE EXT. 15506	L. ENGLE #92-1484
OCONEE RTE. 2, BOX 610 SENECA, SC 29678	DPC 50-269 50-270 50-287	803/882-6927/28 FAX/882-0189	P. HARMON, BRO K. POERTNER, RI B. DESAI, RI *M. JORDAN	W. MILLER EXT. 14198 S. NINH EXT. 12797	A. BELISLE EXT. 14196	A. HERDT EXT. 15583	L. WIENS #92-1495
ROBINSON RTE. 5, BOX 413 HARTSVILLE, SC 29550	CP&L 50-261	803/383-4571/ 4572 FAX/383-6441	L. GARNER, BRO K. JURY, RI *K. BRUCE	R. CARROLL EXT. 15543 M. GLASMAN EXT. 15581	H. CHRISTENSEN EXT. 15533	D. VERRELLI EXT. 15535	R. LO #92-1463
ST. LUCIE 7585 SOUTH HIGHWAY 41A JENSEN BEACH, FL 33457	FP&L 50-335 50-389	407/464-7822 FAX/461-4622	S. ELROD, BRO M. SCOTT, RI *G. ANDERSON	R. SCHIN EXT. 15561	K. LANDIS #2 EXT. 15509	M. SINKULE EXT. 15506	J. MORRIS #92-1483
SEQUOYAH 2600 LOGO FERRY BODDY-DAISY, TN 37379	TVA 50-327 50-328	615/842-8001 FAX/842-8021	W. HOLLAND, BRO S. SHAEFFER, RI *B. MARSELL	J. BRADY EXT. 15525	W. LITTLE EXT. 17878	B. WILSON EXT. 15526	J. DONOHEW #92-0703
SUMNER RTE. 1, BOX 64 JENKINSVILLE, SC 29065	SC&G 50-395	803/345-5683/4 FAX/345-5637	R. KAAG, BRO L. KELLER, RI *P. BUSH	R. WRIGHT EXT. 10345 J. MUNDAY #1 EXT. 15568	F. CARTRELL EXT. 15534	D. VERRELLI EXT. 15535	G. MUNDER #92-1456
SURRY RTE. 1, BOX 166 SURRY, VA 23883	VEPCO 50-280 50-281	804/357-2101 FAX/357-7611	H. BRANCH, BRO J. YORK, RI S. TINGEN, RI *K. LIPPARD	A. RUFF EXT. 14199	P. FREDRICKSON EXT. 15649	M. SINKULE EXT. 15506	*B. BUCKLEY #92-1452
TURKEY POINT P. O. Box 1448 HOMESTEAD, FL 33090	FP&L 50-250 50-251	305/245-7669 FAX/248-7254	R. BUTCHER, BRO G. SCHNEBLI, RI L. TROCINE, RI *H. HARASA	R. SCHIN EXT. 15561	K. LANDIS #2 EXT. 15509	M. SINKULE EXT. 15506	R. AULUCH #92-1471
VOGTLE P. O. BOX 572 WAYNESBORO, GA 30630	DPC 50-424 50-425	404/554-9901 FAX/554-9548	B. BORSER, BRO P. BALMAIN, RI R. STARKEY, RI *D. DORSEY	S. SPARKS EXT. 15619	P. SKINNER EXT. 16299	A. HERDT EXT. 15583	D. HOOD #92-3049
WATTS BAR RTE. 2, BOX 700 SPRING CITY, TN 37381	TVA 50-390 50-391	615/365-5467/68 5468, 8676 FAX/365-5496	G. WALTON, BRO J. LARA, RI #4 B. CROWLEY, RI #3 *L. GARRISON	H. LIVERMORE EXT. 15536 A. LONG EXT. 14664 R. GIBBS EXT. 15544	K. BARR EXT. 10342	B. WILSON EXT. 15526	P. YAMA #92-1307

\* SITE SECRETARY  
#1 EOD: 07/28/91  
#2 EOD: 07/14/91  
#3 6-MONTH ASSIGNMENT  
#4 EOD: 08/26/91

UPDATED 05/06/91





## U.S. Department of Justice

United States Attorney  
Eastern District of Virginia

Hoffman Courthouse Building  
Room 401, 600 Granby Street  
Norfolk, Virginia 23510

804 441-6331  
FTS/827-6331

## PRESS RELEASE

FOR IMMEDIATE RELEASE  
NORFOLK, VIRGINIA  
JULY 24, 1991

KENNETH E. MELSON, UNITED STATES ATTORNEY FOR THE EASTERN DISTRICT OF VIRGINIA, AND NEILL ROBINS, SPECIAL AGENT IN CHARGE, MID-ATLANTIC REGIONAL FRAUD UNIT, NAVAL INVESTIGATIVE SERVICE, NORFOLK, ANNOUNCED TODAY THE DISTRICT COURT ACCEPTED THE GUILTY PLEAS OF WILLIAM M. STOKLEY AND STOKLEY ENTERPRISES IN A CASE INVOLVING COUNTERFEIT ELECTRONIC PARTS SOLD TO NAVY WARSHIPS AND COMMERCIAL NUCLEAR REACTORS.

WILLIAM M. STOKLEY ENTERED A PLEA OF GUILTY TO COUNT ONE OF THE INDICTMENT CHARGING HIM WITH CONSPIRACY TO TRAFFIC IN COUNTERFEIT GOODS. WILLIAM M. STOKLEY IS A 39 YEAR-OLD NORFOLK RESIDENT.

STOKLEY ENTERPRISES, THE CORPORATION, ENTERED A PLEA OF GUILTY TO COUNTS ONE, NINE, AND THIRTEEN OF THE INDICTMENT. STOKLEY ENTERPRISES IS LOCATED AT 880 AVENUE J., IN NORFOLK, VIRGINIA.

UNDER THE DIRECTION OF WILLIAM M. STOKLEY, SOME STOKLEY ENTERPRISES EMPLOYEES BUILT, ASSEMBLED OR CHECKED PARTS FROM ABOUT AUGUST 1985, TO ABOUT SEPTEMBER 13, 1990. EMPLOYEES, UNDER THE DIRECTION OF WILLIAM M. STOKLEY BUILT OR ASSEMBLED SOME OF THE PARTS WITH SURPLUS ELECTRICAL AND NEW OR USED PARTS, PAINTING AND



CLEANING SOME PRODUCTS TO MAKE THEM APPEAR NEW. AS EACH OF THESE PARTS WAS COMPLETED, WILLIAM M. STOKLEY WOULD DIRECT EMPLOYEES TO ATTACH COUNTERFEIT LABEL PLATES TO MAKE THE PARTS APPEAR TO BE A PART FROM AN ESTABLISHED AND RECOGNIZED COMPANY SUCH AS GENERAL ELECTRIC ("GE"), WESTINGHOUSE, JEFFERSON ELECTRIC, CUTLER-HAMMER, OR SPD TECHNOLOGIES (D/B/A ITE). GENERAL ELECTRIC, WESTINGHOUSE, JEFFERSON ELECTRIC, CUTLER-HAMMER, AND SPD TECHNOLOGIES HAD ALL PROPERLY REGISTERED THEIR TRADEMARKS FOR THESE PRODUCTS ON THE PRINCIPAL REGISTER OF THE UNITED STATES PATENT AND TRADEMARK OFFICE IN WASHINGTON, D.C. AND THE TRADEMARKS WERE IN USE.

