



**RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) REQUEST**

FOIA - 92-384

RESPONSE TYPE

☒ FINAL☐ PARTIAL

DATE

OCT 28 1992

DOCKET NUMBER(S) (if applicable)

REQUESTER

Thomas Mathis

PART I. AGENCY RECORDS RELEASED OR NOT LOCATED (See checked boxes)

☐ No agency records subject to the request have been located.☐ No additional agency records subject to the request have been located.☐ Requested records are available through another public distribution program. See Comments section.☒ Agency records subject to the request that are identified in Appendix(es) A are already available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.☒ Agency records subject to the request that are identified in Appendix(es) B & C are being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.☐ The nonproprietary version of the proposal(s) that you agreed to accept in a telephone conversation with a member of my staff is now being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.☐ Agency records subject to the request that are identified in Appendix(es) _____ may be inspected and copied at the NRC Local Public Document Room identified in the Comments section.☒ Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.☒ Agency records subject to the request are enclosed.☐ Records subject to the request have been referred to another Federal agency(ies) for review and direct response to you.☒ Fees WAIVED☐ You will be billed by the NRC for fees totaling \$ _____.☐ You will receive a refund from the NRC in the amount of \$ _____.☐ In view of NRC's response to this request, no further action is being taken on appeal letter dated _____, No _____.

PART II. A - INFORMATION WITHHELD FROM PUBLIC DISCLOSURE

☒ Certain information in the requested records is being withheld from public disclosure pursuant to the exemptions described in and for the reasons stated in Part II, B, C, and D. Any released portions of the documents for which only part of the record is being withheld are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC in a folder under this FOIA number.

COMMENTS

In a telephone conversation on 10/8/92 with Connie Pappas, you re-scoped your request to the records identified on the enclosed Appendices A, B and C. For your information, the staff informed us that certain records are available in the Local Public Document Room (LPDR) located at the University of North Carolina at Wilmington. The contact at the LPDR is Ms. Eileen Brown, Reference/Documents Librarian, William Madison Randall Library (Depository), 602 S. College Road, Wilmington, NC 28403. Telephone numbers 919-395-3760 or 919 395-3277. In your conversation with Mrs. Pappas you also agreed to submit a new FOIA request if you need additional records. You were informed that the Statement of Estimated Fees, which was sent to you on 9/23/92, was cancelled since you narrowed the scope of your request.

SIGNATURE, DIRECTOR, DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

Dana H. Hawley

9302170162 921028
PDR FOIA
MATHIS92-384 PDR

**RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) REQUEST
(CONTINUATION)**

FOIA NUMBER(S)

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PART II B - APPLICABLE EXEMPTIONS

Records subject to the request that are described in the enclosed Appendix(es) C are being withheld in their entirety or in part under the Exemption No. (s) and for the reason(s) given below pursuant to 5 U.S.C. 552(b) and 10 CFR 9.17(a) of NRC regulations.

1. The withheld information is properly classified pursuant to Executive Order. (Exemption 1)

2. The withheld information relates solely to the internal personnel rules and procedures of NRC. (Exemption 2)

3. The withheld information is specifically exempted from public disclosure by statute indicated. (Exemption 3)

Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).

Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).

4. The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated. (Exemption 4)

The information is considered to be confidential business (proprietary) information.

The information is considered to be proprietary information pursuant to 10 CFR 2.790(d)(1).

The information was submitted and received in confidence pursuant to 10 CFR 2.790(d)(2).

5. The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. (Exemption 5). Applicable Privilege:

☒ Deliberative Process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.

☒ Attorney work-product privilege: (Documents prepared by an attorney in contemplation of litigation.)

☒ Attorney-client privilege: (Confidential communications between an attorney and his/her client.)

6. The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy. (Exemption 6)

7. The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated. (Exemption 7)

Disclosure could reasonably be expected to interfere with an enforcement proceeding because it could reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators. (Exemption 7 (A)).

Disclosure would constitute an unwarranted invasion of personal privacy. (Exemption 7 (C)).

The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources. (Exemption 7 (D)).

OTHER

PART II C - DENYING OFFICIALS

Pursuant to 10 CFR 9.25(b) and/or 9.25(c) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The persons responsible for the denial are those officials identified below as denying officials and the Director, Division of Freedom of Information and Publications Services, Office of Administration, for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECRETARY	IG
<i>James Lieberman</i>	<i>Director, Office of Enforcement</i>	<i>Portions - Appendix C</i>	<input checked="" type="checkbox"/>		

PART II D - APPEAL RIGHTS

The denial by each denying official identified in Part II.C may be appealed to the Appellate Official identified there. Any such appeal must be made in writing within 30 days of receipt of this response. Appeals must be addressed, as appropriate, to the Executive Director for Operations, to the Secretary of the Commission, or to the Inspector General, U.S. Nuclear Regulatory Commission, Washington, DC 20555, and should clearly state on the envelope and in the letter that it is an "Appeal from an Initial FOIA Decision."

APPENDIX A
RECORDS MAINTAINED AMONG PDR FILES

NUMBER	DATE	DESCRIPTION
1.	Undated	Listing of Escalated Actions Contained in NUREG-0940 (1 page) - NOTE: You may obtain the listed records from the PDR by referring to the accession numbers which are handwritten in pencil.

APPENDIX B
RECORD RELEASED TO REQUESTER

NUMBER	DATE	DESCRIPTION
1.	Undated	<p>Two Tables showing the Annual Whole Body Doses at Certain NRC Licensed Facilities and the Annual Whole Body Doses at Licensed Nuclear Power Facilities. (2 pages)</p> <p>NOTE: The staff informed us that in response to your request for the median dose for all workers at the three facilities the above two tables show the annual whole body doses broken down by number of individuals with whole body doses in the various dose ranges. This information was taken from the Radiation Exposure and Information Reporting System and represents information that was submitted by the licensees pursuant to 10 CFR 20.407.</p> <p>Regarding your question as to the number of workers overexposed at these plants, the termination data submitted by the licensees pursuant to 10 CFR 20.408 was queried for these plants during the years listed on the tables. One record was designated as an overexposure for the Brunswick licensee. In 1981, one individual at Brunswick received a whole body dose of 4.212 rem, which exceeded the 3-rem whole body dose limit per calendar quarter.</p>

APPENDIX C
DOCUMENT PARTIALLY WITHHELD

NUMBER	DATE	DESCRIPTION
1.	10/17/92	Enforcement Action Tracking System - Licensee History Report - Portions deleted (Three cases) - Exemption 5 - Predecisional Information (10 pages)