



## **POLICY ISSUE** **(Information)**

July 2, 1996

SECY-96-149

FOR: The Commissioners

FROM: James M. Taylor  
Executive Director for Operations

SUBJECT: OFFICE OF INVESTIGATIONS ANNUAL REPORT, FISCAL YEAR 1995

### PURPOSE:

To provide the Commission with an annual report regarding the results of investigations completed by the NRC Office of Investigations (OI) (reference SRM COMJC-89-8, dated June 30, 1989). This is the seventh OI Annual Report and addresses fiscal year 1995 (FY 95).

### BACKGROUND:

OI conducts investigations of alleged wrongdoing by individuals or organizations who are licensed by the NRC or have applied for NRC licenses or who are vendors or contractors of NRC licensees. OI reports to the Deputy Executive Director for Nuclear Materials Safety, Safeguards, and Operations Support. OI is composed of four regionally based Field Offices reporting to OI Headquarters. In FY 95 there were, on average, 33 Special Agents and 10 operational support staff assigned nationwide. The average experience of an OI Special Agent in FY 95 was approximately 20 years in Federal criminal law enforcement. This experience was gained within the NRC and through previous service with such agencies as the Federal Bureau of Investigation, the Drug Enforcement Administration, the Bureau of Alcohol, Tobacco and Firearms, the U.S. Secret Service, the U.S. Army Criminal Investigation Command, the Naval Investigative Service, and various Inspector General offices within the Federal community.

In March of 1992, the process by which suspected wrongdoing issues are referred to and investigated by OI was revised. Under this revised process OI participates in the initial allegation review process to define potential

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wrongdoing issues, opens an investigation, where appropriate, and normally conducts interviews of alleged wrongdoers within 30 days of initiation of the investigation. OI then interviews witnesses, conducts record reviews, and provides the technical staff with sufficient information to reach an informed decision regarding the safety significance of the issues. The result is that OI is now able to more accurately and efficiently focus its limited investigative resources on critical investigations.

INVESTIGATIONS OPENED/CLOSED:

A statistical summary of investigations opened and closed during FY 95 and a detailed listing of closed cases are at Enclosure 1.

**Cases Opened during FY 95**

OI opened 218 investigations in FY 95. Investigations opened are categorized by major types of alleged wrongdoing as follows:

Deliberate Material False Statements:	56
Discrimination and Retaliation: (Harassment and Intimidation Cases)	92
Deliberate Violations of NRC Rules, Regulations, or License Conditions:	65
Other Deliberate Violations:	5

**Cases Closed during FY 95:**

OI completed 259 investigations in FY 95. Of the completed investigations

- o 16 were closed due to a lack of regulatory violation or requirement.
- o 6 investigations were closed where insufficient evidence of actual wrongdoing was developed during initial evaluation.
- o 91 were closed where the evidence developed indicated that the safety significance did not warrant expenditure of additional OI resources.
- o 14 were closed for miscellaneous administrative reasons.
- o 52 cases were closed after investigation substantiated one or more of the allegations of wrongdoing.
- o 68 cases were closed after investigation did not substantiate wrongdoing.
- o 12 were assists to technical staff inspections.

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The completed investigations are categorized by major types of alleged wrongdoing as follows:

Deliberate Material False Statements:	71
Discrimination and Retaliation:	111
Deliberate Violations of NRC Rules, Regulations, or License Conditions:	67
Other Deliberate Violations:	10

#### SIGNIFICANT ACTIONS:

##### NRC Enforcement Actions:

There were 155 escalated enforcement actions taken by the NRC in FY 95, which included civil penalties, orders, and Notices of Violation at or above severity level III. Of these 55, or 35%, were taken based upon findings provided by OI investigations. The civil penalties proposed in FY 95 as a result of OI investigations totalled \$409,300.

The following are examples of significant cases where enforcement action was taken by the NRC in FY 95:

##### Quality Inspection Services, Inc.

An investigation disclosed that Quality Inspection, an Agreement State licensee, had performed work in Pennsylvania without NRC authorization for an extended period, and continued to do so after being notified by the State of New York, Department of Labor, that such authorization was required. The investigation also disclosed that a quality control field supervisor deliberately provided false information to an NRC inspector. Based on the investigation, on June 28, 1995, the NRC assessed a \$13,000 civil penalty against Quality Inspection and issued a Notice of Violation to the individual.

##### Carlisle Hospital

An investigation disclosed that Carlisle Hospital had knowingly permitted unauthorized physicians to utilize its cobalt-60 teletherapy equipment for the treatment of patients without the required oversight. Specifically, a hospital vice president, the radiation safety officer, and the radiation safety committee chairman knowingly condoned the unauthorized activity. The investigation further disclosed that the vice president had made false statements to an NRC Region I representative concerning the unauthorized use of the teletherapy equipment. Based on the investigation, on August 7, 1995, the NRC assessed a \$5,000 civil penalty against the licensee, having previously issued Notices of Violation to two of the individuals.

##### Washington Nuclear Project, Unit-2

An investigation determined that on April 9, 1995, during a Washington Nuclear plant heatup and startup, a reactor water cleanup system (RWCU) valve was

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improperly manipulated by a control room supervisor in violation of plant procedures. The investigation concluded that the control room supervisor did not deliberately violate plant procedures when he initially opened the RWCU valve, but did deliberately violate procedures when, after discussing them with a reactor operator, he failed to close the RWCU valve or direct that it be closed. On August 17, 1995, based on the OI investigation and special inspections conducted by the technical staff, the NRC issued a Notice of Violation and assessed a civil penalty of \$50,000. The control room supervisor was terminated by Washington Nuclear.

#### **Mattingly Testing Services, Inc.**

An investigation determined that Mattingly's owner and/or other employees deliberately (1) failed to amend its NRC license to reflect a work and storage location in Billings, Montana; (2) failed to conduct required field audits of radiography personnel; (3) allowed a radiographer's assistant to work without proper training and/or supervision; (4) failed to post high radiation areas; (5) failed to ensure the radiographic device was locked after each exposure; and (6) failed to perform a required survey. On May 5, 1995, the NRC issued a Notice of Violation and assessed a civil penalty of \$15,500. The NRC also issued an Order Modifying License requiring Mattingly to retain the services of an independent consultant to assess the licensee's radiation safety program and conduct semiannual audits for the next 2 years.

#### **Blackhawk Engineering**

An investigation determined that the president of Blackhawk deliberately used gauges containing NRC-licensed material although she knew Blackhawk's NRC license had expired. The president also made a false statement to an NRC inspector by telling him that she had not used the nuclear gauges. On August 3, 1995, the NRC issued an order to Blackhawk's president prohibiting her from becoming involved in NRC-licensed activities for 1 year and, for 1 year after the first year, required that she notify the NRC within 20 days of becoming involved in NRC-licensed activities.

#### **Atlas Uranium Mill**

An investigation determined that Atlas' radiation control coordinator deliberately failed to conduct complete and accurate surveys and obtain wipe test results to ensure that material contaminated by radiation above the NRC release criteria was not released from the Atlas mill site. As a result, radiation-contaminated scrap metal and equipment were not properly identified and were subsequently released from the site as uncontaminated materials. On June 15, 1995, the NRC issued a Notice of Violation and assessed a civil penalty of \$5,000.

#### **Western Industrial X-ray, Inc.**

An investigation determined that a Western Industrial radiographer deliberately allowed a radiographer's assistant to perform radiographic operations without proper supervision, the president and radiation safety officer deliberately failed to conduct an evaluation of a potential overexposure incident, and a radiographer and a radiographer's assistant deliberately prepared and submitted

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false reports about the potential overexposure incident to the licensee. The investigation further determined that the licensee deliberately failed to provide calibrated alarm ratemeters to a radiographer and a radiographer's assistant, which was a recurring violation. Based on the investigation, on September 27, 1994, the NRC issued an order revoking the Western Industrial license, and an order prohibiting the president of the firm's involvement in NRC-licensed activities for 5 years. On October 31, 1994, the NRC issued an order prohibiting involvement in NRC-licensed activities for 1 year to the radiographer.

#### Federal Court Actions:

Of the 259 investigations closed in FY 95, 54 cases were referred to the Department of Justice (DOJ) for prosecutorial review. During FY 95, OI supported 11 Federal grand juries. OI investigations resulted in five indictments, three convictions, and three guilty pleas in Federal courts.

The following are significant cases involving DOJ and Federal Court actions during FY 95:

#### Logan General Hospital

An extensive investigation into the activities of the radiation safety officer (RSO) at Logan General Hospital culminated in a guilty plea in U.S. District Court. The RSO was sentenced to probation for 2 years for falsifying training records of lab employees and radiation survey records required by NRC regulations.

As a result of the investigation referenced above involving the Logan General Hospital RSO, an \$8,000 civil penalty was assessed and paid.

#### National Circuits Caribe, Inc.

A joint investigation of this firm by the EPA, FBI, and NRC required extensive use of a Federal grand jury in Puerto Rico. On October 4, 1995, the president of National Circuits was indicted for abandoning a gauging device containing byproduct material at the company facility in Fajardo, Puerto Rico. The indictment also charged the president with improper storage of hazardous wastes, an EPA violation. He was arrested by the FBI on October 11, 1995.

#### Ball Memorial Hospital

An investigation revealed that two Ball Memorial supervisory nuclear medicine technologists had administered greater-than-prescribed dosages of radiopharmaceuticals to patients and also had instructed subordinate technicians to do so. Patients' records of radiopharmaceutical dosages were falsified to create the appearance that the correct prescription had been administered to that patient. This investigation was referred to the United States Attorney's office for prosecution. During FY 95 there was extensive grand jury action regarding this case.

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## Palo Verde Nuclear Generating Station

An investigation determined that a former contract Instrumentation and Control (I&C) employee at Palo Verde Nuclear Station was discriminated against by not being rehired for a second outage because he reported safety concerns to the NRC. On May 30, 1995, a Federal grand jury indicted a former I&C Supervisor at Palo Verde on one count of violating Title 42 U.S.C. 2273 (10 CFR 50.7). The former supervisor subsequently pleaded guilty and on August 7, 1995, was sentenced in Federal District Court to 1 year's probation, 75 hours of community service, a \$50 fine, and court costs for "discrimination against an employee of a nuclear power plant."

### TRENDS AND PROJECTIONS:

In an effort to improve efficiency and effectiveness, OI has continually evaluated the investigative process and strengthened procedures where necessary. The ultimate goal has been to constantly improve responsiveness to our customers. Our efforts, particularly since the implementation of the streamlined investigative process in 1992, have demonstrated a comfortable degree of success.

The following are significant indicators of that success:

- o Earlier involvement by OI in the development of potential wrongdoing issues, coupled with timely interviews of alleged by OI, has proved most useful to the technical staff and contributes directly to the allegation management process. OI is now taking a more proactive role in supporting the staff in their safety evaluations and priority decisions.
- o Field offices are promptly identifying cases of lesser regulatory and safety impact in the initial phase of the investigative process. This allows OI to more accurately focus its limited resources on significant safety matters and to return those cases of lesser significance to the technical staff for appropriate disposition in a more timely manner.
- o Streamlining of the Report of Investigation (ROI) has improved the timeliness of report writing and case closure.
- o Emphasis on internal case management by agents and overall case inventory management by field office directors has continued to improve efficiency, productivity, and customer satisfaction.
- o The process for referral of issues to the Department of Justice has been streamlined to allow Field Office Directors to make such referrals by telephone or in person, at appropriate times following the close of investigative field work, rather than in writing, after the investigation report has been completed.
- o Field Office Directors have been authorized to sign and issue investigation reports, thus eliminating the time required for Headquarters review prior to issuance.

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The graph at Enclosure 2 indicates a 37% increase in cases opened from FY 92 to FY 93.<sup>1</sup> It also indicates a gradual decrease from FY 93 to FY 95 of 20% in the number of cases opened. This is seen as a stabilization of the procedures adopted in 1992 for OI involvement in case development. In the absence of future dramatic changes in investigative focus, priorities, and/or thresholds for acceptance of cases by OI, it is anticipated that the number of cases opened each year will remain at the 200-to-250-per-year level.

Although prior to FY 93, false statements were the dominant type of investigation opened, Enclosure 2 demonstrates that between FY 93 and FY 95 there was a reversal in that trend. In 1993 there was a shift in OI policy to investigate discrimination cases without awaiting Department of Labor findings.<sup>2</sup> This resulted in an increase of 84% from FY 92 to FY 93 in the number of discrimination (harassment and intimidation) cases opened. While the number of false statement cases being opened decreased by 34% from FY 92 through FY 95, discrimination cases increased overall by 88%. As can be seen at Enclosure 3, in FY 92 discrimination investigations represented only 25% of the cases opened, but by FY 95, such investigations represented 42% of all cases opened.

Enclosure 4 is a graph showing investigations closed from FY 92 through FY 95. It indicates a general increase of 104% in case closures in all types of investigations, although the largest increase was in discrimination cases closed (283%).

The increase in cases closed can be accounted for by the following:

- o The general increase (287%) in cases opened as a result of the procedures begun in 1992 increased the case inventory and, thus, the number of cases to be closed.
- o Streamlined case review and report writing procedures allowing cases to be closed more efficiently.
- o Continuing focus upon more efficient case inventory management, which resulted in a reduction in the number of older cases in the active case inventory.