THE COUNTERFEIT LABEL PLATES WHEN USED BY STOKLEY ENTERPRISES, INC., CONTAINED MARKS THAT WERE IDENTICAL WITH OR SUBSTANTIALLY INDISTINGUISHABLE FROM THE GENUINE TRADEMARKS THAT HAD BEEN REGISTERED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

WILLIAM M. STOKLEY, OPERATING THROUGH STOKLEY ENTERPRISES, MANUFACTURED A VARIETY OF COUNTERFEIT PARTS, SUCH AS CIRCUIT BREAKERS, TRANSFORMERS, AND SHIPBOARD CONTROLLERS. THESE PARTS WERE THEN SOLD TO VARIOUS CUSTOMERS, INCLUDING THE UNITED STATES NAVY AND SUBCONTRACTORS WORKING ON NAVY VESSELS. THE PARTS WERE DESTINED TO BE USED IN IMPORTANT APPLICATION. FOR EXAMPLE, SOME OF THE PARTS WERE TO BE USED IN THE OVERHAUL OF THE POWER CONTROL SYSTEM OF THE REACTOR COOLANT PUMPS AND THE PRESSURIZER FOR THE NUCLEAR-POWERED FAST ATTACK SUBMARINE USS BALTIMORE. ALSO A CIRCUIT BREAKER WAS INSTALLED IN SUPPORT OF A FIRE CONTROL RADAR ON THE AEGIS CLASS GUIDED MISSILE CRUISER USS PRINCETON AND WAS IN USE IN THE VESSEL WHEN IT WAS DEPLOYED TO THE PERSIAN GULF DURING OPERATION DESERT

STORM. WILLIAM M. STOKLEY ALSO SENT 22 MOTOR-DRIVEN RELAYS TO THE SHEARON HARRIS NUCLEAR POWER PLANT IN NEW HILL, NORTH CAROLINA.

WILLIAM M. STOKLEY AND STOKLEY ENTERPRISES WOULD BUY COUNTERFEIT LABEL PLATES FROM VIRGINIA ART METAL AND PLASTIC COMPANY TO INSTALL ON REWORKED PARTS. THE PHONEY LABEL PLATES WERE ADDED TO MAKE PRODUCTS APPEAR TO BE BRAND KNEW AND MANUFACTURED BY LEGITIMATE ELECTRONIC COMPANIES. THESE LABEL PLATES LEAD TO CONFUSION, DECEPTION AND MISTAKE BY CUSTOMERS OF THE STOKLEYS.

AS A RESULT OF PLEADING GUILTY TO COUNT ONE OF THE INDICTMENT, WILLIAM STOKLEY FACES A MAXIMUM POSSIBLE PRISON TERMS OF 5 YEARS AND \$250,000 IN FINES. THE COMPANY FACES A MAXIMUM PENALTY OF \$2,500,000 IN FINES OR NOT MORE THAN TWICE THE GROSS GAIN OR TWICE THE GROSS LOSS AS A RESULT OF THE CORPORATION'S ACTIVITIES.

THE INVESTIGATION OF STOKLEY AND HIS COMPANY WAS CONDUCTED BY THE NAVAL INVESTIGATIVE SERVICE AND BY THE NUCLEAR REGULATORY COMMISSION, SAID MELSON. MELSON PRAISED THE ELEVEN MONTH INVESTIGATION, CITING IT AS AN EXAMPLE OF CLOSE COOPERATION BETWEEN DIFFERENT FEDERAL AGENCIES.

MELSON NOTED THAT "THE GOVERNMENT WILL NOT TOLERATE DECEPTION IN THE DELIVERY OF SERVICES TO THE GOVERNMENT, PARTICULARLY WHERE THE LIVES OF U.S. SERVICEMEN AND CITIZENS MAY BE PUT IN JEOPARDY." HE FURTHER STATES THAT "THIS OFFICE, IN CONJUNCTION WITH THE FEDERAL INVESTIGATIVE AGENCIES, WILL AGGRESSIVELY PURSUE PROSECUTION STRATEGIES TO IDENTIFY, APPREHEND, AND CONVICT THOSE WHO TRY TO SUBVERT THE INTEGRITY OF GOVERNMENT CONTRACTING AND PROCUREMENT."

SENTENCING IS SET FOR SEPTEMBER 25, 1991 AT 9:00 A.M.

ASSISTANT UNITED STATES ATTORNEY PAUL G. CASSEL AND SPECIAL ASSISTANT UNITED STATES ATTORNEY KEVIN M. COMSTOCK HANDLED THE CASE FOR MELSON'S OFFICE.

FURTHER QUESTIONS MAY BE DIRECTED TO THE UNITED STATES ATTORNEY'S OFFICE AT 804-441-6331.

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF VIRGINIA  
NORFOLK DIVISION

UNITED STATES OF AMERICA

v.

CRIMINAL NO. 91-83-N

WILLIAM M. STOKLEY  
STOKLEY ENTERPRISES, INC.

STATEMENT OF FACTS

This statement of facts is applicable to the plea agreements for both defendants WILLIAM M. STOKLEY and STOKLEY ENTERPRISES, INC. If the above-captioned criminal case had gone to trial, the United States would have proven the following beyond a reasonable doubt through competent and admissible evidence:

On about August 12, 1985, defendant WILLIAM M. STOKLEY and Janet M. Stokley (who are husband and wife) incorporated defendant STOKLEY ENTERPRISES, INC. (also known in some documents as Stokely Enterprises, Inc. and referred to sometimes herein as "STOKLEY"). WILLIAM M. STOKLEY was the President while Janet Stokley was the Secretary-Treasurer. Another person, Michael E. Martin held approximately a 34 percent interest in STOKLEY ENTERPRISES, INC., until the Stokleys bought him out in May, 1989. STOKLEY ENTERPRISES, INC., operated from a warehouse located at 880 Avenue J, in Norfolk, Virginia, in the Eastern District of Virginia. Defendant WILLIAM M. STOKLEY was responsible for the day-to-day operations of STOKLEY ENTERPRISES, INC. Janet M. Stokley was responsible for handling various duties for the company.

