



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555

June 29, 1994

OFFICE OF THE
SECRETARY

NOTE TO: Jim Blanton, IRMB

FROM: Sam Walker, SECY *SW*

SUBJECT: Curtiss Papers

As I mentioned to you on the phone, I am sending four boxes of papers from the office of former Commissioner Curtiss. They are a continuation of the Curtiss Papers that were placed on the NUDOS system last winter. They should be filed under "Commission Correspondence." Advance copies of these documents have been sent to the PDR.

I have enclosed a list of the documents included in the four boxes. Generally, the items in the boxes are those marked with an "X" on the lists, though on occasion I have written in or made new lists of items that weren't on the original list. I have checked to make certain that the lists accurately reflect the contents of the boxes.

If you have any questions please call me at 504-1965. Thanks.

9408030066 940629
PDR COMMS NRCC
CORRESPONDENCE PDR

2403

Box 1

Box 1

Boxes 226-232
X

ADVANCED REACTORS - SRMs & SECY PAPERS
VOLUME I

Public / Nonpublic

- No -1. 12/7/83 SRM to E. Zerbe, Office of Policy Evaluation on Discussion of NRC Regulatory Policy for Advanced Reactors
- NO-2. 1/26/84 Memo to Commission from Zerbe
-- Subject: Regulatory Policy for Advanced Reactors
- Yes-3. 2/22/85 SRM to W. Dircks, EDO & H. Plaine, GC from S. Chilk, SECY on Staff Briefing on Standard Design Process of 2/7/85 ACN: 8502280443
- NO-4. 3/26/85 Ltr to The Honorable Simpson from Kammerer concerning a NRC "Policy Statement" relating to plans for minimizing the complexity and uncertainty in the regulatory process for power reactor designs that are significantly different from present generation of light-water cooled power reactors
- Yes-5. 3/26/85 Federal Register Notice - 10 CFR Part 50 - Proposed Policy for Regulation of Advance Nuclear Power Plants
- NO-6. 6/10/85 SECY-85-205 -- Antitrust Issues Related to Standardization
- Yes-7. 7/85 NUREG-1070 -- NRC Policy on Future Reactor Designs
ACN: 8508150036
- Yes-8. 6/23/86 SRM to V. Stello, EDO & J. Zerbe, OPE from S. Chilk, SECY on Affirmation/Discussion on Vote of 5/1/86 on SECY-85-279 ACN: 8606260367
- Yes- 10/16/85 Ltr to Honorable Palladino from D. Ward, ACRS
-- Subject: ACRS Comments on the Proposed NRC Advanced Reactor Policy Statement ACN: 8510250408
- NO- 10/10/85 Memo to Commissioner Bernthal from S. Chilk, SECY
-- Subject: Chairman's 12/1/85 Proposed Revisions to Advanced Reactor Policy Statement - SECY-85-279
- NO- 3/26/86 Memo to Commission from S. Chilk, SECY
-- Subject: SECY-85-279 - Revised Advanced Reactor Policy Statement
- Yes-9. 12/10/86 SECY-86-368 - NRC Activities Related to the Commission's Policy on the Regulation of Advanced Nuclear Power Plants ACN: 8702240156
- Yes- 5/27/87 Memo for the Commission from V. Stello, EDO
-- Subject: Plans for Review of DOE Sponsored Advanced Reactor Concepts (refers to SECY-86-368) ACN: 8707020066

[AR-LST.PAW]

- Yes-10. 2/17/87 Memo to Commission from V. Stello, EDO
-- Subject: Integration of Source Term and Severe Accident Programs (Reference: SRM, "Briefing in Advance of Publication of Draft NUREG-1150 (Source Term) on 11/19/86) ACN: 8706030119
- Yes-11. 2/26/87 SRM to the Record from S. Chilk, SECY
-- Subject: Briefing on Advanced Reactor Designs on 2/12/87 ACN: 870303604
- Yes-12. 6/9/87 Ltr to Chairman Zech from W. Kerr, ACRS
-- Subject: ACRS Comments on Draft NUREG-1226, "Development and Utilization of the NRC Policy Statement on the Regulation of Advanced Nuclear Power Plants" ACN: 8706180248
- No 13. 6/17/87 COMKC-87-1 Memo for Commission from K. Carr
-- Subject: Plans for Review of DOE Sponsored Advanced Reactor Concepts
No- 07/09/87 Memo to Commissioner Carr from S. Chilk, SECY
-- Subject: Plans for Review of DOE Sponsored Advanced Reactor Concepts (COMKC-87-1)
- No-14. 3/9/88 Memo to Commission from S. Chilk, SECY
-- Subject: SECY-88-42 - NUREG 1225, "Development and Utilization of the NRC Policy Statement on the Regulation of Advanced Nuclear Power Plants"
Yes- 5/12/88 Memo for V. Stello, EDO from S. Chilk, SECY
-- Subject: Same as above ACN: 8810250520
No- 4/8/88 Memo to Commission from V. Stello, EDO
-- Subject: Same as above
No- 3/21/88 Memo for W. Kerr, ACRS from Commissioner Bernthal
-- Subject: Proposed Staff Draft NUREG Guide for Implementation of Advanced Reactor Policy Statement (SECY-88-42)
Yes- 6/88 NUREG-1226 - Development and Utilization of NRC Policy Statement on the Regulation of Advanced Nuclear Power Plants ACN: 8807070437
- Yes 15. 7/20/88 Ltr to Chairman Zech from W. Kerr, ACRS
-- Subject: Report on Key Licensing Issues Associated with DOE Sponsored Reactor Design ACN: 8808020056

ADVANCED REACTORS - SRMs & SECY PAPERS
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- NO-16. 8/10/88 Memo for W. Parler, GC from S. Chilk, SECY
-- Subject: SECY-88-169 - Rulemaking on Standardization
and Licensing Reform
NO- Rulemaking on Standardization & Licensing Reform
Yes- 8/23/88 Federal Register Notice -- 10 CFR Part 52
- NO17. 8/15/88 Ltr to V. Stello, EDO from D. Bunch, DOE re a
Selection between the Sodium Advanced Fast Reactor (SAFR)
and the Power Reactor Inherently Safe Module (PRISM) for
continued Department Support for the next 3 years
- Yes18. 8/17/88 Ltr to T. Garrish, DOE from V. Stello, EDO re
the review of 3 Advanced Reactor Conceptual designs:
MHTGR, PRISM, and SAFR ACN: 8905220059
- Yes19. 9/16/88 Ltr to V. Stello, EDO from T. Garrish, DOE re
ltr dated 8/17/88 ACN: 8810030212
- Yes20. 10/3/88 Memo to Commission from V. Stello, EDO
-- Subject: Containment Issue for the MHTGR ACN: 8905220063
- Yes21. 10/25/88 Memo to Commission from V. Stello, EDO
-- Subject: Meeting with DOE on MHTGR Containment
ACN: 8905220064
- ✓ No22. X 11/14/88 Memo for V. Stello, EDO from S. Chilk, SECY
-- Subject: SECY-88-202 -- Standardization of Advanced
Reactor Designs and SECY-88-203 -- Key Licensing Issues
Associated with DOE Sponsored Advanced Reactor Designs
- ✓ X NO- 8/18/88 Memo to Commission from V. Stello, EDO
-- Subject: Commission Action on the Key Licensing and
Standardization Issues Associated w/the DOE Advanced
Reactor Concepts (SECY-88-202 & SECY-88-203)
- Yes- 8/30/88 SRM to the Record from S. Chilk, SECY re
Briefing on Key Licensing Issues Associated w/DOE
Sponsored Advanced Reactor Designs ACN: 88091010121
- ✓ X NO- 9/19/88 COMLZ-88-30 Memo to V. Stello from S. Chilk,
SECY
-- Subject: SECY-88-202 & SECY-88-203
- NO- 11/21/88 Inside NRC -- Containment Issue Halts Review
of DOE-Sponsored Advanced Reactors
- ✓ X NO- 12/11/89 Memo to Commission from J. Taylor, EDO
-- Subject: SECY-88-202 & SECY-88-203

No 23 ~~X~~ 4/5/89 Memo to Commission from Chairman Zech
-- Subject: Final Rulemaking on 10 CFR Part 52, Early Site Permits, Design Certifications, and Combined Licenses (COMLZ-89-15)

No 24. ~~X~~ 4/5/89 SRM to the Record from S. Chilk, SECY
-- Subject: Staff Requirement -- SECY-88-248 - Implementation of the Severe Accident Policy for Future Light Water Reactor

No 12/1/88 Memo to Commission from V. Stello, EDO
-- Subject: Implementation of Severe Accident Policy for Evolutionary LWR Designs

~~No 12/13/88 Note to Commission Curtiss from K. Connaughton~~

~~-- Subject: SECY-88-248~~

No 12/28/88 Memo to Commission from V. Stello
-- Subject: SECY-88-248

Yes 25. 1/19/89 SECY-89-013 -- Design Requirements Related to the Evolutionary Advanced Light Water Reactors (ALWRs)
ACN: 8901300396

Yes 25.1 2/10/89 SRM to V. Stello, EDO from S. J. Chilk, SECY
-- Subject: Briefing on the Progress of GE Advanced BWR Standard Plant Review on 1/24/89 ACN: 8902160296

Yes 26. *Curtiss Comments on SECY 89-036;*
4/21/89 SRM to V. Stello, EDO & W. Parler, GC on Affirmation of 4/7/89 on SECY-89-036: Rulemaking on Early Site Permits, Design Certifications, & Combined Licenses ACN: 8904270438

~~No 3/21/89 Ltr to Curtiss from Rep. Pashayan, *Curtiss Comments on*~~

Yes 27. 5/22/89 SRM to V. Stello, EDO from S. Chilk, SECY
-- Subject: SECY-89-104 -- Assessment of Future Licensing Capabilities ACN: 9001080087

Yes 28. 5/10/89 SECY-89-153 -- Severe Accident Design Features of the Advanced Boiling Water Reactor (ABWR) ACN: 8905750134

~~X~~ NO 29. 6/9/89 SECY-89-178 -- Policy Statement Integration

ADVANCED REACTORS - SRMs & SECY PAPERS
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- X No-30. 6/29/89 SECY-89-195 -- Future Licensing Capabilities
- X No-31. 7/11/89 Memo to Chairman Carr from Commissioner Curtiss
-- Subject: Commission Meeting -- EPRI Design Requirements Document
- X No-32. 7/13/89 Memo to S. J. Chilk, SECY from Commissioner Curtiss -- Subject: SECY-89-197 -- "Issuance of Draft SER for Power Reactor Inherently Safe Module (PRISM)" -- requests additional information (See Tab 33)
- X No-33. 7/17/89 Memo to Victor Stello, EDO from Samuel J. Chilk, SECY -- Subject: SECY-89-197 - Issuance of DRAFT SER for Power Reactor Inherently Safe Module PRISM -- transmits JRC memo of 7/13/89 (See Tab 32)
No - • 8/14/89 Memo to Commission Curtiss from J. Taylor, EDO -- Subject: SECY-89-197
- Yes-34. 7/31/89 SRM to J. M. Taylor, EDO & Raymond F. Fraley, ACRS from S. J. Chilk, SECY -- Subject: Briefing on the Application of the Severe Accident Policy to the Lead Application for Advanced Light Water Reactors
ACN: 8908070261
- X No-35. 8/24/89 Memo to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: SECY-89-228 - Draft Safety Evaluation Report on Chapter 5 of the ALWR Requirements Document
- X No-36. 9/12/89 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: SECY-89-197 Issuance on Draft Safety Evaluation Report (SER) for the Power Reactor Inherently Safe Module (PRISM)
- No-37. 10/24/89 Memo to Commissioner Curtiss from W. Parler, GC -- Subject: Financial Qualification of Applicants for Combined Licenses Under Part 52 (SECY-89-305)
- Yes-37.1 11/8/89 SRM to the Record from S. J. Chilk, ACRS -- Subject: Briefing by General Electric on the Advanced BWR Standard Plant Review on 11/1/89 ACN: 8911040364
- Yes-38. 12/15/89 SRM to J. M. Taylor, EDO & C. Michelson, ACRS from S. J. Chilk, SECY -- Subject: SECY-89-311 - Resolution Process for Severe Accident Issues on Evolutionary LWRs ACN: 8901110166
- Yes-39. 12/15/89 SRM to J. M. Taylor, EDO & C. Michelson, ACRS from S. J. Chilk, SECY -- Subject: SECY-89-334 - Recommended Priorities for Review of Standard Plant Designs ACN: 8901110171

