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Public Protection Notification

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**Information Request
Waterford, Unit 3
71130.02, 71130.09, and PIV
Inspection Date June 08-12, 2020**

Please provide the attached information to the inspection team for in-office inspection and review to arrive at our regional office no later than May 28, 2020. Items may be provided on disc, in hard copy, Certrec, or a combination. **NOTE: DO NOT forward any information on “flash drives” as current NRC procedures prohibit using this type of media unless issued by the NRC.** Please properly package and mark SGI materials. If you have NON-SGI procedures or materials that you can send via email, feel free to do so to christopher.jewett@nrc.gov, although the use of CERTREC is encouraged. Thank you in advance for your assistance.

Send to: **Attention, Christopher Jewett
U.S. Nuclear Regulatory Commission
1600 E. Lamar Blvd.
Arlington TX 76011-4511**

In an effort to keep the requested information organized please submit the information to us using the same lettering system below. For example, the first inspection procedure should be in a file/folder titled 1- A, Applicable organization charts in file/folder 1- B, etc.

General: All Security Event Log entries since **May 16, 2019**. Security Program Procedure indices for all procedures, instructions, desk-top guides, standing orders, etc. Please state what revision of your physical security plan you are currently using.

Document Request List

1. Access Control (71130.02) (effective date 01/01/2019)

- A. Corrective action report summaries that are any way associated with the Access Control program from **May 16, 2019 to the date you provide this information request**. Please provide any additional Access Control CRs that may be written after the delivery date while I am on site or through Certrec.
- B. Any Access Control policy, procedure, desk-top guide, or any other type of lower tier instruction type document that you may use, such as: search and entry programs, VA alarm response, personnel and vehicle escort programs, access portal equipment testing, etc.
- C. Current list of names who have UAA/UA to the PA. List of names who have UAA/UA to the VA. List of names who have been issued keys/codes to access control devices.
- D. Any Lock and Key policy, procedure, desk-top guide, or any other type of lower tier instruction type document that you may use for the Lock & Key program.
- E. Access Control Program related reviews, independent audits, or self-assessments since **May 16, 2019**.

LAST ITEM FOR 71130.02

2. Security Plan Changes (71130.09) (effective date 01/01/2020)

Please be prepared to provide the following items during the onsite inspection:

- A. The latest version of the Physical Security Plan.
- B. The security plan revision utilized **May 16, 2019** (last baseline inspection).
- C. All security plan changes that have been submitted to the NRC since **May 16, 2019**.
- D. Procedures associated with plan changes since **May 16, 2019**.
- E. Training material (i.e., lesson plans, briefing materials, computer-based training) developed to provide training to personnel responsible for implementing the plan change(s) since **May 16, 2019**.

LAST ITEM FOR 71130.09

3. Performance Indicator Verification (71151) (effective date 01/01/2017)

Please be prepared to provide sample information related to PP01: Protected Area Security Equipment Performance Index Sample

LAST ITEM