

WORKING ARRANGEMENTS BETWEEN THE DOT AND NRC
WITH RESPECT TO INSPECTION/ENFORCEMENT AND
ACCIDENT/INCIDENT INVESTIGATION

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FIRST REVISION

June 1983

WORKING ARRANGEMENTS BETWEEN THE DOT AND NRC WITH RESPECT TO INSPECTION/ENFORCEMENT AND ACCIDENT/INCIDENT INVESTIGATION

I. BACKGROUND AND PURPOSE

On June 8, 1979, the Secretary of the Department of Transportation (DOT) and the Chairman of the Nuclear Regulatory Commission (NRC) signed the "Memorandum of Understanding Between the United States Department of Transportation and the United States Nuclear Regulatory Commission for Regulation of Safety in Transportation of Radioactive Material." Section IV and V of the Memorandum (Appendix A) set forth the responsibilities of each agency regarding inspection/enforcement and accident/incident reporting and investigation. Section VIII of the Memorandum provides that each agency "...will designate appropriate staff representatives and will establish joint working arrangements from time to time for the purpose of administering this Memorandum."

On December 3, 1979, the NRC amended its regulations in 10 CFR 71 to require that all shipment of radioactive material by NRC licensees be made in accordance with DOT requirements. The effect of this amendment was to allow the NRC to inspect and enforce all of the transportation activities of its licensees at the licensee's facilities.

The purpose of this document is to formalize working arrangements with respect to Section IV and V of the Memorandum of Understanding.

II. GENERAL PRINCIPLES OF WORKING ARRANGEMENTS

- A. Each agency shall strive to avoid duplication of inspection and enforcement action on shippers and carriers of radioactive materials.
- B. Each agency shall designate points of contact within its regional and headquarters offices for purposes of implementation of these working arrangements. Matters affecting program and policy issues shall be handled by the headquarters elements of the agencies. Enforcement and other matters related to specific cases shall be handled, whenever possible, by the regional offices of the agencies. NRC and DOT points of contact are listed in Appendixes B and C respectively.

III. INSPECTION ACTIVITIES

- A. The NRC will be the lead agency to carry out inspection activities for its licensee-shippers and licensee-shipper-private carriers with respect to the requirements of 10 CFR 71 and 49 CFR. These inspections shall be carried out in conjunction with the integrated program of inspection and enforcement for NRC licensees, and principally will involve the shipper type requirements, including those in 49 CFR Parts 171 to 173, and 178 of DOT regulations.

- B. The DOT shall be the lead agency to carry out inspection activities of non-NRC licensee shippers (such as Agreement State licensees, license-exempt DOE shippers, and shippers of naturally-occurring and accelerator-produced radioactive materials) with respect to 49 CFR Parts 170-173 and 178; and carriers with respect to 49 CFR Parts 174 through 177, and 390-397.
- C. In those cases where an NRC licensee-shipper-private carrier is involved (for example, a radiographer using his own vehicle, or a radioactive waste "broker" hauling waste in his own vehicle) the NRC may, in some cases, inspect such licensees against the carrier related requirements peculiar to radioactive materials (placarding, blocking and bracing, for example). NRC will in no case inspect against the motor carrier type requirements of 49 CFR Parts 390-397, which are solely the responsibility of the DOT.

IV. ENFORCEMENT ACTIVITIES

- A. The NRC will normally carry out enforcement actions for violations of the requirements of 10 CFR 71 and 49 CFR (except 49 CFR 390-397) by NRC licensee-shippers and licensee-shipper-private carriers. Nothing herein shall preclude enforcement actions of 49 CFR by DOT against NRC licensees. In the latter case, when DOT wishes to be involved on a specific case basis, the lead agency for the enforcement action shall be as mutually agreed upon by the agencies.
- B. The DOT will carry out enforcement actions for violations of 49 CFR (including Parts 390-397) by carriers of radioactive materials and shippers of radioactive materials from "agreement states," or any other shippers otherwise not subject to NRC requirements (shippers of radium, for example). Nothing herein shall preclude enforcement actions by State agencies against shippers subject to State requirements under any arrangements in effect between the DOT and a State licensing authority, or between the NRC and a State Licensing Authority.
- C. The lead agency on an enforcement action shall consult with the other agency on any case which involves:
 - 1. An NRC enforcement action on an NRC licensee-shipper acting as a private carrier.
 - 2. A DOT enforcement action on any NRC licensee.

This coordination shall generally be initiated by a contact between the respective regional offices of each agency for the purpose of informing each other of the initiation of the action and to preclude duplicative action. Subsequently, a copy of the completed enforcement package will be transmitted by the Headquarters or Regional Office of either agency to the Headquarters or Regional Office of the other agency; as applicable in the specific case.

- D. In specific cases, where both agencies may be taking enforcement action, the NRC and DOT will jointly discuss the scope of the enforcement actions to be taken by each agency to assure that shippers and carriers are not subject to duplicate enforcement action for the same substantive violation.

V. ACCIDENT/INCIDENT REPORTING AND INVESTIGATION

A. Reports

1. The DOT shall promptly notify the NRC of the occurrence of accidents/incidents involving the transportation of radioactive materials as reported from any source, including reports by carriers pursuant to 49 CFR 171.15 and 171.16. Telephonic reports shall be directed to the NRC Operations Center 301/951-0550. A copy of the written report of the accident or incident subsequently received by the DOT pursuant to 49 CFR 171.16 shall also be mailed to NRC Headquarters, Office of Inspection and Enforcement, Safeguards and Materials Programs Branch, Attn: Sr. Transportation Specialist.
2. The NRC shall promptly notify the DOT of the occurrence and reporting by any source, including its licensees, of any incidents/accidents involving the transportation of radioactive materials. Such notification shall be made by telephone to the DOT/USCG Duty Center at 202/426-2675 or 800-424-8802. A copy of any "Preliminary Notification" (PN) issued by NRC shall also be sent to DOT, Headquarters, Materials Transportation Bureau, Hazardous Materials Enforcement Division, Radioactive Materials Enforcement Specialist, DMT-122.6.

B. Investigation

In all accidents, incidents, and instances of actual or suspected leakage involving packages of radioactive material regulated by the NRC, the NRC will normally be the lead agency for subsequently investigating the occurrence and preparing the report of the investigation. The DOT may either participate, as appropriate, in the investigation with the NRC as lead agency or conduct a separate investigation. Investigation activities shall normally be conducted at the regional level.

Subsequent to each investigation involving radioactive material regulated by the NRC, the NRC and the DOT will (as agreed in IV.D) discuss the scope of the enforcement actions to be taken by each agency.

This document shall be reviewed and updated periodically.

