



**DEFENSE NUCLEAR FACILITIES
SAFETY BOARD**

WASHINGTON, D.C. 20004-2901

**OFFICE OF THE
INSPECTOR GENERAL**

April 27, 2020

MEMORANDUM TO: Glen Sklar
General Manager

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
COMPLIANCE UNDER THE DIGITAL ACCOUNTABILITY
AND TRANSPARENCY ACT (DATA ACT) (DNFSB-20-A-02)

REFERENCE: OFFICE OF THE GENERAL MANAGER
CORRESPONDENCE DATED MARCH 30, 2020

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in DNFSB's response dated March 30, 2020. Based on this response, recommendation 2 is now closed. Recommendation 1 remains open and resolved.

Please provide an updated status of the resolved recommendation by July 31, 2020.

If you have any questions or concerns, please call me at (301) 415-5915 or Terri Cooper, Team Leader, at (301) 415-5965.

cc: R. Howard, OGM

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014

DNFSB-20-A-02

Status of Recommendations

Recommendation 1:

Work with DNFSB's FSSP to correct the PIIDs for new obligations in its accounting system and to correct the mapping of certain data elements to ensure that data elements are in accordance with the data standards established by OMB and Treasury.

Agency Response Dated
March 30, 2020:

DNFSB does not add the Modification number to the PIIDs any more. Beginning September 2019 and thereafter DNFSB will create PIIDs that don't include the procurement instrument modification number. (Successful)

Note that DNFSB did NOT include the MOD numbers as part of the PIIDs it created in Symplicity, which our tracking system for obligations and outlays. We did make a transposition error entering the PIID 95314220P1027. The last four characters should have been P0127 to match the PIID assigned in the accounting system. We will also continue to remind the FSSP to correct instances of interest payments appearing as obligations in the C File until that problem is corrected. (Successful)

Although we did have this type of error in Q1 2020, there is evidence that we sent USDA an e-mail advising them of this error. Evidence of success will be to eliminate warnings caused by items in the C File but not the D1 file. Our goal is to achieve this by Q1 2020. (Not Successful)

DNFSB was not able to eliminate all C to D1 crossfile warnings in Q1 2020. There were several categories and types of errors created within the aforementioned files and not all were related to modifications being added to PIID. As mentioned, some errors were related to interest payments, others were due to FPDS data entry errors, and some errors were due to the FSSP incorrectly entering certain accounting transactions. DNFSB is currently working with the FSSP to correct the issues related to their process and the FPDS issues have been cleared up.

This process is on-going but an anticipated completion date for USDA is 5/1/2020 for Quarter 1 FY 2020.

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014

DNFSB-20-A-02

Status of Recommendations

Recommendation 1(cont.):

OIG Analysis:

The proposed corrective action meets the intent of this recommendation. OIG will close the recommendation when DNFSB provides documentation showing they have worked with FSSP to correct the process issues and ensure that data elements are in accordance with the data standards established by OMB and Treasury.

Status:

Open: Resolved.

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014

DNFSB-20-A-02

Status of Recommendations

Recommendation 2: Perform an effective quality control review of the data linkages in the files received from the FSSP aimed at identifying root causes of warning and errors, if any, prior to the SAO certification.

Agency Response Dated
March 30, 2020:

The EXCEL file embedded below as supporting documentation provides the root causes of each warning received for Q1 2020 in Column K. These root causes were recorded in the SAO's certification for that quarter.

We request closure of this recommendation based on the supporting documentation provided.

OIG Analysis:

The corrective action meets the intent of this recommendation. OIG reviewed the Excel file and determined an effective quality control review of the data for Q1 2020, including identifying root causes of warnings and errors, was performed prior to SAO certification. Therefore, this recommendation is considered closed.

Status:

Closed.