The business of STOKLEY ENTERPRISES, INC., was selling

component electrical parts such as circuit breakers, transformers, shipboard controls, sound equipment, bells, alarms, contactors, mess equipment, fans, intercoms, lamps, keyers, relays, ABT's, telephone equipment, power supplies, push button stations, switches, synchros, switchboards, and other parts. STOKLEY ENTERPRISES, INC., acting through its employees and officers, sold many of its parts to major Navy contractors and shipbuilding companies such as Ingalls Shipbuilding and Bath Iron Works. It also sold many parts directly to the United States Navy and some of its parts were shipped, per contract with one of STOKLEY ENTERPRISES, INC.'s customers, to the Carolina Power and Light Company, which operated the Shearon Harris nuclear power plant.

Many of the parts sold by STOKLEY ENTERPRISES, INC., bore counterfeit label plates. Under the direction of WILLIAM M. STOKLEY, some STOKLEY ENTERPRISES employees built, assembled, or checked parts at a warehouse and then shipped them to STOKLEY customers from about August, 1985, to about September 13, 1990. STOKLEY ENTERPRISES, INC., employees involved over the years in working on parts included Michael Martin, Denny Jones, Jacob Kellam, and Mary E. Hotte. Some employees built or assembled some of the parts with surplus electrical and new or used parts, painting and cleaning some products to make them appear to be new. As each of these parts was completed, some STOKLEY employees, including WILLIAM M. STOKLEY, would attach a counterfeit label plate to make the part appear to be a part from

an established and recognized company such as General Electric ("GE"), Westinghouse, Jefferson Electric, Cutler-Hammer, or SPD Technologies (d/b/a ITE). General Electric, Westinghouse, Jefferson Electric, Cutler-Hammer, and SPD Technologies (d/b/a ITE) had all properly registered their trademarks for these products on the principal register of the United States Patent and Trademark Office in Washington, D.C and the trademarks were in use. These counterfeit label plates when used by STOKLEY ENTERPRISES, INC., contained marks that were identical with or substantially indistinguishable from the genuine trademarks that had been registered in the United States Patent and Trademark Office.

Some STOKLEY employees obtained these label plates for the counterfeiting operation from the Virginia Art Metal and Plastic Company of Norfolk, Virginia ("VAMP"). These plates looked almost exactly like genuine label plates from GE, Westinghouse, and the other companies who sold the parts to which some STOKLEY employees affixed counterfeit label plates. To simplify the ordering process, WILLIAM M. STOKLEY and VAMP arranged for a set of photocopies of counterfeit label plates to exist at VAMP. Each one of the counterfeit plates was given a number so that STOKLEY employees could order a counterfeit plate simply by calling VAMP and referring to the number. The photocopies of counterfeit label plates included label plate stencils for industrial electrical components such as motor controls, transformers, connection boxes, low level switch matrices,

contactors, switch boards, pushbutton stations, motor driven relays, circuit breakers, fuse units, fans, power transfer switching units, AC motors, power distribution panels, and other products. VAMP charged STOKLEY ENTERPRISES, INC., for providing the counterfeit plates, and STOKLEY ENTERPRISES, INC., paid VAMP with STOKLEY checks for the counterfeit plates. The defendants, both WILLIAM M. STOKLEY and STOKLEY ENTERPRISES, INC., admit that the marks obtained from VAMP were counterfeit and that the use of the marks was likely to cause confusion, mistake, and to deceive.

One example how the process worked is charged in the indictment in overt acts 19 through 30 of the indictment. On about May 7, 1990, STOKLEY ENTERPRISES, INC., took an order from Ingalls Shipbuilding in Pascagoula, Mississippi, for approximately twenty GE motor controllers with specified General Electric part numbers. Shortly after that, Stokley Enterprises geared up to produce the "General Electric" controllers. STOKLEY ENTERPRISES, INC., asked VAMP to produce several counterfeit GE label plates of exactly the type needed for the motor controllers. WILLIAM M. STOKLEY called other companies and ordered the parts needed to produce the subject GE controllers. STOKLEY ENTERPRISES, INC., arranged to have a metal company produce a number of steel parts for manufacture of the motor controllers. A Stokley employee paid for the metal parts. A STOKLEY employee also ordered one GE 115 volt coil from California Marine Supply and a GE contact part in "as is"



condition from Kinnamen Electric, Inc. When all of the parts were received, STOKLEY ENTERPRISES, INC., assembled "General Electric" motor controls that, from outward appearances, looked just like genuine GE motor controls. To these parts, the Stokley Employees attached counterfeit VAMP label plates, which contained the General Electric emblem that is registered as a trademark on the principal register of the Patent and Trademark Office in Washington, D.C.

After the parts were complete, STOKLEY employees shipped the parts to Pascagoula, Mississippi, for use by Ingalls Shipbuilding in the overhaul of the USS John Hancock. After they were received, Ingalls Shipbuilding sent Stokley Enterprises a check for \$5,643, which included some profit to Stokley Enterprises.

Counterfeit label plates were attached on other parts specifically mentioned in the overt acts of the conspiracy indictment, including the parts mentioned in overt acts 28, 31, 33, 34, 44, 45, 46, 47, 50, 55, 61, 64, 67, 72, 77, 85, 87, and 91.

The counterfeiting operation of Stokley Enterprises came to the attention of the Naval Investigative Service ("NIS") in the summer of 1990. Prior to that time, Stokley Enterprises had sold six circuit breakers to another company, Temsco, Inc., that were destined to be used in the overhaul of the USS Baltimore, as alleged in overt acts 52 - 61 and in Count 13 of the Indictment. These parts had been worked on by some STOKLEY employees and had counterfeit label plates on them. The counterfeit parts were

intercepted before their installation. Upon learning that the parts had been supplied by STOKLEY ENTERPRISES, INC., a NIS agent interviewed WILLIAM M. STOKLEY. He claimed that the parts were "new surplus."

