

QUALITY ASSURANCE

PROCEDURE CHANGE NOTICE (PCN)
Procedure QUALITY TEAM
Title: OPERATING PROCEDURE

Proc. No. QASP 19.11
Rev. 1 PCN# 1
Effective
Date 9/28/84
Page 1 of 1

PAGE NO.	CURRENT PAGE REV. NO.	SECTION/ PARAGRAPH	DESCRIPTION OF CHANGE/INSTRUCTIONS
29	1	Attachment 7.12	Replace Attachment 7.12 of QASP 19.11, Rev. 1, with Attachment 7.12 of QASP 19.11, Rev. 1, PCN #1.

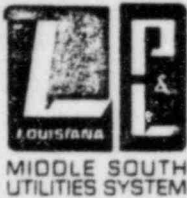
FOR INFORMATION ONLY

8506110696 850222
PDR FOIA
GARDE84-507 PDR

REASON FOR CHANGE: To create a more comprehensive file checklist.

PREPARED BY: QUALITY TEAM LEADER DATE <u>9/26/84</u>	CONCURRED WITH: CORPORATE QUALITY ASSURANCE MANAGER DATE <u>9-26-84</u>	APPROVED BY: SR. VICE-PRESIDENT - NUCLEAR OPERATIONS DATE <u>26 SEP 84</u>
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FOIA-84-507
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QUALITY ASSURANCE

QUALITY TEAM OPERATING PROCEDURE

No. QASP 19.11
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1.0 APPROVAL:

Prepared By: William L. Smith 8/28/84
Quality Team Leader Date

Concurred With: Thomas F. Lurite 8-28-84
Corporate Quality Assurance Manager Date

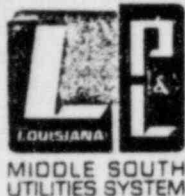
Approved By: R. S. Hedrick 28 AUG 84
Senior Vice President - Nuclear Operations Date

2.0 PURPOSE

- 2.1 QASP 19.11 describes the method the Quality Team (QT) solicits, investigates, and resolves project concerns in a confidential manner, involving quality activities, cost and scheduling, controlled substances, and prohibited materials.
- 2.2 Track and trend concerns and evaluate for root cause.
- 2.3 Provide concern status and resolution to the individual.
- 2.4 Provide utility management with project concerns and status through regular reporting.

3.0 RESPONSIBILITIES

- 3.1 Senior Vice President - Nuclear Operations: The Senior Vice President - Nuclear Operations is responsible for the overall Quality Team Program.
- 3.2 Corporate Quality Assurance Manager: The Corporate Quality Assurance Manager is responsible for administrative requirements of the Quality Team.
- 3.2.1 Nuclear Operations Quality Assurance Manager: The Nuclear Operations Quality Assurance Manager will be forwarded copies of the Quality Team Deficiency Reports (QTDR) when initiated for information. The completed QTDR will be forwarded to provide verification of extended corrective action.



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3.3 Quality Team Leader: The Quality Team Leader (QTL) is responsible for the implementation of this procedure.

3.3.1 Sr. Quality Team Representative: The Sr. Quality Team Representative (Sr. QTR) is designated by the QTL to plan, organize and control the activities associated with a specific investigation; i.e., conduct interviews, plan investigations, initial evaluation for reportability to the QTL, establish and keep files current, and review Quality Deficiency Reports (QTDR's) as needed.

3.3.2 Quality Team Representative: The Quality Team Representative (QTR) is responsible for conducting investigations as directed, report activities on a Quality Team Investigation Report (QTIR) to the Sr. QTR, and initiate QTDR's where required.

4.0 REFERENCES

- 4.1 LP&L Quality Assurance Manual, OR-16.0, "Corrective Action."
- 4.2 LP&L Quality Assurance Section Procedure, 15.3, "Evaluation and Reporting of 10CFR21 Deficiencies and Possible 10CFR21 Defects."
- 4.3 10 CFR 50, 50.7, "Employee Protection."
- 4.4 Energy Reorganization Act of 1974 Section 210
- 4.5 Nuclear Operations Executive Directive #013
- 4.6 UNT-6-010 Event Evaluation and Reporting

5.0 PROCEDURE

5.1 Project Concern Acquisition Methods: The following methods will be used as required to solicit or receive project concerns:

5.1.1 [REDACTED]

- a. The equipment used to receive telephone calls on the Quality Team Hot/Toll Free Lines will be located in the office area of the Waterford 3 Quality Team Leader (QTL).



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- d. [REDACTED] who prefer not to [REDACTED] shall be provided [REDACTED] to contact the [REDACTED] (Attachment 7.4).
- e. Each individual directing concerns to the QT shall be [REDACTED] so requested. (Attachment 7.5)
- f. Each individual expressing concerns shall be assigned a unique file number (i.e., QI-YR-XX). A file shall be established for further investigation and tracking.

5.1.3 Alternatives for Exit Interviews

- a. For those individuals who resign off site or are terminated during off shift hours, the QTL will send a letter (Attachment 7.6) requesting completion of the disclosure statement (Attachment 7.2).
- b. These letters and all responses received will be logged (Attachment 7.7). Responses will be processed similarly to all other disclosure statements.

5.1.4 [REDACTED]

- a. All Waterford 3 personnel can "walk-in" the Quality Team headquarters to discuss any project concerns with the Quality Team QTL/Sr. QTR.
- b. For each individual expressing concerns, the QTL/Sr. QTR shall complete a Quality Record of Concern form (Attachment 7.1) and assign a unique file number (i.e., QI-YR-XX). A file shall be established for further investigation and tracking.

5.1.5 Project Concerns Identified by External Sources

- a. All concerns received by the Quality Team from sources external to the project (i.e., NRC, local residents, etc.) shall be documented (ref. para. 5.1.1), investigated, resolved, and feed-back reported in accordance with the requirements of this procedure.



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5.1.6 Previously Identified Project Concerns (Original Files)

The Quality Team will re-evaluate all interviews conducted prior to issuance of this procedure in accordance with this procedure and assign a unique file number (i.e. OF-YR-XX). A file shall be established for further investigation and tracking.

5.1.7 Confidentiality

- a. All employees expressing concerns will be afforded every opportunity to confidentiality from individuals or organizations external to the Quality Team QTL/Sr. QTR. This is intended to protect the identity of persons regardless if they requested confidentiality or not.
- b. Quality Team personnel will inform individuals expressing concern to contact the QT headquarters by any means available when any visible or indicated signs of discriminatory actions resulting from their involvement is attempted. These reported concerns shall be investigated by a Quality Team Representative (See Section 5.4.1).

5.2

5.2.1 Potential [REDACTED] Screening and Safety System Assessment

As each separate concern is identified for each assigned file, the [REDACTED] shall [REDACTED] [REDACTED] screening and [REDACTED] [REDACTED] [REDACTED] (Attachment 7.9). The form will be stamped "[REDACTED]" and placed in the appropriate file.

- (1) While a concern may not be initially reportable, the investigation at a later time may indicate reportability per 10 CFR 50.55(e)/Part 21. In this situation the Request for Reportability Evaluation shall be labeled "FINAL" and forwarded, along with the concern and any additional information, to LP&L Onsite Licensing.



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(2) Those concerns determined not to meet reportability requirements shall be recorded as such in the appropriate blocks of the Reportability Evaluation Form and stamped "Final." The completed form shall be attached to each final report and be referenced as the basis of the reportability evaluation statement.

- b. Those concerns expressed to any QT personnel that may have an impact upon plant operability or safety system functionality will be immediately directed to the shift supervisor for further evaluation per UNT-6-010, "Event Evaluation and Reporting" and a copy of the Potentially Reportable Event Form inserted into the file.

5.2.2 Investigative Plan Development

- a. The QTL/Sr. QTR shall complete an Investigative Plan for each file initiated in paragraph 5.1 (Attachment 7.10). Each concern identified by an individual shall be assigned a separate sequential number (Item No. Block) with the allegation described in detail (Concern Block).
- b. A comprehensive series of investigative steps shall be defined (Action Block) which are intended to resolve each concern identified. ~~The action items are to be completed by the QTR.~~
- c. The QTL/Sr. QTR shall assign Quality Team Representatives (QTR) (Investigator Block) with the scheduled date for completion of each action identified (Schedule Date Block).
- d. As each "Action" is completed by the QTR, the QTL/Sr. QTR shall indicate the actual date of completion (Completion Date Block).
- e. Once all planned investigative actions are completed, and the concern is resolved, the QTL/Sr. QTR shall indicate whether the concern was substantiated or not (Substantiated--Yes/No Block).



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- f. The plan will be revised as new developments arise and represent investigative history once resolved.

~~3.2.2 Quality Team Deficiency Report~~
~~When a deficiency is identified by the Quality Team Representative or Senior Representative will initiate a Quality Team Deficiency Report (QTDR), (Attachment 7.18) as follows:~~

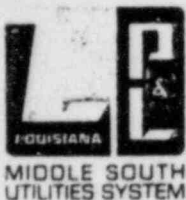
a. Response

(1) QT Representative - Initiation

- (a) Obtain QTDR Sequential ID number from the QT Secretary.
- (b) Complete QTDR Tracking Numbers in accordance with example.
- (c) Address form to the Responsible Group Head.
- (d) Complete top section of QTDR including concern, requirements and the findings on the concern.
- (e) Sign QTDR top section.
- (f) Obtain QTL concurrence and signature.
- (g) Hand deliver QTDR to the responsible Group Head and obtain acknowledgement signature.
- (h) Send copy of acknowledged QTDR to the Nuclear Operations QA Manager for Information.
- (i) Place QTDR in QT Files.

