



U.S. NUCLEAR REGULATORY COMMISSION
**RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) REQUEST**

FOIA — 92-201

RESPONSE TYPE

☒ FINAL ☐ PARTIAL

DATE **JUL 17 1992**

DOCKET NUMBER(S) (if applicable)

REQUESTER

Mr. Donald R. Pepperman

PART I. AGENCY RECORDS RELEASED OR NOT LOCATED (See checked boxes)

☐ No agency records subject to the request have been located.

☐ No additional agency records subject to the request have been located.

☐ Requested records are available through another public distribution program. See Comments section.

☒ Agency records subject to the request that are identified in Appendix(es) A are already available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.

☒ Agency records subject to the request that are identified in Appendix(es) B are being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.

☐ The nonproprietary version of the proposal(s) that you agreed to accept in a telephone conversation with a member of my staff is now being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.

☐ Agency records subject to the request that are identified in Appendix(es) _____ may be inspected and copied at the NRC Local Public Document Room identified in the Comments section.

☐ Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.

☒ Agency records subject to the request are enclosed. Appendices A and B

☐ Records subject to the request have been referred to another Federal agency(ies) for review and direct response to you.

Fees

☐ You will be billed by the NRC for fees totaling \$ _____

☐ You will receive a refund from the NRC in the amount of \$ _____

☐ In view of NRC's response to this request, no further action is being taken on appeal letter dated _____ No _____

PART II. A - INFORMATION WITHHELD FROM PUBLIC DISCLOSURE

☒ Certain information in the requested records is being withheld from public disclosure pursuant to the exemptions described in and for the reasons stated in Part II, B, C, and D. Any released portions of the documents for which only part of the record is being withheld are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC in a folder under this FOIA number.

COMMENTS

The Department of Energy forwarded 21 documents to NRC for review and direct response to you.

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PDR FOIA
PEPPER92-201 PDR

SIGNATURE, DIRECTOR, DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

Thomas H. Blum

**RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) REQUEST
(CONTINUATION)**

FOIA NUMBER(S)

FOIA — 92-201

DATE

JUL 17 1992

PART II B — APPLICABLE EXEMPTIONS

Records subject to the request that are described in the enclosed Appendix(es) C are being withheld in their entirety or in part under the Exemption No.(s) and for the reason(s) given below pursuant to 5 U.S.C. 552(b) and 10 CFR 9.17(a) of NRC regulations

1 The withheld information is properly classified pursuant to Executive Order. (Exemption 1)

2 The withheld information relates solely to the internal personnel rules and procedures of NRC. (Exemption 2)

3 The withheld information is specifically exempted from public disclosure by statute indicated. (Exemption 3)

Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).

Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).

4 The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated. (Exemption 4)

The information is considered to be confidential business (proprietary) information.

The information is considered to be proprietary information pursuant to 10 CFR 2.290(d)(1).

The information was submitted and received in confidence pursuant to 10 CFR 2.290(d)(2).

☒ 5 The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. (Exemption 5). Applicable Privilege:

☒ Deliberative Process. Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.

☐ Attorney work product privilege. (Documents prepared by an attorney in contemplation of litigation.)

☐ Attorney-client privilege. (Confidential communications between an attorney and his/her client.)

6 The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy. (Exemption 6)

☒ 7 The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated. (Exemption 7)

☒ Disclosure could reasonably be expected to interfere with an enforcement proceeding because it could reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators. (Exemption 7 (A))

☐ Disclosure would constitute an unwarranted invasion of personal privacy. (Exemption 7(C))

☐ The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources. (Exemption 7 (D))

OTHER

PART II C — DENYING OFFICIALS

Pursuant to 10 CFR 9.25(b) and/or 9.25(d) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The persons responsible for the denial are those officials identified below as denying officials and the Director, Division of Freedom of Information and Publications Services, Office of Administration, for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECRETARY	IG
John Hoyle	Asst. Secy. of Comm.	C/1		X	
Ben Hayes	Director, Office of Investigations	C/2 - C/4	X		

PART II D — APPEAL RIGHTS

The denial by each denying official identified in Part II C may be appealed to the Appellate Official identified there. Any such appeal must be made in writing within 30 days of receipt of this response. Appeals must be addressed, as appropriate, to the Executive Director for Operations, to the Secretary of the Commission, or to the Inspector General, U.S. Nuclear Regulatory Commission, Washington, DC 20555, and should clearly state on the envelope and in the letter that it is an "Appeal from an Initial FOIA Decision."

APPENDIX A

RECORDS MAINTAINED AMONG PDR FILES

<u>NUMBER</u>	<u>DATE</u>	<u>DESCRIPTION</u>
1.	7/13/88	Doc. #6, Letter to J. C. Miller from L. W. Zech, Jr. ANO 8808160085
2.	7/12/88	Doc. #7, Information Notice No. 88-48, ANO 8807120291
3.	7/8/88	Doc. #8, Information Notice No. 88-46, ANO 8807080006
4.	4/26/88	Doc. #9, Information Notice No. 88-19, ANO 8804220217
5.	6/15/88	Doc. #10, Bulletin No. 88-05, Supplement 1, ANO 8806150186
6.	4/22/88	Doc. #11, Bulletin No. 87-02, Supplement 1, ANO 8804180142
7.	6/10/88	Doc. #12, Bulletin No. 87-02, Supplement 2, ANO 8806090301
8.	6/3/88	Doc. #13, Information Notice No. 88-35, ANO 8805270306
9.	5/6/88	Doc. #14, Bulletin No. 88-05, ANO 8804290255
10.	11/6/87	Doc. #15, Bulletin No. 87-02, ANO 8711050040
11.	8/3/88	Doc. #17, Speech by V. Stello, Jr., ANO 9002130276
12.	7/13/88	Doc. #20, Letter to J. C. Fletcher from L. W. Zech, Jr., ANO 9002160142
13.	7/13/88	Doc. #21, Letter to K. R. McKee from L. W. Zech, Jr., ANO 9002160143
14.	7/21/88	Doc. #23, Briefing on Current Status of Information Regarding the Possible Use of Substandard Components in our Nuclear Power Plants, ANO 8807290177
15.	7/21/88	Doc. #24, Information Notice No. 88-46, Supplement 1, ANO 8807200162

Re: FOIA-92-201

APPENDIX B

RECORDS BEING PLACED INTO THE PDR UNDER THE ABOVE REQUEST NUMBER

<u>NUMBER</u>	<u>DATE</u>	<u>DESCRIPTION</u>
1.	5/6/88	Doc. #46, Attachment H, Attachment 1 to NRCB 88-05 (1 page)
2.	Various	Doc. #56, Invoices (10 pages)

APPENDIX C

RECORDS TOTALLY WITHHELD

<u>NUMBER</u>	<u>DATE</u>	<u>DESCRIPTION & EXEMPTION</u>
1.	8/3/88	Doc. #16, Speech by L. W. Zech, Jr. (3 pages) EX. 5
2.	8/3/88	Doc. #18, Speech by B. B. Hayes (7 pages) EX. 7A
3.	Undated	Doc. #26, Handwritten list (14 pages) EX. 7A
4.	Undated	Doc. #27, Draft letter (1 page) EX. 5 & 7A