While the NIS investigation continued, STOKLEY ENTERPRISES, INC., also gained the attention of another government agency, the Nuclear Regulatory Commission ("NRC"). Stokley Enterprises had shipped, by contract with its customer Spectronics, Inc., 22 Potter and Brumfield, Inc., motor-driven relays with counterfeit label plates directly to the Carolina Power and Light Company in North Carolina, as alleged in overt acts 88 - 93 of the indictment. Although the parts looked strikingly similar to real Potter and Brumfield relays, they were intercepted before their installation because, among other reasons, some of the paint on the parts was still tacky. Investigating the situation, Special Agents from the NRC interviewed William Stokley. He claimed that the materials were "new surplus," which he defined to mean not previously used parts. He said that the only thing that his employees did to the parts that he sold was to cosmetically clean any dirt or oxidization from the parts. He further claimed that the manufacturer's label and name plates were never changed or altered in any way. In fact, these parts had been worked on internally by Stokley Employees and counterfeit Potter and Brumfield plates had been attached.

On about September 13, 1990, NIS agents executed a search warrant. They found various Stokley employees in the middle of

assembling various counterfeit parts, including purported "General Electric" shipboard controls and "ITE" circuit breakers, as alleged in overt acts 35 and 79 of the indictment. Stokley employees had pulled from the Stokley inventory at least one counterfeit GE label plate to be added to the controls. The employees had also begun painting metal enclosures for the controls and were in the process of wiring the sub-component parts of the controls together. The agents seized the partially-completed controls and circuit breakers as evidence, along with a large inventory of counterfeit label plates and business records.

Defendants William Stokley and Stokley Enterprises, Inc., advise the court that they are sorry for their crimes and that they accept responsibility for them. As part of this acceptance of responsibility, they agree to make restitution for their counterfeiting of trademarks to the appropriate victims in such amount and on such terms as the Court may direct. The United States, William M. Stokley, and Stokley Enterprises, Inc., jointly ask the Court to reach a determination as the appropriate amount of restitution for the parts with counterfeit labels.

The defendants do not admit that any of the parts with counterfeit label plates that they sold were defective in the sense that they did not operate as well as a genuine part produced by an original equipment manufacturer. The United States does not stipulate that the parts would or did operate properly. The United States does advise the Court, however, that as of the date below it is not aware of any part provided by

Stokley having malfunctioned or having failed to operate properly after installation and during use of the part.

KENNETH E. MELSON  
UNITED STATES ATTORNEY

By:

\_\_\_\_\_  
Paul G. Cassell  
Assistant United States Attorney

\_\_\_\_\_  
Date

Seen and Agreed:

DEFENDANT William M. Stokley

\_\_\_\_\_  
Defendant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Wayne Lustig  
Counsel for Defendant William M. Stokley

\_\_\_\_\_  
Date

DEFENDANT Stokley Enterprises, Inc.

\_\_\_\_\_  
By its President William M. Stokley

\_\_\_\_\_  
Date

\_\_\_\_\_  
Wayne Lustig  
Counsel for Defendant  
Stokley Enterprises, Inc.

\_\_\_\_\_  
Date

# NIMIS DATA ENTRY SHEET

	CASE NUMBER	2-90-014
01	DOCKET NUMBER	
03	FACILITY	Stokley Enterprises/Spectronics
04	TYPE OF CASE	
05	DATE OPENED (omit /)	07/14/90
06	ESTIMATED COMPLETION DATE	01/91
07	REQUESTED BY	EDO
08	STATUS	
10	ALLEGATION (2 lines max) POSSIBLE COUNTERFEIT RELAYS SUPPLIED BY VENDOR	
		12345678901234567890123456789012345678901234567890
11	COMMENTS/REMARKS (10 lines max)	
		12345678901234567890123456789012345678901234567890
12	CASE AGENT	Claxton
13	CATEGORY	WR
15	STATUTE DATE	
16	CLOSED DATE	
18	ISSUED DATE	
19	DOJ REFERRAL	
20	DOJ ACTION	
22	RELATED CASES	_____
23	FOIA NUMBER	_____
26	PRIORITY	H
27	SOURCE OF ALLEGATION	L
28	VENDOR CODE	vf

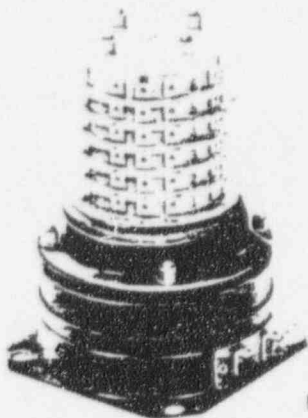
B/10

## MDR series

### 10 AMP ROTARY RELAYS



SMALL 8PDT



MEDIUM 24PDT

#### ENGINEERING DATA

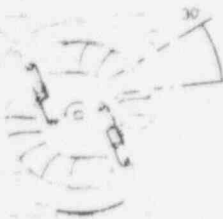
Designed and constructed to meet or exceed the most rigorous requirements of military specifications, MDR series rotary relays are used in control circuits of nuclear reactors, missile systems, gun fire apparatus and computers.

MDR relays meet the most rigorous requirements of specifications MIL-R-19523 which includes the rugged requirements of MIL-STD-167 for vibration and MIL-S-901 for shock. The contacts will not chatter when relays are subjected to high-impact shock blows of 2000 ft.-lbs. Endurance ratings are 100,000 operations for series 141, 170, and all latching series and 500,000 for all others. MDR relays are designed to operate over an ambient temperature range of 0°C to +65°C. MDR relays designed for operation over range of 0°C to +90°C are available on special order. Please consult factory.

#### CONVENTIONAL NON-LATCHING SERIES

The basic construction of the conventional MDR relay consists of a rotary actuator mechanism with the contact sections mounted in insulating rings on top. The actuator mechanism embodies a stator assembly on which two relay coils are mounted. The two coils are connected in series inside the relay. When the coils are energized, a rotor turns through an arc of approximately 30 degrees, thereby operating the contact section through the extension of the rotor shaft. The travel of the rotor is confined to a 30 degree arc between the stator faces and the stop ring. Two springs return the rotor to the stop ring when the coils are de-energized. This also returns the contacts to their normal positions. Thus, the conventional non-latching series provide an "energized" and "de-energized" position.

ROTOR  
IN DE-  
ENERGIZED  
POSITION

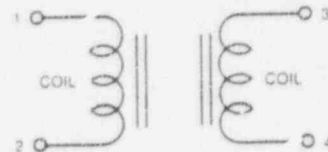
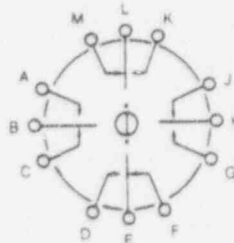


ROTOR IN  
ENERGIZED  
POSITION



#### LATCHING TWO-POSITION SERIES

Except for the latching feature, MDR latching two-position relays utilize the same general construction as conventional non-latching relays. They have two sets of coils and provide a latching two-position operation. They operate as follows:



When coil 1-2 is energized, contacts A-B, D-E, G-H and K-L close. The indicator line on the rotor shaft and the two dots on the top are not in alignment.

When coil 1-2 has been de-energized and coil 3-4 is energized, contacts B-C, E-F, H-J and L-M close. The indicator line and the two dots are aligned.

The armature is held by positive spring action in its last energized position when both coils are de-energized. Coils must be energized alternately, not simultaneously.

#### AVAILABLE IN SMALL AND MEDIUM SIZES

MDR rotary relays are offered in two basic sizes, small and medium. Each of these is available in conventional nonlatching and latching two-position versions. The small non-latching MDR is furnished with AC coils to 12PDT and with DC coils to 8PDT. The small latching relay with AC or DC coils is equipped with contacts to 8PDT. The medium non-latching series is provided with AC or DC coils to 24PDT, while latching version features AC or DC coils with contacts to 16PDT. All contact arrangements are Form C (break-before-make).

B/11

TYPE	OPERATE TIME IN MILLISECONDS	RELEASE TIME IN MILLISECONDS
SMALL AC NON - LATCHING	5 to 12	5 to 18
SMALL DC NON - LATCHING	15 to 30	5 to 15
SMALL AC LATCHING	6 to 12	N/A
SMALL DC LATCHING	10 to 16	N/A
MEDIUM AC NON - LATCHING	6 to 12	6 to 20
MEDIUM DC NON - LATCHING	65 to 90	10 to 30
MEDIUM AC LATCHING	8 to 14	N/A
MEDIUM DC LATCHING	30 to 80	N/A

#### COIL CHARACTERISTICS OF SMALL NON - LATCHING MDR ROTARY RELAYS

SMALL  
NON - LATCHING

SERIES	CONTACTS	COIL VOLTAGE 60 Hz for AC	COIL CURRENT AMPERES	DC COIL RESISTANCE OHMS	COIL POWER WATTS*	BREAKDOWN VOLTS RMS
MDR-131-1	4PDT	115VAC	0.215	66	6.5	1230
MDR-131-2	4PDT	440 VAC	0.045	1256	5.1	1880
MDR-135-1	4PDT	28 VDC	0.362	76	10.0	1308
MDR-137-8	4PDT	125VDC	0.082	1520	10.3	2375
MDR-134-1	8PDT	115 VAC	0.215	66	6.5	1230
MDR-134-2	8PDT	440 VAC	0.045	1256	5.1	1880
MDR-136-1	8PDT	28 VDC	0.362	76	10.0	1308
MDR-138-8	8PDT	125 VDC	0.082	1520	10.3	2375
MDR-163-1	12PDT	115 VAC	0.230	62	6.9	1230
MDR-163-2	12PDT	440 VAC	0.055	940	6.3	1880

\* Actual Wattmeter readings

#### COIL CHARACTERISTICS OF MEDIUM NON - LATCHING MDR ROTARY RELAYS

MEDIUM  
NON - LATCHING

SERIES	CONTACTS	COIL VOLTAGE 60 Hz for AC	COIL CURRENT AMPERES	DC COIL RESISTANCE OHMS	COIL POWER WATTS*	BREAKDOWN VOLTS RMS
MDR-170-1	16PDT	115 VAC	0.620	8.4	17.0	1230
MDR-170-2	16PDT	440 VAC	0.160	107	17.0	1880
MDR-172-1	16PDT	28 VDC	0.667	42	18.7	1308
MDR-173-1	16PDT	125 VDC	0.125	1024	16.0	2375
MDR-141-1	24PDT	115 VAC	0.620	8.4	17.0	1230
MDR-141-2	24PDT	440 VAC	0.160	107	17.0	1880
MDR-167-1	24PDT	28 VDC	0.667	42	18.7	1308
MDR-142-1	24PDT	125 VDC	0.125	1024	16.0	2375

\* Actual Wattmeter readings

#### COIL CHARACTERISTICS OF SMALL LATCHING MDR ROTARY RELAYS

SMALL  
LATCHING

SERIES	CONTACTS	COIL VOLTAGE 60 Hz for AC	COIL CURRENT AMPERES	DC COIL RESISTANCE OHMS	COIL POWER WATTS	BREAKDOWN VOLTS RMS
MDR-67-2	4PDT	115 VAC	0.150	210	5.5	1230
MDR-4091	4PDT	440 VAC	0.020	4500	3.0	1880
MDR-67-3	4PDT	28 VDC	0.778	36	21.8	1308
MDR-5060	4PDT	125 VDC	0.164	760	20.6	2375
MDR-4076	8PDT	115 VAC	0.150	210	5.5	1230
MDR-4092	8PDT	440 VAC	0.020	4500	3.0	1880
MDR-5035	8PDT	28 VDC	0.778	36	21.8	1308
MDR-5061	8PDT	125 VDC	0.164	760	20.6	2375

#### COIL CHARACTERISTICS OF MEDIUM LATCHING MDR ROTARY RELAYS

MEDIUM  
LATCHING

SERIES	CONTACTS	COIL VOLTAGE 60 Hz for AC	COIL CURRENT AMPERES	DC COIL RESISTANCE OHMS	COIL POWER WATTS	BREAKDOWN VOLTS RMS
MDR-6064	12PDT	115 VAC	0.380	24	12.0	1230
MDR-6065	12PDT	440 VAC	0.055	540	5.7	1880
MDR-7020	12PDT	28 VDC	0.316	88.6	8.8	1308
MDR-7035	12PDT	125 VDC	0.083	1500	10.4	2375
MDR-66-4	16PDT	115 VAC	0.380	24	12.0	1230
MDR-6066	16PDT	440 VAC	0.055	540	5.7	1880
MDR-7025	16PDT	28 VDC	0.316	88.6	8.8	1308
MDR-7036	16PDT	125 VDC	0.083	1500	10.4	2375

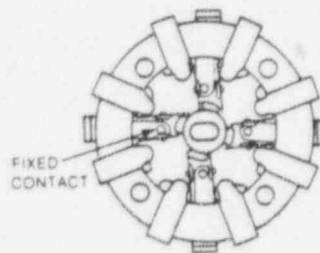


### MDR CONTACT RATINGS

SINGLE CONTACTS:	TWO CONTACTS IN SERIES:
10.0 amp. 115 VAC	3.0 amp. 440 VAC
3.0 amp. 28 VDC	15.0 amp. 115 VAC
0.8 amp. 125 VDC	1.5 amp. 125 VDC

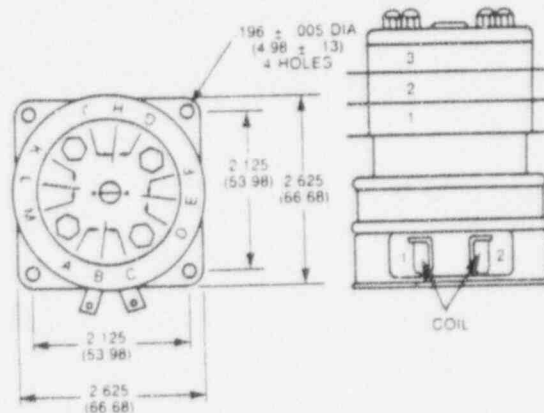
The above AC contact ratings are based on contact loads having a 50% power factor. The DC contact ratings are based on resistive loads.

