

ENCLOSURE 1

NOTICE OF VIOLATION

Duke Power Company
Catawba

Docket No. 50-413
License No. NPF-35

The following violation was identified during an inspection conducted on May 26 - June 25, 1985. The Severity Level was assigned in accordance with the NRC Enforcement Policy (10 CFR Part 2, Appendix C).

Technical Specification 6.8.1 requires written procedures be established, implemented and maintained for safety-related activities. The following examples relating to this requirement are provided.

1. Performance Test (PT)/1/A/4350/02C, Available Power Source Operability Check, Step 8.1 requires the diesel generator (DG) to be aligned per Operating Procedure (OP)/1/A/6350/02, Diesel Generator Operation, for operation of DG 1B. OP/1/A/6350/02 requires the "DC Control Power On" light to be energized for this lineup.

Operations Management Procedure (OMP) 1-4, Use of Procedures, Section 8.1.D, requires if desired results are not achieved, the individual should not proceed but contact a supervisor and use resources available to resolve the procedure or problem.

Station Directive (SD) 4.2.2, Independent Verification Requirements, Section 9.4.1 requires personnel signing the documentation to either have performed or observed the action required by the procedure step.

Contrary to the above, on March 7, 1985:

- a. The operator noted that the "DC Control Power On" light was not on as required prior to performing PT/1/A/4350/02C but continued performance of this test.
- b. Upon completion of running DG 1B during post test lineup verification, the "DC Control Power On" light was not on and although the operator contacted his supervisor, available resources were not used to resolve the problem or change the procedure.
- c. Upon completion of running DG 1B, a procedural step was independently verified that stated the "DC Control Power On" light was on when the light was not on.

2. Administrative Policy Manual (APM), Section 4.2.7, specifies the process for completed procedures which involve documentation of compliance with procedure acceptance criteria and requires that this documentation be retained.

Contrary to the above, operating procedure records for OP/1/A/6350/02 had not been retained on three occasions between March 7 and March 14, 1985, although the procedures had been used to document compliance with procedure acceptance criteria.

3. Station Directive 3.1.1, Safety Tags and Delineation Tags, Section 4.2.2, states "white safety tags shall be attached to any component the operation of which could cause material or equipment damage."

Contrary to the above, the nuclear safety injection pump 1A was not safety tagged for maintenance being performed on this component. As a result of this failure to tag this pump, the pump was operated on June 1, 1985, without adequate cooling which caused extensive damage to this component.

This is a Severity Level IV violation (Supplement I).

Pursuant to 10 CFR 2.201, you are required to submit to this office within 30 days of the date of this Notice, a written statement or explanation in reply, including: (1) admission or denial of the alleged violation; (2) the reasons for the violation if admitted; (3) the corrective steps which have been taken and the results achieved; (4) corrective steps which will be taken to avoid further violations; and (5) the date when full compliance will be achieved.

Security or safeguards information should be submitted as an enclosure to facilitate withholding it from public disclosure as required by 10 CFR 2.790(d) or 10 CFR 73.21.

JUL 18 1985

Date: _____