

SSER

Task: Allegation A-92

Reference No.: 4-83-A-88

Characterization: The allegation is that Ebasco record clerks closing out document deficiency reports (DRs) were not qualified to do so.

Assessment of Allegation: The implied significance of the allegation is that unqualified personnel may have closed DRs for quality assurance (QA) records of safety-related systems which could be adversely affected.

The NRC staff reviewed Ebasco's records review system, training requirements for records reviewers, training files of records reviewers, and a sampling of closed out DRs.

The NRC staff found that Ebasco procedures required records reviewers to have a high school education, classroom training, on-the-job training (OJT), and reading assignments. The Ebasco system required document DRs to be initiated and closed out by records reviewers.

The NRC staff selected a random sample of work packages (QA records), and reviewed the records of 27 individuals who signed off document DRs in those work packages. Nine of the 27 individuals (33%) signed off document DRs without having completed the records reviewer training required by Ebasco.

The problem of incomplete training records for records reviewers, in particular OJT completion, was addressed by an Ebasco audit in October 1983. Subsequent action updated and completed those records or addressed open items, and the subject audit was closed in January 1984. The NRC staff reviewed that audit and concurred with the disposition action and closure.

The NRC staff concluded that there were no specific training qualifications or certification requirements for document reviewers in NRC regulations, ASME Code, or ANSI Standards. The Ebasco training program appeared to be adequate.

The NRC staff found that document DRs were closed by individuals who had not completed Ebasco records reviewer training requirements. However, corrective action by Ebasco satisfactorily addressed the problem, and work performed by records reviewers was considered satisfactory by the NRC staff. This allegation has neither safety significance nor generic implications.

Potential Violations: None.

Actions Required: None.

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References

1. Ebasco Corporate QA Training Manual, Section 2: "Training, Qualification, and Certification of Quality Assurance/Quality Control Personnel."
2. Ebasco Procedure QAI-14: "Training and Qualification Requirements for QA Records Personnel."
3. Ebasco Procedure QAI-9: "Review and Handling of Construction-Installation Records."
4. Ebasco Audit Number RCB/AEZ-83-10-1.

Statement Prepared By:

David Ross

_____ Date

Reviewed By:

Team Leader

_____ Date

Reviewed By:

Site Team Leader(s)

_____ Date

Approved By:

Task Management

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Potential Violations: None.

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