### CONTACT SECTION



### OUTLINE DIMENSIONS

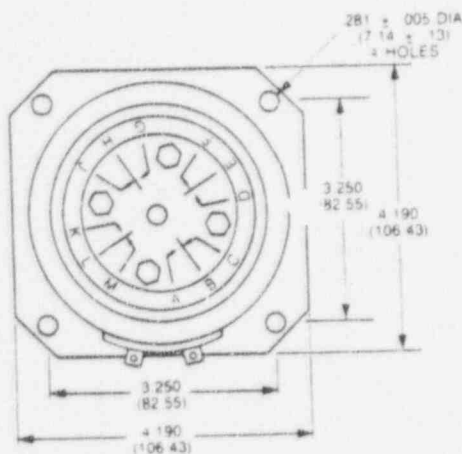
TOLERANCES DECIMALS  $\pm .010$  ( $\pm .25$ ) UNLESS OTHERWISE SPECIFIED



#### OVERALL HEIGHT

4PDT 3.13" (79.5 mm) MAX.  
8PDT 3.53" (89.7 mm) MAX.  
12PDT 3.88" (98.6 mm) MAX.


COIL AND CONTACT  
TERMINAL SCREWS #5-40  
SUPPLIED



#### OVERALL HEIGHT

12PDT 4.63" (117.6 mm) MAX.  
16PDT 5.00" (127.0 mm) MAX.  
24PDT 5.75" (146.1 mm) MAX.

COIL AND CONTACT  
TERMINAL SCREWS #5-40  
SUPPLIED

<b>FROM (COMPANY NAME)</b> NUCLEAR REGULATORY COMM ADDRESS STE 2900 ***** 101 MARIETTA ST NW CITY ATLANTA STATE GA ZIP CODE (REQUIRED) 30323 SENT BY (NAME/DEPT) Gary H. Claxton (OI) (404) 331-6510 PHONE		<b>ORIGIN</b> ATL <b>AIRBILL NUMBER</b> 747 594 <b>4</b> <small>EXPIRATION DATE / AIRBORNE EXPRESS ACCOUNT NUMBER</small> <b>SENDER</b> 36217174 <b>RECEIVER</b>		<b>AIRBORNE EXPRESS</b> EXECUTIVE OFFICES P.O. BOX 662 SEATTLE, WA 98111 THANK YOU FOR SHIPPING WITH AIRBORNE EXPRESS! 
<b>2</b> <b>TO</b> Potter & Brumfield ADDRESS 200 S. Richland Creek Drive CITY Princeton STATE Indiana ZIP CODE (REQUIRED) 47671-0001 Mike Scully/Kamal Naidu (NRC)		<b>5</b> <b>METHOD OF PAYMENT</b> <small>ASSURED SENDER UNLESS OTHERWISE NOTED</small> <input checked="" type="checkbox"/> <b>BILL SENDER</b> <input type="checkbox"/> <b>BILL RECEIVER</b> <input type="checkbox"/> <b>BILL PARTY</b> <input type="checkbox"/> <b>PAID IN ADVANCE</b> AIRBORNE ACCOUNT NO. CHECK NO. / AMOUNT BILLING REFERENCE (WILL APPEAR ON INVOICE)		
1 box SHIPMENT VALUATION \$ . PREPRINT FORMAT NO. 1655178 SENDER SIGNATURE DATE AIRBORNE SIGNATURE		<b>6</b> <b>NO. OF PACKAGES</b> 1 <b>WEIGHT (LBS.)</b> 70 SUBJECT TO CORRECTION <b>7</b> <b>CHECK IF</b> <input type="checkbox"/> <b>LETTER EXPRESS</b> <b>SPECIAL INSTRUCTIONS</b> <input type="checkbox"/> SATURDAY DELIVERY <input type="checkbox"/> HOLD AT AIRBORNE EXPRESS Subject to terms on reverse side of sender's copy		
3 <b>DECLARED VALUE OF CONTENTS</b> SENDER SIGNATURE DATE		<b>4</b> <b>DATE</b> 6/18/70 <b>TIME</b> 709 <b>ROUTE</b> <b>5</b> <b>SCAC AIRB</b> <b>FED ID NO.</b> 91-063740 <b>DROP OFF LOCATION</b> AIRBORNE TERMINAL <input type="checkbox"/> DROP BOX <input type="checkbox"/> NO <input type="checkbox"/>		

SENDER'S COPY

B/12

**FACSIMILE TRANSMITTAL**  
**U.S. NRC - RII**  
**ATLANTA, GA**

**TO:** SSA JOE LEWERTH, NRC FRAUD UNIT

**CHECK APPROPRIATE BOX:**

☐

**INFO**  
9/952-6728

☐

**INBB**  
492-8185

☐

**PHILLIPS**  
492-8110

☐

**WHITE FLINT**  
492-0260

☐

**WOODMONT**  
492-7058

☐

**PAYROLL**  
492-4371

☐

**TRAINING CTR.**  
615/855-8543

☐

**RI**  
348-5324

☐

**RII**  
388-5691

☐

**RV**  
728-8210

☐

**RV**  
483-3804

☐

**RESIDENT SITE**  
(SEE REVERSE)

☒

**OTHER:** NIS NORFOLK, VA  
**OFFICE/LOCATION**

**FAX NO.:** 204-445-6704

**VERIFICATION:** \_\_\_\_\_

**NO. OF PAGES** 1 **+ TRANSMITTAL SHEET**

**FROM:** EARY CLIXION, CI  
**FAX:** 841-4479 / VERIF. - 5510

*Drw 4/25 10/00*



CAROLINA POWER & LIGHT CO ANY  
P.O. BOX 1551 RALEIGH, N.C. 27602

PURCHASE ORDER

PAGE 1

PURCHASE ORDER NO	DATE
568740M-BJ	06-04-90
CPL REQUISITION NUMBER	
90136	

SPECTRONICS  
PO BOX 9517  
MOBILE, ALA 36691

SHIP TO  
CP&L HNP OPS REC. BLDG.  
P.O. BOX 185  
STATE RD. 1134  
NEW HILL, N.C. 27562

**IMPORTANT**

Upon receipt of this material, send in Material Received Report to Accounts Payable Unit, Raleigh, N.C. If any material is received damaged, request freight agent to indicate damage on face of original freight bill and describe extent of damage on Material Received Report. Also state on Material Received Report if replacement is desired.