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<sup>1</sup> This was the culmination of a 287% overall increase in the number of cases opened from FY 91 (70 cases) to FY 93 (271 cases), as a result of the implementation in March 1992 of the procedures which enabled OI to become involved with the technical staff in developing suspected wrongdoing issues at an earlier stage, effectively lowering the threshold for OI acceptance of investigations.

<sup>2</sup> Prior to 1993, OI in most instances awaited a review by the NRC technical staff of Department of Labor (DOL) actions to determine whether further OI investigation was necessary or whether the NRC could take enforcement action based solely on the DOL findings. As of 1993, it became OI policy to open an investigation and conduct preliminary investigative activities on discrimination cases without waiting for DOL results.

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A comparison of the percentages by types of investigations closed from FY 92 through FY 95 can be seen at Enclosure 5. Case closings were similar to case openings until FY 94, with false statement investigations dominating. However, the number of discrimination cases closed each year rose steadily, and, in FY 95, discrimination investigations surpassed false statement cases, accounting for 43% of the investigations completed.

Enclosure 6 shows the number of referrals to the Department of Justice (DOJ) between FY 92 and FY 95. As can be seen, prior to FY 95, the number of referrals remained fairly constant. However, in FY 95 referrals increased 116%, from 25 to 54. This resulted in large measure from a corresponding increase in the number of cases closed by OI which substantiated wrongdoing (108%).

Enclosure 7 depicts case-specific working hours from FY 92 through FY 95. OI has traditionally set a goal of achieving a 60:40 ratio of investigative activities (field work, investigative travel, etc.) to administrative activities associated with a case (allegation review process, writing the final investigation report, management review of the case, etc.) From FY 92 through FY 94, OI constantly exceeded this goal with an end-of-year ratio of roughly 80:20. In FY 95, this ratio remained well above the OI goal at 75:25.

The increase in case administration hours (9,000 to 13,000 or 44%) from FY 92 through FY 95, and the corresponding overall decrease in investigative hours (40,000 to 38,000 or 5%) was due to the high number of complex Reports of Investigation necessary to close a significant number of investigations which resulted in substantiated or unsubstantiated conclusions. In FY 95 alone, there was a total of 120 substantiated or unsubstantiated investigations completed. This is an increase in such complex investigations closed of 111% over FY 94.

The goal of OI has been to close investigations on the average within 12 months. As the graph at Enclosure 8 depicts, OI has surpassed this goal for the past 4 fiscal years. In this same period, however, while remaining well below the OI goal, the time it has taken to close cases has increased modestly. This increase is directly proportional to a corresponding increase in substantiated or unsubstantiated investigations, which are complex in nature and require a maximum effort in time. It results not only from the significant increase from FY 94 to FY 95 in the number of more complex investigations mentioned above, but an overall increase of 74% in the number of such cases closed from FY 93 to FY 95. More importantly, this increase in complex investigations demonstrates the success of OI initiatives over the past few years to improve efficiency and productivity by focusing OI resources on substantive safety-related investigations.

Another reason for the increase in time in process is depicted in Enclosure 9, which indicates the average monthly active inventory in FY 95 of cases in process for more than 12 months. Significantly, there was an overall 54% decrease during FY 95 in the number of cases in the OI inventory which were open longer than 12 months. Such older cases comprised 26% of the average case inventory in October 1994. By September 1995, these older cases comprised only 16% of the case inventory. This demonstrates the success of initiatives to improve the efficiency of case management and report writing.

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Enclosure 10 is a graph showing the distribution of cases opened from FY 92 through FY 95 by cases involving reactor licensees; byproduct, source, and special nuclear materials licensees; and vendors to licensees. Vendor cases appear to have stabilized in number. Reactor and materials cases have also stabilized over the past several years at a fairly consistent 70:30 ratio. Materials cases have experienced a steady decrease since FY 93, from 83 to 62 (25%).

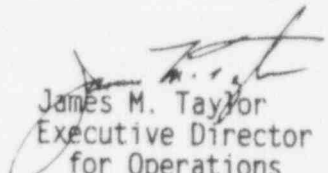
#### INITIATIVES:

OI will continue its efforts to increase the standards of efficiency of operations and administration, as well as overall productivity, by constantly evaluating and improving upon programmatic processes.

In FY 95, OI contracted with an outside consultant, and, with the support and assistance of the Organizational Development and Training Division, Office of Personnel, initiated development of a team-building seminar, with the goal of improving participation at all levels toward the accomplishment of the OI mission. It is anticipated that the field offices and Headquarters will participate in this seminar in FY 96 and FY 97.

In FY 96, OI will upgrade its entire management information system to be more user friendly at the various levels of operation and to provide useful data processing, information access, and management tools for both administrative and investigative purposes.

Although OI has, since 1993, increased its emphasis on discrimination cases, based on a general refocusing of NRC resources toward expeditiously resolving such issues, OI investigative efforts in the future will be more directly concentrated upon high priority discrimination cases.

  
James M. Taylor  
Executive Director  
for Operations

#### Enclosures:

1. Statistical Summary Report and List of Closed Cases for FY95
2. Graph--Investigations Opened
3. Charts--Comparison of Investigations Opened FY92-FY95
4. Graph--Investigations Closed
5. Charts--Comparison of Investigations Closed FY92-FY95
6. Graph--DOJ Referrals
7. Graph--Case-Specific Work Hours
8. Graph--Time in Process (Months)
9. Graph--Active Inventory of Cases Open Longer than 12 Months
10. Graph--Cases Opened (Reactors/Materials/Vendors)

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OFFICE OF INVESTIGATIONS  
MANAGEMENT INFORMATION SYSTEM  
STATUS OF CASES  
FOR THE PERIOD 10/01/94 - 09/30/95

DATE: 06/17/96

CASES OPEN AT START OF THIS PERIOD:	182
CASES OPENED THIS PERIOD:	218
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CASES CLOSED THIS PERIOD:	259 *
SUBSTANTIATED	52
UNSUBSTANTIATED	68
LACK OF REGULATORY REQUIREMENT	16
INSUFFICIENT EVIDENCE OF WRONGDOING	6
HIGHER PRIORITY	91
TRANSFERS TO OTHER REGIONS	0
OTHER	12
ADMINISTRATIVE CLOSURES	2
ASSISTANCE TO STAFF	12
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TOTAL CASES OPEN AT END OF THIS PERIOD:	141
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CRIMINAL REFERRALS:	54
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\* SOURCE: ALLEGER/WHISTLEBLOWER/INTERVENOR - 169  
NRC (INSPECTOR/TECH STAFF) - 40  
LICENSEE/LICENSEE EMPLOYEE CONCERN PROGRAM - 41  
OI (SELF-INITIATED AND DEVELOPED BY OI) - 4  
OTHER GOVERNMENT AGENCIES - 5

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OFFICE OF INVESTIGATIONS  
ANNUAL REPORT OF CLOSED CASES  
FOR THE PERIOD 10/01/94 - 09/30/95

PAGE: 1  
DATE: 06/25/96

CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
1-92-037R	POTENTIAL VIOLATION OF 10 CFR PART 21	A	FIVE STAR PRODUCTS, INC	06/20/95 / S	DECLINATION
	EA NUMBERS: 95-133	OE ACTIONS: ORDER 12/01/95			
1-92-056R	ALLEGED HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	HOSPITAL CENTER AT ORANGE	05/24/95 / S	DECLINATION
	EA NUMBERS: 95-130; 95-195	OE ACTIONS: CIVIL PENALTY TO LICENSEE \$2,500 9/28/95; NOV TO INDIVIDUAL 9/28/95			
1-93-021S	ALLEGED HARASSMENT, INTIMIDATION, AND DISCRIMINATION	T	SALEM 1	11/09/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-93-038R	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	HADDAM NECK	10/31/94 / E	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

LEGEND

SOURCE: A = ALLEGER/WHISTLEBLOWER/INTERVENOR  
I = NRC (INSPECTOR/TECH STAFF)  
L = LICENSEE/LICENSEE EMPLOYEE CONCERN PROGRAM  
O = OI (SELF-INITIATED AND DEVELOPED BY OI)  
T = OTHER (GOVERNMENT AGENCIES; E.G., CONGRESS, FBI, CUSTOMS, STATE POLICE)

DOJ ACTION: GJ = GRAND JURY  
NR = NO REFERRAL

OE ACTION: NOV = NOTICE OF VIOLATION  
NCV = NON-CITED VIOLATION

RESULT: A = ADMINISTRATIVELY CLOSED  
I = INCORPORATED  
S = SUBSTANTIATED  
T = TRANSFERRED  
U = UNSUBSTANTIATED

R = LACK OF REGULATORY REQUIREMENT  
E = INSUFFICIENT EVIDENCE OF WRONGDOING  
P = HIGHER PRIORITY  
F = INVESTIGATIVE ASSISTANCE TO STAFF  
O = OTHER

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FOR THE PERIOD 10/01/94 - 09/30/95PAGE: 2  
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CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
1-93-044R	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	MILLSTONE 1	05/26/95 / U	NR
1-93-047R	ALLEGED HARASSMENT AND INTIMIDATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	MILLSTONE 1	04/18/95 / U	NR
1-93-049R	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	MILLSTONE 1	12/29/94 / P	NR
1-93-050R	POTENTIAL RECORD FALSIFICATION/HARASSMENT AND INTIMIDATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	LEHIGH VALLEY HOSPITAL	03/30/95 / U	NR
1-93-054R	ALLEGED FAILURE TO PROVIDE COMPLETE AND ACCURATE INFORMATION TO THE NRC  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	SUSQUEHANNA 1	08/31/95 / P	NR
1-93-056R	ALLEGED HARASSMENT AND INTIMIDATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	T	NEW ENGLAND MEDICAL CENTE	01/27/95 / U	NR
1-93-058	ALLEGED HARASSMENT AND INTIMIDATION AND FAILURE TO PERFORM WIPE TESTS  EA NUMBERS: 95-064      OE ACTIONS: CHILLING EFFECT LETTER 6/6/95	A	DEBORAH RESEARCH INSTITUT	12/29/94 / P	NR

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OFFICE OF INVESTIGATIONS  
ANNUAL REPORT OF CLOSED CASES  
FOR THE PERIOD 10/01/94 - 09/30/95PAGE: 3  
DATE: 06/25/96

CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
1-93-063	POTENTIAL 50.7 VIOLATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	LIMERICK 1	12/16/94 / P	NR
1-93-069R	POTENTIAL FALSIFICATION OF NRC REQUIRED RECORDS EA NUMBERS: 95-025 OE ACTIONS: ACTION PENDING	A	POWER INSPECTION, INC.	12/22/94 / S	PROSECUTN/GJ
1-93-072	POTENTIAL HARASSMENT AND INTIMIDATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	SUSQUEHANNA 1	11/10/94 / P	NR
1-94-005R	POSSIBLE WILLFUL VIOLATION OF 10 CFR 35.13(B) LICENSE AMENDMENT EA NUMBERS: 95-021; 95-098; 95-099 OE ACTIONS: CIVIL PENALTY TO LICENSEE \$5,000 6/6/95; NOVS TO INDIVIDUALS 6/6/95	I	CARLISLE HOSPITAL	12/12/94 / S	DECLINATION
1-94-007	POTENTIAL USE OF UNQUALIFIED QC INSPECTORS EA NUMBERS: NA OE ACTIONS: NO ACTION	L	INDIAN POINT 2	10/27/94 / U	NR
1-94-008R	POTENTIAL 10 CFR 30.7 VIOLATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	TEMPLE UNIVERSITY	12/28/94 / P	NR
1-94-012R	POTENTIAL VIOLATION OF 10 CFR 50.5 BY AN NU CONTRACTOR EA NUMBERS: 94-247 OE ACTIONS: WARNING LETTER	L	AMERICAN POWER SERVICES	10/20/94 / S	DECLINATION

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FOR THE PERIOD 10/01/94 - 09/30/95PAGE: 4  
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CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
1-94-014	POTENTIAL VIOLATION OF 10 CFR 50.5 BY A MAINE YANKEE CONTRACTOR	A	WESTERN STRESS, INC.	12/10/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-016	POSSIBLE FALSIFICATION OF SRO LICENSE APPLICATION (NRC FORM 398)	L	HOPE CREEK 1	01/27/95 / S	DECLINATION
	EA NUMBERS: 95-037; 95-128	OE ACTIONS: NOV TO LICENSEE 6/29/95; NOV TO INDIVIDUAL 6/29/95			
1-94-017	POTENTIAL VIOLATION OF 10 CFR 50.5 AND 50.9	A	HADDAM NECK	04/06/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-018	POTENTIAL 10 CFR 30.9 VIOLATIONS	A	NIH	01/31/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-020	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	NINE MILE POINT 2	12/23/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-022	POSSESSION OF BYPRODUCT MATERIAL WITHOUT A VALID LICENSE	I	AUGUST CORP.	11/01/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-026	POTENTIAL WRITTEN FALSE STATEMENTS	I	ADVACARE MANAGEMENT SERVI	06/27/95 / S	DECLINATION
	EA NUMBERS: 94-089	OE ACTIONS: CIVIL PENALTY \$2,500 8/30/95; WARNING LETTER 8/30/95			