Approved:

James H. Sniezek 6/29/85
date

James H. Sniezek
Deputy Director
Office of Inspection & Enforcement
U.S. Nuclear Regulatory Commission

Approved:

Robert L. Paullin 7/12/85
date

Robert L. Paullin
Associate Director for
Operations and Enforcement
Materials Transportation Bureau
U.S. Department of Transportation

MEMORANDA OF UNDERSTANDING

44 FR 38690
Published 7/2/79

DEPARTMENT OF TRANSPORTATION

Nuclear Regulatory Commission

Transportation of Radioactive Materials; Memorandum of Understanding

The roles of the Department of Transportation and the Nuclear Regulatory Commission in the regulation of the transportation of radioactive materials were described in a memorandum of understanding signed on June 8, 1978. The present memorandum supersedes a 1973 agreement between the Atomic Energy Commission and the Department of Transportation. A text of the memorandum is set forth below.

Radioactive Materials

Abstract. This agreement delineates the respective responsibilities of the Department of Transportation (DOT) and the Nuclear Regulatory Commission (NRC) for the regulation of safety in transportation of radioactive materials. It supersedes the existing agreement executed on March 22, 1973, between the DOT and the Atomic Energy Commission. Generally, the DOT is responsible for regulating safety in transportation of all hazardous materials, including radioactive materials, and the NRC is responsible for regulating safety in receipt, possession, use, and transfer of byproducts, source, and special nuclear materials. The NRC reviews and approves or denies approval of package designs for fissile materials and for other radioactive materials (other than low specific activity materials) in quantities exceeding Type A limits, as defined in 10 CFR Part 71.

Agreement between the DOT and the NRC. The Department of Transportation (DOT), under the Transportation of

Explosives Act (18 U.S.C. 831-835), the Dangerous Cargo Act (R. S. 4472 as amended, 46 U.S.C. 170), Title VI and 902(h) of the Federal Aviation Act of 1958 (49 U.S.C. 1421-1430 and 1472(h)), the Department of Transportation Act (49 U.S.C. 1555), and the Hazardous Materials Transportation Act (49 U.S.C. 1801-1812), is required to regulate safety in the transportation of hazardous materials, including radioactive materials.

The Nuclear Regulatory Commission (NRC), under the Atomic Energy Act of 1954, as amended (42 U.S.C. Chapter 22), and Section 201 of the Energy Reorganization Act of 1974, as amended (42 U.S.C. 5841), is authorized to license and regulate the receipt, possession, use, and transfer of "by product material," "source material," and "special nuclear material" (as defined in 42 U.S.C. 2014). The NRC authority to license air shipment of plutonium is further governed by Pub. L. 94-79.

For the purpose of developing, establishing, and implementing consistent and comprehensive regulations and requirements for the safe transportation of radioactive materials, and avoiding duplication of effort, the DOT and the NRC agree, subject to their respective statutory authorities, as follows. Terms used in this agreement are defined in 49 CFR Parts 100-129 and 10 CFR part 71.

I. Development of Safety Standards

A. The DOT (in consultation with the NRC) will develop safety standards for the classification of radioactive materials; for the design specifications and performance requirements of packages for quantities of radioactive materials (other than fissile materials) not exceeding Type A limits and for low specific activity (LSA) radioactive materials; for the external radiation fields, labeling, and marking of all radioactive materials packages and vehicles; for the mechanical conditions, construction requirements, and tie-down requirements of carrier equipment; for the qualifications of carrier personnel; for the procedures for loading, unloading, handling, and storage in transit; for any special transport controls (excluding safeguards) necessary for radiation safety during carriage; and for all other safety requirements except those specified in the next paragraph.

B. The NRC (in consultation with the DOT) will develop safety standards for design and performance of packages for fissile materials and for quantities of other radioactive materials (other than LSA materials) exceeding Type A limits in the following areas:

1. Structural materials of fabrication
2. Closure devices;
3. Structural integrity;
4. Criticality control;
5. Containment of radioactive material;
6. Shielding;
7. Generation of internal pressure;
8. Internal contamination of package;
9. Protection against internal overheating; and
10. Quality assurance of packaging design, fabrication, testing, maintenance, and use.

II. Adoption of Safety Standards and Regulations

A. The DOT will adopt regulations imposing on shippers and carriers subject to its jurisdiction those standards developed by the DOT and the NRC pursuant to Section I of this Memorandum of Understanding and additional requirements necessary to protect the public health and safety. The DOT will require NRC approval of designs of packages for shipment of fissile materials and other radioactive materials in quantities exceeding Type A limits (except LSA materials) by all persons subject to the jurisdiction of the DOT. The DOT will issue complete or comprehensive Federal regulations for the packaging and transportation of a radioactive materials as a part of its overall body of Federal regulations (49 CFR Parts 100-199) for the packaging and transportation of all hazardous materials.

B. The NRC will adopt packaging standards for fissile materials and for quantities of other radioactive materials (other than LSA materials) exceeding Type A limits and will adopt regulations imposing on its licensees administrative, procedural, and technical requirements necessary to protect the public health and safety and to assure the common defense and security.

C. The NRC will adopt procedures, standards, and criteria for approval of package designs and for approval of special transport controls proposed by the applicant for a given package design. The NRC will require its licensees to comply with the DOT regulations where those persons are not otherwise subject to the DOT regulations.

III. Package Review

A. The DOT will submit to the NRC for review the following package designs:

1. Specification containers. Approved by the NRC of package designs for fissile materials and for radioactive materials (other than LSA materials) in quantities exceeding Type A limits will be obtained before publication of such

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designs in DOT regulations.

2. Packages with foreign certification. Approval by the NRC will be obtained before revalidation of the foreign certificates required in the DOT regulations for packages shipped between origins and destinations within the United States, except for import and export shipments. Approval by the NRC is not required if a package is used solely for export or import or if a package is authorized by the DOT regulations solely for transportation through or over the United States between origins and destinations outside the United States. The DOT has the responsibility for exercising discretion as to whether it requests NRC review of such packages.

3. Any package for which NRC evaluation is warranted in DOT opinion.

B. The NRC will evaluate package designs for fissile materials and for other radioactive materials (other than LSA materials) in quantities exceeding Type A limits and will, if satisfactory, issue approvals therefor (viz., a license, Certificate of Compliance, or other package approval) directly to the person requesting the approval.

IV. Inspection and Enforcement

A. Each agency will conduct an inspection and enforcement program within its jurisdiction to assure compliance with its requirements. The NRC will assist the DOT, as appropriate, in inspecting shippers of fissile materials and of other radioactive materials in quantities exceeding Type A limits.

B. The DOT and the NRC will consult each other on the results of their respective inspections in the areas where the results are related to the other agency's requirements, and each will take enforcement action as it deems appropriate within the limits of its authority.

