

SSER

Task: Allegation A-304, A-305, A-285b

Reference Number: 4-84-A-06/182, 4/84-A-06/183, 4-84-A-06/167

Characterization: The allegation is that changes to quality assurance (QA) documentation were made by a Tompkins-Beckwith (T-B) records reviewer who was not a QA inspector.

Assessment of Allegation: The implied safety significance of this allegation is that an unqualified person made changes to QA documentation which could affect the acceptability of safety-related piping systems.

The NRC staff reviewed T-B procedures for documentation review of piping systems and for certification of personnel reviewing piping documentation. The staff also reviewed the certification and resume of the records reviewer who made changes to the QA documentation.

The NRC staff found that the reviewer was correctly certified as a records review specialist in accordance with T-B procedure and the procedure met the requirements of ANSI N45.2.6, 1973. The staff noted that T-B procedures did not require changes to be made only by QA inspectors, and that records review personnel with the required indoctrination and training could make changes to QA documentation.

This allegation has neither safety significance nor generic implications and is not indicative of any management problems.

Potential Violations: None.

Actions Required: None.

References

1. T-B Procedure TBP-4, Rev. C, "Indoctrination, Training and Certification of QA/QC Personnel."
2. [Rick Harbin] resume.
3. [Rick Harbin] Level II Records Review Specialist Certification, March 1, 1982.

4. T-B Procedure SI-56, Rev. A, "QA Records Review Instruction (Piping)."
5. T-B Procedure TBP-35, Rev. 1, "Preparation and Control of Process Piping Travelers."
6. Letter W3 QA-25244 John Tompeck from L. Stinson, June 11, 1983.

Statement Prepared By:

R. Westberg
Date

Reviewed By:

Team Leader
Date

Reviewed By:

Site Team Leader(s)
Date

Approved By:

Task Management
Date

WATERFORD OPEN ISSUE

Task: A-304, A-285b, and A-305

Reference No.: 4-84-A-06-167; 4-84-A-06-182, and 4-84-A-06-183

Characterization: Changes were made to QA documentation by a T-B records reviewer who was not a QA inspector.

Initial Assessment of Significance: An unqualified person may have made changes to QA documentation which could affect the acceptability of safety-related systems.

Source: Individual ["C."]

Approach to Resolution:

1. Review contractors certification program against NRC requirements and ANSI N45.2.6 (73/78), Levels I and II.
2. Review qualification records of specific reviewer.
3. Refer any examples of wrongdoing to OI.
4. Evaluate allegations for generic/safety implications.
5. Report on results of review/evaluation of allegations.

Status: In progress - Team 1 (Westberg/Ross)

Review Lead: J. Harrison

Support: OI

Estimated Resources: 1 man-day (total)

Estimated Completion: May 3, 1984

CLOSURE:

Document Name:
A-285B

Requestor's ID:
JOHNNIE

Author's Name:
JHarrison/jkh

Document Comments:
Waterford Open Issue - A-285b, A-304, and A-305

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07/17/84

WATERFORD OPEN ISSUE

Task: A-285b, A-304, and A-305

Reference No.: 4-84-A-06-167; 4-84-A-06-182, and 4-84-A-06-183

Characterization: Changes were made to QA documentation by a T-B records reviewer who was not a QA inspector.

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CLOSURE:

WATERFORD OPEN ISSUE

Task: A-285b, A-304, and A-305

Reference No.: 4-84-A-06-167; 4-84-A-06-182, and 4-84-A-06-183

Characterization: Changes were made to QA documentation by a T-B records reviewer who was not a QA inspector.

Initial Assessment of Significance: An unqualified person may have made changes to QA documentation which could affect the acceptability of safety-related systems.

Source: Individual ["C."]

Approach to Resolution:

1. Review contractors certification program against NRC requirements and ANSI N45.2.6 (73/78), Levels I and II.
2. Review qualification records of specific reviewer.
3. Refer any examples of wrongdoing to OI.
4. Evaluate allegations for generic/safety implications.
5. Report on results of review/evaluation of allegations.

Status: In progress - Team 1 (Westberg/Ross)

Review Lead: J. Harrison

Support: OI

Estimated Resources: 1 man-day (total)

Estimated Completion: May 3, 1984

CLOSURE:

J. HARRISON

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06/09/84

WATERFORD OPEN ISSUE

Task: ~~*A-01; A-02; A-03; A-28; A-57; A-208; A-274; A-285b; A-304; A-305; A-314~~

Ref. No.: ~~4-83-A-48/1,2,3; 4-83-A-81(B)/2; 4-83-A-88/9; 4-84-A-06-96;
4-84-A-06-156; 4-84-A-06-167; 4-84-A-06-182; 4-84-A-06-183; 4-84-A-12-4~~

changes were made to QA documentation by
Characterization: ~~QC inspector certification deficiencies. Past employment not verified. Inspectors not properly certified. Answers to exams given.~~
a T-B records reviewer who was not a QA Inspector.

Initial Assessment of Significance: ~~QC inspectors may have performed inspections while not properly qualified or certified - makes the validity of the inspections questionable.~~
An unqualified person may have made changes to QA documentation which could affect the acceptability of safety-related systems.

Source: ~~QA allegation; Unknown allegation; Individual "C" and Gambit news article.~~

Approach to Resolution:

1. Review contractors certification program against NRC requirements and ANSI N45.2.6 (73/78), Levels I, II, and III.
2. ~~Determine whether records reflect verification of education and experience (past employment) - in writing (see circular 80-22).~~
3. ~~Interview a sample of previous mercury inspectors to determine if exam answers were routinely given to exams (if possible).~~
4. ~~See QC Inspector List for particular records to be reviewed. (Mercury, Peabody, Sline, T&B, Gulf, and F&M).~~
5. ~~LP&L provide a response and certs for item 9 - review and evaluate (information file in RI's safe).~~
2. *Review specific qualification records of specific reviewer.*
3. 6. Refer any examples of wrongdoing to OI.
4. 7. Evaluate allegations for generic/safety implications.
5. 8. Report on results of review/evaluation of allegations.

Status: In progress - Team 1 (Westberg/Ross)

Review Lead: J. Harrison

Support: OI

Estimated Resources: ~~X~~ man days (total)

Estimated Completion: 5/3/84

CLOSURE: