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U.S. NUCLEAR REGULATORY COMMISSION
**RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) REQUEST**

NRC FOIA REQUEST NUMBER(S)	
FOIA — 96-475	
RESPONSE TYPE	
FINAL	<input checked="" type="checkbox"/> PARTIAL 1st
DATE FEB 04 1997	
DOCKET NUMBER(S) (if applicable)	

REQUESTER
James A. Lamberth

PART I.—AGENCY RECORDS RELEASED OR NOT LOCATED (See checked boxes)	
<input type="checkbox"/>	No agency records subject to the request have been located.
<input type="checkbox"/>	No additional agency records subject to the request have been located.
<input type="checkbox"/>	Requested records are available through another public distribution program. See Comments section.
<input checked="" type="checkbox"/>	Agency records subject to the request that are identified in Appendix(es) <u>A</u> are already available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.
<input checked="" type="checkbox"/>	Agency records subject to the request that are identified in Appendix(es) <u>B</u> are being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.
<input type="checkbox"/>	The nonproprietary version of the proposal(s) that you agreed to accept in a telephone conversation with a member of my staff is now being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.
<input type="checkbox"/>	Agency records subject to the request that are identified in Appendix(es) _____ may be inspected and copied at the NRC Local Public Document Room identified in the Comments section.
<input checked="" type="checkbox"/>	Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.
<input checked="" type="checkbox"/>	Agency records subject to the request are enclosed. <u>Appendix B documents are enclosed.</u>
<input type="checkbox"/>	Records subject to the request have been referred to another Federal agency(ies) for review and direct response to you.
Fees	
<input type="checkbox"/>	You will be billed by the NRC for fees totaling \$ _____.
<input type="checkbox"/>	You will receive a refund from the NRC in the amount of \$ _____.
In view of NRC's response to this request, no further action is being taken on appeal letter dated _____, No. _____.	

PART II. A—INFORMATION WITHHELD FROM PUBLIC DISCLOSURE	
Certain information in the requested records is being withheld from public disclosure pursuant to the exemptions described in and for the reasons stated in Part II, B, C, and D. Any released portions of the documents for which only part of the record is being withheld are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC in a folder under this FOIA number.	

COMMENTS
The review of records subject to your request is continuing. We will contact you upon completion of the review.

SIGNATURE, DIRECTOR, DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

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PDR FOIA
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Re: FOIA-96-475

APPENDIX A
RECORDS ALREADY AVAILABLE IN THE PDR

<u>NO.</u>	<u>DATE</u>	<u>ACCESSION NUMBER</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	7/8/88	8807060006	NRC Information Notice 88-046: Licensee Report of Defective Refurbished Circuit Breakers. (105 pages)
2.	9/5/90	9008290095	NRC Information Notice 90-057: Substandard, Refurbished Potter and Brumfield Relays Misrepresented as New. (89 pages)
3.	11/27/91	9111210087	Supplement 1 to NRC Information Notice 90-057. (4 pages)

APPENDIX B
RECORDS BEING RELEASED IN THEIR ENTIRETY
(If copyrighted identify with *)

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1	various dates	Case Chronology (11 pp)
2	various dates	Memorandum to Case file recording of telephone conversation (7 pp)
3	various dates	Investigation Status Record (7 pp)
4	various dates	Dunn & Bradstreet reports (28 pp)
5	various dates	Stokley Enterprises/Spectronics (#2-90-014) details leading to the indictment (1 p)
6	various dates	Invoices (9 pp)
7	undated	Copy of business cards: Gerald E. "Gerry" White and Arthur J. Roberts (1 p)
8	undated	Facsimile to Steve McKnight from James Vorse, attaching 6/10/91 DOJ Press Release. (5 pp)
9	undated	Facsimile to Steve McKnight from Gary Claxton, attaching DOJ Press Release and Statement of Facts. (14 pp)
10	undated	OIMIS Data Entry Sheet (1 p)
11	undated	Description MDR series (10 AMP Rotary Relays) (3 pp)
12	undated	Airborne Express receipt from Gary H. Claxton to Potter & Brumfield (1 p)
13	undated	Facsimile with attachment to SSA Joe Cewerth from Gary Claxton (3 pp)
14	undated	UPS Next Day Air tracking label (2 pp)
15	undated	List of imprints for Relays (7 pp)
16	undated	Handwritten note (1 p)