V. Accidents and Incidents

A. The DOT will require of all carriers subject to its jurisdiction the notification and reporting to the DOT of accidents, incidents, and instances of actual or suspected leakage involving radioactive material packages if such an event occurs in transit and the DOT will promptly notify the NRC of such events.

B. The NRC will require of its licensees the notification and reporting to the NRC of accidents, incidents, and instances of actual or suspected leakage involving radioactive material packages if such an event occurs prior to delivery to a carrier for transport or after delivery to a receiver. The NRC will

encourage the Agreement States¹ and the DOT will encourage the non-Agreement States to impose incident reporting requirements on shippers and receivers subject to the States' jurisdiction.

C. In all accidents, incidents, and instances of actual or suspected leakage involving packages of radioactive material regulated by the NRC, the NRC will normally be the lead agency for investigating the occurrence and preparing the report of the investigation. The DOT may either participate, as appropriate, in the investigation with the NRC as the lead agency or conduct a separate investigation. Subsequent to each investigation involving radioactive material regulated by the NRC, the NRC and the DOT will jointly define the scope of the enforcement actions to be taken by each agency to assure that shippers and carriers are subject to concurrent and equivalent enforcement actions but not unduly subject to duplicate enforcement actions.

D. This section V does not affect the authority of the National Transportation Safety Board, which is independent of the DOT and the NRC, to receive accident reports and to investigate transportation accidents.

VI. National Competent Authority

A. The DOT will be the national competent authority with respect to the administrative requirements set forth in the regulations for the Safe Transport of Radioactive Materials of the International Atomic Energy Agency (IAEA). In issuing certificates of competent authority for the United States under those regulations, the DOT will require for certain packages other than DOT specification containers an NRC approval in accordance with Section III.A of this Memorandum of Understanding. The NRC will provide to the national competent authority (DOT) technical support and advice pertaining to the transportation of radioactive materials.

B. The DOT will act as the representative of the United States to the IAEA and other international groups on matters pertaining to the administrative and safety regulatory aspects of transportation of radioactive materials. The NRC will provide technical support and advice to the DOT in this capacity.

¹ States which have entered into an Agreement with the Atomic Energy Commission or the NRC pursuant to Section 274 of the Atomic Energy Act of 1954, as amended, under which the NRC has relinquished to such States the majority of its regulatory authority over source, byproduct and special nuclear material in quantities not sufficient to form a critical mass.

VII. Exchange of Information

A. Prior to issuance of any regulations by either the DOT or the NRC involving transportation of radioactive materials, each agency will advise and consult with the other to avoid possible conflict in regulations and to assure that: (1) the regulations will afford adequate protection of the health and safety of the public; (2) the effect of these regulations will not be inimical to the common defense and security of the United States; and (3) the regulations are in the public interest.

B. The DOT and the NRC will exchange information, consult and assist each other within the areas of their special competence in the development and enforcement of regulations and procedures. Each agency will make available to the other, subject to security requirements and statutory provisions affecting the release of information, summaries of inspection records, investigations of serious accidents, and other matters relating to safety in the transportation of radioactive materials.

VIII. Working Arrangements

The NRC and the DOT will designate appropriate staff representatives and will establish joint working arrangements from time to time for the purpose of administering this Memorandum of Understanding.

IX. Effect

A. Nothing herein is intended to affect the statutory exemption of shipments of radioactive materials made by or under the direction or supervision of the Department of Energy or the Department of Defense in accordance with the provisions of 18 U.S.C. 832(c).

B. This agreement shall take effect upon the signing by authorized representatives of the respective agencies, and shall supersede in its entirety the March 22, 1973, Memorandum of Understanding between the DOT and the Atomic Energy Commission.

C. Nothing in this Memorandum of Understanding is intended to restrict the statutory authority of either the DOT or the NRC.

Done at Washington, D.C., in duplicate, this 8th day of June 1979.

For the United States Department of Transportation,

James D. Palmer,

Administrator, Research and Special Programs Administration, Department of Transportation.

For the United States Nuclear Regulatory Commission,

Joseph M. Hendrie,

Chairman, Nuclear Regulatory Commission.

APPENDIX B

Part 1

NRC HEADQUARTERS POINTS OF CONTACT

<u>Name</u>	<u>Title</u>	<u>FTS</u>	<u>Commercial</u> (Area 301)
NRC Operations Center		951-0550	951-0550
L. I. Cobb	Chief, Safeguards and Materials /Programs Branch (SMPB/QASIP)	492-4935	492-4935
A. W. Grella *	Sr. Transportation Specialist (SMPB)	492-7746	492-7746
K. E. Perkins	Chief, Incident Response Branch, Division of Emergency Preparedness and Engineering Response (D/DEPER, IRB)	492-7361	492-7361

*Day-to-day point of contact on transportation matters.

APPENDIX B

Part 2

*NRC REGIONAL OFFICE POINTS OF CONTACT

<u>Region</u>	<u>Name</u>	<u>FTS</u>	<u>Commercial</u>
I	T. R. Murley, Regional Administrator	488-1299	215/337-1299
	J. M. Allan, Deputy Regional Administrator	488-1340	215/337-1340
	** J. D. Kinneman, Chief, Nuclear Materials Section A	488-1252	215/337-5252
II	J. P. O'Reilly, Regional Administrator	242-5500	404/221-5500
	R. D. Martin, Deputy Regional Administrator	242-5610	404/221-5610
	** J. P. Potter, Chief, Radiation Radiation Protection Section	242-5571	404/221-5571
III	J. G. Keppler, Regional Administrator	384-2677	312/932-2677
	A. B. Davis, Deputy Regional Administrator	384-2681	312/932-2681
	** L. R. Greger (for Reactor and Fuel Facilities & Licensees)	384-2644	312/932-2644
	** D. G. Wiedemann (Materials Licensees)	384-2616	312/932-2616
IV	J. T. Collins, Regional Administrator	728-8225	817/860-8225
	P. S. Check, Deputy Regional Administrator	728-8222	817/860-8222
	** G. B. Brown, Chief, Technical Programs Branch	728-8182	817/860-8182
V	J. B. Martin, Regional Administrator	463-3707	415/943-3707
	R. H. Faulkenberry, Deputy Regional Administrator	463-3738	415/943-3738
	** R. V. Thomas, Chief, Materials Radiation Protection Section	463-3763	

* 24-hour Duty Officer number for each NRC Regional Office as listed in the Appendix D automatically diverts to the NRC Headquarters Duty Officer at the NRC Operations Center in Bethesda, Md.