(2) Responsible Group Head

- (a) Acknowledge QTDR.
- (b) Determine and provide resolution.



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(c) Complete resolution section and sign.

(d) Return QTDR to Q Team within 14 calendar days.

(3) Quality Team Representative

(a) Review resolution and evaluate for acceptability of resolution and corrective action.

(b) Resolutions deemed unacceptable will be brought to the attention of the QTL immediately for resolution.

(4) Quality Team Leader

(a) The QTL will review and approve accepted QTDR's during the preparation of the final report per Section 5.3.7.

(b) The QTL will transmit a copy of all completed QTDR's to the Operations QA Manager to provide verification of extended corrective actions.

b. A Quality Team Deficiency Report Log (Attachment 7.19) will be maintained for QTDR's written.

5.3 Resolution of Project Concerns

5.3.1 The Quality Team Representative shall complete and forward to the QTL, a Quality Team Investigation Report (QTIR-Attachment 7.11) as each concern identified on the Investigative Plan is resolved.

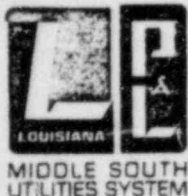
NOTE:

Reports may include several concerns if investigation completion is in the same general time frame.

5.3.2 The QTIR shall include the:

a. Personnel contacted (during the investigation)

b. Concern investigated (in accordance with the investigative plan)



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- c. Finding (results of investigation - concern substantiated or not substantiated)
- d. QTDR's initiated to document and resolve issues.
- e. Observations (general comments)

5.3.3 The QTL shall forward an information copy of the QTIR to the responsible organization for notification of the concern and status, and to the Waterford 3 QA organization for awareness of the condition.

5.3.4 The QTL/Sr. QTR shall document all program, hardware and documentation non-compliances on the QTDR in accordance with Section 5.2.3.

NOTE:

Intimidation and harassment concerns shall be reported by letter from the QTL to the Senior Vice President - Nuclear Operations or the LRS President (see Section 5.4.3).

5.3.5 Where the corrective action is unsatisfactory and/or attempts at resolution have been unacceptable the QTL shall notify, by letter, the Senior Vice President - Nuclear Operations (copy to the responsible Senior manager) requesting resolution and action(s) to prevent recurrence of a concern(s).

5.3.6 The QTL/Sr. QTR shall review each completed file to assure that no concerns were overlooked and the file is complete (Attachment 7.12).

5.3.7 The QTL shall prepare a disposition form report to document completed files (Attachment 7.13). The final report is to be directed to the Senior Vice President - Nuclear Operations with copies to the appropriate senior managers.

5.3.8 The ~~shall~~ shall contain:

- a. Statement of Quality Concern/Allegation (Attachment 7.13)
- b. Investigation Findings (Attachment 7.11)



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- c. Remedial Action(s) Taken (if applicable)
(Attachment 7.18)
- d. Corrective Action(s) Taken (Attachment 7.13)
- e. Verification (if necessary) (Attachment 7.18)
- f. Statement of Reportability (Attachment 7.9)
- g. Closure Statement (e.g., "This allegation was
determined to be with merit. **Closed**")
(Attachment 7.13)
- h. Transmittal of Quality Concern Final Report
(Attachment 7.21)

Note:

No individuals names shall appear in the final reports.

5.3.9

The following categories list and describe the areas of investigation and requirements relative to resolution of the specific areas.

- a. Hardware and documentation concerns shall be reviewed by the QTL for categorization into other like concerns for investigation and resolution.
- b. The Sr. QTF/QTR shall plan and investigate these quality concerns to assure compliance to applicable:
 - (1) drawings
 - (2) specifications
 - (3) codes & standards
 - (4) site procedures



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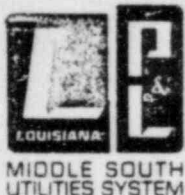
- c. [REDACTED] may encompass physical [REDACTED] with assistance by qualified inspectors from the site/contract personnel (N45.2.6/ASNT-TC-1A) or [REDACTED] or a combination of both.
- d. The investigative process may entail [REDACTED] and lead into other areas of concern review.

5.4.2 Cost, Schedule and Other Management Related Concerns

- a. The QTL/Sr. QTR shall document all [REDACTED] (i.e., drugs, firearms, cost & schedule, etc.) on the investigative plan (Attachment 7.10). Each concern of this nature shall be formally transferred to the appropriate responsible level of management for investigation and resolution.
- b. Each organization delegated responsibility for concern resolution shall transmit to the QTL the reported findings and required corrective actions, if any.
- c. The QTL shall evaluate the response for completeness and if acceptable, close the file. Unresolved issues shall be resolved with the appropriate management personnel.
- d. The individual alleged shall be contacted by the QTL (Attachments 7.13 and 7.14) with the concern, finding, corrective action(s) taken and a closure statement.

5.4.3 [REDACTED] Concerns involving I&H shall be processed in accordance with this procedure and the following additional criteria:

- a. I&H concerns require the identification of a protected activity and participation in a protected activity by the individual. For the purposes of this procedure, an individual shall be considered to have engaged in a protected activity if a concern has been transferred to the NRC, identified to the immediate supervisor



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or other responsible management, or identified to the Quality Team.

- b. The investigative plan (Attachment 7.10) shall, as a minimum, include actions that when completed will have the capability to demonstrate whether any discriminatory acts may have occurred subsequent to the protected activity.
- c. Upon completion of the I&H action items, a letter shall be issued to the Senior Vice President - Nuclear Operations or the President of LP&L. The letter shall reiterate the concern, the investigative actions taken, the results of the investigation, and recommendations for further action, if any. A copy of the letter will be maintained in the individual's QI or HQT file, as applicable.

5.5 Analysis of Investigative Results and Resolutions

5.5.1 The Quality Team is permitted to plan and implement actions to resolve concerns or determine "root cause" and implement resolution of the concern.

5.5.2 To support "root cause" determination, the QTL shall implement and maintain a program which will identify and resolve concerns. The Quality Team shall report (Attachment 7.15). The basic elements of the trending program center around [redacted] and [redacted] to suit project needs. The key attributes are:

- a. Concern categorization and coding
- b. Statistical data gathering
- c. Evaluation and analysis.

5.5.3 The Quality Team trends report and the investigative plan provide for detailed tracking of status and actions scheduled for resolution.



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- 5.5.4 As files are closed, the QTL shall provide a letter to the allegor with the specific resolution of the stated concerns (Attachment 7.14).

5. [REDACTED]

- 5.6.1 The QTL shall compile Quality Team data and issue the following to the appropriate LP&L Management:

- a. Weekly Status Report of the Quality Team Program Activities (Attachment 7.16).
- b. Quality Team Monthly Status Report (Attachment 7.17).
- c. Quality Concern Disposition Form (Attachment 7.13).
- d. Quality Team Deficiency Trends Status Report (Attachment 7.15).

6.0 RECORDS

- 6.1 The Quality Team files are not considered quality records and as such are not public documents. However, due to the nature and guarded importance of the files, every attempt will be made to organize, control, and protect the records. Therefore, [REDACTED] as a guide to [REDACTED]
- 6.2 The contents of the completed file shall be indexed, segregated, total pages numbered, and maintained in a bound folder. The in-process files shall be maintained by the QTL in fire rated file cabinets.
- 6.3 As the files are reviewed, accepted, and closed (Attachment 7.12), the QTL shall seal each file and transmit to a specified LP&L vault for retention at the discretion of the LP&L Senior Vice President - Nuclear Operations.
- 6.4 Access to the in-process and closed files shall be authorized by the Senior Vice President - Nuclear Operations.

7.0 ATTACHMENTS

- 7.1 Quality Record of Concern

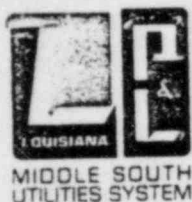


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- 7.2 Quality Concern Disclosure Statement
- 7.3 Quality Concern Disclosure Statement Received/Future
Contact or Quality Concern (2 Pages)
- 7.4 Nondisclosure Letter
- 7.5 Confidentiality Agreement
- 7.6 Concern Solicitation Letter (Post Procedure Implementation)
- 7.7 Quality Concern Log
- 7.8 Concern Solicitation Letter (Previously Terminated)
- 7.9 LP&L Request for Reportability Evaluation (2 Pages)
- 7.10 Investigative Plan
- 7.11 Quality Team Investigation Report (2 Pages)
- 7.12 Quality Team Completed File Checklist
- 7.13 Quality Concern Disposition Form
- 7.14 Concern Processed - Letter
- 7.15 Trends Report
- 7.16 Weekly Status Report (2 Pages)
- 7.17 Monthly Status Report (2 Pages)
- 7.18 Quality Team Deficiency Report
- 7.19 Quality Team Deficiency Report Log
- 7.20 Hot Line Daily Log
- 7.21 Final Report Transmittal Letter



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LOUISIANA POWER & LIGHT COMPANY

QUALITY RECORD OF CONCERN

File No. _____

PERSONAL/CONFIDENTIAL

DATE/TIME OF CALL RECEIVED
OR INTERVIEW CONDUCTED: _____

AM/PM

RECEIVED BY: _____

Ans. Device? Yes/No

CONCERNED INDIVIDUAL: _____

ADDRESS/STATION NO.: _____

TELEPHONE NO.: _____

ALTERNATE PHONE: _____

EMPLOYER: _____

DATES OF EMPLOYMENT: _____

POSITION: _____

STATEMENT OF PROBLEM: _____

System I.D.: _____

Procedure: _____

Contractor/LP&L: _____

Records: _____

Bldg/Location: _____

Hardware/Equipment M.D.: _____

Date/Time: _____

Activities in Progress: _____

ADDITIONAL INFORMATION:

SUPERVISOR/FOREMAN NOTIFIED: Yes ___ No ___ (Date/Time) _____

SUPERVISOR/FOREMAN NAME: _____

IF YES, RESULTS: _____

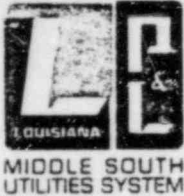
IF NO, WHY NOT? _____

NAMES OF OTHER INDIVIDUALS WHO MAY BE ABLE TO PROVIDE ADDITIONAL OR
SUPPORTING INFORMATION RELATING TO SUBJECT CONCERN: _____

METHOD TIME FRAME ESTABLISHED FOR CONTACTING THE INDIVIDUAL: _____

QUALITY REPRESENTATIVE ASSIGNED TO INVESTIGATION: _____

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QUALITY CONCERN DISCLOSURE STATEMENT

I _____ (Print Name) Badge Number _____

work group _____ acknowledge that:

(check one of the items below):

1. ☐ I know of no existing Quality problems which could 1) affect the safe operation of the Waterford 3 Generating Station and/or 2) affect the health and safety of site personnel at the Waterford 3 Generating Station or the general public.
2. ☐ The below Quality problems could in my opinion affect either 1) the safe operation of and/or 2) the health and safety of site personnel at the Waterford 3 Generating Station or the general public.

FOR INFORMATION ONLY

I certify that the above information
is true and accurate.

QT Signature

Employee/Contractor Signature

Date

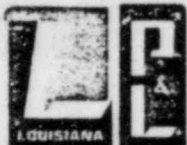
Company Name

Confidentially Requested?

[] Yes [] No

Date

LPL Q-41 (7/84)



MIDDLE SOUTH
UTILITIES SYSTEM

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QUALITY CONCERN DISCLOSURE STATEMENT RECEIVED

DATE _____

EMPLOYEE NAME _____

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QUALITY CONCERN DISCLOSURE STATEMENT RECEIVED

DATE _____

EMPLOYEE NAME _____

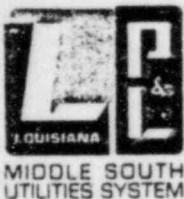
LPL Q-62 (7/84)

QUALITY CONCERN DISCLOSURE STATEMENT RECEIVED

DATE _____

EMPLOYEE NAME _____

LPL Q-62 (7/84)



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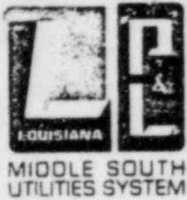
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TO: Employee
FROM: Quality Team Leader
SUBJECT: Future Contact or Quality Concern

Even though you completed the Louisiana Power & Light "Quality Concern Disclosure Statement" with no concerns, we would like to inform you that should you later have any quality concerns, feel free to call our hot line number 464-3200 or 1-800-621-TEAM and record your concern without disclosing your identity, if you so wish. Or you may consider contacting the NRC office on site at extension 3108 or Region IV offices in Arlington, Texas, at 1-817/860-3700.

Thank you for your cooperation.

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TO:

FROM: Quality Team Leader

SUBJECT: No Quality Concern Disclosure Statement Completed

Even though you elected not to sign the Louisiana Power & Light "Quality Concern Disclosure Statement," we would like to inform you that should you have any quality concerns, feel free to call our hot line number 464-3200 or 1-800-621-TEAM and record your concern without disclosing your identity if you so wish. Or you may consider contacting the NRC office on site at extension 3108 or Region IV offices in Arlington, Texas, at 1-817/860-8100.

Thank you for your cooperation.

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CONFIDENTIALITY AGREEMENT

I have information that I wish to provide in confidence to Louisiana Power & Light Company. I request an express pledge of confidentiality as a condition of providing this information to LP&L. I will not provide this information voluntarily to LP&L without such confidentiality being extended to me.

It is my understanding, consistent with its legal obligations, LP&L, by agreeing to this confidentiality, will adhere to the following conditions:

- (1) LP&L will not identify me by name or personal identifier in any LP&L initiated document, conversation, or communication released to the public which relates to the information provided by me. I understand the term "public release" to encompass any distribution outside of LP&L with the exception of other public agencies which may require this information in furtherance of their responsibilities under law or public trust.
- (2) LP&L will disclose my identity within LP&L only to the extent required for the conduct of LP&L related activities.
- (3) During the course of the investigation, LP&L will also make every effort consistent with investigative needs of LP&L to avoid actions which would clearly be expected to result in the disclosure of my identity to persons subsequently contacted by LP&L. As I have signed and understand that even though LP&L will make every reasonable effort to protect my identity, my identification could be compelled by orders or subpoenas issued by courts of law, hearing boards, or similar legal entities. In such cases, the basis for granting this promise of confidentiality and any other relevant facts will be communicated to the authority ordering the disclosure in an effort to maintain my confidentiality. If this effort proves unsuccessful, a representative of LP&L will attempt to inform me of any such action before disclosing my identity.

I also understand that LP&L will consider me to have waived my right of confidentiality if I should take any action that may be reasonably expected to disclose my identity. I further understand that LP&L will consider me to have waived my rights to confidentiality if I provide (or have previously provided) information or if circumstances indicate that I am intentionally providing false information to LP&L, this confidentiality will be deemed waived.

I have read and fully understand the contents of this agreement. I agree with its provisions.

Date

Name:

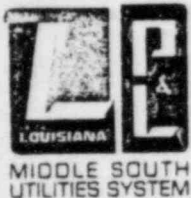
Address:

Agreed to on behalf of LP&L

Date

Signature

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Dear

LP&L has implemented the Quality Team Program in order to provide all employees at Waterford 3 a confidential avenue to disclose any quality concerns they may have. A part of the program requires that upon termination all personnel are requested to complete a Quality Concern Disclosure Statement. Our records indicate that you were not available to participate in the Quality Exit Interview.

A Quality Concern Disclosure Statement has been enclosed. Please complete it in accordance with the instructions on the back of the form and return it in the envelope provided. Although we would appreciate having your name and badge number on the Quality Concern Disclosure Statement for follow-up activities, it is not required. If you have any concerns or questions, please call us anytime at 464-3200 or in the state, toll free at 1-800-621-TEAM.

Thank you for your cooperation.

Sincerely,
Louisiana Power & Light Company

Quality Team Leader
Waterford 3 Generating Station



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QUALITY CONCERN LOG

☐ Quality Team Hot Line Log
☐ Quality Problem Investigation Log

QUALITY CONCERN FILE NO.	DATE/TIME RECEIVED	REC'D BY	ASSIGNED TO	REF'D TO	DATE RESOLVED	DATE F/U ACTION COMPLETE	DATE OF FEEDBACK TO CALLER	CLOSED DATE	NATURE OF CONCERN

FOR INFORMATION ONLY

LPL Q-64 (7/84)



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Dear

LP&L has implemented the Quality Team Program in order to provide all employees at Waterford 3 a confidential avenue to disclose any quality concerns they may have. A part of the program requires that upon termination all personnel are requested to complete a Quality Concern Disclosure Statement. Our records indicate that the program was not in place upon your termination from the Waterford 3 project. Therefore, you were unable to participate in the Quality Team exit process.

A Quality Concern Disclosure Statement has been enclosed. Please complete it in accordance with the instructions on the back of the form and return it in the envelope provided. Although we would appreciate having your name and badge number on the Quality Concern Disclosure Statement for follow-up activities, it is not required. If you have any concerns or questions, please call us anytime at 464-3200 or in the state, toll free at 1-800-621-TEAM.

Thank you for your cooperation.

Sincerely,
Louisiana Power & Light Company

Quality Team Leader
Waterford 3 Generating Station

FOR INFORMATION ONLY



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LP&L REQUEST FOR REPORTABILITY EVALUATION

1. Request No. _____
(Quality Team File #) (ID #, if reported)
2. Identification of Item Involved: _____
(Nomenclature, system, manuf., SN, Model, etc.)
3. Description of Problem (Attach related documents, photos, sketches, etc.)

4. Reason for Reportability: (Use supplemental sheets if necessary)

A. This design or construction deficiency, were it to have remained uncorrected could have affected adversely the safety of operations of the nuclear power plant at any time throughout the expected lifetime of the plant.

No ___ Yes ___ If Yes, Explain: _____

B. This deficiency represents a significant breakdown in any portion of the quality assurance program conducted in accordance with the requirements of Appendix B.

No ___ Yes ___ If Yes, Explain: _____

OR

C. This deficiency represents a significant deficiency in final design as approved and released for construction such that the design does not conform to the criteria bases stated in the safety analysis report or construction permit.

No ___ Yes ___ If Yes, Explain: _____

OR

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- D. This deficiency represents a significant deficiency in construction of or significant damage to a structure, system or component which will require extensive evaluation, extensive redesign, or extensive repair to meet the criteria and bases stated in the safety analysis report or construction permit or to otherwise establish the adequacy of the structure, system or component to perform its intended safety function.

No ☐ Yes ☐ If Yes, Explain: _____

OR

- E. This deficiency represents a significant deviation from performance specifications which will require extensive evaluation, extensive redesign, or extensive repair to establish the adequacy of the structure, system or component to perform its intended safety function.

No ☐ Yes ☐ If Yes, Explain: _____

IF ITEM 4A, AND 4B OR 4C OR 4D OR 4E ARE MARKED "YES," IMMEDIATELY HAND-CARRY THIS REQUEST AND SUPPORTING DOCUMENTATION TO THE LP&L OR SITE LICENSING REPRESENTATIVE, LOCATED IN BUILDING 467 (ex-EBASCO BUILDING).

This condition was identified by:

Sr. Quality Team Representative Phone Ext. _____

Quality Team Leader Phone Ext. _____

Acknowledgment of receipt by LP&L Onsite Licensing

Signed _____ Date _____ Time _____

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Investigate Plan for:

QT Representative:

File Closed

Date

"A" Code

Evaluation 50.55 (e)

ITEM
NO.

CONCERN

ACTION

INVESTIGATOR

SCHEDULE
DATE

COMPLETION
DATE

SUBSTANTIATED
YES NO

FOR INFORMATION ONLY

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QUALITY TEAM INVESTIGATION REPORT

SUBJECT:

ACTION ITEM #:

PERFORMED BY:

OBJECTIVE OF INVESTIGATION

DETAILS

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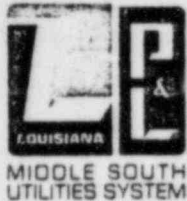
CONTINUATION SHEET

Page ____ of ____

QT INVESTIGATION REPORT FILE # _____

DETAILS

FOR INFORMATION ONLY



QUALITY ASSURANCE

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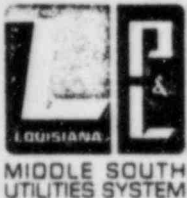
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FILE NO _____

QUALITY TEAM COMPLETED FILE CHECKLIST (7.12)

NO.	DOCUMENT TITLE	ATTCHING OF			
		NO	PAGES	INIT	DATE
1	QUALITY RECORD OF CONCERN	7.1			
2	QUALITY CONCERN DISCLOSURE STATEMENT	7.2			
3	CONFIDENTIALITY AGREEMENT	7.3			
4	REQUEST FOR REPORTABILITY EVALUATION (PREL)	7.4			
5	QUALITY TEAM INVESTIGATION PLAN (LATEST COMPLETED REVISION)	7.10			
6	QUALITY TEAM INVESTIGATION REPORT(S)	7.11			
7	REQUEST FOR REPORTABILITY EVALUATION (FINAL)	7.9			
8	QUALITY TEAM DEFICIENCY REPORT(S) (CLOSED)	7.18			
9	QUALITY CONCERN DISPOSITION FORM	7.13			
10	CLOSURE LETTER TO INDIVIDUAL (AS APPLICABLE)	7.14			
11	QUALITY CONCERN FINAL REPORT COVER LETTER	7.21			
12	TIME VOUCHER	-			
13	MISCELLANEOUS DOCUMENTS	-			
TOTAL NUMBER OF FILE PAGES					

* THIS ITEM TO BE CHECKED BY TEAM LEADER



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QUALITY TEAM QUALITY CONCERN DISPOSITION FORM

FILE #:

DATE OF PREPARATION:

CONCERN(S):

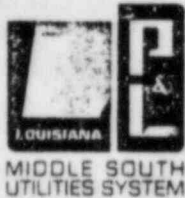
FINDING(S):

CORRECTIVE ACTION(S)
TAKEN:

CLOSURE STATEMENT:

FOR INFORMATION ONLY

LPL Q-69 (7/84)



QUALITY ASSURANCE

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Dear

The Quality Team has processed your concern(s) as indicated in the disposition section of this letter. If you have questions regarding the disposition or additional items of concern, please contact us on site at Trailer 181, extension 464-3200, toll free in the state at 1-800-621-TEAM.