17	undated	Subpoena to Peter Tolbert (6 pp)
18	undated	Handwritten note (1 p)
19	undated	Draft Indictment for Criminal No. 91-N (45 pp)
20	undated	M & P Laboratory Report, Title: Samples taken from MDR Relays June 20, 1990. Relays were brought in by K.R. Naidu. The two Relays sampled were labeled MDR 138-8 and were numbered 2 and 3. Both Relays had been initialed G.C. along with the date 6-14-90 (18 pp)
21	undated	Evidence/Property Custody Document (3 pp)
22	undated	Facsimile with attachment to Roger Fortuna from James Y. Vorse (2 pp)
23	undated	Shipping receipt (2 pp)
24	undated	Exhibit 7 to Case No. 2-90-014, List of non-conforming characteristics compiled by Roberts of suspect relays received at SHNP from Stokley (2 pp)
25	undated	Exhibit 11 to Case No. 2-90-014, Copies of two VAMP invoices, No. 4541 and No. 4563, to Stokley for product labels which were applied to relays by Individual (2 pp)
26	undated	Exhibit 19 to Case No. 2-90-014, TVA purchase Order No. 89NLB-83028B to Spectronics for three P&B model 135-1 relays (5 pp)
27	undated	Exhibit 22 to Case No. 2-90-014, Certificate of Compliance provided by Individual to SNP for relays sold under TVA Contract No. 89NLB-83028B (1 p)
28	01/25/73	Detail Drawing (1 p)
29	10/10/89	Exhibit 20 to Case No. 2-90-014, Martin Company Invoice No. 470 to Spectronics for three P&B model 135-1 relays shipped October 16, 1989 (1 p)
30	10/24/89	Exhibit 21 to Case No. 2-90-014, SNP Receiving Report No. PI050 for three P&B model 135-1 relays received from Spectronics on October 20, 1989 (1 p)

31	11/02/89	Exhibit 28 to Case No. 2-90-014, U.S. Treasury Check No. 4912-794381845 in the amount of \$793.80 payable to Spectronics, Inc. (1 p)
32	02/15/90	Exhibit 13 to Case No. 2-90-014, Nutherm Purchase Order No. 3996-01 to Spectronics for 30 P&B relays (1 p)
33	03/26/90	Exhibit 32 to Case No. 2-90-014, Martin Company Invoice No. 0855 (1 p)
34	03/26/90	Exhibit 15 to Case No. 2-90-014, Martin Company Invoice No. 842, for four P&B model 134-1 relays shipped to Spectronics (1 p)
35	03/28/90	Exhibit 16 to Case No. 2-90-014, Spectronics Invoice NO. 12311 to Nutherm for four P&B model 134-1 relays shipped (1 p)
36	05/04/90	Exhibit 33 to Case No. 2-90-014, Nutherm Letter of Transmittal to WBNP with attached Certificates of Compliance (5 pp)
37	05/30/90	Exhibit 31 to Case No. 2-90-014, CP&L Requisition No. 90136 (1 p)
38	05/31/90	Exhibit 24 to Case No. 2-90-014, Stokley Invoice No. 6956 to Spectronics for four 142-1, six 173-1, and twelve 138-8 P&B relays at \$6,792.00, shipped on May 30, 1990 (1 p)
39	06/04/90	Exhibit 25 to Case No. 2-90-014, Spectronics Invoice No. 14141 to CP&L for four 142-1, six 173-1, and twelve 138-8 P&B relays at \$6,792.00, shipped on May 30, 1990 (1 p)
40	06/04/90	Purchasing copy of a Purchase Order (1 p)
41	6/7/90	Fax transmittal sheet attaching Purchase Order. (6 pages)
42	6/12/90	Allegation Review Board Summary. (1 page)
43	06/15/90	Exhibit 6 to Case No. 2-90-014, Report of Interview with Arthur J. roberts (2 pp)
44	06/19/90	Telecopy Cover sheet with attachment, from A. J. Roberts to Gary Claxton (2 pp)