** Day-to-Day point of contact on transportation matters.

APPENDIX C

DOT HEADQUARTERS POINTS OF CONTACTS

<u>Administration</u>	<u>Name</u>	<u>Title</u>	<u>*Phone No. (Area 202)</u>
RSPA/MTB	Dr. R. L. Paullin	Associate Dir. for Operations/Enforcement	755-9247
	William H. Nalley	Chief, Haz. Materials Enforcement Division	755-5893/4
	J. J. O'Connell, Jr.	Chief, Inspection and Surveillance Br.	755-5893/4
FTWA/BMCS	Kenneth Pierson	Director, Bureau of Motor Carrier Safety (BMCS) HMC-1	426-1790
	Gary Curtis	Chief, Operations Div. (BMCS), HMC-10	426-1795
FRA	Phillip Olekszyk	Dep. Assoc. Admin. for Safety, RRS-2	426-0897
	Frank V. Fanelli	Haz. Materials Enforcement Div., RRS-24	426-2748
FAA	Walter G. Greiner	Technical & Cargo Sec. Div., Off. of Civil Aviation Security, ACS-230	426-8491
USCG	Capt. A. E. Henn	Chief, Marine Tech. Hazardous Matls. Div. G-MTH	426-2167
	Lt. D. Kremer	Chief, Cargo Systems Branch G-MTH-3	426-1577
National Response Center - U. S. Coast Guard Flag Plot Duty Officer (24 hour Emergency Center)			426-2675 or 800/424-8802 or 202/426-1847 (telefax)

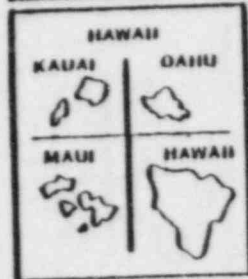
APPENDIX D

NUCLEAR REGULATORY COMMISSION

REGIONAL OFFICES AND AREAS



PACIFIC TRUST TERRITORIES



	Address	Telephone (24 hours a day)
Region I: Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Jersey, New York, Pennsylvania, Rhode Island, and Vermont	Region I, USNRC, Office of Inspection and Enforcement, 831 Park Ave., King of Prussia, Pa. 19406	(215) 337-5000
Region II: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virginia, Virgin Islands, and West Virginia	Region II, USNRC, Office of Inspection and Enforcement, 101 Marietta Street, Suite 3100, Atlanta, Ga. 30303	(404) 221-4503
Region III: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin	Region III, USNRC, Office of Inspection and Enforcement, 700 Roosevelt Rd., Glen Ellyn, Ill. 60137	(312) 932-2500
Region IV: Arkansas, Colorado, Idaho, Kansas, Louisiana, Montana, Nebraska, New Mexico, Oklahoma, Texas, Utah, and Wyoming	Region IV, USNRC, Office of Inspection and Enforcement, 1100 North 17th St., Arlington, Va. 22209	(817) 465-8100
Region V: California, Nevada, Oregon, Washington, and Wisconsin	Region V, USNRC, Office of Inspection and Enforcement, 1100 North 17th St., Arlington, Va. 22209	(817) 465-8100

APPENDIX E

DOT REGIONAL POINTS OF CONTACT

HAZARDOUS MATERIALS TRANSPORTATION SPECIALISTS
AND MAPS OF REGIONAL BOUNDARIES

Federal Highway Administration - Office of Motor Carrier Safety

Federal Railroad Administration

Federal Aviation Administration

United States Coast Guard

OFFICE OF MOTOR CARRIER SAFETY

FIELD ROSTER

U. S. DEPARTMENT OF TRANSPORTATION
FEDERAL HIGHWAY ADMINISTRATION
BUREAU OF MOTOR CARRIER SAFETY

JANUARY 1983

PLEASE REPORT ALL CHANGES TO BMC-11

REGION 1 CONNECTICUT, MAINE, MASSACHUSETTS, NEW HAMPSHIRE, NEW JERSEY, NEW YORK,
RHODE ISLAND, VERMONT, AND PUERTO RICO.

118 JOHN J. WHALAN - ARAMCS
Leo W. O'Brien Federal Building
Room 729
Albany, New York 12207
FTS-562-7509
COM-518-472-7509/7866

103 STEPHEN P. CRANE - REHS
109 FREDERICK J. GRUIN - RAIS
Mildred Caccava - Secretary
(Same address and telephone as
ARAMCS)

SAFETY INVESTIGATORS

CONNECTICUT

113 JOHN P. LEARY (OIC)
145 WILLIAM M. BLOUNT
1 Hartford Square West-South Building
Hartford, Connecticut 06106
FTS-244-2036
COM-203-244-2036

MAINE

105 RICHARD W. BESANKO (OIC)
Federal Building and U.S. Post Office
40 Western Avenue, Room 614
Augusta, Maine 04330
FTS-833-6486
COM-207-622-6171 Ext. 486-489

111 RICHARD A. GOSSELIN
Post Office Building, Room 217
P.O. Box 218
Houlton, Maine 04730
FTS-833-3131
COM-207-532-3291

MASSACHUSETTS

106 P. JOSEPH GIBBONS (OIC)
107 GERALD M. O'BRIEN
123 JOHN D. O'CONNELL
140 ROBERT L. MOLLA, JR.
136 NICHOLAS R. WALSH
31 St. James Avenue, Room 211
Boston, Massachusetts 02116
FTS-837-2733
COM-617-837-2770

NEW YORK

133 LAWRENCE J. ABRUZZESA (OIC)
144 IAN W. SMITH
139 ARNOLD T. JOHNSON, JR.
Leo W. O'Brien Federal
Building, 9th Floor
Albany, New York 12207
FTS-562-6483
COM-518-472-6483/4291/4276

VACANT

909B Federal Office Building
111 West Huron Street
Buffalo, New York 14202
FTS-437-4701
COM-716-846-4701

128 GEORGE R. COWAN
148 DONALD M. MORRUZZI
139 IGNACIO DAVILA
151 BRUCE HODGES
Jacob K. Javits Federal
Building
26 Federal Plaza, Room 507
New York, New York 10278
FTS-264-1070/80
COM-212-264-1070/80

120 ROBERT C. BEARDSLEY
James M. Hanley U.S. Court
House & Federal Building
Room 527
P.O. Box 744
Syracuse, New York 13260
FTS-950-5464
COM-315-423-5464

NEW HAMPSHIRE

110 ROBERT G. GALLANT (OIC)
Federal Building, Room 219
55 Pleasant Street
Concord, New Hampshire 03301
FTS-834-4720
COM-603-224-3385

NEW JERSEY

125 JOHN P. GOODWIN (OIC)
126 EDWARD F. ABRAMS
138 ROBERT E. KITZMILLER
143 JAMES P. GIBBONS
149 ANGEL RODRIQUEZ
147 JOSEPH HARDCASTLE
25 Scotch Road, 2nd Floor
Trenton, New Jersey 08628
FTS-483-2276
COM-609-989-2276

NOTE: ALL ADDRESSES SHOULD BE
PRECEDED BY:
OFFICE OF MOTOR CARRIER SAFETY
FEDERAL HIGHWAY ADMINISTRATION

PUERTO RICO

VACANT (OIC)
U.S. Courthouse & Federal
Building, Room 150
Carlos Chardon Street
Rato Rey, Puerto Rico 00918
FTS-967-1221 (Overseas
Operator) 753-4600/4232
COM-809-753-4600/4232

RHODE ISLAND

112 RALPH E. JOHNSON (OIC)
Federal Building & U.S. Post
Office
Exchange Terrace, Suite 250
Providence, Rhode Island 02903
FTS-838-4541
COM-401-528-4541

VERMONT

137 MICHAEL W. BLEVINS (OIC)
134 GERALD R. AMATO
P.O. Box 568
87 State Street
Federal Building, Room 216
Montpelier, Vermont 05602
FTS-832-4433
COM-802-223-5294

REGION 3 DELAWARE, MARYLAND, PENNSYLVANIA, VIRGINIA, WEST VIRGINIA, AND
DISTRICT OF COLUMBIA.