We appreciate your interest in the Quality Effort at the Waterford 3 Generating Station.

Sincerely,

Quality Team Leader
Waterford 3 Generation Station

Enclosure

FOR INFORMATION ONLY



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QUALITY TEAM TRENDS

Date: / /

des (A) Categories Open Closed Substantiated Not Substantiated Under Investigation Hot Walk-In ROF/Termination

- 1. Unqualified Personnel
- 2. Procedural Violations
- 3. Harassment/Intimidation
- 4. Pipe Cleanliness Violation
- 5. Material Traceability
- 6. Defective Installation
- 7. Inadequate Procedures
- 8. Falsification of Records
- 9. Inadequate Training
- 10. Material Control
- 11. Inadequate Reporting
- 12. Document Control/Records
- 13. Inadequate Inspection
- 14. Audit, C/A
- 15. Safety (Industrial)
- 16. Inadequate Eng. Definition
- 17. Cost & Schedule/Management
- 18. Drugs
- 19. Program Breakdown
- 20. Security
- 21. Internal Communications

FOR INFORMATION ONLY

HOT:

OPEN:

CLOSED:

OCI:

OPEN:

CLOSED:

ORIGINAL FILES

* OPEN:

CLOSED:



QUALITY ASSURANCE

QUALITY TEAM OPERATING PROCEDURE

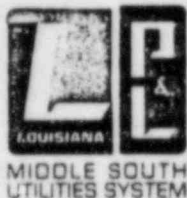
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EMPLOYEE
FILE #

CONCERNS WEEK ENDING

FOR INFORMATION ONLY

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TO: Senior Vice President - Nuclear Operations
FROM: Quality Team Leader
SUBJECT: Quality Team Monthly Status Report

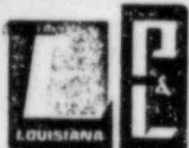
During the month of _____, Quality Team files have been opened involving _____ concerns.

cc: Project Manager
Corporate QA Manager

Attachment

FOR INFORMATION ONLY

LPL Q-73 (7/84)



MIDDLE SOUTH
UTILITIES SYSTEM

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QUALITY TEAM 'MONTHLY REPORT'

FILE NO.	DATE/TIME RECEIVED	CONCERN	STATUS
----------	--------------------	---------	--------

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LPL Q-74 (7/84)



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QUALITY TEAM DEFICIENCY REPORT

QT File: _____
QIDR No. _____
Date: _____

TO: _____ FROM: _____
Responsible Group Head Quality Team Leader
SUBJECT OF REPORT: _____
RESPONSIBLE DEPT./ORGANIZATION: _____
DEPT./ORGANIZATION REPRESENTATIVE CONTACTED: _____
REQUIREMENT: _____

FINDING: _____

() Corrective action to be documented () Response to include: corrective action
underlying cause, action to preclude recurrence.

Q Team Representative Signature: _____ Title: _____
Acknowledgement: _____

Please complete RESOLUTION or a schedule for completion below and return to Q Team
Representative within 14 calendar days of your acknowledgement.

cc: LPL Nuclear Operations QA Manager

RESOLUTION: _____

Group Head Signature _____ Date _____

RESOLUTION AND CORRECTIVE ACTION --- Acknowledgement of _____

Q Team Representative's Signature: _____ Date: _____

QIDR APPROVAL AND CLOSEOUT: _____

Quality Team Leader _____ Date: _____

cc: LPL Nuclear Operations QA Manager

LPL Q-75 (7/84)



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QUALITY TEAM DEFICIENCY REPORT (QTDR) LOG

SEQUENTIAL #	QT FILE #	SUBJECT	RESPONSIBLE GROUP HEAD	DATE SENT	DATE RETURNED
--------------	-----------	---------	------------------------------	--------------	------------------

FOR INFORMATION ONLY

LPL Q-76 (7/86)



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HOT LINE DAILY LOG

DATE

ENTRY

FOR INFORMATION ONLY



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Q-3-A35.01.15

TO: R. S. Leddick
Senior Vice President - Nuclear Operations

FROM: W. S. Schum
Quality Team Leader

SUBJECT: Transmittal of Quality Concern Final Report

The Quality Team has completed its investigation of the attached concern(s). The disposition section of the enclosed report is to inform you of the findings and actions taken as a result of this investigation.

Should you have any questions, please contact me.

Sincerely,

W. S. Schum
Quality Team Leader
Waterford 3 Generating Station

WSS/ga

Attachment

cc: D. E. Dobson
T. F. Gerrets
Project Files

Attachment 7.21