45	6/26/90	Exhibit 2 to Case No. 2-90-014: Request for Investigation from T. Murley to B. Hayes. (5 pages)
46	06/26/90	Subpoena to William Stokley from James Y. Vorse (2 p)
47	06/27/90	Exhibit 9 to Case No. 2-90-014, Report of Interview with Individual (3 pp)
48	06/28/90	Exhibit 10 to Case No. 2-90-014, Signed statement of Individual (5 pp)
49	06/29/90	Handwritten note on telephone pad (1 p)
50	06/29/90	Electrical Requirements (7 pp)
51	07/02/90	Exhibit 4 to Case No. 2-90-014, Alphabetical Customer List for Spectronics (28 pp)
52	07/03/90	Handwritten note (2 pp)
53	07/06/90	Exhibit 30 to Case No. 2-90-014, Report of Interview with Individual (1 p)
54	07/11/90	Technical Instruction (TI-10) (5 pp)
55	07/12/90	Exhibit 34 to Case No. 2-90-014, letter from P. Tolbert to M. Crane. (1 page)
56	7/12/90	Memo from J. Vorse to T. Murley, subject: Administrative Closure of Case No. A2-90-011. (1 page)
57	07/12/90	Exhibit 26 to Case No. 2-90-014, Letter from Tolbert to CP&L (1 p)
58	07/13/90	OI Response to/Status of request for investigation (2 pp)
59	07/13/90	Investigative plan (1 p)
60	07/17/90	Exhibit 14 to Case No. 2-90-014, Report of Interview with Individual (3 pp)
61	07/19/90	Memorandum to the Chairman Carr, from Ben B. Hayes, thru Hugh L. Thompson (1 p)
62	07/24/90	Exhibit 12 to Case No. 2-90-014, Report of Interview with Individual (1 p)

63	08/07/90	Exhibit 17 to Case No. 2-90-014, Report of examination by P&B of five relays received at Nutherm (2 pp)
64	08/07/90	Exhibit 23 to Case No. 2-90-014, Report of examination by P&B of two relays received at SNP from Spectronics on October 20, 1989 (2 pp)
65	08/14/90	Memorandum to case file recording telephone conversation (1 p)
66	09/05/90	NRC Information Notice No. 90-57: Substandard, Refurbished Potter & Brumfield Relays Misrepresented as new (4 pp)
67	09/07/90	Letter to Priscilla Rosenberg from James Y. Vorse, requesting information for investigation (1 p)
68	09/13/90	Report of Interview with individual (2 pp)
69	09/24/90	Letter to Gary Claxton from Gary S. Thompson, Subject: Spectronics, Inc. Invoice No. 14141 dated June 4, 1990 (1 p)
70	10/01/90	Letter to Paul G. Cassell from Mark H. Jay, Regarding: U.S. v. Stokley Enterprise and Spectronics - Our file No.: 90 S 7435 US (5 pp)
71	10/01/90	Exhibit 18 to Case No. 2-90-014, Report of Interview with Individual (1 p)
72	11/20/90	Printout with MOD Comments (6 pp)
73	01/24/91	Exhibit 27 to Case No. 2-90-014, Spectronics Invoice No. 8120 to TVA for three model 135-1 relays sold to SNP (1 p)
74	03/08/91	Report of Investigation, Case No. 2-90-014, Subject: Stokley Enterprises/ Spectronics: Possible Counterfeit Relays Supplied by Vendor (23 pp)
75	03/20/91	Letter to James Reynolds from Ben B. Hayes, referring Case No. 2-90-014 to DOJ (3 pp)

76	03/20/91	Memorandum to Thomas E. Murley, NRR from Ben B. Hayes, Subject: Stokley Enterprises/Spectronics: Possible Counterfeit Relays Supplied By Vendor (Case No. 2-90-014 (2 pp)
77	03/21/91	Letter to Henry E. Hudson from Ben B. Hayes regarding assistance for an investigation (1 p)
78	3/28/91	Memo from J. Lee to S. Grimes, subject: Transmittal of Investigative Material. (1 page)
79	5/15/91	Memo from B. Grimes to T. Murley, subject: Review of OI Report of Investigation of Stokley Enterprises/Spectronics (Case No. 2-90-14. (3 pages)
80	06/18/91	Handwritten note (1 p)
81	08/01/91	Note to the Commission regarding press release (3 pp)
82	08/15/91	Letter to Steven G. McKnight from James Y. Vorse regarding investigation to Eugene P. Tolbert (2 pp)
83	10/04/91	Memorandum to case file, Subject: Telephone call from Special Agent David Compton, NIS (1 p)
84	10/05/91	Newspaper clipping, Title: Navy Supplier gets prison, fine for bogus-parts sales (1 p)
85	10/07/91	Facsimile from David Compton to Gary Claxton and Barry Letts with attachment (3 pp)
86	11/27/91	NRC Information Notice 90-57, Supplement 1: Substandard, Refurbished Potter & Brumfield Relays Represented as New (4 pp)
87	04/07/92	Letter to Spectronics, Inc., from James Y. Vorse regarding return of 2 boxes of relays and attachment (3 pp)