304 GENE H. ALBERS - ARAMCS
31 Hopkins Plaza
Federal Building, Room 1643
Baltimore, Maryland 21201
FTS-922-4571
COM-301-962-4571

350 CLARENCE C. CAIN - RAIS
JAMES R. KEENAN - REHS
Rose Skerkavich - Secretary
(Same address and telephone as
ARAMCS)

SAFETY INVESTIGATORS

DELAWARE

341 WILLIAM D. HERSTER (OIC)
Federal Office Building, 2nd Floor
300 South New Street
Dover, Delaware 19901
FTS-487-9170/71
COM-302-734-5323

MARYLAND

349 ROBERT C. KETENHEIM II (OIC)
305 JAMES W. HEINEMANN
323 SAMUEL SPALLA
Rotunda, Suite 222
711 W. 40th Street
Baltimore, Maryland 21211
FTS-922-2889
COM-301-962-2889

PENNSYLVANIA

310 PATRICK M. QUIGLEY (OIC)
302 JAMES DEFFENBAUGH
348 DENNIS M. MCGEE
318 WALTER JOHNSON
P.O. Box 1086,
Federal Office Building
228 Walnut Street
Harrisburg, Pennsylvania 17108
FTS-590-4443
COM-717-782-4443

345 JAMES G. SWOPE, JR.
327 BARRY RUBENSTEIN
Independence Building
434 Walnut Street, Room 1030
Philadelphia, Pennsylvania 19106
FTS-597-7604
COM-215-597-7604

VIRGINIA

301 WILLIAM C. SAVAGE (OIC)
306 WILLIAM B. LEYSATH
317 JERRY L. HEATH
324 ANDREW CHOQUETTE
10-502 Federal Building
400 North 8th Street
P.O. Box 10045
Richmond, Virginia 23240
FTS-925-2386
COM-804-771-2386

307 GEORGE F. NEUNZ
3661 East Virginia Beach Boulevard
Room 140
Norfolk, Virginia 23502
FTS-827-3534
COM-804-441-3534

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311 BERNARD F. SCHILLING
314 JAMES D. HERREN
400 Penn Center Boulevard
Building #4, Suite 633
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308 PAUL L. O'NEILL, JR.
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Room 340
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WEST VIRGINIA

346 HERMAN R. WHITE (OIC)
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NOTE: ALL ADDRESSES SHOULD BE
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FEDERAL HIGHWAY ADMINISTRATION

REGION 4 ALABAMA, GEORGIA, FLORIDA, MISSISSIPPI, NORTH CAROLINA, SOUTH CAROLINA,
TENNESSEE, AND KENTUCKY.

400 WILLIAM L. BARROW, JR. - ARAMCS
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402 CHARLES E. RAMSEY - RHMS
401 CLINTON O. MAGEY - RAIS
Cathy D. Smith - Secretary
Gwen Carthen - Clerk/typist
(Same address and telephone as
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410 KENNETH H. BOSTICK (OIC)
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FTS-534-7244
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411 FRANK R. ATKINS
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FLORIDA

420 DALE L. MEINER (OIC)
VACANT

423 WILLIAM T. MOSS
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524 JERRY COOPER - DTA
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502 KEVIN H. CLIFF
507 MICHAEL D. HOFFERT
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519 BRIAN FAHEY
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618 DENNIS MARTINI - REMS
FTS-334-4345
603 ELWYN BAPTISTE - RAIS
FTS-334-4344
Karen Daniel - Secretary
Sherry Marks - Clerk Typist
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607 JONATHAN ARMENTA, JR.
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COM-713-750-6678/79

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712 JAMES A. TWIGG - RAIS
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O'Mahoney Federal Center
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COM-307-772-2101

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COM-415-556-3553

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908 HAROLD E. WHITAKER - RAPPM
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903 EUGENE A. GRAHAM
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927 WILLIAM K. POOLE
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801 I Street
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COM-916-440-3511

Mail Address:
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Sacramento, California 95809-1915

907 STEPHEN J. POLYI
919 KARL S. SHRUM
930 GLENN BECK
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918 DARWIN D. GARVIN (OIC)
925 DOUGLAS M. IRWIN
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Carson City, Nevada 89701-3192
FTS-470-5293
COM-702-784-5293

932 LESTER S. SCHECHNER
FTS-386-5340 via FTS Operator
8-598-6011 in Las Vegas.
COM-702-386-5340

TEMPORARY MAIL ADDRESS:
Mr. Lester S. Schechner
FHWA/MCS Safety Investigator
c/o Nevada Department of
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Motor Carrier Division
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009 WILLIAM K. BYRD - RHMPH
016 ROBERT L. BLEAKLEY - RAPP
MAJORIE A. TUFTS - Secretary
Linda L. Taylor -
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002 ROBERT J. CHIPKEVICH
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014 JANELLE BREWSTER
013 EUGENE F. VAN CAUTEREN
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FEDERAL HIGHWAY ADMINISTRATION

DEFINITIONS OF ABBREVIATIONS USED IN THIS ROSTER:

ARAMCS - Associate Regional Administrator for Motor Carrier Safety

DOP - Director of Operations & Accident Control

DTA - Director of Technical Assistance & Hazardous Material Control

HST - Highway Safety Technician

OIC - Officer-In-Charge

RAIS - Regional Accident Investigation Specialist

RAPPM - Regional Accident Prevention Program Manager

RHMPM - Regional Hazardous Materials Program Manager

RHMS - Regional Hazardous Materials Specialist

S/I - Safety Investigator

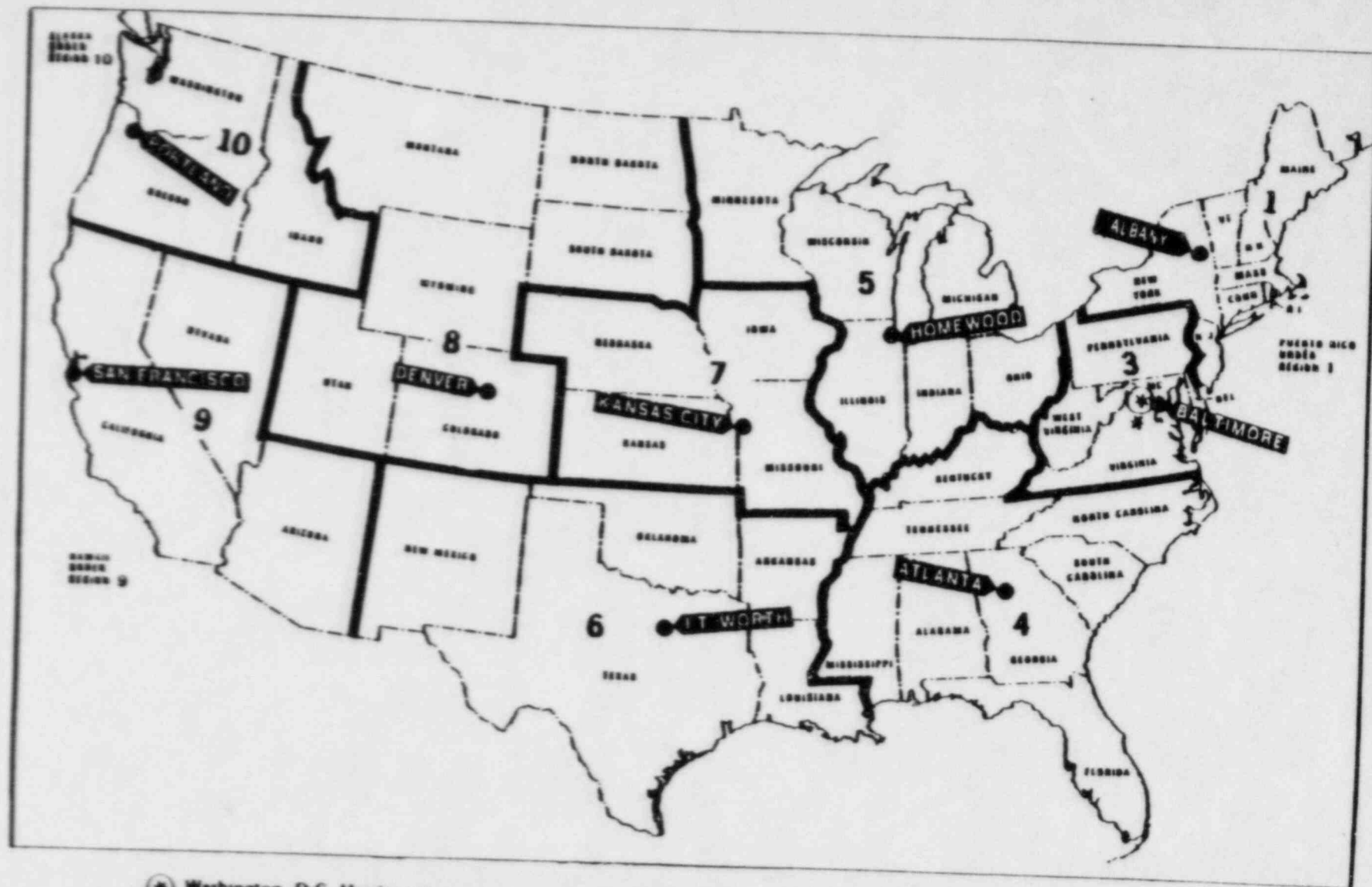
FTS - Federal Telephone System

COM - Commercial Telephone Number

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FEDERAL HIGHWAY ADMINISTRATION

Field Regions of the Federal Highway Administration



★ Washington, D.C. Headquarters

● Eastern Federal Highway Projects Office

★ Region 15 (Arlington, Va.), Eastern Federal Highway Projects Office

NOTE: FHWA Region 1 Conforms to Standard Regions 1 and 2

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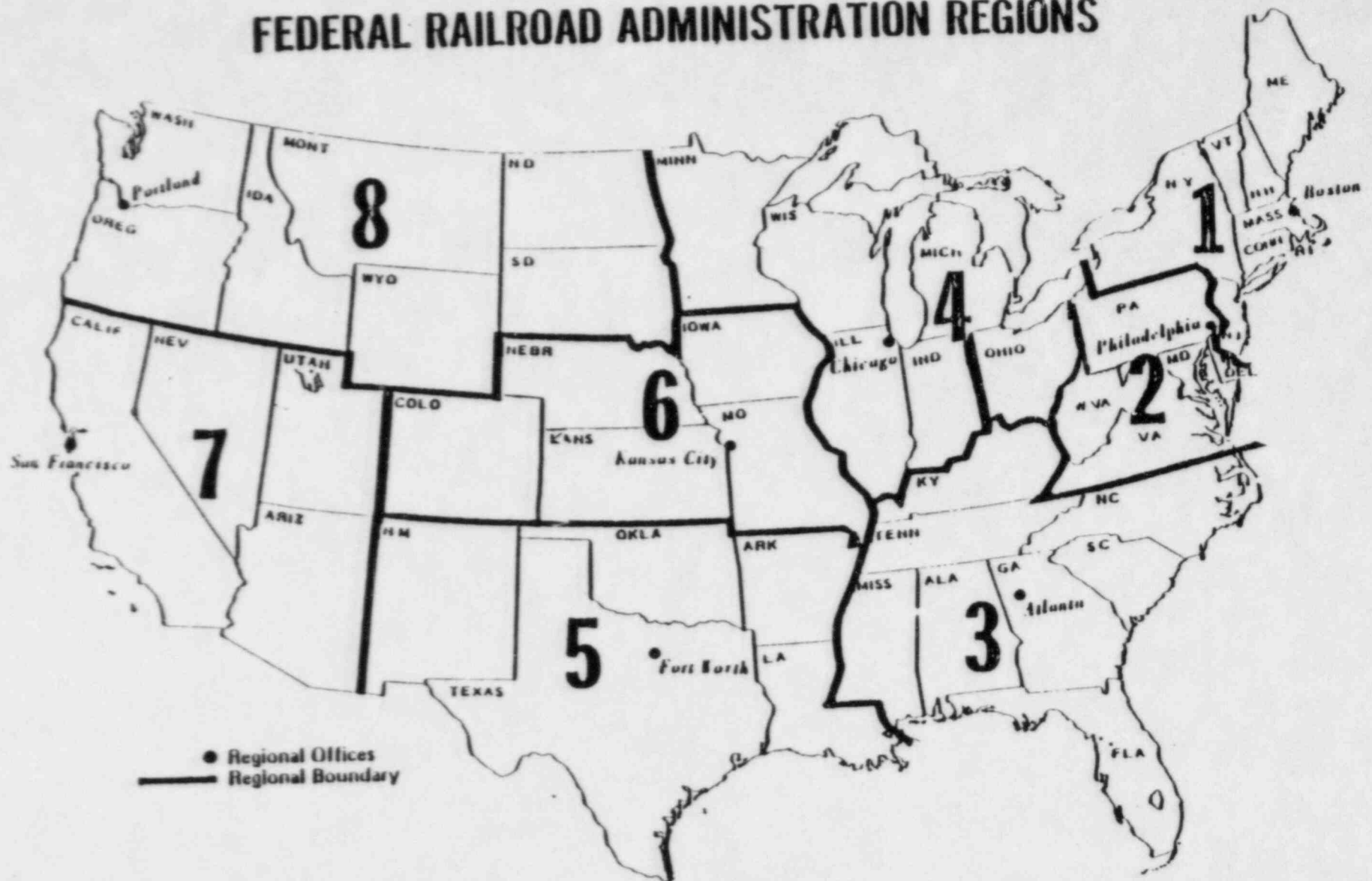
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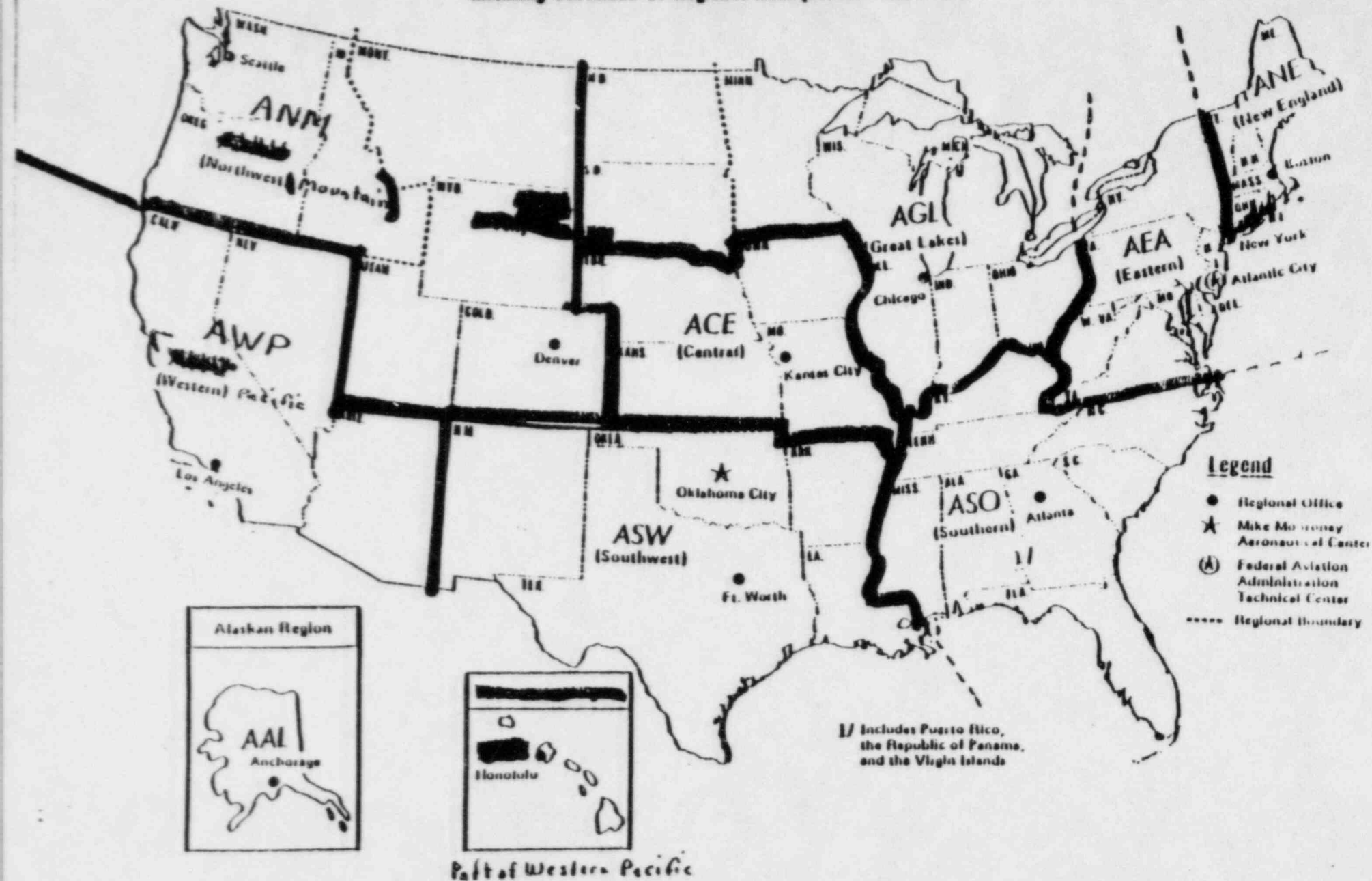
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Federal Aviation Administration, AEU-700
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Federal Aviation Administration
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CAPT E. P. PIERSON, CO, NSO
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SECOND COAST GUARD DISTRICT

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 FTS - 664-7917
 COM - 212-668-7917

CAPT D. E. CHARTER, JR., CO, NSO
 King & Cumberland Streets
 Gloucester City, NJ 08030
 FTS - 488-5200
 COM - 609-456-1370
 215-923-4320

FIFTH COAST GUARD DISTRICT

CAPT V.B. MARKLE, JR. (a)
 Federal Building
 431 Crawford Pkwy
 Portsmouth, VA 23705
 FTS - 827-9372
 COM - 804-398-6372

CAPT J.C. CARLTON, CO, NSO
 U.S. Customs House
 Baltimore, MD 21202
 FTS - 922-5121
 COM - 301-962-5121

CAPT J.D. VERN, CO, NSO
 Norfolk Federal Bldg.
 200 Granby Hall
 Norfolk, VA 23510
 FTS - 827-3304
 COM - 804-441-3304

SEVENTH COAST GUARD DISTRICT

CAPT A.E. CANOS (a)
 51 Southwest 1st Ave.
 Miami, FL 33130
 FTS - 350-5654
 COM - 305-350-5654

CDR E.E. ROUSSEL, CO, NSO
 51 Southwest 1st Ave.
 7th Floor, Federal Bldg.
 Miami, FL 33130
 FTS - 350-5691
 COM - 305-350-5691

CAPT V.V. McDUGALL, CO, NSO
 2831 Talleyrand Ave.
 Jacksonville, FL 32206-3497
 FTS - 946-2648
 COM - 904-791-2648

CDR N.J. SCHIRO, CO, NSO
 196 Tradd Street
 P.O. Box 724
 Charleston SC 29402
 FTS - 677-4128
 COM - 803-724-4128

CAPT E. E. SHEPHERD, JR.
 801 Custom House
 Philadelphia, PA 19101
 FTS - 597-4350
 COM - 215-597-4350

CAPT E.V. GRACE, CO, NSO
 201 North Front Street
 Wilmington, NC 28401
 FTS - 671-4882
 COM - 919-343-4882

CDR G.F. VILLIS, CO, NSO
 P.O. Box 8191, 014
 Georgia Port Authority
 Savannah, GA 31412
 FTS - 248-4347
 COM - 912-944-4347

CAPT J.L. VALENTI,
 155 Columbia Drive
 Tampa, FL 33606
 FTS - 826-2191
 COM - 813-228-2191

CDR D.E. BODRON, CO, NSO
 P.O. Box 3 - 3666
 USCG Base La Pointe
 San Juan, PR
 FTS - 809-725-0857
 COM - 809-725-0857

EIGHTH COAST GUARD DISTRICT

CAPT D.F. SMITH (M)
Hale Boggs Federal Bldg., Room 1341
500 Camp Street
New Orleans, LA 70130
PTS - 582-6271
COM - 504-589-6271

CAPT J.L. BAILEY, COTF
4640 Urdubart Street
New Orleans, LA 70117
PTS - 682-7109
COM - 504-589-7109

CAPT G.C. HINSON, CO, XSO
P.O. Box 1621
723 Upper Broadway
Corpus Christi, TX 78407
PTS - 734-3193
COM - 512-888-3193

CAPT V.J. ECKER, CO, XSO
P.O. Box 2924,
Suite 1900
1st National Bank Bldg.
Mobile, AL 36652
PTS - 537-2201
COM - 205-690-2201

CAPT R.V.E. BARTKIS, COTF
P.O. Box 446
Galena Park, TX 77547
PTS - 526-5875
COM - 713-672-6630

CAPT K.A. SHAW, CO, XSO
Post Office Bldg., Room 313
601 Rosenberg
Galveston, TX 77550
PTS - 527-6655
COM - 713-766-3655

CAPT J.J. VICKS, CO, XSO
Custom House
5th & Austin Avenue
Port Arthur, TX 77540
PTS - 527-8244
COM - 713-983-8244

NINTH COAST GUARD DISTRICT

CAPT R.F. HORTON, JR. (M)
1240 East 9th Street
Cleveland, OH 44199
PTS - 293-3944
COM - 216-522-3944

CDE G.C. PICKER, CO, XSO
1055 East 9th Street
Cleveland, OH 44114
PTS - 293-4405
COM - 216-522-4405

CDE P.L. OVERS, CO, XSO
Federal Bldg, Rm 1111
111 West Huron Street
Buffalo, NY 14202
PTS - 437-4168
COM - 716-846-4168

CDE R.L. ARMAGOST, CO, XSO
2420 South Lincoln Memorial Drive
Milwaukee, WI 53207
PTS - 362-3788
COM - 414-291-3788

CDE S.J. SPURGEON, CO, XSO
Canal Park
Duluth, MN 55802
PTS - 783-9286
COM - 218-727-6692 ext. 286

CDE T.J. POLGAR, CO, XSO
Federal Building, Room 501
234 Summit Street
Toledo, OH 43604
PTS - 979-6372
COM - 419-259-6372

CAPT L.C. BURGER, CO, XSO
610 South Canal Street
Chicago, IL 60607
PTS - 353-1229
COM - 312-353-1229

CAPT V.B. STEINBACK, CO, XSO
Kalamazoo Building, Room 550
477 Michigan Avenue
Detroit, MI 48226
PTS - 226-6860
COM - 313-226-6860

CDE L.A. MURDOCK, CO, XSO
360 Louisiana Street
Sturgeon Bay, WI 54235
PTS - 360-3625
COM - 414-743-9448

CAPT L. GARRETT, COTF
Coast Guard Group
Sault Ste. Marie, MI 49783
PTS - 372-4427
COM - 906-635-5222

ELEVENTH COAST GUARD DISTRICT

CAPT L.E. BRAUDIN
400 Oceangate
Union Bank Building
Long Beach, CA 90822
PTS - 984-9301
COM - 213-590-9301

CAPT J.E. GUEST, CO, XSO
165 North Pine Avenue
Long Beach, CA 90802
PTS - 984-9326
COM - 213-590-2326

CDE J.C. GARRETT, CO, XSO
2710 Harbor Drive
San Diego, CA 92101
PTS - 893-5860
COM - 714-293-5860

TWELFTH COAST GUARD DISTRICT

CAPT C.B. GLASS, (M)
Government Island
Alameda, CA 94501
PTS - 536-7211
COM - 415-273-7211

CAPT E.F. BISHOP, CO, XSO
Government Island
Alameda, CA 94501
PTS - 536-4208
COM - 415-536-4208

THIRTEENTH COAST GUARD DISTRICT

CAPT J.L. DECARRETT (M)
915 Second Avenue
Seattle, WA 98174
PTS - 399-5233
COM - 206-442-5233

CAPT J.F. ECKMAN, COTF
1519 Alaskan Way So., Bldg. #1
Seattle, WA 98134
PTS - 399-1853
COM - 206-442-1853

CAPT J.L. PATTERSON, CO
6767 North Basin Avenue
Portland, OR 97217
PTS - 422-0305
COM - 503-204-9305

FOURTEENTH COAST GUARD DISTRICT

CAPT R.A. JANECKE (M)
Federal Building
300 Ala Moana Blvd.
Honolulu, HI 96890
PTS - 808-546-7510
COM - 808-546-7510

CAPT B.G. BURNS, CO, XSO
Rm 1, 433 Ala Moana Blvd.
Honolulu, HI 96813
PTS - 808-546-5565
COM - 808-546-5565

SEVENTEENTH COAST GUARD DISTRICT

CAPT E.F. VASSENBERG (M)
P.O. Box 3-5000
Juneau, AK 99802
PTS - 907-586-7195
COM - 907-586-7195

CDE J.D. JACONY, CO, XSO
612 Villoughby Avenue
Juneau, AK 99801
PTS - 907-586-7349
COM - 907-586-7349

CAPT R.E. SPOLMAN, CO
701 C Street, Box 17
Anchorage, AK 99513
PTS - 907-271-5137
COM - 907-271-5137

CDE R.G. CAVETT, CO, XSO
P.O. Box 486
Valdez, AK 99686
PTS - 907-835-4791
COM - 907-835-4791

Key

(M) - District Chief, Merchant Marine Safety

CO, XSO - Commanding Officer, Marine Safety Office

COTF - Captain of the Port

U.S. Coast Guard Districts

Pacific Area
COMPACAREA

Atlantic Area
COMLANTAREA