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1-94-027	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	MILLSTONE 3	12/16/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-030	POTENTIAL HARASSMENT AND INTIMIDATION	I	PILGRIM 1	12/28/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-031	POSSESSION OF BYPRODUCT MATERIAL WITHOUT A VALID LICENSE	I	OMNI-WAVE ELECTRONICS COR	10/28/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-032	REACTOR OPERATOR DRUG USE	I	LIMERICK 1	01/27/95 / S	DECLINATION
	EA NUMBERS: 96-228	OE ACTIONS: NO ACTION			
1-94-035	POTENTIAL HARASSMENT AND INTIMIDATION	A	SALEM 1	08/31/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-036	ASSIST TO INSPECTION	A	NORTH AMERICAN INSPECTION	10/05/94 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-037	POTENTIAL 50.7 AND 50.9 VIOLATIONS	A	MILLSTONE 1	05/30/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-038	POTENTIAL DELIBERATE VIOLATION OF A TECH SPEC REQUIRED PROCEDURE	L	NINE MILE POINT 2	12/16/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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1-94-039	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	OLD FORGE TESTING COMPANY	12/16/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-040	POTENTIAL DELIBERATE MISCONDUCT RULE VIOLATION	A	INDIAN POINT 3	11/02/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-041	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	GILBERTON COAL CO.	11/21/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-042	UNAUTHORIZED POSSESSION OF SOURCE MATERIAL	I	ONCOLOGY THERAPY CENTER	12/29/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-043	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	SALEM 1	09/25/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-044	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	NORTHAMPTON CTY. AREA COM	12/05/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-045	ASSIST TO INSPECTION	A	INDIAN POINT 3	12/21/94 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-046	POSSESSION OF LICENSED MATERIAL WITHOUT A VALID LICENSE	I	ENVIRONMENTAL TESTING LAB	12/16/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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1-94-047	POTENTIAL HARASSMENT, INTIMIDATION, AND DISCRIMINATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	MILLSTONE 2	01/03/95 / E	NR
1-94-048	ALLEGED DELIBERATE FAILURE TO REPORT A VIOLATION OF A CONTROL ROOM STAFFING REQUIREMENT  EA NUMBERS: 95-160; 95-188      OE ACTIONS: NOV TO LICENSEE 9/19/95; NOV TO INDIVIDUAL 9/19/95	L	HOPE CREEK 1	07/10/95 / S	DECLINATION
1-94-049	FALSIFICATION OF BACKGROUND INFORMATION BY CONTRACTOR EMPLOYEE  EA NUMBERS: 95-170; 95-256      OE ACTIONS: CIVIL PENALTY TO LICENSEE \$50,000 1/2/96; WARNING LETTERS TO INDIVIDUALS; DEMAND FOR INFO AND ORDER TO INDIVIDUAL	L	CALVERT CLIFFS 1	08/25/95 / S	DECLINATION
1-94-050	POTENTIAL FALSIFICATION OF TRAINING RECORDS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	WESTINGHOUSE	04/25/95 / P	NR
1-94-051	POTENTIAL DELIBERATE MISCONDUCT ON THE PART OF A VENDOR  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	FLEXCON, INC.	04/05/95 / P	NR
1-94-052	POTENTIAL FALSE STATEMENT  EA NUMBERS: NA      OE ACTIONS: NO ACTION	I	VALLEY MEDICAL LAB., INC.	02/24/95 / P	NR
1-94-054	POTENTIAL FALSIFICATION OF QC INSPECTION RECORDS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	COMBUSTION ENGINEERING	08/11/95 / P	NR

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1-94-055	UNAUTHORIZED POSSESSION AND DISPOSAL OF NRC LICENSED MATERIAL	I	MCCORMICK, TAYLOR & ASSOC	02/23/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-056	UNAUTHORIZED POSSESSION OF NRC LICENSED MATERIAL	I	PAOLINO & SONS INC., JOS.	02/28/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-057	POTENTIAL FALSIFICATION OF SURVEY RECORDS	I	RADIATION ONCOLOGY CTR	03/24/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-94-058	POTENTIAL UNAUTHORIZED POSSESSION OF NRC LICENSED MATERIAL	I	ROOF TECH, INC	03/23/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-001	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	TECHNICAL TESTING, INC.	03/16/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-002	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	GEO-TECH ASSOC.	03/23/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-003	ALLEGED HARASSMENT, INTIMIDATION, AND DISCRIMINATION	A	SALEM 1	03/24/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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1-95-004	POTENTIAL HARASSMENT AND INTIMIDATION	A	FITZPATRICK	04/27/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-007	POTENTIAL HARASSMENT AND INTIMIDATION	I	NINE MILE POI# 1	04/28/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-008	ASSIST TO INSPECT	I	SYNCOR INTERNATIONAL CORP	03/24/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-009	POTENTIAL FALSIFICATION OF A THERAPY DOSE FORM BY AN AUTHORIZED USER	I	MILTON HOSPITAL	09/20/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-010	POSSIBLE UNAUTHORIZED WORK BY AN AGREEMENT STATE LICENSEE IN PENNSYLVANIA	A	QUALITY INSPECTION SERVIC	04/13/95 / S	DECLINATION
	EA NUMBERS: 95-046; 95-111	OE ACTIONS: CIVIL PENALTY TO LICENSEE \$13,000 6/28/95; NOV TO INDIVIDUAL 6/28/95			
1-95-011	POTENTIAL FALSIFICATION OF CHEMISTRY RECORDS	L	MAINE YANKEE	05/25/95 / P	DECLINATION
	EA NUMBERS: 95-141; 95-159	OE ACTIONS: WARNING LETTER TO INDIVIDUAL 8/4/95			
1-95-012	POTENTIAL FALSIFICATION OF FIRE PROTECTION RECORDS	L	FITZPATRICK	05/30/95 / P	DECLINATION
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-014	ASSIST TO INSPECTION	I	RIVERSIDE HOSPITAL	03/16/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
1-95-017	POTENTIAL HARASSMENT AND INTIMIDATION	A	BEAVER VALLEY 1	07/10/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-018	UNAUTHORIZED POSSESSION OF BYPRODUCT MATERIAL	I	QUALITY CONTROL LAB.	06/27/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-020	ASSIST TO INSPECTION	I	ABB-COMBUSTION ENG.	06/13/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-021	POSSIBLE WILLFUL VIOLATION OF NRC RECIPROCITY REGULATIONS	A	MPCN HEALTH CARE INCOME	06/27/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-022	POTENTIAL HARASSMENT AND INTIMIDATION	A	CALVERT CLIFFS 2	09/29/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-023	POTENTIAL HARASSMENT AND INTIMIDATION	A	SUSQUEHANNA 1	08/31/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-024	POTENTIAL DELIBERATE SUBMITTAL OF FALSE MATERIAL CERTIFICATIONS TO VERMONT YANKEE	L	WALWORTH COMPANY	08/31/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-027	ALLEGED DISCRIMINATION AGAINST A QUALITY CONTROL INSPECTOR	A	COMBUSTION ENGINEERING	08/11/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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1-95-031	ALLEGED VIOLATION OF LICENSE CONDITIONS	T	MINE SAFETY APPLIANCES CO	08/11/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
1-95-037	ASSIST TO INSPECTION (POSSIBLE SABOTAGE)	I	BEAVER VALLEY 1	08/14/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
2-92-027R	ALLEGED RADIOGRAPHY IN NON-AGREEMENT STATE WITHOUT NRC NOTIFICATION	A	TESTCO, INC.	07/25/95 / S	DECLINATION
	EA NUMBERS: 95-101	OE ACTIONS: CIVIL PENALTY \$5,000	10/31/95		
2-93-013	ALLEGED DISCRIMINATORY EMPLOYMENT PRACTICES BY BECHTEL	A	BROWNS FERRY 1	07/07/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
					
2-93-019	ALLEGED DISCRIMINATION OF AN INSTRUMENT CONTROL TECHNICIAN	A	CRYSTAL RIVER 3	08/31/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
2-93-020	ALLEGED DISCRIMINATION OF AN INSTRUMENT CONTROL TECHNICIAN	A	TURKEY POINT 3	05/23/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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2-93-029	ALLEGED UNAUTHORIZED USE AND STORAGE OF CESIUM 137 CONSTANCY CHECK SOURCE  EA NUMBERS: 94-245    OE ACTIONS: NOV 2/27/95	L	V. A. MEDICAL CENTER	10/28/94 / P	NR
2-93-030	ALLEGED DEMOTION OF STONE AND WEBSTER GENERAL FOREMAN FOR EXPRESSING FIRE PROTECTION CONCERNS  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	BROWNS FERRY 1	12/15/94 / U	NR
2-93-033	ALLEGED MISCONDUCT BY TVA OFFICE OF THE INSPECTOR GENERAL  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	TVA	02/23/95 / U	NR
2-93-038	ALLEGED HARASSMENT OF BECHTEL ENGINEER  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	BROWNS FERRY 1	06/30/95 / U	NR
2-93-040	ALLEGED HARASSMENT FOR REPORTING EROSION/CORROSION PROBLEMS  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	ROBINSON 2	01/26/95 / P	NR
2-93-047	ALLEGED DISCRIMINATION OF CONTRACT EMPLOYEE FOR RAISING SAFETY CONCERNS  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	WATTS BAR 1	02/28/95 / P	NR
2-93-049	ALLEGED DISCRIMINATION OF ELECTRICIAN FOR REPORTING NUCLEAR SAFETY CONCERNS  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	WATTS BAR 1	02/02/95 / I	NR

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2-93-054R	ALLEGED FALSIFICATION OF QUALITY CONTROL RECORDS BY CONTRACTOR EMPLOYEES	L	BROWNS FERRY 1	01/12/95 / S	DECLINATION
	EA NUMBERS: 95-014; 95-115	OE ACTIONS: DEMAND FOR INFORMATION 3/22/95; WARNING LETTER 6/23/95			
2-93-055	ALLEGED FALSIFICATION OF BACKGROUND INVESTIGATIONS	A	PINKERTON INV' T SVCS	03/10/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
2-93-056	ALLEGED FALSIFICATION OF QUALITY ASSURANCE RECORDS AND DISCRIMINATION AGAINST WELDER	A	ROBINSON 2	03/16/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
2-93-057R	ALLEGED DISCRIMINATORY TERMINATION OF CHEMISTRY EMPLOYEE	A	WATTS BAR 1	11/17/94 / S	DECLINATION
	EA NUMBERS: 94-263; 95-015	OE ACTIONS: CHILLING EFFECT LETTER (2) 4/25/95; ADDITIONAL ACTION PENDING			
2-93-064	ALLEGED THERAPY MISADMINISTRATION	L	SACRED HEART HOSPITAL	10/27/94 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
2-93-065	ALLEGED DISCRIMINATION FOR RAISING CHEMISTRY CONTROL PROBLEMS	A	SEQUOYAH 1	06/28/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			



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2-93-067R	ALLEGED MISADMINISTRATION AND FALSIFICATION OF RECORDS	A	LOGAN GENERAL HOSPITAL	11/23/94 / S	GUILTY PLEA
	EA NUMBERS: 94-008; OE ACTIONS: CIVIL PENALTY \$8,000 7/27/95; ORDER 3/10/94 94-054; 95-146				
2-93-068	ALLEGED DISCRIMINATION AGAINST CHEMIST FOR ENGAGING IN PROTECTED ACTIVITY	A	SEQUOYAH 1	05/22/95 / U	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
2-93-070	ALLEGED WELDING DONE BY UNCERTIFIED WELDING SUPERVISOR	A	CATAWBA 1	11/21/94 / P	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
2-93-071R	ALLEGED DELIBERATE VIOLATION OF MCGUIRE PLANT DIRECTIVE 704.5-6, RE. SUPERVISION OF SURVEILLANCES	I	MCGUIRE 1	12/16/94 / P	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
2-94-001	ALLEGED MATERIAL FALSE STATEMENT IN LICENSEE EVENT REPORT	A	VOGTLE 1	01/19/95 / R	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
2-94-002	ALLEGED FRAUD, WASTE, AND ABUSE BY MANAGEMENT AND HARASSMENT AND INTIMIDATION	A	SEQUOYAH 1	04/28/95 / U	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				

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2-94-006	LICENSEE IDENTIFIED DELIBERATE INJECTION OF RADIOPHARMACEUTICAL BY ONE TECHNICIAN TO ANOTHER  EA NUMBERS: 94-047; 95-048; 95-049	L	ROCKINGHAM MEMORIAL HOSPI	01/18/95 / S	DECLINATION
2-94-007	ALLEGED DISCRIMINATION AGAINST QUALITY ASSURANCE AUDITOR  EA NUMBERS: NA	A	CONSOLIDATED PIPE SUPPLY	02/08/95 / P	NR
2-94-008	ALLEGED HARASSMENT AND INTIMIDATION OF EMPLOYEES BY SITE VICE PRESIDENT  EA NUMBERS: NA	A	TURKEY POINT 3	02/21/95 / P	NR
2-94-013R	ALLEGED FALSIFICATION OF A BATTERY CELL MAINTENANCE DATA SHEET  EA NUMBERS: NA	I	VOGTLE 1	09/26/95 / P	NR
2-94-014	ALLEGED FALSE STATEMENTS RE DRAWING UPDATE COMMITMENTS/ DISCRIM. FOR REFUSAL TO WORK ON IMPROPERLY ISSUED WORK PLAN  EA NUMBERS: NA	A	WATTS BAR 1	02/28/95 / P	NR
2-94-015	ALLEGED WILLFUL VIOLATION OF TECHNICAL SPECIFICATION 3.9.8.2 TO FACILITATE OUTAGE WORK  EA NUMBERS: NA	A	VOGTLE 1	02/21/95 / R	NR

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2-94-016	ALLEGED FALSIFICATION OF BACKGROUND INFORMATION TO GAIN ACCESS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	FARLEY 1	11/08/94 / P	NR
2-94-017	ALLEGED FALSIFICATION OF PHYSICIAN'S PRECEPTOR STATEMENT  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	LOGAN GENERAL HOSPITAL	12/16/94 / U	NR
2-94-020	ALLEGED DISCRIMINATORY TRANSFER DUE TO PROMOTING STRICT COMPLIANCE WITH PROCEDURES  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	WATTS BAR 1	02/28/95 / P	NR
2-94-021	ALLEGED HARASSMENT AND INTIMIDATION FOR RAISING SAFETY CONCERNS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	WATTS BAR 1	06/27/95 / U	NR
2-94-025	ALLEGED PROVISION OF INCOMPLETE INFORMATION IN EXECUTIVE SUMMARY OF VOGTLE DIESEL OPERABILITY  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	VOGTLE 1	04/26/95 / R	NR
2-94-026	ALLEGED DISCRIMINATION AGAINST CONTRACT EMPLOYEE FOR RAISING SAFETY CONCERNS (UESC)  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	WATTS BAR 1	06/30/95 / U	NR

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2-94-027	ALLEGED DISCRIMINATION FOR RAISING SAFETY CONCERNS	A	MCGUIRE 1	01/27/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-028	ALLEGED DISCRIMINATION AGAINST CONTRACT EMPLOYEE FOR REPORTING SAFETY CONCERNS	A	BROWNS FERRY 1	07/20/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-029	POSSIBLE FALSIFICATION OF POST MAINTENANCE TEST RECORDS	I	CRYSTAL RIVER 3	03/10/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-031	ALLEGED FALSIFICATION OF BACKGROUND DATA	A	WATTS BAR 1	01/27/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-032	ALLEGED EMPLOYEE DISCRIMINATION	A	HARRIS 1	02/28/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-033	ALLEGED EMPLOYEE DISCRIMINATION	A	V. A. MEDICAL CENTER	02/28/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-034	ALLEGED EMPLOYEE DISCRIMINATION	A	BABCOCK & WILCOX CO.	07/28/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
2-94-035	ALLEGED EMPLOYEE DISCRIMINATION	A	WATTS BAR 1	07/27/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		


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2-94-036	POSSIBLE DELIBERATE VIOLATION OF PLANT DESIGN BASIS EA NUMBERS: 95-120 THRU 95-126 OE ACTIONS: ACTIONS PENDING	L	CRYSTAL RIVER 3	05/24/95 / S	DECLINATION
2-94-037	ASSIST TO INSPECTION EA NUMBERS: NA OE ACTIONS: NO ACTION	I	RIVER BEND 1	04/26/95 / F	NR
2-94-040	ALLEGED EMPLOYEE DISCRIMINATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	WATTS BAR 1	06/16/95 / U	NR
2-94-042	ALLEGED EMPLOYEE DISCRIMINATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	WATTS BAR 1	04/24/95 / U	NR
2-94-043	ALLEGED VIOLATION OF ACCESS AUTHORIZATION PROCEDURES EA NUMBERS: NA OE ACTIONS: NO ACTION	A	OCONEE 1	09/29/95 / U	NR
2-95-004	ALLEGED EMPLOYEE DISCRIMINATION EA NUMBERS: NA OE ACTIONS: NO ACTION	A	WATTS BAR 1	08/29/95 / U	NR
2-95-007	ALLEGED UNLICENSED TRANSFER OF 10 MILLICURIES OF NICKEL-63 EA NUMBERS: NA OE ACTIONS: NO ACTION	L	VIRGINIA, UNIVERSITY OF	08/31/95 / U	NR
2-95-012	ALLEGED DELIBERATE PROVISION OF INACCURATE INFORMATION TO LICENSEE BY REACTOR OPERATOR EA NUMBERS: NA OE ACTIONS: NO ACTION	L	ST LUCIE 1	09/14/95 / F	NR

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2-95-017	ASSIST TO REGIONAL EMPLOYEE CONCERNS PROGRAM INSPECTION	I	WATTS BAR 1	09/25/95 / F	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
3-92-013R	ALLEGED EMPLOYMENT DISCRIMINATION	T	CLEVELAND CLINIC FOUNDATI	11/30/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
					
3-93-001	ALLEGED EMPLOYMENT DISCRIMINATION AGAINST AN EMPLOYEE FOR HIS REFUSAL TO FALSIFY RECORDS	A	THERMAL SCIENCE, INC.	03/30/95 / O	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
3-93-014R	ALLEGEDLY AN ASSISTANT RADIOGRAPHER PERFORMED UNAUTHORIZED RADIOGRAPHY WORK	L	MID AMERICAN INSPECTION S	10/19/94 / S	DECLINATION
	EA NUMBERS: 94-256; 95-081 THRU 95-084	OE ACTIONS: NOV TO LICENSEE 8/7/95; ORDER TO INDIVIDUAL: NOV TO INDIVIDUAL			
3-93-021S	ALLEGED UNAUTHORIZED USE OF RADIOPHARMACEUTICALS	A	BALL MEMORIAL HOSPITAL	05/01/95 / S	PROSECUTN/GJ
	EA NUMBERS: 94-214; 94-027; 94-237	OE ACTIONS: ORDER TO LICENSEE 10/20/93; ORDER TO INDIVIDUAL 5/23/94			

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3-93-023	ALLEGED DELIBERATE FAILURE TO REPORT PROCEDURAL VIOLATIONS AND EMPLOYMENT TERMINATION FOR RAISING SAFETY CONCERNS  EA NUMBERS: 95-233    OE ACTIONS: NOV 12/6/95	A	FERMI 2	09/29/95 / S	DECLINATION
3-93-025R	ALLEGED DELIBERATE FAILURE TO OPERATE NUCLEAR GAUGES WITHOUT LICENSE-REQUIRED DOSIMETRY  EA NUMBERS: NA    OE ACTIONS: NO ACTION	I	MATERIALS TESTING CONSULT	03/01/95 / U	NR
3-93-028R	ALLEGED FAILURE TO MAKE PART 21 NOTIFICATION AND MATERIAL FALSE STATEMENTS  EA NUMBERS: NA    OE ACTIONS: NO ACTION	A	DOW CORNING COMPANY	01/25/95 / U	NR
3-93-031R	ALLEGED FAILURE TO NOTIFY A PATIENT OF A MISADMINISTRATION AND MATERIAL FALSE STATEMENT  EA NUMBERS: 95-102    OE ACTIONS: WARNING LETTER TO INDIVIDUAL 7/11/95	I	X-RAY TREATMENT CENTER, P	03/30/95 / S	DECLINATION
3-93-032R	ALLEGEDLY A LICENSEE EMPLOYEE WAS DISCRIMINATED AGAINST BY HIS MANAGEMENT FOR RAISING SAFETY CONCERNS  EA NUMBERS: 95-028    OE ACTIONS: NO ACTION	A	CALLAWAY	12/12/94 / S	NR
3-94-011R	ALLEGED WILLFUL LICENSE VIOLATION  EA NUMBERS: NA    OE ACTIONS: NO ACTION	I	UNIVERSITY OF MISSOURI	03/31/95 / P	NR

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3-94-017	ALLEGED DISCRIMINATORY FIRING OF AN EMPLOYEE FOR IDENTIFYING VIOLATIONS TO THE NRC  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	FERMI 2	03/17/95 / R	NR
3-94-022	ALLEGEDLY AN UNKNOWN EMPLOYEE REMOVED A MOISTURE DENSITY GAUGE FROM COMPANY PROPERTY WITHOUT PERMISSION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	SOIL & MATERIALS ENGINEER	10/25/94 / P	NR
3-94-026R	ALLEGEDLY A FOREMAN SIGNED OFF A QC VERIFICATION WITHOUT PERFORMING THE REQUIRED CHECK  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	PERRY 1	03/28/95 / P	NR
3-94-031	ALLEGED FAILURE TO BE CONSIDERED FOR A TEMPORARY UPGRADE POSITION DUE TO ALLEGATIONS MADE TO THE NRC  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	BRAIDWOOD 1	12/21/94 / U	NR
3-94-032	ALLEGEDLY A CONTRACT QC INSPECTOR DISCOVERED FORGED INITIALS ON WORK ORDER/TOLD TO SUPPRESS INFORMATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	PERRY 1	10/25/94 / P	NR
3-94-037	ALLEGED EMPLOYMENT DISCRIMINATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	LA CROSSE	12/16/94 / O	NR

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3-94-041	ALLEGED TERMINATION OF EMPLOYMENT FOR CONTACTING THE NRC REGARDING ALARA CONCERNS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	ZION 1	12/16/94 / O	NR
3-94-043	ALLEGED DELIBERATE FAILURE TO FOLLOW RADWASTE HANDLING PROCEDURES  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	CALLAWAY	12/14/94 / P	NR
3-94-044	ALLEGED HARASSMENT AND INTIMIDATION OF GUARD FORCE PERSONNEL FOR RAISING SAFETY CONCERNS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	LASALLE 1	01/18/95 / P	NR
3-94-046	ALLEGED EMPLOYMENT DISCRIMINATION FOR RAISING SAFETY CONCERNS TO THE NRC  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	BRAIDWOOD 1	06/16/95 / U	NR
3-94-047	ALLEGEDLY 16 CONTRACTOR LABORERS DELIBERATELY FALSIFIED THEIR PSQ FORMS REGARDING CRIMINAL CONVICTIONS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	PALISADES	10/25/94 / P	NR
3-94-048	ALLEGED DELIBERATE PROVIDING OF FALSE INFORMATION TO AN NRC INVESTIGATOR  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	FERMI 2	01/12/95 / U	NR

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3-94-050R	ALLEGED DELIBERATE REMOVAL OF A GAUGE IN VIOLATION OF A LICENSE CONDITION  EA NUMBERS: 95-103; 95-237	I	ASHLAND PETROLEUM CO.	04/25/95 / S	DECLINATION
	OE ACTIONS: CIVIL PENALTY TO LICENSEE \$500 12/6/95; WARNING LETTER TO INDIVIDUAL 12/6/95				
3-94-051R	ALLEGED DELIBERATE FALSIFICATION OF PERSONNEL SECURITY BACKGROUND FORMS  EA NUMBERS: 95-172	O	DAVIS-BESSE	07/06/95 / S	DECLINATION
	OE ACTIONS: ACTION PENDING				
3-94-052R	ALLEGED DELIBERATE FALSIFICATION OF PERSONNEL SECURITY BACKGROUND FORMS  EA NUMBERS: NA	O	BYRON 1	03/30/95 / U	NR
	OE ACTIONS: NO ACTION				
3-94-053R	ALLEGED DELIBERATE FALSIFICATION OF PERSONNEL SECURITY BACKGROUND FORMS  EA NUMBERS: 95-173	O	DAVIS-BESSE	07/06/95 / S	DECLINATION
	OE ACTIONS: NOV 10/18/95				
3-94-054R	ALLEGED DELIBERATE FALSIFICATION OF PERSONNEL SECURITY BACKGROUND FORMS  EA NUMBERS: 95-097	O	PALO VERDE 1	03/30/95 / S	DECLINATION
	OE ACTIONS: ORDER 12/22/95				
3-94-055	ALLEGED DELIBERATE RADIATION EXPOSURE OF AN EMPLOYEE THROUGH THE USE OF A STRONTIUM-90 CHECK SOURCE  EA NUMBERS: NA	L	QUAD CITIES 1	05/01/95 / U	NR
	OE ACTIONS: NO ACTION				

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3-94-056R	ALLEGED DELIBERATE FAILURE TO REPORT A MISADMINISTRATION EA NUMBERS: 95-168 OE ACTIONS: WARNING LETTER 3/7/96	A	LUCY LEE HOSPITAL	07/20/95 / S	EVALUATION
3-94-058	ALLEGED EMPLOYMENT TERMINATION FOR RAISING SAFETY CONCERNS EA NUMBERS: NA OE ACTIONS: NO ACTION	A	CLINTON	12/08/94 / O	NR
3-94-059	ALLEGED DELIBERATE FALSIFICATION OF FIREWATCH RECORDS EA NUMBERS: NA OE ACTIONS: NO ACTION	L	COOK 1	01/18/95 / P	NR
3-94-060	ALLEGED DELIBERATE FALSIFICATION OF FIREWATCH ROUND RECORDS EA NUMBERS: NA OE ACTIONS: NO ACTION	A	QUAD CITIES 1	10/25/94 / P	NR
3-94-061	ALLEGED UNAUTHORIZED POSSESSION OF NUCLEAR BYPRODUCT MATERIAL EA NUMBERS: 95-042; 95-113 OE ACTIONS: DEMAND FOR INFORMATION 6/27/95; ORDER 6/27/95	L	CAMMENG ASSOCIATES, INC.	02/10/95 / S	GUILTY PLEA
3-94-062	ALLEGED EMPLOYMENT DISCRIMINATION FOR REPORTING A SAFETY CONCERN EA NUMBERS: NA OE ACTIONS: NO ACTION	A	FERMI 2	03/31/95 / P	NR
3-94-063	ALLEGED DELIBERATE VIOLATION OF SECURITY PROCEDURES AND FALSIFICATION OF RECORDS EA NUMBERS: NA OE ACTIONS: NO ACTION	A	ZION 1	02/03/95 / R	NR

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3-94-064	A CONTRACT SUPERVISOR ALLEGEDLY DELIBERATELY ENTERED A RADIATION CONTROL AREA AFTER BEING TOLD HE COULD NOT ENTER  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	FERMI 2	03/17/95 / P	NR
3-94-066	ALLEGED EMPLOYMENT DISCRIMINATION  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	ZION 1	05/02/95 / P	NR
3-94-069	ALLEGED DELIBERATE FALSIFICATION OF BACKGROUND SUITABLE INQUIRY FORM (10 CFR 50.9 AND 10 CFR 50.5)  EA NUMBERS: NA      OE ACTIONS: NO ACTION	L	DAVIS-BESSE	04/13/95 / P	DECLINATION
3-94-070	ALLEGED INTIMIDATION AND HARASSMENT (10 CFR 50.7)  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	CLINTON	06/16/95 / P	NR
3-94-071	ALLEGED EMPLOYMENT DISCRIMINATION (10 CFR 30.7)  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	UNIVERSITY OF MISSOURI	06/14/95 / I	NR
3-94-072	ASSIST TO INSPECTION REGARDING RIII TECHNICAL STAFF INTERVIEWS OF BYRON NUCLEAR STATION CONTROL ROOM PERSONNEL  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	BYRON 1	01/30/95 / F	NR

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3-95-001	ALLEGED DELIBERATE FALSIFICATION OF A WORK COMPLETION SIGN-OFF FORM BY A QUALITY VERIFICATION INSPECTOR  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	CLINTON	06/07/95 / P	NR
3-95-002	ALLEGED DELIBERATE FALSIFICATION OF SIGNATURES ON CALIBRATED TOOL SIGN-OUT SHEETS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	FERMI 2	03/27/95 / O	NR
3-95-003	ALLEGED DELIBERATE FAILURE TO MAINTAIN CONTROL OF A MOISTURE DENSITY GAUGE IN VIOLATION OF THE LICENSE  EA NUMBERS: 95-104      OE ACTIONS: NOV 6/23/95	L	BRAUN INTERTEC CORP.	04/24/95 / S	DECLINATION
3-95-004	ALLEGED DELIBERATE FAILURE TO ASSIGN FILM BADGES TO PERSONNEL USING LICENSED MATERIAL  EA NUMBERS: 95-154      OE ACTIONS: NOV 11/16/95	I	GCME, INC.	07/12/95 / P	NR
3-95-005	ALLEGED DELIBERATE USE OF A MOISTURE DENSITY GAUGE WITHOUT PERSONAL DOSIMETRY AND ADEQUATE TRAINING  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	MCDOWELL & ASSOCIATES	04/13/95 / O	NR
3-95-006	ALLEGED HARASSMENT BY MANAGEMENT FOR IDENTIFYING PERCEIVED IRREGULARITIES IN REACTOR OPERATOR TRAINING  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	DAVIS-BESSE	06/16/95 / P	NR

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3-95-009	ALLEGEDLY A GUARD DELIBERATELY INSTRUCTED AN INDIVIDUAL TO INPUT FALSE DATA TO FACILITY ACCESS SECURITY COMPUTER	L	ZION 1	06/14/95 / P	NR
	EA NUMBERS: 95-162 OE ACTIONS: WARNING LETTER TO INDIVIDUAL 8/18/95				
3-95-010	ALLEGED DELIBERATE OVEREXPOSURE OF PERSONAL DOSIMETRY TO AVOID WORKING IN HIGH RADIATION EXPOSURE AREA	L	BYRON 2	07/11/95 / P	NR
	EA NUMBERS: 95-153 OE ACTIONS: WARNING LETTER TO INDIVIDUAL 8/23/95				
3-95-011	ALLEGED EMPLOYMENT DISCRIMINATION AND H&I	A	CALLAWAY	09/13/95 / U	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
3-95-012	ALLEGED WILLFUL IMPROPER HANDLING OF A CESIUM-137 SOURCE ROD DURING MOISTURE DENSITY GAUGE REPAIRS	A	TESTING ENGINEERS & CONSU	08/04/95 / U	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
3-95-013	SECURITY GUARDS WERE ALLEGEDLY GIVEN ANSWERS TO GUARD FORCE QUALIFICATION TESTS	A	CALLAWAY	09/14/95 / R	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				
3-95 014	ALLEGED DELIBERATE FAILURE TO REPORT LOSS OF NUCLEAR BYPRODUCT MATERIAL/FALSIFICATION OF RELATED RECORDS	A	WASHINGTON UNIVERSITY MED	09/25/95 / R	NR
	EA NUMBERS: NA OE ACTIONS: NO ACTION				

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3-95-019	ALLEGED DELIBERATE FALSIFICATION OF QA/NDE RECORDS	I	POINT BEACH 1	08/18/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-92-005	ALLEGED FALSIFIED I&C WORK PACKAGES	A	SOUTH TEXAS 1	02/08/95 / O	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-92-012	ALLEGED TERMINATION OF SECURITY PERSONNEL FOR PROVIDING INFORMATION TO THE NRC	A	SOUTH TEXAS 1	02/06/95 / O	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-93-001R	ALLEGED FALSIFICATION OF SCREENING CERTIFICATION LETTERS	A	POWER SYSTEMS ENERGY SER.	11/30/94 / S	DECLINATION
	EA NUMBERS: 95-161	OE ACTIONS: NOV 10/13/95			
4-93-013R	ALLEGED TERMINATION OF CONTRACT EMPLOYEES FOR RAISING RADIATION SAFETY CONCERNS	A	FORT ST VRAIN	02/09/95 / S	DECLINATION
	EA NUMBERS: 95-045; 95-079	OE ACTIONS: NOV TO LICENSEE AND NOV TO INDIVIDUAL 8/14/95			
4-93-021R	ALLEGED HARASSMENT AND INTIMIDATION OF QA AUDITORS	I	RIVER BEND 1	11/30/94 / S	DECLINATION
	EA NUMBERS: 95-023	OE ACTIONS: NO ACTION			
4-93-024	ALLEGED DELIBERATE VIOLATION OF LICENSE CONDITIONS	A	IDAHO STATE UNIVERSITY	12/21/94 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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4-93-031	ALLEGED FALSIFICATION OF QUALITY RELATED RECORDS	A	WATERFORD 3	02/09/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-93-037	ALLEGED TERMINATION FOR IDENTIFYING CONCERNS TO MANAGEMENT	A	SOUTH TEXAS 1	11/21/94 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-93-043R	ALLEGED BACKDATING OF EQUIPMENT CLEARANCE ORDERS BY SUPERVISOR	A	SOUTH TEXAS 1	11/30/94 / S	DECLINATION
	EA NUMBERS: 95-027		OE ACTIONS: WARNING LETTER 3/22/95		
4-93-047R	ALLEGED WILLFUL FAILURE TO PROPERLY SURVEY RADIOACTIVE SCRAP MATERIAL	A	ATLAS MILL-MOAB	12/28/94 / S	DECLINATION
	EA NUMBERS: 94-117		OE ACTIONS: CIVIL PENALTY \$5,000 6/15/95		
4-93-048	ALLEGED DELIBERATE FAILURE TO PROPERLY RESPOND TO OFFSITE RELEASE	A	SEQUOYAH FUELS CORP	04/28/95 / R	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-93-052	ALLEGED DELIBERATE VIOLATION OF LICENSE CONDITIONS	A	POWER RESOURCES INC.	02/09/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-93-053	ALLEGED HARASSMENT AND INTIMIDATION OF EMPLOYEES	A	SOUTH TEXAS 1	12/20/94 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		

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4-94-002	ALLEGED DISCRIMINATION FOR REPORTING SAFETY CONCERN	A	SOUTH TEXAS 1	02/17/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-008	ALLEGED DIRECTION BY GOT INSTRUCTORS TO VIOLATE HP REQUIREMENTS	A	COOPER	11/25/94 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-010	ALLEGED FALSIFICATION OF RADIATION SURVEY RECORDS	L	FORT ST VRAIN	05/26/95 / S	DECLINATION
	EA NUMBERS: 95-110; 95-164; 95-200 THRU 95-207	OE ACTIONS: NOV TO LICENSEE AND NOV'S TO SOME INDIVIDUALS 10/30/95; WARNING LETTERS TO INDIVIDUALS 10/30/95			
4-94-011R	ALLEGED WILLFUL VIOLATION OF BACKGROUND SCREENING REQUIREMENT	L	FORT CALHOUN 1	01/11/95 / E	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-012	ALLEGED DISCRIMINATION FOR RAISING SAFETY CONCERNS ABOUT SNUBBER INSPECTION PROGRAM	A	DIABLO CANYON 1	06/30/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-014	ALLEGED FALSIFICATION OF ESSENTIAL CHILLER WORK PACKAGES	A	PALO VERDE 1	03/09/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-015	ALLEGED DISCRIMINATION FOR REPORTING SAFETY CONCERN TO MANAGEMENT	A	SOUTH TEXAS 1	08/24/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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4-94-016	ALLEGED DIRECTION BY SUPERVISOR TO SUPPRESS SAFEGUARDS EVENT	L	WATERFORD 3	01/19/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-017	ALLEGED CONSTRUCTION DEFICIENCIES REPORTED BY FORMER GAP EMPLOYEE	A	SOUTH TEXAS 1	01/25/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-019	ALLEGED POTENTIAL DISCRIMINATORY ACTION BY APS/OGC	A	PALO VERDE 1	04/17/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-020R	ALLEGED DELIBERATE VIOLATION OF NRC ORDER PROHIBITING RADIOGRAPHY IN NRC JURISDICTION	A	JONES X-RAY	10/20/94 / S	DECLINATION
	EA NUMBERS: 94-241	OE ACTIONS: ORDER 4/11/95			
4-94-023	ALLEGED H&I OF WAREHOUSE EMPLOYEES AND ALLEGED FALSIFICATION OF RECEIPT INSPECTION RECORDS	L	COOPER	06/29/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-024R	ALLEGED DELIBERATE VIOLATION OF NRC ORDER	A	SOURCE PRODUCTION/EQUIP	04/25/95 / S	DECLINATION
	EA NUMBERS: 95-019	OE ACTIONS: DEMAND FOR INFORMATION 3/28/95			

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4-94-025	ALLEGED DISCRIMINATION FOR IDENTIFYING POTENTIAL SAFETY CONCERN (NOZZLE DAM)	A	PALO VERDE	07/20/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-027	ALLEGED MATERIAL FALSE STATEMENT TO NRC INSPECTOR	A	MONTANA X-RAY, INC.	12/19/94 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-028	ALLEGED DISCRIMINATION FOR REPORTING SAFETY CONCERN (DRAWING REVIEW PROCESS)	A	ARKANSAS 1	05/31/95 / O	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-029	ALLEGED DISCRIMINATION FOR REPORTING SAFETY CONCERNS	A	PALO VERDE	08/28/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-031	ALLEGED FALSIFICATION OF DOUBLE VERIFICATION DOCUMENTATION	A	COMANCHE PEAK 1	09/07/95 / S	DECLINATION
	EA NUMBERS: 95-211		OE ACTIONS: NCV 10/30/95		
4-94-032R	ALLEGED DELIBERATE FAILURE TO PROPERLY EVALUATE PLANT LOGIC SYSTEM	A	COOPER	12/19/94 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-033	ALLEGED EFFORT BY DOE TO REMOVE USGS FROM PROJECT ACTIVITIES	A	DOE/YUCCA MT	04/13/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		

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4-94-034	ALLEGED DISCRIMINATION FOR TESTIFYING AT A TRIAL FOR A WHISTLE-BLOWER	A	PALO VERDE 1	07/20/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-036	ALLEGED RETALIATION FOR PREVIOUSLY REPORTING SAFETY CONCERN	A	PALO VERDE 1	01/25/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-038	ALLEGED DELIBERATE VIOLATION OF NRC ORDER	I	GRACE ENERGY CO.	07/31/95 / O	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-039	ALLEGED DELIBERATE FAILURE TO FOLLOW REQUIRED RADIOGRAPHY PRACTICES	I	TULSA GAMMA RAY, INC.	12/15/94 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-040	ALLEGED DELIBERATE VIOLATION OF HIGH RADIATION AREA	L	DIABLO CANYON 1	01/26/95 / S	DECLINATION
	EA NUMBERS: 94-255	OE ACTIONS: NCV 12/27/94			
4-94-041	ALLEGED H&I OF QA AUDITORS BY QA MANAGEMENT	A	COOPER	01/26/95 / E	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-042	ALLEGED FEAR OF RETALIATION FOR EXPRESSING OPPOSITION TO MANAGEMENT	A	COOPER	01/25/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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4-94-043	ALLEGED DELIBERATE FALSIFICATION OF RECORDS AND HARASSMENT AND INTIMIDATION FOR IDENTIFYING CONCERNS  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	SOUTH TEXAS 1	02/09/95 / P	NR
4-94-044	ALLEGED FAILURE TO BE REHIRED DUE TO CONTACT WITH NRC  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	SOUTH TEXAS 1	01/17/95 / U	NR
4-94-045	ALLEGED DELIBERATE VIOLATION OF MEASURING AND TEST EQUIPMENT PROCEDURES  EA NUMBERS: 95-069      OE ACTIONS: NCV TO LICENSEE 5/22/95	L	WASHINGTON NUCLEAR 2	02/14/95 / S	DECLINATION
4-94-046	ALLEGED DELIBERATE VIOLATION OF RADIATION CONTROL PROCEDURES  EA NUMBERS: 95-088      OE ACTIONS: NO ACTION	L	WASHINGTON NUCLEAR 2	04/12/95 / S	DECLINATION
4-94-047	ALLEGED HARASSMENT AND INTIMIDATION FOR IDENTIFYING SAFETY CONCERN  EA NUMBERS: NA      OE ACTIONS: NO ACTION	A	PALO VERDE 1	08/18/95 / P	NR
4-94-049	ALLEGED DELIBERATE VIOLATION OF RADIATION PROTECTION PROCEDURES - TECHNICAL SPECIFICATION 6.12  EA NUMBERS: 94-255      OE ACTIONS: NCV TO LICENSEE 12/27/94	L	DIABLO CANYON 1	01/26/95 / S	DECLINATION

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4-94-050	ALLEGED DISCRIMINATION FOR REPORTING A SAFETY CONCERN	A	SOUTH TEXAS 1	02/15/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-051	ALLEGED DELIBERATE FAILURE TO REPORT NUC IN TIMELY MANNER AND FALSIFIED EMERGENCY REPORTING LOG	A	PALO VERDE 1	04/10/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-052	ALLEGED FALSIFICATION OF SENIOR REACTOR OPERATOR TRAINING DOCUMENTS	A	SOUTH TEXAS 1	03/10/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-053	ALLEGED HARASSMENT AND INTIMIDATION FOR IDENTIFYING SAFETY CONCERN	A	WASHINGTON NUCLEAR 2	07/24/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-054	ALLEGED FALSIFICATION OF ACCESS AUTHORIZATION RECORDS	A	WASHINGTON NUCLEAR 2	03/08/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-055	ALLEGED TERMINATION FOR IDENTIFYING TRAINING DEFICIENCIES	A	SIEMENS POWER CORPORATION	06/14/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-94-056	ALLEGED IMPROPER RADIOGRAPHIC PRACTICES	A	MATTINGLY TESTING SERVICE	05/10/95 / S	DECLINATION
	EA NUMBERS: 95-035; 95-063; 95-066 THRU 95-068		OE ACTIONS: CIVIL PENALTY \$15,500 AND ORDER TO LICENSEE 5/5/95; NOVS TO INDIVIDUALS 5/5/95		

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4-94-057	ALLEGED DISCRIMINATION FOR PROVIDING INFORMATION TO THE SPEAKOUT PROGRAM	A	SOUTH TEXAS 1	02/09/95 / R	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-058	ALLEGED FEAR OF RETALIATION FOR REPORTING CONCERNS TO MANAGEMENT	A	SOUTH TEXAS 1	05/31/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-94-060	ALLEGED DISCRIMINATION FOR REPORTING A SAFETY CONCERN TO MANAGEMENT	A	COOPER	08/17/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-95-001	ALLEGED DELIBERATE UNAUTHORIZED USE OF LICENSED MATERIALS	I	BLACKHAWK ENGINEERING, INC	04/10/95 / S	DECLINATION
	EA NUMBERS: 95-018; 95-073	OE ACTIONS: ORDER 2/14/95; AND ORDER 8/3/95			
4-95-002	ALLEGED DISCRIMINATION FOR RAISING SAFETY CONCERNS	A	DIABLO CANYON 1	08/07/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-95-003	ALLEGED DELIBERATE FAILURE TO PROVIDE ACCURATE INFORMATION REGARDING SOIL DENSITY TESTS	A	RIO ALGOM MINING CORP.	08/18/95 / P	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
4-95-006	ALLEGED FAILURE TO MAINTAIN CURRENT ELECTRICAL QUALIFICATION CARDS	A	PALO VERDE 1	05/19/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			

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4-95-008	ALLEGED TERMINATION FOR IDENTIFYING RADWASTE CONCERNS	A	COMANCHE PEAK 1	09/06/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-95-009	ALLEGED DISCRIMINATION FOR IDENTIFYING CONCERNS RELATED TO HVAC	A	WATERFORD 3	07/31/95 / P	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-95-013	ALLEGED DELIBERATE FALSIFICATION OF FIREWATCH RECORDS	L	ARKANSAS 1	05/25/95 / S	DECLINATION
	EA NUMBERS: 95-043		OE ACTIONS: NCV TO LICENSEE 3/15/95		
4-95-015	ALLEGED DELIBERATE FAILURE OF CONTRACT EMPLOYEE TO PERFORM DAILY RESPONSE CHECKS ON PORTABLE CONTAMINATION MONITOR	L	FORT ST VRAIN	08/17/95 / S	DECLINATION
	EA NUMBERS: 95-185		OE ACTIONS: WARNING LETTER TO INDIVIDUAL 10/30/95		
4-95-018	ALLEGED DELIBERATE VIOLATION OF PLANT PROCEDURES	L	WASHINGTON NUCLEAR 2	05/16/95 / S	DECLINATION
	EA NUMBERS: 95-096; 95-105		OE ACTIONS: CIVIL PENALTY TO LICENSEE \$50,000 8/17/95; NOV TO OPERATOR 8/17/95		
4-95-019	ALLEGED TERMINATION FOR TALKING TO NRC DURING AN INVESTIGATION	A	MATTINGLY TESTING SERVICE	09/20/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
4-95-020	ALLEGED DELIBERATE FAILURE TO FILE FORM 241	A	CAPITAL X-RAY	07/20/95 / R	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		

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CASE NUMBER	ALLEGATION	SOURCE	FACILITY NAME	CLOSED DATE/RESULT	DOJ ACTION
4-95-031	ALLEGED DISCRIMINATION OF PLANNER/SCHEDULER BY STP MANAGER FOR REPORTING SAFETY CONCERNS	A	SOUTH TEXAS 1	08/07/95 / U	NR
	EA NUMBERS: 95-178	OE ACTIONS: NO ACTION			
5-92-019	ALLEGED EMPLOYEE DISCRIMINATION	A	PALO VERDE	08/09/95 / S	NR
	EA NUMBERS: 92-139	OE ACTIONS: CIVIL PENALTY \$130,000 9/30/92			
5-92-020	ALLEGED FALSIFICATION OF RECORDS	A	PALO VERDE	04/20/95 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
5-93-007	ALLEGED COUNTERFEIT VALVES	T	WEBER NAMEPLATE COMPANY	05/18/95 / S	DECLINATION
	EA NUMBERS: 96-229	OE ACTIONS: NO ACTION			
5-93-014	ALLEGED FITNESS FOR DUTY VIOLATION	L	INDIAN POINT 3	06/16/95 / S	DECLINATION
	EA NUMBERS: 95-143; 95-245	OE ACTIONS: DEMAND FOR INFORMATION 10/6/95; ORDER 2/23/96			
5-93-016R	ALLEGED PRODUCT SUBSTITUTION	A	A & G ENGINEERING	10/20/94 / U	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
5-93-027	ALLEGED EMPLOYEE DISCRIMINATION	A	PALO VERDE 1	05/25/95 / E	NR
	EA NUMBERS: NA	OE ACTIONS: NO ACTION			
5-93-028R	ALLEGED JOB DISCRIMINATION AS A RESULT OF RAISING SAFETY CONCERNS	A	WASHINGTON NUCLEAR 2	04/21/95 / S	DECLINATION
	EA NUMBERS: 95-075	OE ACTIONS: CHILLING EFFECT LETTER 6/2/95			

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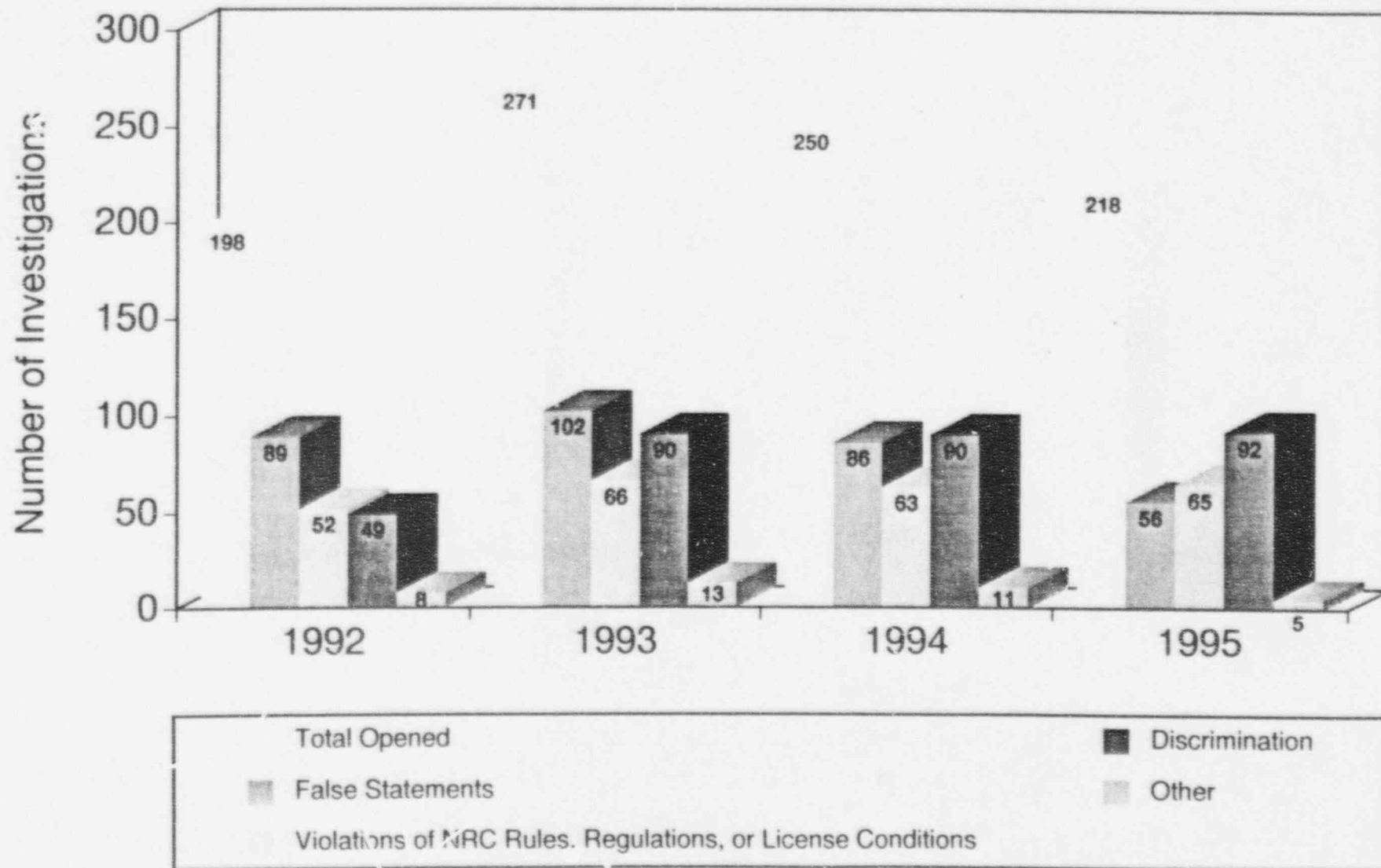
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5-93-030R	LICENSEE ALLEGEDLY PROVIDED FALSE OR MISLEADING INFORMA TION TO NRC AND ALLEGED EMPLOYEE DISCRIMINATION	A	SAN ONOFRE 1	08/10/95 / U	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
5-93-031R	ALLEGED EMPLOYEE DISCRIMINATION, FALSIFICATION OF RECORDS	A	SAN ONOFRE 1	02/24/95 / E	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		
5-94-001	ALLEGED HARASSMENT AND INTIMIDATION	A	PALO VERDE 1	05/25/95 / O	NR
	EA NUMBERS: NA		OE ACTIONS: NO ACTION		

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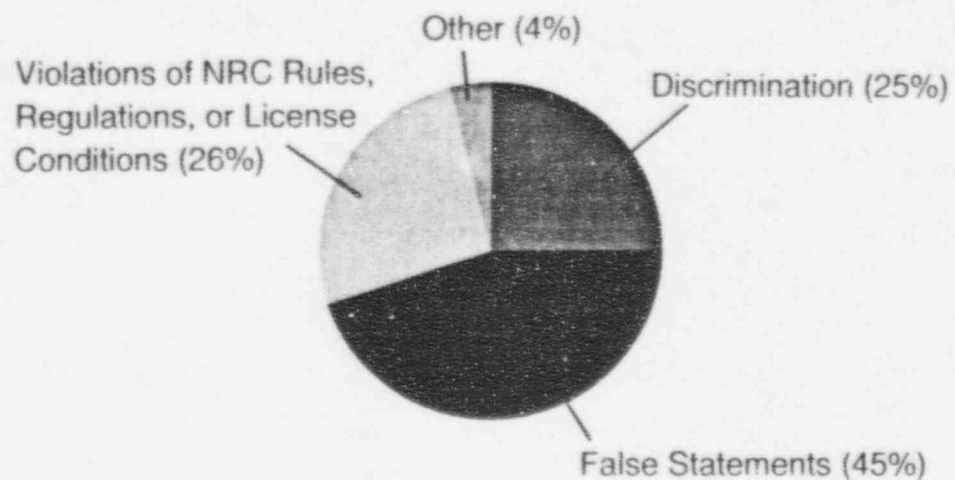
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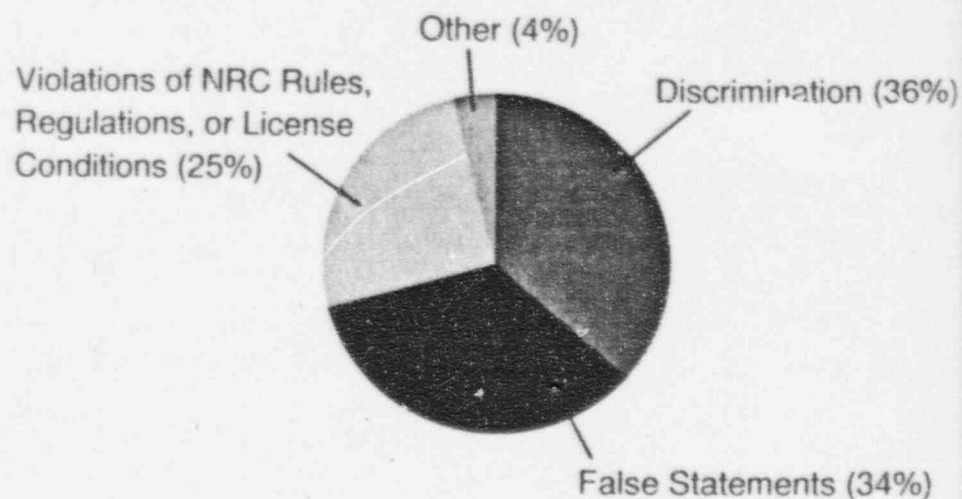


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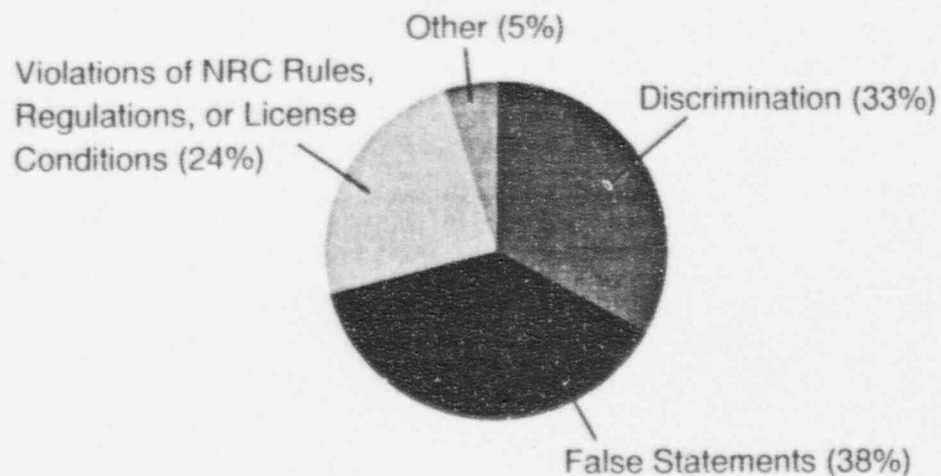
FY 1992



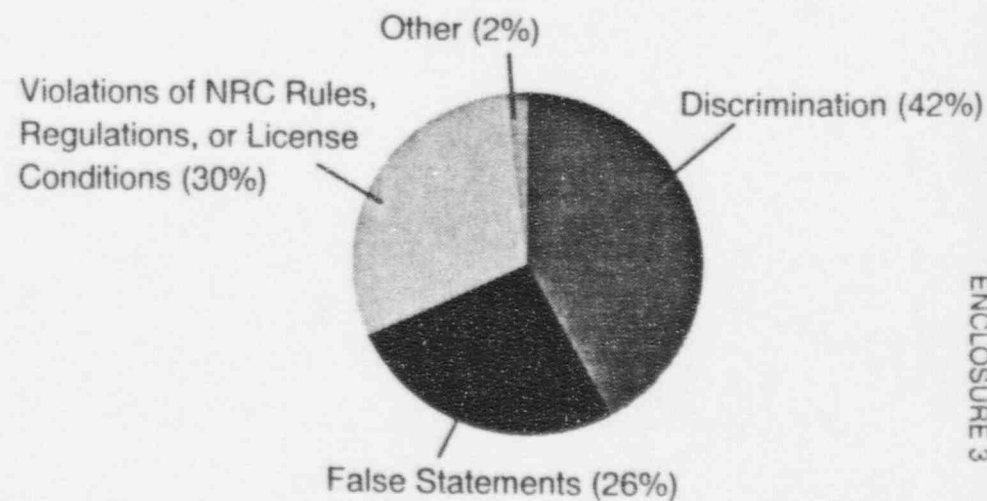
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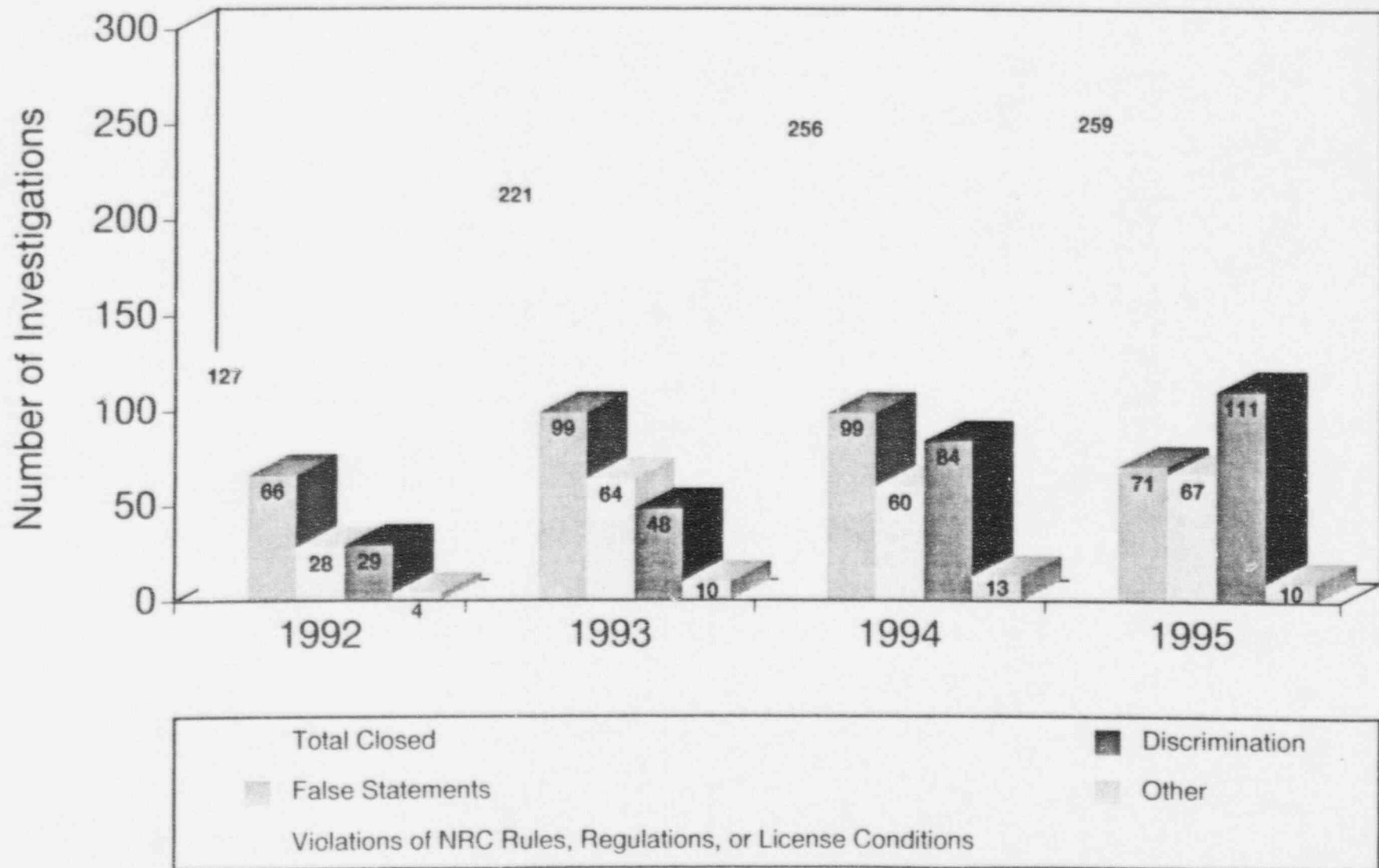
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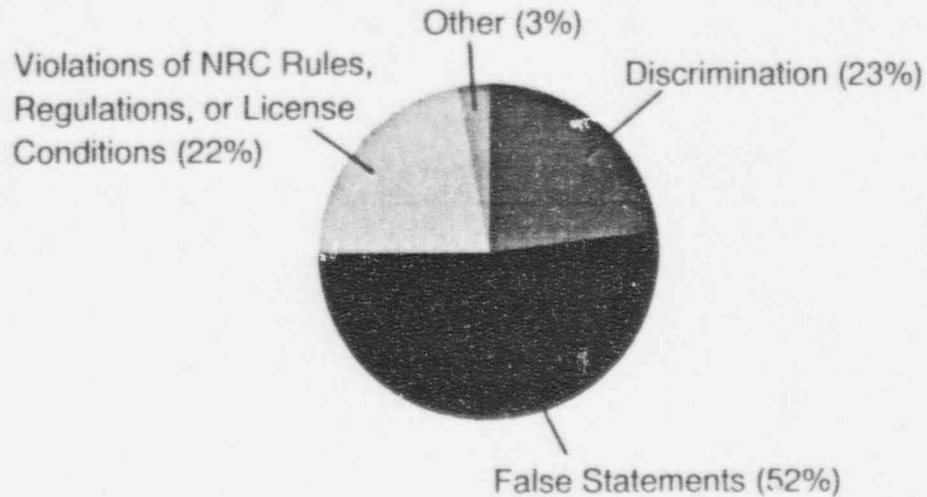


## Investigations Closed FY92 - FY95

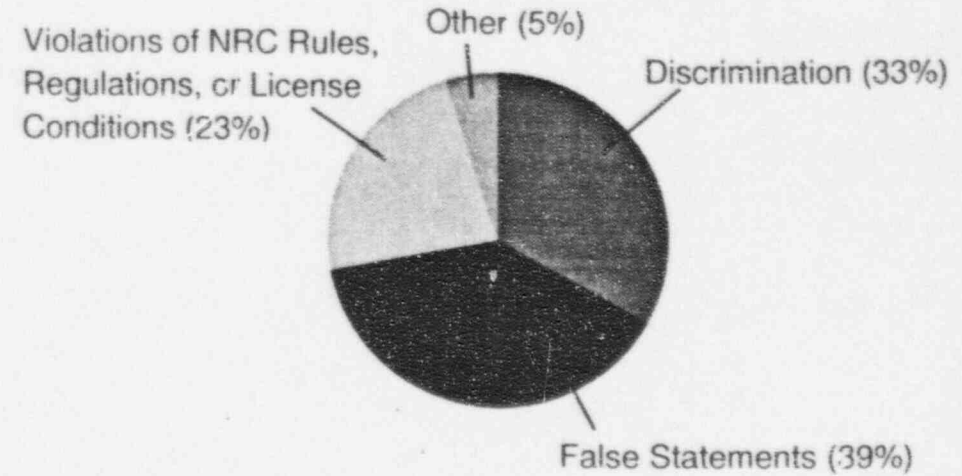


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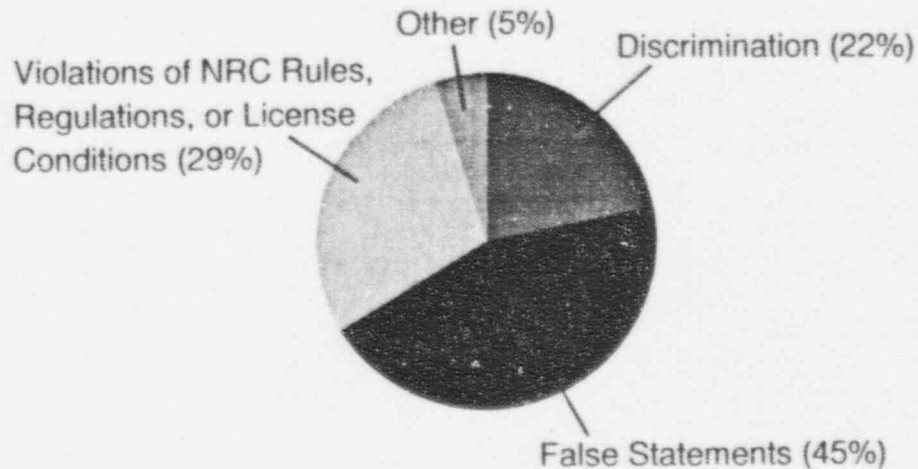
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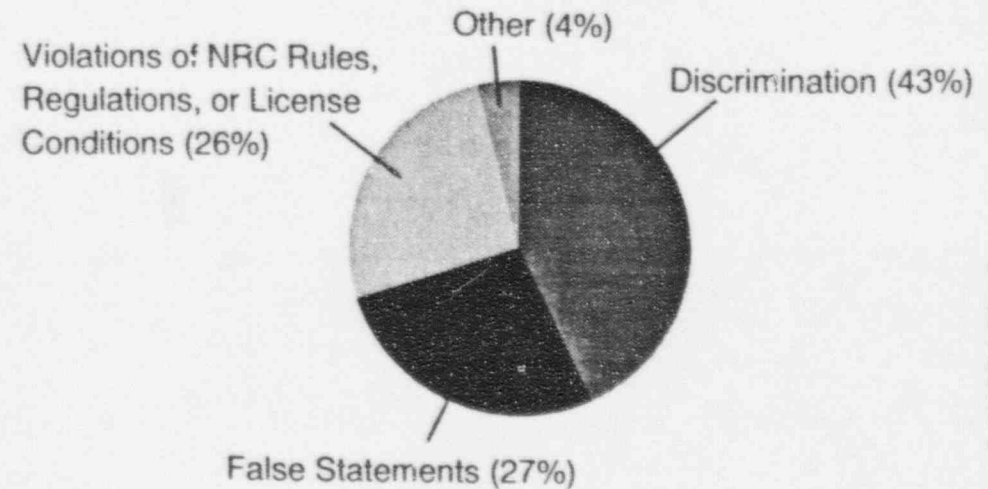
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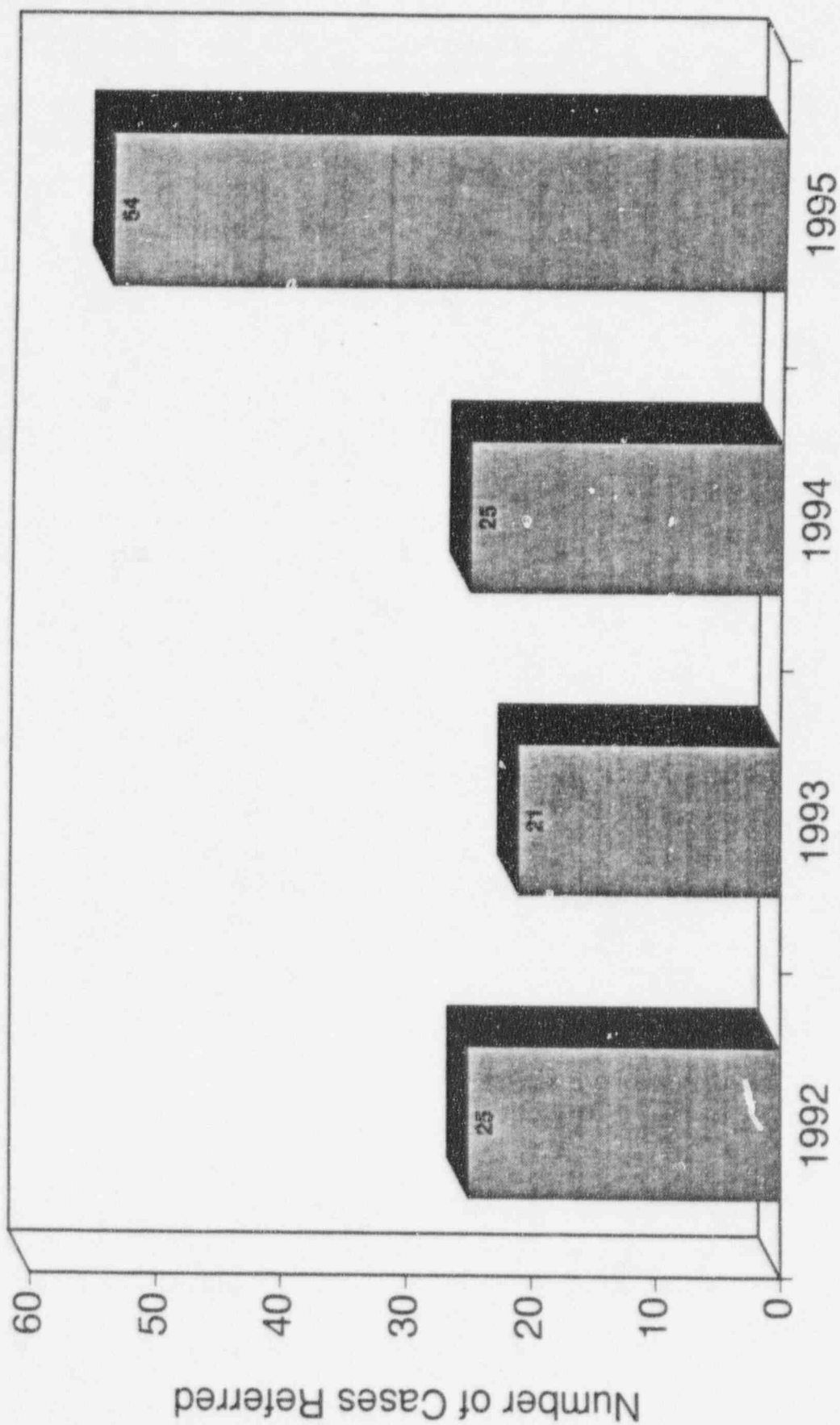


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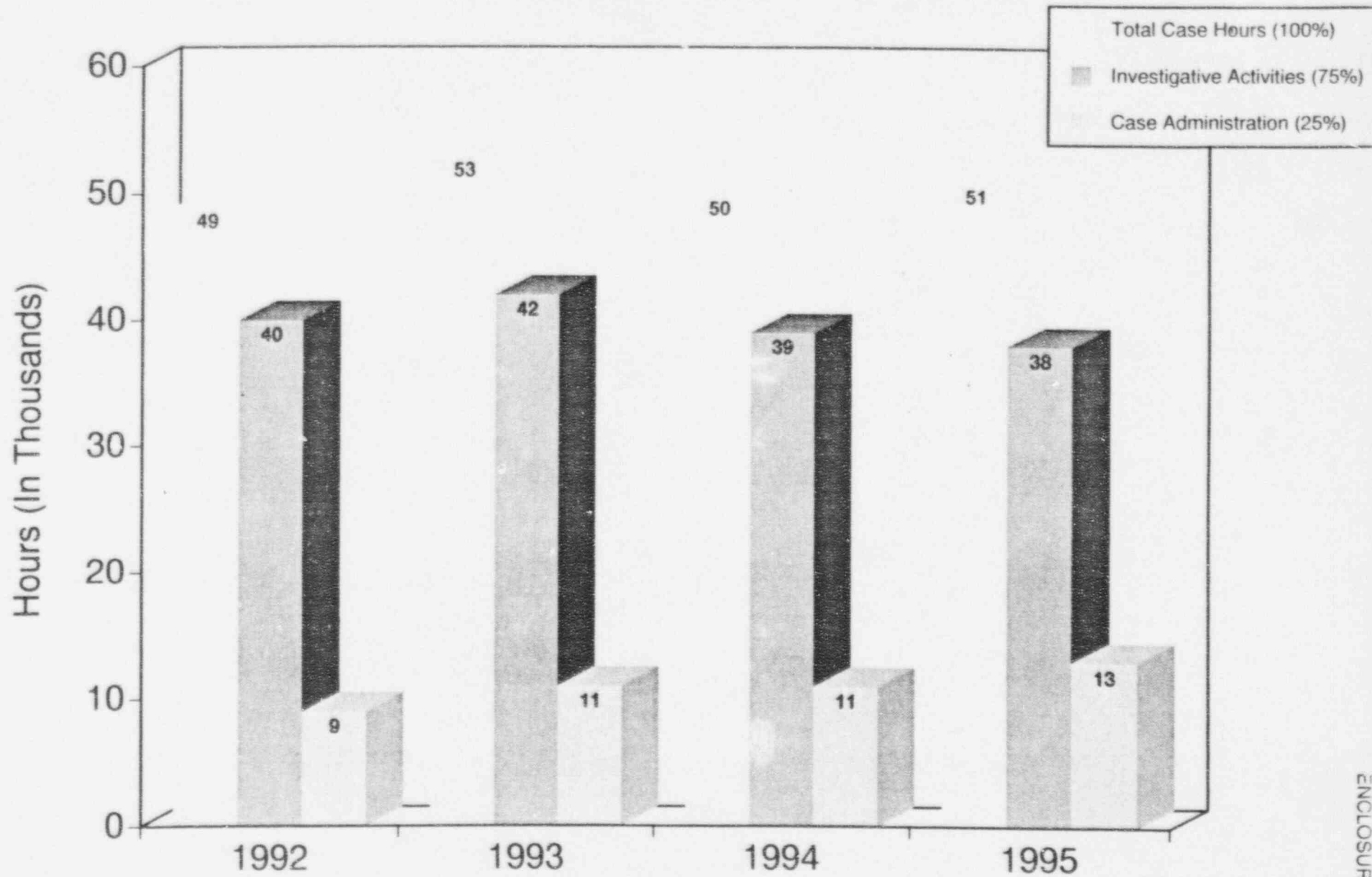




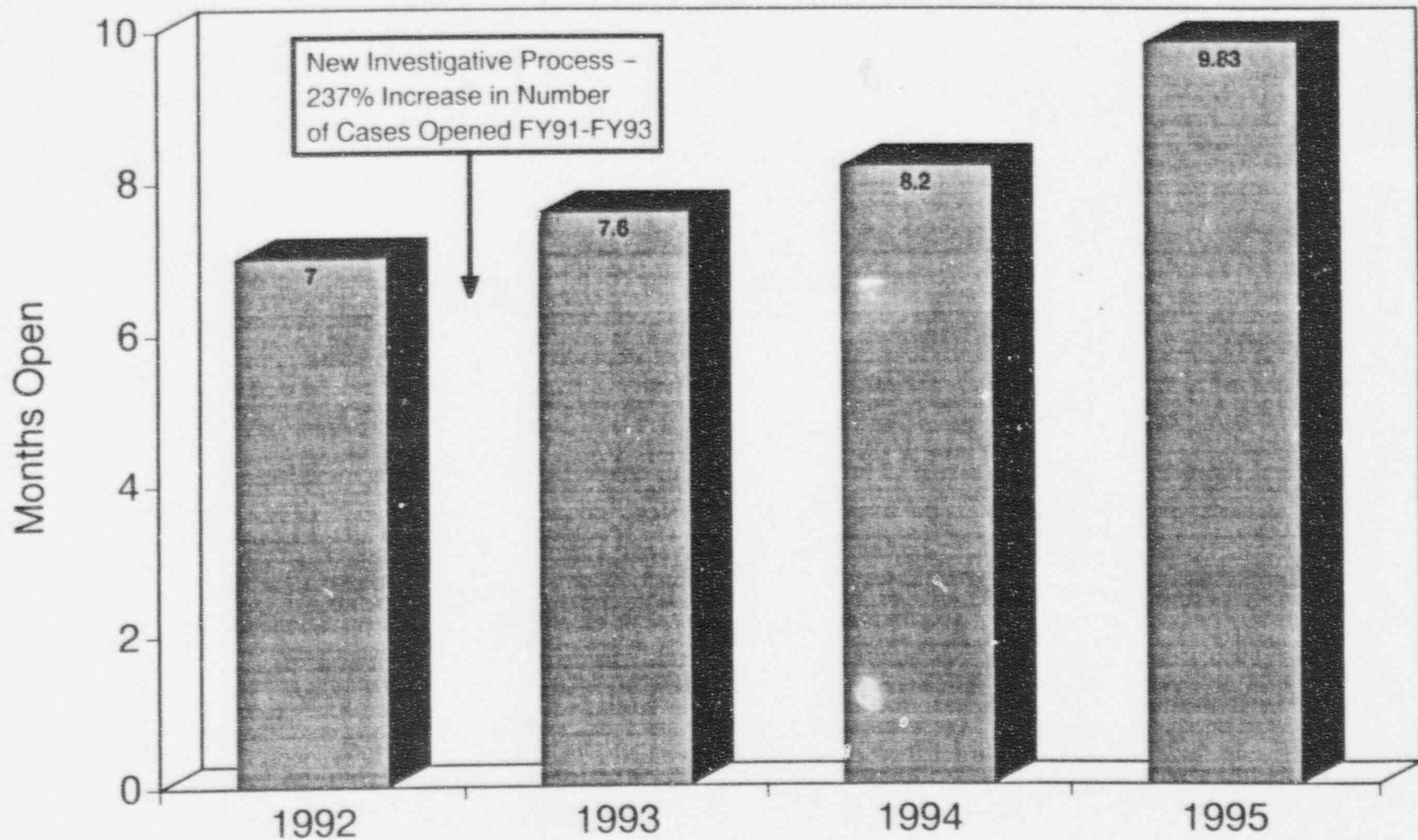
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## Case-Specific Work Hours FY92 - FY95



## Time In Process FY92 - FY95



**Active Inventory  
FY95  
Cases Open Longer Than 12 Months**