DELIVER TO, W/O NO	VENDOR INSTRUCTIONS E	DOCUMENTATION CODES	REQUIRED DELIVERY DATE 5-30-90	MOC Q	SHELF LIFE
PRIORITY X	SPECIFICATION NUMBER AND DATE	RCPT INSP A	STNG. ROOM B	REQUISITIONED BY LARRY COLLINS	
ACCOUNT NUMBERS					

AH8401MCP00024166QA0

DE	TERMS P/S	VL NET 30	ROUTING PREPAID	DATE OF SHIPMENT UPS
----	--------------	--------------	--------------------	-------------------------

ITEM	QUANTITY	U/M	DESCRIPTION	UNIT PRICE	PER
1	12	EA	#MDR138-8 RELAY, ROTARY, NON-LATCHING, 8PDT, 125VDC, MDR138-8 CP&L #724-329-17	\$370.00	E
2	6	EA	#MDR173-1 RELAY, ROTARY, 16 PDT, 125 VDC NON LATCHING MDR173-1 CP&L #724-195-26	690.00	E
3	24	EA	#MDR142-1 RELAY, ROTARY, 24PDT, 125VDC, NON LATCHING CP&L #724-325-94	690.00	E

CONFIRMING TO PETE ON MAY 30, 1990.

DO NOT DUPLICATE

\$9,960.00

TOTAL

REFER ALL CORRESPONDENCE TO GARY S. THOMPSON	TELEPHONE NO 919-548-7105	AUTHORIZED SIGNATURE
---	------------------------------	----------------------



Stokley Enterprises  
880 Ave J.  
Norfolk VA 23513



Carolina Power & Light  
Harris Plant  
Operations Receiving Bldg.  
State Rd. 1134  
New Hill, NC 27562

PO # 568740-M-BJ



ADULT SIGNATURE  
REQUIRED

011120 12-87 (70M RL 5 12-87)

NEXT DAY AIR TRACKING LABEL



NEXT DAY AIR®

United Parcel Service

1465 2836 412

EXTREMELY URGENT

011120 12-87 (70M RL 5 12-87)

B14





Stokely Enterprises  
880 Ave J  
Norfolk VA 23513



Carolina Power & Light  
Harris Plant  
Operations Receiving Bldg.  
State Rd. 1134  
New Hill, NC 27562

PD # 568740-M-BJ



ADULT SIGNATURE  
REQUIRED

011120 12 RT (20M RL'S 12 RT)

NEXT DAY AIR TRACKING LABEL



NEXT DAY AIR®

United Parcel Service

1465 2836 403

EXTREMELY URGENT

01011101 11:54 AM 01-07-81 0011



Stokley Enterprises  
880 Ave J.  
Norfolk VA 23513



NEXT DAY AIR TRACKING LABEL

**ups**  
**NEXT DAY AIR®**

United Parcel Service

1465 2836 412

EXTREMELY URGENT

011120 12-87 (20M RI 5 12-87)

Carolina Power & Light  
Harris Plant  
Operations Receiving Bldg.  
State Rd. 1134  
New Hill, NC 27562

PO # 568740-M-BJ



**ADULT SIGNATURE  
REQUIRED**

011120 12-87 (20M RI 5 12-87)

B14





Stokely Enterprises  
880 Ave J  
Norfolk VA 23513



Carolina Power & Light  
Harris Plant  
Operations Receiving Bldg.  
State Rd. 1134  
New Hill, NC 27562

PO # 568740-M-BJ



ADULT SIGNATURE  
REQUIRED

011120 12-87 (20M RLS 12-87)

NEXT DAY AIR TRACKING LABEL



NEXT DAY AIR®

United Parcel Service

1465 2636 403

EXTREMELY URGENT

01019 101101 2 6M 12 1987 3 14M 10

Ambers  
copy

+ TYPE-MDR 134-1 COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ TYPE-MDR 134-1 COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

#265  
MP GC

TYPE-MDR 131-1 COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
TYPE-MDR COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

#266  
MP GC

- TYPE-MDR 163-1 COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
-

#267  
MP GOLD

TYPE-MDR 170-1 COIL 115VAC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

#269  
MP GOLD

+ TYPE-MDR 172-1 COIL 28 VDC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

#287  
GOLD MP

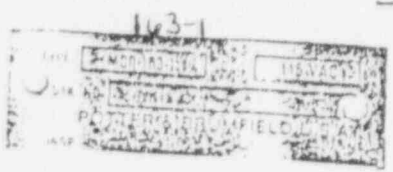
+ TYPE-MDR 136-1 COIL 28 VDC  
N.S.N.  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

#288  
GOLD MP

B/15

23 +

+ TYPE-MDR 4101  
N.S.N. COIL 115 VAC +  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ Stokley W.O. # 11732 +  
100% NEG MD (90%)  
5 total Elavie  
#287



# 220  
NO HOLES  
THIN ADH.

# 255

+ TYPE-MDR 4076 COIL 115 VAC +  
N.S.N. #255  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ TYPE-MDR 4076 COIL 115 VAC +  
N.S.N. #255  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
100% POS BEAMS ETCH  
4 TOTAL (200)

Stokley W.O. # 13301

# 257

+ TYPE-MDR 163-2 COIL 440 VAC +  
N.S.N. #257  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ Stokley W.O. # 13301 +  
100% POS. BEAMS  
etch 1 total Elavie

# 254

+ Stokley W.O. # 13301  
100% POS BEAMS ETCH  
B TOTAL (200) E  
+ TYPE-MDR 170-1 COIL 115 VAC +  
N.S.N. #254  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ TYPE-MDR 170-1 COIL 115 VAC +  
N.S.N. #254  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

# 256

+ TYPE-MDR 61-3 COIL 20 VDC +  
N.S.N. #256  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
100% POS BEAMS ETCH 2 TOTAL  
Stokley W.O. # 13301

# 260

+ TYPE-MDR 134-2 COIL 440 VAC +  
N.S.N. #260  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

167-1 # 243  
+ TYPE-MDR 187-1 COIL 28 VDC +  
N.S.N. #243  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+ TYPE-MDR 187-1 COIL 28 VDC +  
N.S.N. #243  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

#116 (MDR 4146 - 115 VAC)

5/8" x 2  
gold MP

TYPE	<del>MDR 4146</del>	COIL	<del>115 VAC</del>
STK			
POTTER & BRUMFIELD U.S.A.			
INSO			

+nin  
Adh

@ \$3.50 ea.

Similar to  
#101

#117 (MDR 4147 - 115 VAC)

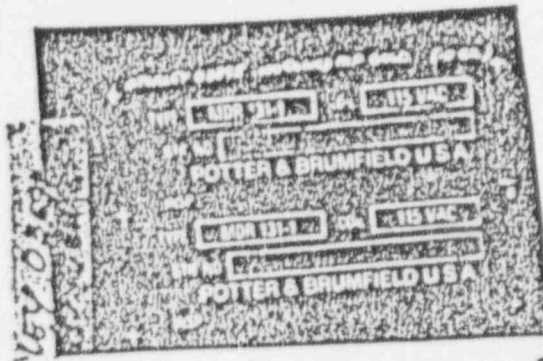
TYPE	<del>MDR 4147</del>	COIL	<del>115 VAC</del>
STK NO.			
POTTER & BRUMFIELD USA			
INSO			

thin Adh.

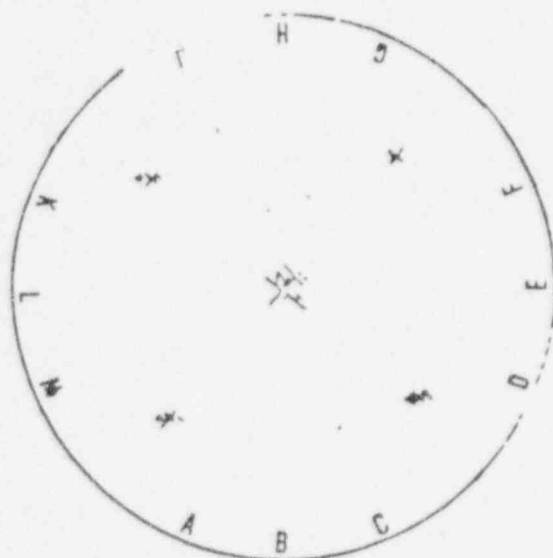
#118 (MDR 4148 - 115 VAC)

TYPE	<del>MDR 4148</del>	COIL	<del>115 VAC</del>
STK NO.			
POTTER & BRUMFIELD U.S.A.			
INSO			

thin  
Adh.



# 25  
Row m/p  
thin  
ash.



# 152

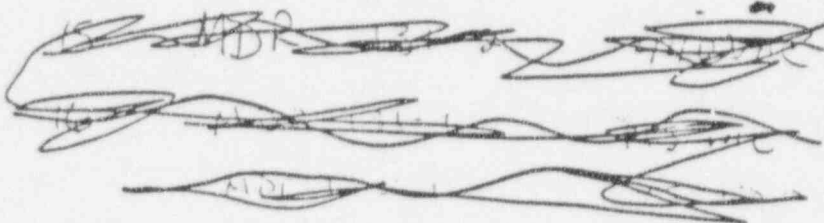
O.D. =  $2\frac{7}{8}$ "

I.D. =  $\frac{1}{2}$ "

holes =  $\frac{1}{4}$ "

TYPE  COIL   
 SIX NO   
 POTTER & DRUMFIELD U.S.A.  
 MSP  
 POS. BRASS & CHD

BEASS & CHD #5  
 w/ thin adh B/E



+ TYPE-MDR 5050  
 N.S.N.  
 POTTER & DRUMFIELD  
 AMF INCORPORATED  
 U.S.A. PATENTED

COIL 20VDC

+ thin adh

+ Stokley w.o. # 15475  
 100% pos. Brass  
 each 60 total Elaine

+ #102  
 B/E

+ TYPE-MDR  
 N.S.N.  
 POTTER & DRUMFIELD  
 AMF INCORPORATED  
 U.S.A. PATENTED

COIL

+ thin adh #103

+ Stokley w.o. # 15475  
 100% pos. Brass  
 each 20 total Elaine

Gold Tone M/P

TYPE MDR 131-2 COIL 440 VAC  
 SIX NO   
 POTTER & DRUMFIELD U.S.A.  
 MSP

+ #101  
 Gold MP  
 THIN ADH.

→ Tell them NO Brass Etch  
 Gold Tone Metal Photo only



+ TYPE-MDR 141-2 COIL 440 VAC + #338  
N.S.N. BRASS  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 5035 COIL 28 VDC + #339  
N.S.N. BRASS  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 170-2 COIL 440 VAC + #340  
N.S.N. BRASS  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 4076 COIL 115 VAC + #342  
N.S.N. BRASS  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 4083 COIL 115 VAC + #343  
N.S.N. GOLD MP  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

TYPE-MDR 137-8 COIL 125 VDC + #326  
N.S.N. MP  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 135-1 COIL 28 VDC + #300  
N.S.N. Gold M  
POTTER & BRUMFIELD MDR  
AMF INCORPORATED  
U.S.A. PATENTED  
+

+ TYPE-MDR 67-2 COIL 115 VAC + #301  
N.S.N. Gold MP  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED  
+



TYPE-MDR 173-1 COIL 125 VDC + #362  
N.S.N. MP GOLD  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

TYPE-MDR 142-1 COIL 125 VDC + #369  
N.S.N. MP GOLD  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

TYPE-MDR 136-8 COIL 125 VDC + #371  
N.S.N. MP  
POTTER & BRUMFIELD  
AMF INCORPORATED  
U.S.A. PATENTED

TYPE-MDR 4087 COIL 115 VAC + #373  
N.S.N. MP  
POTTER & BRUMFIELD  
U.S.A. PATENTED

TYPE-MDR- COIL- + #379  
N.S.N.  
POTTER & BRUMFIELD  
U.S.A. PATENTED

TYPE-MDR- COIL- + #380  
N.S.N.  
POTTER & BRUMFIELD

Spectronics

Mortimer

Mortimer Co. Inc.  
U.S. Navy Shipboard Group, 1/2 Port  
108 Locks Road  
Chesapeake, VA 23320

TVA - Three relay -  
One installed  
2 Recovered -  
1 installed  
Moving to number 2 -

Request new - got refurbished  
Came with CapC - stating they were  
new -

Upgrade by manual exam -

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Want to decide what to do

Neitham - said 3240 - TVA  
do not know where - possibly  
Watts Bar -

Spectronics -  
Patten-Bumpfield from?

DE-3  
in Mortimer

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Go to TVA to find out  
"good/bad" - "where they are" -

B/16