- Yes-40. 2/13/90 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: 1/9/90 Briefing on Status of Development of
Updated Source Term 9106170263
- X No-41. 2/27/90 Memo to J. M. Taylor, EDO from Chairman K. M.
Carr -- Subject: Staff Interaction with EPRI on Policy
Issues Associated with the EPRI Advanced Light-Water
Reactor Requirements Document [Ref: CR-90-30]
- X No-42. 3/2/90 Memo to J. M. Taylor, EDO from Commission Curtiss
-- Subject: Questions Concerning Draft SECY Paper -
"Evolutionary and Passive Advanced Light Water Reactor
Resources and Schedules"
- Yes-43. 3/29/90 Memo to J. M. Taylor, EDO from S. Chilk, SECY
-- Subject: SECY-90-060 -- Update of Licensing Forecast
for Five Year Plan ACN: 9004230246
- Yes-44. 3/7/90 SECY-90-065 -- Evolutionary and Passive Advanced
Light Water Reactor Resources and Schedules ACN: 9003150182
- Yes-45. 4/6/90 SECY-90-133 -- Prototype Requirement for CANDU 3
Design ACN: 9004190022
- Yes-46. 4/18/90 SECY-90-139 -- Response to Westinghouse Electric
Corporation on Design Certification for AP600 ACN: 9004230555
- Yes-47. 5/22/90 SRM to J. M. Taylor, EDO & W. C. Parler, GC from
S. J. Chilk, SECY -- Subject: 5/3/90 Briefing on
Evolutionary LWR Certification Issues & Related
Regulatory Requirements (continued from 4/27), (SECY-90-
016) (See Tab 52) ACN: 9009100176
- Yes-48. 5/31/90 Letter to R. J. Slember, Westinghouse from J. M.
Taylor, EDO -- responds to Slember ltr. of 4/2/90
regarding AP600 LRB document and ALWR Utility
Requirements Document ACN: 9006070323
- Yes-49. 6/6/90 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -
- Subject: SECY-90-146 - Evolutionary & Passive Advanced
Light Water Reactor Resources & Schedules (See Tab 51)
ACN: 9006220315
- Yes-50. 6/15/90 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: SECY-89-102 - Implementation of the Safety
Goals ACN: 9007090094
- Yes-51. 6/22/90 Revised SRM to J. M. Taylor, EDO from S. J.
Chilk, SECY -- Subject: SECY-90-146 - Evolutionary &
Passive Advanced Light Water Reactor Resources &
Schedules (See Tab 49) ACN: 9007020004

- yes-52. 6/26/90 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: SECY-90-16 - Evolutionary LWR Certification
Issues and Their Relationships to Current Regulatory
Requirements (See Tab 47) ACN: 9007160079
- yes-53. 8/17/90 Letter to Wm. H. Young, DOE from Chairman Carr
responding to 7/16/90 Young letter regarding the need for
operation of new nuclear power plants ACN: 9008280357
- X No-54. 8/22/90 SRM to J. M. Taylor, EDO & W. C. Parler, GC from
S. J. Chilk, SECY -- Subject: 7/18/90 Briefing on
Essentially Complete Design Issue for Part 52 Submittals
(SECY-90-241)

ADVANCED REACTORS - SRMs & SECY PAPERS
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Yes55. 9/12/90 Letter to Sen. Johnston (Appropriations) from Chairman Carr transmits NRC's report concerning schedules and resources for design certification reviews by the NRC as requested in the Committee's Report on H.R. 5019 (S. Rep. No. 101-378, 101st Congress, 2d Sess, July 14, 1990 at 199) *9009270083*

Yes56. 10/2/90 SRM to J. M. Taylor, EDO & W. C. Parler, GC from S. J. Chilk, SECY -- Subject: Support for Reviews of CANDU 3 and PIUS Designs (Ref: SECY-90-055, SECY-90-067, COMKR-90-004, COMJC-90-006, and Collegial Discussion of 8/16/90) *ACN: 9010260084*

Yes57. 8/16/90 SECY-90-291 -- Status Report on Advanced Reactor Reviews *ACN: 9008270112*

✓ X NO 57.1. 9/13/90 Memo for Commission Curtiss from H. Denton, OIP -- Subject: Level of Detail for Review of Convoy Plants

Yes58. 9/20/90 SECY-90-329 -- Comparison of the General Electric Advanced Boiling Water Reactor (ABWR) Design and the Electric Power Research Institute's (EPRI's) Advanced Light Water Reactor (ALWR) Requirements Document *ACN: 9009270107*

✓ X NO59. 10/29/90 SRM to J. M. Taylor, EDO & W. C. Parler, GC from S. J. Chilk, SECY -- Subject: COMKC-90-018 - NEPA Reviews for Design Certification

✓ X NO60. 11/16/90 Memo to C. Michelson, ACRS from J. M. Taylor, EDO -- Subject: Update on Staff Activities for Safety Goal Implementation

Yes61. 11/23/90 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: SECY-90-362 - Staff Comments on the Continuing Need for a License Review Basis Document for Each Passive Design *ACN: 9012240013*

✓ X Yes62. 2/15/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: SECY-90-377 - Requirements for Design Certification Under 10 CFR Part 52 *ACN: 9102220067*

NO 11/26/90 Ltr to Chairman Carr from B. Wolfe, GE Nuclear Energy

NO 11/28/90 Ltr to Chairman Carr from J. Yasinsky, Westinghouse Electric Corporation

NO 11/30/90 Memo to J. M. Taylor, EDO from K. Rogers

NO 12/4/90 Memo to J. M. Taylor, EDO from F. Remick

NO 12/4/90 Ltr to Chairman Carr from S. Brewer, ABB Combustion Engineering Nuclear Power

NO 12/7/90 Memo to J. M. Taylor, EDO from J. Curtiss

NO 12/7/90 Suggested Questions

except last item, OGC Position

yes

9012180250

Include "No"
items on list,
#62

62. YES 12/10/90 Ltr to Chairman Carr from C. Michelson, ACRS
(cont.) NO 12/11/90 Memo to J. M. Taylor, EDO & W. C. Parler, GC
from K. Rogers

NO 12/20/90 Ltr to J. M. Taylor, EDO from D. Shiflett,
AECL Technologies

NO 12/21/90 Ltr to Chairman Carr from B. Lee, NUMARC

NO 12/27/90 Ltr to Chairman Carr from J. Watkins, The
Secretary of Energy

YES 12/28/90 Memo to the Record from S. Chilk, SECY re
Briefing by NUMARC on SECY-90-377 ACN-1101030206

NO 12/31/90 Memo to Commissioner Curtiss from J. M.
Taylor, EDO -- Subject: Response to Memo to J. Taylor
from J. Curtiss concerning SECY-90-377

NO 01/02/91 Memo to Commissioner Remick from J. M.
Taylor, SECY -- Subject: Response to Memo to J. Taylor
from F. Remick concerning SECY-90-377

NO 01/02/91 Information from Mark Rawden

YES 01/09/91 Memo to J. M. Taylor, EDO from S. Chilk, SECY 910110313

NO 01/15/91 Memo to Commissioner Rogers from J. M.
Taylor, EDO

NO 01/16/91 Note to J. Gray from M. Malsch

YES 1/18/91 Ltr to Chairman Carr from B. Lee, NUMARC 9102050031

YES 1/28/91 Memo to Commissioner Curtiss from J. M.
Taylor, EDO 9102060261

NO Memo to Commissioner Curtiss from K. Connaughton

NO Suggested Questions for GE re Level of Design Detail

NO OGC Position on Staff's Proposal on Level of Design
Detail in SECY-90-377

63. YES 2/19/91 Letter to Sen. Johnston (Approp.) from Chairman
Carr transmits 2/15/91 SRM as follow up to 9/12/90 NRC
letter responding to S. Rep. No. 101-378 9103060382

64. YES 3/5/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -
- Subject: SECY-90-353 - Licensing Review Basis Document
for the Combustion Engineering, Inc. System 80+
Evolutionary LWR 9104030279

NO 8/24/90 Memo to J. Guttman from J. Blaha

NO 11/9/90 Memo to Chairman Carr from J. M. Taylor, EDO

YES 11/14/90 Ltr to Chairman Carr from C. Michelson, ACRS 901120020

YES 1/11/91 Memo to D. Ward, ACRS from J. M. Taylor, EDO 9101170231

NO 1/15/91 Memo to Chairman Carr from J. M. Taylor, EDO

ADVANCED REACTORS - SRMs & SECY PAPERS
VOLUME V

- yes 65. 3/19/91 SECY-91-074 -- Prototype Decisions for Advanced Reactors Designs ACN: 9103250258
66. yes- 4/9/91 SRM to J. M. Taylor, EDO from S. J. Child, SECY -
- Subject: SECY-91-041 - Early Site Permit Review
Readiness ACN: 9104300366
- ✓ X No- • 10/29/90 Memo to Commission Curtiss from J. M. Taylor, EDO -- Subject: Early Site Permit Applications Under Part 52
- yes- • 4/7/93 Memo to Chairman from J. M. Taylor, EDO -- Subject: Followup on Industry Plans for Submitting an Early Site Permit Application 930600082
67. 6/28/91 SRM to J. M. Taylor, EDO & W. C. Parler, GC from S. J. Chilk, SECY -- Subject: 6/12/91 Briefing on Progress of Design Certification Review and Implementation (SECY-91-161) 9107060183
- yes- • 7/30/91 Letter to Sen. Johnston (Approp.) from Chairman Selin transmits SECY-91-161 and provides further follow up to NRC letters of 9/12/90 & 2/19/91 (S. Rep. No. 101-378) 9108050299
- yes- • 9/18/91 Memo to Commission from J. M. Taylor -- Subject: Milestones and Critical Paths for Reviewing Advanced Reactors 911030208
68. 8/2/91 SECY-91-235 -- Draft Safety Evaluation Report on the General Electric Boiling Water Reactor Design Covering Chapters 1, 3, 9, 10, 11, and 13 of the Standard Safety Analysis Report 9108090149
- yes- 69. 8/15/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: SECY-91-078 - Chapter 11 of EPRI's Requirements Document and Additional Evolutionary LWR Certification Issues 9109130212
70. 8/29/91 Memo to the Record from S. Chilk, SECY re Briefing on Program for Inspections, Tests, Analyses, and Acceptance Criteria for Advanced Reactors (SECY-91-178 & SECY-91-210) 9109060154
- yes- • 6/12/91 SECY-91-178 -- Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) for Design Certifications and Combined Licenses 9106200293
- No- 10/1/91 Ltr to S. Chilk, SECY from W. Rasin, NUMARC re SECY-91-178 and SECY-91-210
- yes- • 7/16/91 SECY-91-210 -- Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) Requirements for Design Review and Issuance of a Final Design Approval (FDA) 9107240112
- yes- 8/14/91 Memo to Commissioner Remick from J. M. Taylor, EDO

11/63 not in due binder

70. ~~No~~- 8/15/91 Memo to Commissioner Remick from W. Parler, GC
(cont.)
X ~~Yes~~- 9/30/91 Ltr to D. Ward, ACRS from J. M. Taylor, EDO 9116450191
71. ~~Yes~~- 9/16/91 Memo to Chairman from J. M. Taylor, EDO
-- Subject: Preapplication Reviews of Advanced LWR Designs (SECY-91-239)
72. ~~Yes~~- 9/16/91 SECY-91-292 -- Digital Computer Systems for Advanced Light Water Reactors 9109600242
73. ~~Yes~~- 9/18/91 SECY-91-294 -- Draft Safety Evaluation Report on the General Electric Boiling Water Reactor Design
Covering Chapter 7 of the Standard Safety Analysis Report 911018008
X ~~No~~- 9/24/91 Memo to S. Chilk, SECY from Commission Curtiss
74. ~~Yes~~- 9/25/91 SECY-91-304 -- NRC Conference on Advanced Light Water Reactors 9110040124
75. ~~Yes~~- 9/24/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: SECY-91-178 - Inspections, Tests, Analyses, & Acceptance Criteria (ITAAC) for Design Certifications & Combined Licenses 911008031
76. ~~Yes~~- 10/23/91 Ltr to S. Chilk, SECY from G. Bockhold, EPRI re SECY-91-226 9201090138
77. ~~Yes~~- 10/25/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: SECY-91-229 - Severe Accident Mitigation Design Alternatives for Certified Standard Designs 9111190082
78. ~~No~~- 11/1/91 Letter to Wm. H. Young, DOE from T. E. Murley, NRR responding to DOE's 9/23/91 Letter which provided DOE's views regarding the ALWR schedules & their importance to meeting the goals of the National Energy Strategy (NES)
79. ~~Yes~~- 11/7/91 SRM to J. M. Taylor, EDO, W. Parler, GC, & D. Ward, ACRS from S. Chilk, SECY
-- Subject: 10/17/91 Briefing on Staff Recommended Course of Action on Adhering to 10n CFR Part 52 9111210215
80. ~~Yes~~- 11/12/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: 10/29/91 Briefing on Status of Advanced Reactor Programs 9111250190
81. ~~Yes~~- 11/13/91 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY
-- Subject: SECY-91-346 - Organization Realignment of the Office of Nuclear Reactor Regulation 911210237

82. *yes* - 1/28/92 SRM to J. M. Taylor, EDO and W. Parler, GC from S. J. Chilk, SECY -- Subject: SECY-91-262 - Resolution of Selected Technical and Severe Accident Issues for Evolutionary Light Water Reactor Designs 9202/20369
No - • 2/28/92 Memo to Commission from J. M. Taylor, EDO and W. Parler, GC re response to 1/28/92 SRM on SECY-91-262 (8/19/92)
83. *yes* - 1/19/92 SECY-92-053 -- Use of Design Acceptance Criteria During 10 CFR Part 52 Design Certification Reviews 9202050257
84. *yes* - 2/28/92 SECY-92-070 -- Staff Comparison of ACRS-Proposed Criteria to Accommodate Severe Accident in Advanced Light Water Reactor Containment Designs with Related Criteria Proposed by Industry (M910607A) 9203050268
85. *yes* - 3/10/92 Ltr to D. Ward, ACRS from J. M. Taylor, EDO -- Subject: Use of Design Acceptance Criteria During 10 CFR Part 52 Design Certification Review 9203190325
86. *yes* - 3/17/92 SECY-92-092 -- The Containment Performance Goal, External Events Sequences, and the Definition of Containment Failure for Advanced Light Water Reactors 9203230143
87. *yes* - 4/15/92 SECY-92-134 -- NRC Construction Inspection Program for Evolutionary and Advanced Reactors Under 10 CFR Part 52 9204200254
No - • 8/10/92 Ltr to S. Chilk, SECY from W. Rasin, NUMARC
88. *yes* - 4/16/92 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: 04/03/92 Briefing by ABB/CE on Status of Systems 80+ Application for Design Certification 9204200072
89. *No* - 4/16/92 Memo to W. C. Parler, GC from Commissioner Curtiss -- Subject: Testing Related to Advanced Reactor Design Reviews
90. *yes* - 4/21/92 SRM to J. M. Taylor, EDO & W. C. Parler, GC from S. J. Chilk, SECY -- Subject: SECY-92-037 - Need for NRC-Sponsored Confirmatory Integral System Testing of the Westinghouse AP600 Design 9205260107
No - • 5/13/92 Memo to Commission from W. Parler, GC refer to ltr on 4/21/92
91. *yes* - 5/8/92 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: 4/21/92 Briefing on Progress on Design Certification Review & Implementation 9205200028/

ADVANCED REACTORS - SRMs & SECY PAPERS
VOLUME VI

- YES 92. 5/8/92 SECY-92-170 -- Rulemaking Procedures for Design Certification 9205260120
YES • 8/19/92 Ltr to S. Chilk, SECY from J. Colvin, NUMARC 9208310077
- YES 93. 5/28/92 SECY-92-196 -- Development of Design Acceptance Criteria for the Advanced Boiling Water Reactor 9206040228
- YES 94. 6/5/92 SECY-92-209 -- Recovery of Costs for Review of Advanced Reactor Designs Submitted by the Department of Energy 9206160222
- YES 95. 6/5/92 SECY-92-211 -- NRC Confirmatory Integral System Testing for the General Electric SBWR Design 9206160229
- YES 96. 6/11/92 SECY-92-214 -- Development of Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) for Design Certifications 9206160378
YES • 8/26/92 Ltr to S. Chilk, SECY from W. Rasin, NUMARC 9212070054
- YES 97. 6/16/92 Ltr to Chairman Selin from D. Ward, ACRS
- Subject: Interim Report on the Use of Design Acceptance Criteria in the Certification of the GE Nuclear Energy Advanced Boiling Water Reactor Design 9206240404
- YES 98. 7/14/92 Ltr to D. Ward, ACRS from J. M. Taylor, EDO
-- Subject: Interim Report on the Use of Design Acceptance Criteria in the Certification of the GE Nuclear Energy Advanced Boiling Water Reactor 9207270217
- YES 99. 8/18/92 SECY-92-287 -- Form and Content for a Design Certification Rule 9208260130
NO • 9/9/92 Memo to W. Parler, GC & J. M. Taylor, EDO from Commissioner Curtiss
- YES 100. 8/24/92 SECY-92-294 -- Acceptance Review of the Westinghouse Electric Corporation's Application for Final Design Approval and Design Certification for the AP600 Design 9208280003
(Ref: SECY-89-013 - TAB 25 & SRM 7/31/89 - TAB 34; SECY-92-053 - TAB 83 & SECY-92-196 - TAB 93; SECY-90-016 - TABS 47 & 52 & DRAFT 2/20/92 - TAB 100 & 6/25/92 - TAB 100 Commission papers; SECY-91-229 - TAB 77; 12/15/89 SRM - TAB 38; and SRMs 2/15/91 - TAB 62 & 3/5/91 - TAB 64)
• 10/29/91 To OCM/TA's from J. Blaha re Design Acceptance Criteria

NO

ADVANCED REACTORS - SRMs & SECY PAPERS
VOLUME VII

- YES 101. 8/27/92 SECY-92-299 -- Development of Design Acceptance Criteria for the Advanced Boiling Water Reactor in the Areas of Instrumentation and Controls and Control Room Design 9209030234
- YES 102. 9/22/92 SECY-92-327 -- Reviews of Inspections, Tests, Analyses, and Acceptance Criteria for the General Electric Advanced Boiling Water Reactor 9209290305
- YES 103. 9/30/92 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: 9/8/92 Briefing on Advanced & Evolutionary Reactor Topics: Form and Content for a Design Certification Rule and Follow-up to SECY-90-016 (See Tabs 47 & 52) (SECY-92-287 See Tab 99) 9210060456
- YES 104. 12/3/92 SRM to W. C. Parler, GC from S. J. Chilk, SECY - Subject: Briefing on Rulemaking Procedures for Design Certification Under Part 52 (SECY-92-381) 9212070126
- YES
NO
104 X
• 1/13/93 Ltr to S. J. Chilk, SECY from J. Colvin, NUMARC re NUMARC Comments on SECY-92-381 9302020134
• 04/30/93 Memo to William Parler, General Counsel from Samuel J. Chilk, SECY--Subject: SECY-92-381 - Rulemaking Procedures for Design Certification
- YES 105. 12/7/92 SRM to J. M. Taylor, EDO from S. J. Chilk, SECY -- Subject: Briefing on Progress of Design Certification Review and Implementation 9212210370
- YES 106. 12/15/92 SRM to W. C. Parler, GC from S. J. Chilk, SECY -- Subject: Affirmation/Discussion and Vote on 12/8/92 (SECY-92-368 & SECY-92-376) 9212220377
- YES 107. 12/21/92 Letter to Ivan Selin from Joe F. Colvin, NUMARC providing their answer to Ivan Selin's request for specific examples of changes to NRC regulations and regulatory process that the industry believes were appropriate based upon the industry's knowledge and experience in the operation and management of commercial nuclear power plants and the maturity of the nuclear technology. 9301080161
- 10-90
107 X
YES 108. NUMARC 90-13, "Nuclear Power Plant Program Improvement" 12/23/92 Letter to J. F. Colvin, NUMARC thanking him for his views/review of NRC regulations and the regulatory process. 9301080161
- YES 109. 02/18/93 SECY-93-041 - Advanced Boiling Water Reactor (ABWR) Review Schedule 9302250297

- ✓ X
110. NO 04/01/93 Memo to Commissioners from J. M. Taylor, EDO
Subject: Review of the Draft Commission Paper, "10 CFR
Part 52 Combined License (COL) Review Process and COL
Form and Content"
111. YES 4/14/93 SECY-93-097 -- Integrated Review Schedules for
the Evolutionary and Advanced Light Water Reactor
Projects 9304210030
- ✓ X
112. NO 5/28/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Acceptance of GE Nuclear Energy's
Application for Final Design Approval and Design
Certification of the Simplified Boiling Water Reactor
Design (Docket No. 52-004) (SECY-92-403)
- ✓ X
113. NO 06/23/93 SRM on SECY-92-287/287A - Form and Content for
a Design Certification Rule

JC's STAFF MEMOS - VOLUME VIII

- A Government-to-Government Transfers
- NO B Get a Copy if OGC's Letter to the U.S. Trade Rep when it goes out
- NO C Two Phased Approach to Design Certification -- Use of the FDA as Phase I
- NO D 1/2/91 SECY-90-377 -- Basic Flaws in Available-for-Audit, Related Regulatory Guide and Issue Finality Recommendations
- NO E Essentially Complete Design
- NO F Overview Discussion of Nuclear Power Facility Design Development and Detail
- NO G Essentially Complete Design Requirement for Certification Under 10 CFR Part 52
- NO H ITAAC History
- NO I I. Commission-Approved Enhanced Requirements for Future Reactor Designs
- NO J IV. Commission's Policy Statement on Advanced Reactor Designs
- NO K 10/16/91 Memo to JRC from K. Connaughton
-- Subject: Use of "Design Acceptance Criteria" (DAC) in lieu of Physical Details of Designs Certified Under 10 CFR 52
- NO L. Phased Approach to Design Certification -- Additional Considerations on the Use of the FDA as the Initial Phase
- NO M. Procedural Ramification of Use of Design Acceptance Criteria
- NO Mc. Matters for Exploration in Developing the Concept of Design Acceptance Criteria (DAC) to Facilitate Implementation of 10 CFR 52
- NO N. Purpose of the Notice of NRC Staff Sign-Offs in the "Sign-As-You-Go" Process Under Part 52
- NO O. Two-Phased Approach to Design Certification -- Consistency with Part 52

[JRC-LST.PAW]

NO P. App. M - Standardization of Design; Manufacture of Nuclear Power Reactors; Construction and Operation of Nuclear Power Reactors Manufactured Pursuant to Commission License

NO Q. DAC Questions

NO R. 3/20/90 Memo to JRC from K. Connaughton
-- Subject: SECY-90-67, "CANDU Design Certification"

NO S. Some Standardization and One-Step Licensing Issues

NO T. Major Issues Regarding the Draft Final Standardization Rule

NO U. 2/2/90 A number of interesting developments have occurred on the evolutionary/advanced reactor front

NO V. 8/15/91 Briefing for KR, FR -- Advanced Reactor Design Review Schedules

NO W. 9/24/91 Memo to Chairman Selin from JRC
-- Subject: Key Issues Associated with the Adoption of a Phased Approach to Design Certification Under 10 CFR Part 52

NO XYZ. Talking Points on Implementation of 10 CFR 52

NO AA. 4/25/90 EDO Topics of Interest Meeting
•Part 52
•Plant Life Extension
•Part 20
•HLW Strategic Planning Panel
•Maintenance Team Inspections
•EPA-NRC Joint Guidance on Mixed Waste
•re 4/27 Commission Briefing on Advanced Reactors

NO BB. Draft Memo from JRC
-- Subject: Level of Design Detail to be Required for Design Certification Under 10 CFR 52

NO CC. 8/31/92 Note to J. Blaha from D. Crutchfield
-- Subject: Commission Meeting with the Electric Power Research Institute (EPRI) on September 3, 1992

NO DD. 8/1/90 Note to JRC from K. Connaughton
-- Subject: McGraw-Hill Program, "Standardization: Can It Save The Nuclear Industry?" in 16 Key Questions

MISC. MEMOS - VOLUME VIII

- yes* 1 9/22/86 Ltr to G. G. Sherwood, GE from R. M. Bernero ⁸⁶¹⁰⁰¹⁰¹²⁸
 -- Subject: Issuance of Amendment No. 2 to Final Design Approval No. FDA-1
- yes* • 4/83 NUREG-0979 -- Safety Evaluation Report related to the final design approval of the GESSAR II - BWR/6 Nuclear Island Design (Docket No. 50-447) General Electric Company ⁶³⁰⁴²⁷⁰²²⁸
- yes* 2. 2/17/89 Memo to Commission from V. Stello, EDO ^{8907050092A}
 -- Subject: Part 52 and Emergency Planning
- yes* 3. 3/7/89 Memo to Commission from V. Stello, EDO ⁸⁹⁰³¹³⁰⁷¹¹
 -- Subject: ACRS Letter on CFR Part 52
- X *no* 4. 7/11/89 Memo to Chairman Carr from JRC
 -- Subject: Commission Meeting - EPRI Design Requirements Document
- X NO 5. 8/22/90 Memo to Commission from J. M. Taylor, EDO
 -- Subject: Response to the April 24, 1990 SRM Relating to the March 29, 1990 Briefing on Progress of Resolution of Generic Safety Issues
- X NO 6. 8/29/90 Ltr to B. Lee, NUMARC from JRC
 -- Subject: Nuclear Power Plant Standardization and Implementation of the Provisions of 10 CFR Part 52 Concerning Level of Design Detail
- YES* 7. 8/31/90 Memo to Commission from J. M. Taylor, EDO
 -- Subject: May 22, 1990, SRM on Briefing of Evolutionary Light Water Reactor Certification Issues and Related Regulatory Requirements ⁹⁰⁰⁹¹⁰⁰¹⁷⁴
- X NO 8. 9/13/90 Memo to JRC from H. R. Denton, OG&PA
 -- Subject: Level of Detail for Review of Convoy P' nts
- X NO 9. 10/3/90 Ltr to Chairman Carr from B. Lee, NUMARC re Design Certification of Standardized Nuclear Power Plant Design Under the Provisions of 10 CFR Part 52
- X NO 10. 10/19/90 Ltr to JRC from B. Lee, NUMARC re a response to JRC's Questions Concerning the Industry's Approach on Implementing 10 CFR Part 52
- X NO 11. 11/07/90 Memo to Commission from J. M. Taylor, EDO
 -- Subject: NUMARC Suggestion to Improve its Final Design Approval and Design Certification Review Process

- X NO 12. 12/11/90 Memo to J. M. Taylor, EDO & W. C. Parler, GC from K. Rogers -- Subject: SECY-90-377, "Requirements for Design Certification Under 10 CFR Part 52"
- X NO 13. 1/02/91 Memo to Commissioner Remick from J. M. Taylor, EDO -- Subject: Response to Memorandum for J. Taylor from F. Remick Concerning SECY-90-377
- X NO 14. 1/15/91 Memo to Commissioner Rogers from J. M. Taylor, EDO -- Subject: SECY-90-377, "Requirements for Design Certification Rulemaking Under 10 CFR Part 52"
- X NO 15. 1/13/91 Memo to J. M. Taylor from Commissioners Rogers and Remick -- Subject: NRC Use of Computer and Computer Graphics-Aided Design Reviews of Advanced Nuclear Plant Designs for Design Certification
- X NO 16. 5/14/91 Memo to Commission from J. M. Taylor, EDO -- Subject: Staff Definition of "Diversity" as Related to Alternate AC Power Sources in Evolutionary Light Water Reactors
- X NO 17. 6/7/91 Memo to J. M. Taylor, EDO from K. Carr -- Subject: Staff Definition of "Diversity"
- X NO 18. 8/13/91 Memo to C. Miller from G. Quittschreiber -- Subject: ABWR Protection Against Postulated Pipe Breaks in Fluid Systems Outside Primary Containment
- X NO 19. 8/21/91 Memo to Chairman from J. M. Taylor, EDO -- Subject: Economic Assessment of New GE Designs
- ? 20. 9/16/91 Memo to Chairman from J. M. Taylor, EDO -- Subject: Preapplication Reviews of Advanced LWR Designs *maybe 91092002-2*
- X NO 21. 9/27/91 Memo to J. M. Taylor from JRC -- Subject: Level of Design Detail Required to Make Safety Findings on Design Certification
- X NO 22. 10/10/91 Memo to Chairman from J. M. Taylor, EDO -- Subject: Approaches to 10 CFR Part 52 Design Certification
- X NO 23. 11/7/91 Memo to Commission from J. M. Taylor, EDO -- Subject: AP600 Pre-Application Efforts
- X NO 24. 12/19/91 Memo to JRC from J. M. Taylor, EDO -- Subject: SRM of 11/12/91 Regarding the Status of Advanced Reactor Programs

*with removal
w/ personal
comments*

- NO 25. 1/13/92 Memo to Commission from D. Rathbun, OCA
-- Subject: Comparison of Part 52 and Part 50
- YES 26. 3/10/92 Ltr to D. Ward, ACRS from J. M. Taylor
-- Subject: Use of Design Acceptance Criteria During 10
CFR Part 52 Design Certification Review 9203190225
- X NO 27. 3/27/92 from M. Taylor
Background Section of the March 27, 1992 responses to the
SRM on SECY-92-037
- X NO 28. 4/9/92 Note to Commission from J. M. Taylor
-- Subject: Program for Review of Vendor Test Programs
to Support Design Certification of Advanced Reactors
- X NO 29. 4/14/92 Ltr to Chairman Selin from B. Lee, NUMARC re
NUMARC 90-12 "Design Basis Program Guidelines" Survey
- NO 30. 4/16/92⁹² Memo to W. C. Parler, GC from JRC
-- Subject: Testing Related to Advanced Reactor Design
Reviews
- YES 31. 5/29/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: (1) Meeting of GE Nuclear w/Commission on
June 3, 1992 (2) Turbine Bypass Capability in the
Advanced Boiling Water Reactor (ABWR) 9206120182
- X NO 32. 5/29/92 Ltr to R. C. Berglund, GE from D. Crutchfield
-- Subject: Request for Certification of Tier 1 Design
Certification Material Stage 3 Submittal for the Advanced
Boiling Water Reactor (ABWR)
- X NO 33. 8/5/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Staff Comments and Observations on Dr. N.
Zuber's Reports
- X NO 34. 8/5/92 Ltr to D. Ward, ACRS from J. M. Taylor, EDO
-- Subject: Staff Response to July 17, 1992, ACRS Letter
on "Integral System and Separate Effects Testing in
Support of the Westinghouse AP600 Plant Design
Certification"
- ? 35. 8/21/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Request for the Commission's Early
Consideration of Significant Policy Issues Regarding
Evolutionary Light Water Design Certification and the
Implementation of 10 CFR Part 52 9208250000

- X No 36. 8/26/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Response to the Commission SRM Dated May 8, 1992 Related to the Progress of Design Certification Reviews
- X No 37. 9/1/92 Note to Commission Staff from J. Blaha
-- Subject: Commission Meeting with EPRI (September 3, 1992)
- X No 38. 9/4/92 Note to J. Blaha from K. Connaughton
-- Subject: Request for Information
- X No 39. 9/9/92 Ltr to T. Murley, NRR from N. J. Liparulo, Westinghouse Electric Corporation re Comments on SECY-92-294
- Yes 40. 9/16/92 Ltr to J. M. Taylor, EDO from D. Ward, ACRS
-- Subject: Draft Commission Paper, "Design Certification and Licensing Policy Issues Pertaining to Passive and Evolutionary Advanced Light Water Reactor Designs" ~~9209300332~~ 9209300332
- Yes 41. 9/17/92 Ltr to Chairman Selin from N. J. Liparulo, Westinghouse Electric Corporation re Revision 1 to Letter ET-NRC-92-3748 9209250252
- X No 42. 10/28/92 Memo to J. Blaha from W. T. Russell, NRR
-- Subject: Use of PRA Insights, Reliability Assurance Program, and Status of Guidance for the Maintenance Rule
- Yes 43. 12/2/92 To NUMARC from T. Boyce, PM/NRR
-- Subject: Summary of Meetings with NUMARC to Discuss Issues Relating to the Implementation of 10 CFR Part 52 9212150726
- Yes 44. 12/28/92 Memo to B. Boger, F. Congel, J. Richardson, C. Rossi, A. Thadani from W. T. Russell
-- Subject: Reviewer Guidance for Design Certification Reviews - Certified Design Descriptions; Inspections, Tests, Analyses and Acceptance Criteria (ITAAC); Applicant SSAR Level of Detail; and Staff SER Documentation 9301060267
- X No 45. 2/9/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Passive Plant Requirements
- X ? 46. 4/1/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Review of the Draft Commission Paper, "10 CFR Part 52 Combined License (COL) Review Process and COL Form and Content" maybe 9304080241

X W 47. 5/28/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Acceptance of GE Nuclear Energy's
Application for Final Design Approval and Design
Certification of the Simplified Boiling Water Reactor
Design

CANDU ISSUES - VOLUME IX

- NO A 8/21/89 Memo to Chairman Carr from W. C. Parler, GC
-- Subject: Receipt of Money's from Foreign Governments
or Foreign Corporations
- No B 8/30/89 Memo to Commission from W. C. Parler, GC
-- Subject: Effect of U.S.-Canada Free Trade Agreement
on Import and Export of Low-Level Radioactive Waste;
Policy Implications of Options in SECY-89-068 in Context
of Philippine Reactor Export Case
- No C 7/17/90 Ltr to W. C. Parler, GC from J. B. Bolten,
Office of the U.S. Trade Representative re this Office to
advise on the applicability of the U.S.-Canada Free-Trade
Agreement the NRC review process for approving
standardized nuclear power plant designs
- Yes D 1/15/91 Memo to T. E. Murley, NRR from E. S. Beckjord,
RES -- Subject: DOUB & Muntzing Draft Agreement Between
NRC and AECL 9103040195
- No E 8/29/90 To D. R. Shiflett, AECL Technologies from Doub,
Muntzing and Glasgow, Chartered re Acceptance by NRC of
Moneys from Canada w/Respect to CANDU-3U

- ☒ No 1. Vote on CANDU design certification paper expressing view on NRC's authority to retain funds from foreign governments.
- ☒ No 2. OGC opinion on receipt of monies from foreign governments.
- ☒ No 3. NRC Appropriations Act for 1989.
- ☒ No 4. Views on CANDU design review.
- ☒ No 5. OGC request for opinion from the U. S. Trade Representative on U. S. - Canada Free Trade Agreement.
- ☐ No 6. U. S. Trade Representative's opinion.
- ☒ No 7. OGC's views on requirements of the U. S. - Canada Free Trade Agreement.
- ☒ No 8. Resources/Impact of conducting reviews of PIUS and CANDU-3 designs.
- ☒ Yes 9. SECY-90-067 - Status of CANDU-3 review and proposal to eliminate resources for review. 9003120053
- ☒ Yes 10. SECY-90-133 - Prototype requirements for CANDU-3. 9004110022
- ☒ Yes 11. SECY-89-350 - Staff's original plan for CANDU-3 design review. 8912050015

SITING ISSUES - VOLUME IX

- X ✓ No A 9/10/90 Memo to J. M. Taylor, EDO & W. C. Parler, GC
from JRC -- Subject: Early Site Permit Applications
Under Part 52
- X ✓ No B 10/29/90 Memo to JRC from J. M. Taylor, EDO
-- Subject: Early Site Permit Applications Under Part
52
- X ✓ No C 3/20/92 To D. Rathbun, OCM/IS from J. L. Blaha, AO/OEDO
-- Subject: Early Site Permit Briefing
- X ✓ No D 6/24/93 SLIDES -- Commission Briefing on Proposed
Revision to 10 CFR 100 Reactor Site Criteria
- X ✓ No E 7/6/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Amendment to Federal Register Notice on
Revision of 10 CFR Part 100, Reactor Site Criteria
- X ✓ No F 7/22/92 To M. Fleishman, OCM/KR from J. L. Blaha,
AO/OEDO
-- Subject: Sites with Exclusion Areas Less Than .04
Miles
- X ✓ No G 6/1/93 Ltr to S. J. Chilk, SECY from Doub, Muntzing,
Ecker re Proposed Rule on Reactor Site Criteria;
Including Seismic and Earthquake Engineering Criteria for
Nuclear Power Plants and Proposed Denial of Petition for
Rulemaking from Free Environment, Inc. et al. (57 Fed.
Reg. 47,802 (10/20/92))

OGC WORKSHOP ON STANDARD DESIGN CERTIFICATION - VOLUME IX

yes A

7/17/92 Ltr to C. Cameron from M. Mariotte, NIRS re 7/20
Workshop on Standardized Reactor Design Certification and
Rulemaking Procedures 9207290059

yes B

7/20/92 Transcript -- Public Workshop on Standard Design
Certification Rulemaking Procedures 9207300198

LEGAL MEMORANDA - VOLUME X

- X ✓ 1 No 4/5/90 Memo to T. E. Murley, NRR from W. C. Parler, GC
-- Subject: Application of Operating License Standards
to Applicants for Combined Licenses
No • 3/6/90 Memo to W. C. Parler, GC from T. E. Murley, NRR
-- Subject: Request for Legal Opinion
- 2 No 6/7/90 Memo to T. E. Murley, NRR from M. G. Malsch,
Deputy GC -- Subject: Degree of Design Detail Required
for Reactor Design Certification
- 3 No 11/15/90 Memo to Commission from W. C. Parler, GC
-- Subject: Requirements for Design Certification Under
Part 52
- 4 No 11/19/90 Memo to Commission from W. C. Parler, GC
-- Subject: NRC Response to the D.C. Circuit's Decision
on Part 52
- 5 Yes 10/18/91 Memo to Commission from W. C. Parler, GC 92 03230355
-- Subject: Analysis of Draft Kostmayer Licensing
Reform/Plant Life Extension Legislation
Yes • Kostmayer Bill on Licensing Reform and License Renewal 92 03230355
X ✓ → No • 10/25/91 Memo to Commission from D. Rathbun, OCA
-- Subject: Rep. Kostmayer (D-PA) Introduced Atomic
Energy Amendments Act of 1991
- 6 No 5/21/92 Note to M. Malsch from W. C. Parler, GC
-- Subject: Revisions to Part 52
- 7 No 11/20/92 Memo to Commission from W. C. Parler, GC
-- Subject: Public Comment on Final Rule Amending 10 CFR
Part 52
- 8 No 12/1/92 Memo to Commission from W. C. Parler, GC
-- Subject: ITAAC
- 9 No 4/12/93 Memo to Commission from W. C. Parler, GC
-- Subject: Draft Secy Paper on Combined Operating
License (COL) Review Process and Form and Content

QUARTERLY STATUS REPORTS - VOLUME X

- ~~X~~ A ~~9/18/91~~ Memo to Commission from J. M. Taylor, EDO
-- Subject: Milestones and Critical Paths for Reviewing
Advanced Reactors 9110030208
- ~~X~~ ✓ B No 12/24/91 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (September - November 1991)
- ~~X~~ ✓ C No 4/9/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (December 1991 - February 1992)
- ~~X~~ ✓ D No 7/30/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (March 1992 - May 1992)
- ~~X~~ ✓ E No 10/23/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (June 1992 - August 1992)
- ~~X~~ ✓ F No 1/25/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (September 1992 - December 1992)
- ~~X~~ ✓ G No 4/22/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Quarterly Status Report of Advanced Light
Water Reactor Reviews (January 1993 - March 1993)

NRC-DOE COMMUNICATIONS - ADVANCED NON-LWRs - VOLUME X

- 1 Yes 1/3/84 J. Griffith to W. Dircks 8405290003
-- Subject: Want to start interaction on HTGR
- 2 Yes 5/15/84 W. Dircks to J. Griffith 8405290002
-- Subject: Agree to start interaction on HTGR
- 3 No 7/11/84 S. Brewer to H. Denton
-- Subject: Proposed 3-phase approach for interaction on advanced reactors
- 4 No 8/6/84 H. Denton to S. Brewer
-- Subject: Agree to 3-phase approach for interaction
- 5 Yes 2/12/85 J. Vaughan to N. Palladino 8503260208
-- Subject: Request for continued support on advanced reactors
- 6 Yes 3/14/85 W. Dircks to J. Vaughan 8503260202
-- Subject: Committed to continued support of advanced reactor interaction
- 7 Yes 4/26/85 J. Vaughan to W. Dircks 8507180260
-- Subject: Transmitted HTGR Licensing Plan
- 8 Yes 7/1/85 J. Vaughan to W. Dircks 8509190592
-- Subject: Transmitted LMR Licensing Plan
- 9 Yes 7/11/85 W. Dircks to J. Vaughan
-- Subject: Committed to review of conceptual HTGR design 8507180182
- 10 Yes 9/13/85 W. Dircks to J. Vaughan
-- Subject: Committed to review of conceptual LMR designs 8509190588
- 11 No 11/8/85 J. Vaughan to W. Dircks
-- Subject: Reviews of advanced high temperature gas-cooled reactors and liquid metal reactor concepts
- 12 Yes 2/19/86 H. Denton to J. Vaughan 8602280703
-- Subject: Informed DOE of impact of budget reductions on planned NRC/DOE advanced reactor interactions
- 13 Yes 5/20/86 J. McClure to N. Palladino
-- Subject: DOE and industry efforts directed at the advanced reactor designs 8607020441

- 14 No 5/21/86 J. Vaughan to V. Stello
-- Subject: referring to letter dated 2/19/86 from H. Denton
- 15 Yes 6/27/86 N. Palladino to J. McClure 8607080438
-- Subject: Status of letter 5/20/86 from J. McClure
- 16 No 7/30/86 V. Stello to J. Vaughan
-- Committed to resume reviews of HTGR and LMRs in FY87
- 17 Yes 9/5/86 L. Zech to J. McClure 860912088
-- Subject: Informed him advanced reactor Policy Statement reactor Policy Statement was issued and that NRC would resume interactions with DOE
- 18 Yes 9/30/86 F. Gavigan to T. Speis 8704010179
-- Subject: Transmitted PSID for HTGR
- 19 No 10/17/86 T. Speis to F. Gavigan
-- Subject: Confirmed receipt of PSID for HTGR and NRC review schedule
- 20 No 11/17/86 F. Gavigan to T. Speis
-- Subject: Transmitted PSIDs for PRISM and SAFR
- 21 No 11/26/86 T. Speis to F. Gavigan
-- Subject: Confirmed receipt of PSIDs for LMRs and NRC review schedule
- X ✓ 22 No 7/10/89 A. Haikalis to T. King
-- Subject: SECY-86-368 -- NRC activities related to the Commission's policy on the regulation of advanced nuclear power plants
- X ✓ 23 No 7/13/89 J. Blaha to J. Gray
-- Subject: Requested material related to HTGR

ITAAC - VOLUME XI

- X ✓ 1 No 6/1/89 Memo to Chairman Zech from V. Stello, EDO
-- Subject: Contracted Inspection Services
- X ✓ 2 No 11/15/90 NUMARC Report of the Task Group on ITAAC
- X ✓ 3 No 11/20/90 Ltr to T. E. Murley, NRR from W. H. Rasin,
NUMARC re review of NUMARC report on published on
11/15/90
- X ✓ 4 No 6/12/91 To Commission from J. M. Taylor, EDO
- Subject: SECY-91-178 -- Inspections, Tests, Analyses,
and Acceptance Criteria (ITAAC) for Design Certifications
and Combined Licenses
- X ✓ 5 No 6/14/91 Ltr to Chairman Carr from B. Lee, NUMARC re
SECY-91-161
- X ✓ 6 No 7/18/91 Memo to Commissioner Rogers from S. J. Chilk,
SECY -- Subject: COMKR-91-005 - Meeting w/NUMARC on
ITAAC
- X ✓ 7 ? 8/2/91 Memo to The Chairman from J. M. Taylor, EDO
-- Subject: Inside NRC Article, "Pre-Decisional Label
Slows ALWR Certification Process, NUMARC Says" maybe 9108140221
- X ✓ 8 No 8/9/91 To A. Haikalas from J. Blaha, AO/OEDO
-- Subject: Enclosed copy of 3/28/91 Ltr to D.
Crutchfield, NRR from R. Ng, NUMARC re NRC-NUMARC Meeting
on ITAAC and Associated Part 52 Issues
- X ✓ 9 No 8/14/91 Memo to Commissioner Remick from J. M. Taylor,
EDO -- Subject: SECY-91-210, "ITAAC Requirements for
Design Review and Issuance of a Final Design Approval"
- 10 No 8/15/91 Memo to Commissioner Remick from W. C. Parler,
GC -- Subject: SECY-91-210, "ITAAC Requirements for
Design Review and Issuance of a Final Design Approval"
- 11 Yes 9/9/91 Ltr to Chairman Selin from B. Wolfe, GE re the
degree to which certifications should be based on
functional requirements for systems and equipment versus
detailed designs 9110300274
- 12 Yes 9/24/91 Memo to J. M. Taylor from S. J. Chilk, SECY
-- Subject: SECY-91-178 -- ITAAC for Design 9110020123
Certifications and Combined Licenses
- X ✓ 13 No 9/24/91 Memo to S. J. Chilk, SECY from JRC
-- Subject: SECY-91-210 -- "ITAAC Requirements for
Design Review and Issuance of a Final Design Approval"

- 14 Yes 9/30/91 Ltr to D. A. Ward, ACRS from J. M. Taylor, EDO
re response to ltr to Chairman Selin on 9/10/91 on ITAAC
(SECY-91-210) 9110250191
- X 15 No 9/30/91 Note to J. Gray from J. Blaha
-- Subject: ITAAC Submittal from GE
- X 16 No 10/1/91 Ltr to S. J. Chilk, SECY from W. Rasin, NUMARC
-- Subject: Industry Comments on SECY-91-178, ITAAC for
Design Certification and Combined Licenses and SECY-91-
210, ITAAC Requirements for Design Review and Issuance of
a Final Design Approval
- 17 Yes 11/20/91 Memo to Commission from J. M. Taylor, EDO
-- Subject: Part 52 Briefing on Response to SRM to SECY-
91-178, "ITAAC for Design Certifications and Combined
Licenses" 9112100145
- X 18 No 12/13/91 Note to D. Trimble from J. Blaha
-- Subject: ITAAC for the ABWR
- 19 No 12/1/92 Memo to Commission from W. J. Parler, GC
-- Subject: ITAAC
- 20 Yes 12/7/92 SRM to J. M. Taylor from S. J. Chilk, SECY
-- Subject: Briefing on Progress of Design Certification
Review and Implementation on 11/23/92 9212210370
- X 21 No 12/24/92 Memo to Commission from J. M. Taylor, EDO
-- Subject: Generic and Programmatic ITAAC Requirements
for Design Certification
- X 22 No 3/11/93 Memo to Commission from J. M. Taylor, EDO
-- Subject: Status of the Develop of ITAAC
- 23 Yes 1/27/93 Addressees from C. McCracken
-- Subject: Summary of Meeting With GE to Discuss ITAAC
(GE) 52-001 9302030164
- 24 Yes 2/8/93 Addressees from J. Lyons
-- Subject: Summary of Meeting With GE to Discuss ITAAC
(GE) 52-001 9303030261

NPOC DOCUMENTS - VOLUME XI

- A *Yes* 11/90 Strategic Plan for Building New Nuclear Power Plants -- Nuclear Power Oversight Committee 9011160221
- ✓X* B *No* 11/90 A Perfect Match: Nuclear Energy and the National Energy Strategy -- A Position Paper by the Nuclear Power Oversight Committee
- ✓X* C *No* 4/91 Position Paper on Standardization Building New Nuclear Power Plants -- by the Nuclear Power Oversight Committee
- ✓X* D *No* 11/91 A Strategic Plan for Building New Nuclear Power Plants (First Annual Update) -- by the Nuclear Power Oversight Committee
- ✓X* E *No* 11/92 A Strategic Plan for Building New Nuclear Power Plants (Second Annual Update) -- by the Nuclear Power Oversight Committee

EPRI - VOLUME XII

- 1 Yes 6/86 Executive Summary - Advanced Light Water Reactor Utility Requirements Document 8703110378
- ✓ X 2 No 6/22/89 EPRI - ALWR Meeting
• Slides on Discussion of Source Term and Related Issues
- ✓ X 3 No 7/28/89 Note to Ken from JRC re EPRI Design Requirements Document
- ✓ X 4 No 2/8/90 Ltr to J. J. Taylor, EPRI from JRC thank you letter
- ✓ X 5 No 2/27/90 Memo to J. M. Taylor from K. Carr
-- Subject: Staff interaction w/EPRI on Policy Issues Associated w/the EPRI Advanced Light-Water Reactor Requirements
- ✓ X 6 No 3/5/90 Ltr to C. Michelson, ACRS from J. J. Taylor, EPRI re 2/9/90 meeting on Advanced Light Water Reactor Evolutionary Plant issues form SECY-90-016
- 7 Yes 3/90 Advanced Light Water Reactor - Utility Requirements Document (Volume 1 - ALWR Policy and Summary of Top-Tier Requirements) 9007160367
- ✓ X 8 No 4/23/90 Ltr to JRC from J. J. Taylor, EPRI re misinterpretation of your position in the Energy Daily on utility requirements and certification of ALWRs
- ✓ X 9 No 5/22/90 Memo to J. M. Taylor, EDO from T. E. Murley, NRR
-- Subject: EPRI Correspondence on ALWR Certification Process
- ✓ X 10 No 5/29/90 Memo to Commission from J. M. Taylor, EDO
-- Subject: EPRI Passive ALWR Program
- 11 Yes 6/28/90 Memo to Commission Roberts from J. M. Taylor, EDO -- Subject: Resolution of Open Issues w/EPRI 9007060065
- 12 Yes 3/19/92 Ltr to Document Control Desk from E. E. Kintner, ALWR Steering Committee 920330038
-- Subject: Project 669-Position Paper for Passive Plant System Classification and Requirements including enclosures on Reliability Assurance and Technical Specifications
- 13 No To Commissioner Curtiss from K. Connaughton
-- Subject: Briefing by EPRI/NUMARC on the EPRI ALWR Requirements Document

TRANSCRIPTS - VOLUME 13

yes A 11/30/83 Discussion of NRC Regulatory Policy for 8312070266
Advanced Reactors

yes B 2/27/84 Briefing on Advanced Reactors 8403050277

yes C 2/7/85 Staff Briefing on Standard Design Process 8502150045
8502150044

yes D 10/9/86 Briefing on Advanced Reactor Designs 8610210075

yes E 2/12/87 Briefing on Advanced Reactor Designs
8702200453

yes F 11/30/87 Briefing by Combustion Engineering on New
Standardized Plants 8712070438

yes G 12/1/87 Briefing on New Westinghouse Standardized Plants
8712080074

yes H 4/6/88 Briefing on Basic Safety Principles for Nuclear
Power Plants 8804150248

[TRAN-LST.PAW]

TRANSCRIPTS - VOLUME 14

yes I 6/16/88 Briefing on Advanced Light Water Reactors by APR
8806300192

yes J 8/9/88 Briefing on Key Licensing Issues Associated with
Department of Energy Sponsored Advanced Reactor Designs
8808170107

yes K 9/12/88 Briefing on Severe Accident Policy for Future
Light Water Reactors 8809190002

yes L 2/22/89 Briefing on Final Rule on Early Site Permits,
Standard Design Certification and Combined Licenses for
Nuclear Power Reactors 8903140400

yes M 6/20/89 Briefing on GE Advanced Boiling Water Reactors
8907060199

yes Mc 7/26/89 Briefing on Integration of Policy Statements for
Severe Accidents, Advanced Reactors, Safety Goals, and
Standardization 8908070067

yes N 8/1/89 Briefing on Status of APR Design Requirements
Document for Advanced Light Water Reactors 8908160323

yes O 11/1/89 Briefing by General Electric on the Advanced BWR
Standard Plant Review 8911160020

yes P 11/1/89 Briefing by Combustion Engineering on ALWR
System 80+ 8911140379

yes Q 11/1/89 Briefing by Westinghouse on Advanced PWR Program
8911140378

yes R 6/4/90 Briefing by ALWR Utility Steering Committee on
Advanced Light Water Reactor Certification Issues
9006210142

[TRAN-LST.PAW]

TRANSCRIPTS - VOLUME ~~11~~ 15

yes

7/16/90 Briefing by NUMARC on Essentially Complete Design Issue for Part 52 Submittals 9007260014

yes T

7/18/90 Briefing on Essentially Complete Design Issue for Part 52 Submittals 9007260034

yes U

12/19/90 Briefing by NUMARC on Level of Design Detail for Part 52 9101040139

? V

12/21/91 Briefing on Program for Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) for Advanced Reactors 8/21/91? No 12/21/91 Found

yes W

10/17/91 Briefing on Staff Recommended Course of Action on Adhering to 10 CFR Part 52 9110300234

10133/2369#1

ACN: 9108290222

DATE: 910821

EST_PAGES: 194

L1: TRANSCRIPT OF 910821 MEETING IN ROCKVILLE MD RE PROGRAM FOR INSPS TESTS ANALYSES & ACCEPTANCE CRITERIA FOR ADVANCED REACTORS.PP 1-149.VIEWGRAPHS ENCL.

FICHE: 58937:001-58937:194

PFL: 10CFR--PT9.7-910821

PACKAGE: 910821-9108290222

END

[TRAN-LST.PAW]

TRANSCRIPTS - VOLUME ~~1~~ 1b

yes XYZ 11/4/91^S Conference on Advanced Light Water Reactors
(2 Volumes) 11-4 9112030108
11-5 9112030114

yes AA 11/18/91 Briefing on Status of Design Basis
Reconstitution 9112060077

yes BB 4/21/92 Briefing on Progress of Design Certification
Review and Implementation 9204300041

[TRAN-LST.PAW]

Box 2

~~Box~~
Box 232

AGENCY POLICY - MEETINGS WITH OUTSIDERS

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
No A.	06/26/78	Federal Register Notice, NRC section on Domestic License Applications
No B.	10/20/78	Federal Register Notice, Power Authority of the State of New York, Indian Point Nuclear Generating Station, Unit No. 3. Includes recommendations for improving nuclear powerplant licensing
°C.	12/19/85	Memorandum, Denton, et al./Dircks - (INPO - Revised Memorandum of Agreement)
°D.	08/27/87	Memorandum, File/Stello - Minutes of meeting with Stello and NUMARC officials
°E.	10/06/87	Memorandum, Commissioners/Stello - (Guidance Available to NRC Employees on Their Relationships with the Nuclear Industry)
°F.	11/27/87	°SECY-87-293 -- Policy Statement on Meetings Between NRC Staff and Outside Persons
No G.	5/18/88	Nuclear Regulation Reorganization and Reform Act of 1988 - Report of the Committee on Environment and Public Works, U.S. Senate
X °H.	08/21/92	Memorandum, Murley, et al./Snizek - (Guidance on Public Meetings)
X °I.	02/10/93	SECY-93-034 -- Agency Policy Concerning Public Meetings Held by the Staff Curtiss to Taylor re SECY 93-034 with other Comm. vote sheets withheld
X °J.	03/09/93	Memorandum, Taylor/Curtiss (SECY-93-034)
X °K.	03/31/93	Memorandum, Curtiss/Taylor (SECY-93-034)
X °L.	04/30/93	Memorandum, Commissioners/Taylor - (Staff Guidance on the Disposition of Industry Documents Received Through Nonroutine Channels, COMSECY-93-24)
X °M.	05/12/93	Memorandum, Taylor/Chilk - (SECY-93-034)

Tab No.

Date

Document Description

X NO N.

04/30/93

Curtiss
Memorandum, Commissioners/Taylor -
(Staff Guidance on the Disposition of
Industry Documents Received Through
Nonroutine Channels, COMSECY-93-24)

NO O.

05/20/93

Memorandum, Taylor/De Planque -
(COMSECY-93-24)

2.206 PROCESS

Date	Document Description
X NO 10/04/90	Letter, Dupre/Crockett - (Nuclear Information and Resource Service, et al. v. United States Nuclear Regulatory Commission, et. al., No. 89-1381)
NO 04/92	A Report by the Union of Concerned Scientists, "The Public as Enemy"
YES 12/18/92	SECY-92-415 -- Review of the Commission's Regulations and Practice Governing Citizen Petitions Under 10 CFR 2.206 for Instituting Proceedings Against Licensees 9212300234
YES 01/26/93	Memorandum, Parler/Chilk - (SECY-92-415 - Review of the Commission's Regulations and Practice Governing Citizen Petitions Under 10 CFR 2.206 for Instituting Proceedings Against Licensees) 9302220448
NO 06/14/93	2.206 Petition Workshop Issues Paper - Draft
X NO 06/21/93	Memorandum, Commissioners/Rathbun - (Draft Bill on 2.206 Petitions)
YES 06/28/93 #93-89	Press Release - NRC Initiates Review of Public Petition Process
X O Miscellaneous	Number of Petitions Filed Per Year; Number of Director's Decisions by Year; Summary of 2.206 Petitions Resulting in Regulatory Action Which Achieved, in Whole or in Part, Petitioner's Objectives; List of 2.206 Petitions Resulting in Regulatory Action, Which Received, in Whole or in Part, Petitioner's Objectives; Commission Review of Director's Decisions Under 10 CFR 2.206; Overview of NRC's 2.206 Process; a Bill to Provide for Judicial Review of NRC Decisions on Petitions for Enforcement Actions, and for Other Purposes

JUL 29 1996

Box 233

NRC SAFETY GOAL POLICY
BACKGROUND INFORMATION INDEX

Tab No.	Date	Document Description
BOOK I		FROM/TO
YES 1.	06/05/80	Letter, Weiss/Ahearne - (Rulemaking on Safety Goal) 8009080575
YES 2.	08/12/80	SECY-80-379 - (Proposed Plan for Developing a Safety Goal) 8009100422
YES 3.	10/80	NUREG-0375 - (Plan for Developing a Safety Goal) N-735 8011030429
YES 4.	02/82	NUREG-0880 - (Safety Goals for Nuclear Power Plants: A Discussion Paper) 8203030199
YES 4.A	02/11/82	Letter, Kammerer/Simpson - (Transmitting Proposed Policy Statement on Safety Goals for Nuclear Power Plants) 8402290091
YES 4.B	06/09/82	Letter, Shewmon/Palladino - (Comments on Proposed Policy Statement on Safety Goals for Nuclear Power Plants (NUREG-0880)) 8206160292
YES 4.C	07/06/82	Memorandum, Dircks/Commission - (Action Plan for Implementing the Commission's Proposed Safety Goals) 8207210547
YES 4.D	07/12/82	Memorandum, Remick/Commission - (Safety Goals for the Operation of Nuclear Power Plants) 8207210564
YES 4.E	07/13/82	Letter, Fraley/Palladino - (Additional Comments by Drs. Mark & Plesset on Proposed Policy Statement on Safety Goals for Nuclear Power Plants) 8207160174
YES 4.F	07/20/82	Memorandum, Dircks/Remick - (Questions for Commission Consideration -- Safety Goals) 8208030569
NO 4.G	07/20/82	Memorandum, Remick/Commission - (Questions on Safety Goals) 86.
YES 4.H	09/15/82	Letter, Shewmon/Palladino - (ACRS comments on the NRC Questions to the Commission Concerning the Policy Statement on Safety Goals for Nuclear Power Plants) 8208290020
YES 4.I	09/15/82	Letter, Shewmon/Palladino - (ACRS Report on the Draft Action Plan for Implementing the Commission's Proposed Safety Goals for Nuclear Power Plants) 8208290021

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
NO 5.	01/05/83	Memorandum, Dircks/Commission - (Comparison of PRA's and Proposed Safety Goals)
NO 5.A	01/06/83	Public Affairs - (Response to Inquiry on Provisional Safety Goals)
YES 6.	01/10/83	Letter, Ray/Palladino - (ACRS comments on Proposed Safety Goals Policy Statement (12/16/82 and 1/7/83 versions)) 8301140585
NO 6.A	03/11/83	Letter, Kammerer/Simpson (Transmitting Public Affairs Announcement No. 83-39, "NRC Seeks Public Comments on Plan for Evaluating Safety Goals")
YES 7.	05/83	NUREG-0880, Revision 1 - (Safety Goals for Nuclear Power Plant Operation) 8306080158
YES 8.	08/09/83	Letter, Ray/Palladino - (ACRS Comments on Proposed NRC Safety Goals Evaluation Plan) 8308240049
NO 9.	10/20/83	SECY-83-428 - (Status Report on the Safety Goal Evaluation Plan)
NO 9.A	01/26/84	SECY-84-39 - (Status Report on the Safety Goals Evaluation Plan)
YES 9.B	08/21/84	SECY-84-39A - (Status Report on the Safety Goal Evaluation Plan) 8408290584
YES 9.C	09/19/84	Transcript - open Commission meeting (Quarterly Progress Report on Safety Goal Evaluation Report) 8410020695

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
BOOK II		
YES 10.	03/85	NUREG-1128, Trial Evaluations in Comparison With the 1983 Safety Goals 8507080209
YES 10.A	04/18/85	Memo, Murley/Dircks (Safety Goal Evaluation Report) 8504290372
NO 11.	07/03/86	Memorandum, Stello/Chilk - (Staff Requirements, Discussion/Possible Vote on Safety Goals - 06/19/86)
NO 11.A	08/04/86	Final Policy Statement - (Safety Goals for the Operations of Nuclear Power Plants)
NO 12.	01/02/87	Enclosures to 01/02/87 Memo, Stello/Chilk - (Safety Goal Implementation Status) Note: Copy of 01/02/87 memo not located.
NO 12.A	01/12/87	Memorandum, Stello/Chilk - (Reply to Commissioner Carr's Question Regarding NUREG-1150)
? 13.	[undated]	Memo, Chilk/Stello - (Commission Guidance on Implementation of the NRC's Safety Goal) 8701300100?
NO 14.	04/29/87	COMLZ-87-30 - (Status of Guidance on Safety Goal Implementation)
NO 15.	05/06/87	Memo, Stello/Bernthal - (Feasibility of Relating the Definition of "Large Release" and the Proposed Definition of an Extraordinary Nuclear Occurrence (ENO))
YES 16.	05/13/87	Letter, ACRS/Zech - (ACRS Comments on an Implementation Plan for the Safety Goal Policy) 8708130351
NO 17.	05/15/87	Memo, Parler/Bernthal - (COMLZ-87-30)
NO 18.	06/01/87	COMLZ-87-34 - (Supplement to Proposed Staff Guidance on Safety Goal Implementation)
NO 19.	06/04/87	Memo, Parler/Bernthal - (Consideration of Averted Onsite Costs in Backfit Analyses)
NO 19.A	07/01/87	Commissioner Carr's Comments on COMLZ-87-30 and COMLZ-87-34 (Status of Guidance on Safety Goals Implementation)

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
N ^o 20.	08/13/87	Memo, Bernthal/Chilk - (Safety Goal Policy: COMLZ-87-30 and 87-34)
N ^o 21.	08/13/87	Memo, Bernthal/Parler - (Consideration of Averted Offsite Costs in Backfit Analysis) (COMLZ-87-30)
N ^o 22.	10/29/87	SRM-87-806 - (Safety Goal Policy Implementation)
N ^o 23.	11/06/87	Memo, Chilk/Stello - (Commission Guidance on Implementation of the NRC's Safety Goal Policy)
N ^o 24.	12/01/87	Memo, Stello/Zech - (Commission Guidance on Implementation of the NRC's Safety Goal Policy)
N ^o 25.	12/29/87	Memo, Fraley/McOsker - (Commission Guidance on Implementation of the NRC's Safety Goal Policy)
N ^o 26.	01/28/88	Memo, Fraley/McOsker - (Commission Guidance on Implementation of the NRC's Safety Goal Policy)
YES 27.	04/12/88	Letter, Kerr/Zech - (Program to Implement Safety Goal Policy - ACRS Comments)
N ^o 28.	04/28/88	Memo, Zech/Stello - (Memorandum to L. W. Zech from W. Kerr, RE: Program to Implement the Safety Goal Policy - ACRS Comments, Dated April 12, 1988)
N ^o 29.	05/27/88	Memo, Stello/Zech - (ACRS Comments on Safety Goal Policy Implementation)
X N ^o 30.	02/16/89	Letter, Kerr/Zech - (ACRS Comments on Implementation of the Safety Goal Policy)
YES 31.	03/10/89	Memo, Lewis/Commission - (Safety Goal Policy)
32.	03/30/89	SECY-89-102 - (Implementation of Safety Goal Policy) with vote sheets: JRC-5/2/90, 9007030068 KMC-4/6/90, TMR-4/17/90, KCR-4/20/90, 9007030070 FJR-4/26/90, 9007090091
YES 33.	04/13/89	Transcript, April 13, 1989 Commission Briefing on Implementation of Safety Goals Policy Statement

8905220004

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July 28, 1993 6:23pm

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
YES 34.	04/20/89	Memorandum, Chilk/Stello - (Staff Requirements -- Briefing on Implementation of Safety Goals Policy Statement) 8904260198
NO 34.1	05/27/89	Memo from V. Stello, EDO to Chairman Zech - ACRS comments on Safety goal Policy Implementation

Tab No.

Date

Document Description

BOOK III

YES 35.	06/20/89	Memorandum, Parler/Stello - (OGC Legal Analyses of Adequate Protection and Backfit Considerations Relating to Nuclear Power Plant Life Extention) 8907170229
YES 35.1	08/21/89	Memo from S. Chilk, SECY to J. Taylor, EDO & R. Fraley, ACRS - SRM on Commission Briefing on 7/26/89 on Integration of Policy Statements for Severe Accidents, Advanced Reactors, Safety Goals, & Standardization 8908240018
? 36.	08/23/89	Memorandum, Taylor/Commission - (Safety and Compliance) we attached 8908250319
YES 36.1	10/05/89	View Graphs on Adequate Protection & Safety Goals by R. W. Houston, RES for 10/5/89 ACRS Meeting with transcript 8910160253
YES 37.	10/11/89	Letter, Remick/Carr - (ACRS Comments on the Safety Goals Policy and Its Relationship to the Concept of Adequate Protection) 8910270047
JO 37.1	10/18/89	Memo from J. Taylor, EDO to Chairman Carr - Integrated Approach on Regulatory Matters
YES 38.	11/20/89	Letter, Remick/Taylor - (The Relationship of the Quantitative Safety Goals to the Concept of Adequate Protection) 8911300220
YES 39.	12/14/89	SECY-89-375, Adequate Protection As It Relates to Safety Goals: ACRS and Staff Positions 9001030133
YES 40.	01/11/90	Transcript, January 11, 1990 Commission Periodic Briefing by ACRS 9001290107
X NO 41.	02/12/90	Memorandum, Vietti-Cook/Commissioner Asst's. - (Draft Agenda for Safety Goal Meeting)
YES 42.	05/10/90	Staff Requirements Memorandum (SRM), Final, Chilk/Taylor - (SECY-90-104 - Role of Individual Plant Examinations (IPE) in Assessing Industry Status with Respect to the Commission's Safety Goal Policy) 9006150017
YES 43.	06/15/90	Memorandum, Chilk/Taylor - Final SRM - SECY-89-102 -- Implementation of the Safety Goals 9007090094

[SAFETY.IDX]

July 28, 1993 6:23pm

Tab No.	Date	Document Description
YES 43.A	09/11/90	Letter, Carr/Michelson - (Implementation of the Safety Goal Policy) 9009210065
X NO 43.B.	11/16/90	Memorandum, Michelson/Taylor - (Update on Staff Activities for Safety Goal Implementation)
YES 43.C.	05/01/91	SECY-91-114 -- Proposed Actions to Improve Guidance for Performing Regulatory Analyses 9105060032
YES 43.D.	12/05/91	Transcript, December 5, 1991, Subcommittee Meeting Safety Philosophy, Technology and Criteria 9112120076
YES 43.E.	12/18/91	Letter, Selin/Ward - (SECY-91-270, "Interim Guidance on Staff Implementation of the Commission's Safety Goal Policy" 9201090054
X NO 43.F	01/15/92	Letter, Ward/Taylor - (Proposed Staff Procedure to Account for the Safety Goal Policy in Considering New Regulatory Initiatives)
X NO 43.G	01/16/92	Memorandum, Rogers/Taylor - (Staff Review of Recent Statements on Safety Goals)
YES 44.	05/19/93	SECY-93-138 -- Recommendation on Large Release Definition & vote sheet Secy = 9305240090 Vote = Benwick 9307130089 Chairman 9307080170 Rogers 9307080147 Curtiss 9307080141 DeP 9307070101

PERFORMANCE INDICATORS PROGRAM
INDEX

N^o 07/16/84 Memo from S. J. Chilk, SECY to W. J. Dircks, EDO -
Subj: SECY-84-133 - Integrated Safety Assessment
Program (ISAP)

N^o 10/01/84 SECY-84-133A - ISAP

N^o 05/06/85 SECY-85-160 - ISAP - Implementation Plan

N^o 04/21/86 SECY-86-121 - ISAP - Progress Report

N^o Undated Memo from S. Chilk, SECY to Chairman Zech --
COMLZ-85-10 - Lessons Learned from Davis-Besse
Incident

N^o 03/10/86 Memo from S. Chilk, SECY to V. Stello, EDO --
COMLZ-86-3 -- Performance Indicators

yes 10/28/86 SECY-86-317 -- Performance Indicators 8611140306

yes 12/11/86 Memo from S. Chilk, SECY to V. Stello, EDO -- Subj: SRM
- Briefing on Status of Performance Indicators Program
on 11/7/86 8612180190

yes *12/30/86 Memo from S. Chilk, SECY to V. Stello, EDO --
Subj: Revised SRM - SECY-86-317 - Performance
Indicators *see citation attached - partial release under FOIA

N^o 06/16/87 Memo from S. Chilk, SECY to V. Stello, EDO -- Subj: SRM
- Discussion of Performance Indicator Program on 6/9/87

N^o 07/09/87 Memo from S. Chilk, SECY to V. Stello, EDO --
Subj: SECY-87-117 -- Coordination Plan for NRC/INPO Use
of Performance Indicators (includes SECY-87-117 dated
5/7/87)

yes 11/30/87 Memo from S. Chilk, SECY to V. Stello, EDO -- Subj: SRM
on Briefing on Integrated Safety Assessment Program on
11/4/87 8712020290

yes⁺ 08/31/87 SECY-87-219 - ISAP⁺ 2 possibilities - see attached
citation for clarification, ACN No.

12/02/87 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
on SECY-87-207 -- Policy Use of Performance Indicators

yes 02/25/88 Memo from S. Chilk, SECY to V. Stello, EDO & R. Fraley,
ACRS - Subj: SRM on SECY-87-314 -- Interim Policy
Statement on Maintenance of Nuclear Power plants &
Requirements from Status Briefing on Jan. 7, 1988
8803020224

[PERFORM.IDX]

July 27, 1993 7:36pm

- 004/27/88 Memo from J. Gutmann, SECY to S. Chilk, SECY -
Subj: Briefing Memo - Briefing on Status of Program for
Performance Indicators
- 05/25/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
- Briefing on Status of Performance Indicators Program
on 4/28/88 88052310217
- 06/06/88 SECY-88-159 - Integrated Safety Assessment Program II
- 06/24/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
- SECY-88-103 - Status of Performance Indicator Program
(includes SECY-88-103 dated 04/15/88)
- 10/14/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
- SECY-88-205, "Generic Letter for the Implementation
of the Severe Accident Policy Statement" & SECY-88-159,
"Integrated Safety Assessment Program II"
- X 11/10/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
- SECY-88-277 - Amendment to 10 CFR 50 Related to
Maintenance of Nuclear Power Plants
- X 12/30/88 Memo from V. Stello, EDO to Commissioners - Subj: Final
Report of the Trial Program for Maintenance
Performance Indicators
- yes* 02/06/89 SECY-89-044 - Report on Maintenance Performance
Indicator Development
[contains Proprietary Information] Partial release - see
attached citation -
- X 02/23/89 SECY-89-066 - Status of Evaluation of Candidate
Performance Indicator, "Safety System Function Trends"
- X 03/01/89 Memo from J. Guttman, SECY to S. Chilk, SECY -
Subj: Briefing Memo - Briefing on Status of Performance
Indicator Development 8903220368
- X 03/15/89 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM
-- SECY-89-046 - Performance Indicator Program
Development - Cause Codes
- yes 10/27/89 Ltr. to Z. T. Pate, INPO from J. Taylor, EDO - Responds
to Pate ltr. of 9/8/89 regarding nuclear plant
performance indicators 8911020214
- X 04/01/93 Memo from J. Taylor, EDO to Commissioners -
Subj: Plateauing of Performance Indicators

Box 234

SECURITY VOLUME I
[Design Basis Threat]

Yes 01/19/77 SECY-77-28 - Physical Protection of Nuclear Power Reactors Against Industrial Sabotage 8112040313

Yes 06/17/77 SECY-77-283A - Amendments to 10 CFR Part 73 to Provide Strengthened Physical Protection Against Radiological Sabotage & theft of Strategic Special Nuclear Material 8000030120

No 04/06/78 SECY-78-195 - Strengthened Physical Protection Requirements for Fuel Cycle Facilities & Transportation Involving Formula Quantities of Strategic Special Nuclear Material - (Short Title - Safeguards Upgrade Rule)

Yes 04/10/78 Memo from L. Gossick, EDO to office directors - Subj: Operating Assumption Covering the use of & Reliability Placed in Information From the Intelligence Community 9104300287

No 08/17/78 SECY-78-456 - "Generic Adversary Characteristics" Study (SECY-77-431)

Yes 03/16/79 SECY-79-188 - Task Force on NRC Safeguards Policy 7910090048

? ~~no~~ 09/11/79 Memo from L. Bickwit, GC to Commissioners - Subj: Discussion Paper to Assist Commission in the Matter of Clearance Rule for SNM (SECY-79-319) 8007150829
yes

Yes 06/13/80 SECY-80-284 - Potential Threat to Licensed Nuclear Activities from Insiders (Insider Study) 8008210563

No 05/18/82 SECY-81-198 - Staff Requirements -- Physical Security (SECY-82-70)

Yes 08/03/83 Memo from G. McCorkle, NMSS to R. Burnett, NMSS - Subj: Semi-Annual Design Basis Threat Review 8405140074

No 04/13/84 View Graphs - Sandia National Lab. on Investigation of the Truck Bomb Threat to Nuclear Facilities

No 03/31/86 SECY-86-101 - Design Basis Threat - Options for Consideration [includes vote sheets] SECY was partial release in FOIA-86-263

No 07/18/86 SRM on SECY-86-101/101A - Design Basis Threat - Options for Consideration [includes vote sheets]

No 05/10/88 SECY-88-127 - Contingency Planning to Counteract Possible Surface Vehicle Threat Partial release in FOIA 88-127 88051901594

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- 06/16/88 SRM on SECY-88-127 [includes SECY paper, draft SRM, & vote sheets]
- X 03/17/89 SECY-89-091 - Contingency Planning to Counteract Possible Surface Vehicle Threat - Generic Contingency Plans for NRC Staff Use
- 04/28/89 Ltr. to All Licensees of Operating Plants, etc. - Subj: Power Reactor Safeguards Contingency Planning for Surface Vehicle Bombs (GL 89-07) 8905010001
- X 02/13/90 Memo from Com'r. Remick to J. Taylor, EDO - Subj: Staff Position on Physical Security at Nuclear Power Plants
- X 03/28/90 Memo from J. Taylor, EDO to Com'r. Remick - Subj: Staff Position on Physical Security at Nuclear Power Plants
- X 01/09/91 Memo from J. Blaha, AO/OEDO to Commissioners - Subj: Notification to Certain NRC Licensees (re Persian Gulf)
- 01/11/91 Ltr. to Secretary (Chilk) from Eldon Greenberg encloses petition for rulemaking & request for emergency action on behalf of NCI 9102010022
- 01/15/91 Ltr. to E. Greenberg from B. Bernero, NMSS - acknowledges receipt of petition for rulemaking 9103010030
- 01/18/91 Notes from J. Gray to JRC: Petition for action to cope with potential terrorist attacks on commercial nuclear facilities; Background on current requirement for protection against vehicle bombs
- 02/14/91 Ltr. to Rep. S. Gejdenson from Chairman Carr - Subj: responds to Gejdenson ltr. of 1/14/91 re NCI's petition for rulemaking 9103010030
- 02/14/91 Ltr. to Rep. E. Markey from Chmn. Carr re Petition for Rulemaking 9103010073
- 04/16/91 Ltr. to Rep. Markey from Acting Chmn. Curtiss re physical security at nuclear plants 9104290034
- *04/22/91 SECY-91-106 - Denial of Petition for Rulemaking to Modify Design Basis Threat for Radiological Sabotage at Power Reactors [includes 5/28/91 SRM & vote sheets]
*SECY: 9104300392
- *06/05/91 Ltr. to E. Greenberg from S. Chilk, SECY - responds to petition for rulemaking submitted on 1/11/91
to Greenberg, V.C.
9108130221

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yes 06/13/91 Ltr. to Rep. Markey from Chmn. Carr - re NRC denial of
petition for rulemaking 9167080149

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SECURITY - VOLUME II

- X *no* 08/01/91 Memo from Com'r. Remick to Commissioners - Subj: Re-Examination of Nuclear Plant Internal Security Requirements (COMFR-91-005)
- X *no* 09/03/91 Memo from S. Chilk, SECY to Com'r. Remick - SRM on COMFR-91-005 [includes vote sheets]
- yes* 12/31/91 Director's Decision Under 10 CFR 2.206 ⁹²⁰¹¹⁵⁰¹³³
(I.P.E.)
(Safeguards)
- yes* 03/01/93 Memo from S. Chilk, SECY to J. Taylor, EDO - Subj: Design Basis Threat re re-evaluation of design basis threat for vehicle intrusion & the use of vehicular bombs SRM: ~~9303050109~~ 9303050109
- yes* 03/11/93 Memo from J. Taylor, EDO to Commissioners - Subj: Design Basis Threat Reevaluation - Proposed Action Plan 9303160123
- X *no* 03/16/93 Background materials for 3/18/89 Congressional Hearing before Lieberman on Design Basis Threat
- X *no* 03/24/93 Note from J. Blaha to J. Gray - forwards documents on design basis threat in response to JRC request of 3/23/93
- yes* 04/20/93 Memo from S. Chilk, SECY to J. Taylor, EDO - SRM on 4/6/93 Briefing by IIT on Unauthorized Forced Entry into the Protected Area at TMI-1 9304280062
- yes* 04/22/93 Transcript on Commission Briefing on Design Basis Threat Reevaluation 9305170288 (Revised Version)
- yes* 05/05/93 Memo from S. Chilk, SECY to J. Taylor, EDO - SRM on Commission Briefing of 4/22/93 on Design Basis Threat Reevaluation (SECY093-102) 9305110012

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BACKFIT INDEX

VOLUME I	-	Memoranda - OCG
VOLUME II	-	Backfit Survey
VOLUME III	-	Workshops Transcript - Region II
VOLUME IV	-	Workshops Transcript - Region I
VOLUME V	-	Regulatory Analysis Guideline
VOLUME VI	-	NUBARG Comments/Letters
VOLUME VII	-	\$/Person-Rem
VOLUME VIII	-	Generic Communications
VOLUME IX	-	Miscellaneous

Curtiss Papers
Job #
R-393

BACKFIT - VOLUME I

MEMORANDA - OGC

11/30/84 Federal Register Notice - Proposed Rulemaking--Revision of Backfitting Process for Power Reactors

09/20/85 Federal Register Notice - Final Rule--Revision of Backfitting Process for Power Reactors

no Undated J. Gray note to JRC re use of the "prevent backsliding" approach to justify backfitting

no 12/06/85 Memo from M. Malsch, OGC to Commissioners -
Subj: Manual Chapter 0514 -- Management of Plant-Specific Backfitting

no 12/11/85 Memo from M. Malsch, OGC to Commissioners -
Subj: Application of Backfit Rule to Part 20 Revision (SECY-85-147)

no 01/23/86 Memo from M. Malsch, OGC to Com'r. Asselstine -
Subj: Application of the Backfit Rule to Relaxation of Requirements

yes 02/04/86 Memo from H. H. E. Plaine, OGC to Chairman Palladino -
Subj: Backfit Analysis for the LEU/HEU Rule (SECY-86-17 & SECY-85-284) Y605210065

no 04/14/86 Memo from M. G. Malsch, OGC to Chairman Palladino -
Subj: Application of the Backfit Rule to the Proposed Insider Rules

no 04/22/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Charter, Committee to Review Generic Requirements (CRGR)

no 04/30/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Application of Backfit Rule to Option 2 for Response to GUARD

no 05/21/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Proposed Backfit Analysis for Proposed Part 20 Revision

no 06/05/86 Memo from M. G. Malsch, OGC & J. E. Zerbe, OPE to Chairman Palladino - Subj: Application of the Backfit Rule to Rules on Record-Keeping & Reporting

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- 06/09/86 *no* SECY-86-175 - Proposed Amendments to Part 50: The Applicability of the Backfit Analysis Requirement to Changes in the Communications Procedures Rules
Vote Sheets:
Chairman Zech 7/25/86;
Commissioner Roberts 9/21/86
Commissioner Carr 9/15/86
Commissioner Asselstine 9/26/86
Commissioner Bernthal 10/1/86
- no* 06/20/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Application of Backfit Rule's Compliance Exception to Commission's Response to GUARD
Partial secy under FOIA 86-864: 8702060167
- no* 06/23/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Application of the Backfit Rule to Proposed Amendments to Part 50 Requirements on Communications Procedures
- no* 07/22/86 Memo from M. G. Malsch, OGC to Commissioners -
Subj: Application of the Backfit Rule to Proposed Amendments to Part 55 (Operator Licensing)
- no* 08/06/86 Memo from M. G. Malsch, OGC to R. F. Fraley, ACRS -
Subj: Application of the Backfit Rule to the Resolution of USI A-17 (Systems Interaction)
- no* 10/07/86 SRM: SECY-86-175 - Proposed Amendments to Part 50: The Applicability of the Backfit Analysis Requirement to Changes in the Communications Procedures Rules
- no* 11/06/86 Memo from M. G. Malsch, OGC to A. DiPalo, RES -
Subj: Research on Use of Estimates of Averted Property Damage in Backfit Analysis
- no* 12/01/86 Memo from M. G. Malsch, OGC to R. F. Fraley, OGC -
Subj: Licensees' Power to "Invoke" the Backfit Rule
- no* 06/04/87 Memo from W. C. Parler, OGC to Com'r. Bernthal -
Subj: Consideration of Averted Onsite Costs in Backfit Analyses
- no* 06/15/87 Memo from M. G. Malsch, OGC to J. Larkins, OCM/LZ -
Subj: Treatment of Averted Onsite Costs in COMLZ-87-30
- no* 08/12/87 SECY-87-204 - The Court's Decision on the Backfit Rule & Manual Chapter 0514

no 07/05/88 Memo from W. Parler, OGC to Commissioners -
 Subj: Consideration of Averted Offsite Property Damage
 Under the Backfit Rule

yes 07/12/88 Memo from S. Crockett, OGC to Z. Rosztoczy, RES -
 Subj: Application of the Backfit Rule to Part 20
9204270121

yes 11/22/88 Memo from W. C. Parler, OGC to JRC - Subj: New Part 20
 & the Backfit Rule
9204270193

yes 11/29/88 Memo from W. C. Parler, OGC to Com'r. Roberts -
 Subj: New Part 20 & the Backfit Rule
9204270224

X NO 02/06/89 Note from S. Crockett, OGC to S. Sohinki, OCM/JC -
 Subj: Backfitting

yes 03/07/89 Memo from M. G. Malsch, OGC to Com'r. Assistants -
 Subj: New Part 20 & the Backfit Rule
9204070150

yes 07/06/89 Memo from W. C. Parler, OGC to Commissioners -
 Subj: Application of the Backfit Rule to Proposed
 Revisions to Part 20 and to Rulemaking in General
9204050078

X NO 07/10/89 Note from J. Conran, CRGR Staff to CRGR Members -
 Subj: Issue Sheet for CRGR Agenda Item - Discussion of
 "Compliance" and "Adequate Protection" Exceptions of
 50.109 (includes 9/20/85 F.R.N. on Final Rule of
 Revision of Backfitting Process for Power Reactors)

NO 07/27/90 Memo from W. C. Parler, OGC to Commissioners -
 Subj: Backfit Considerations of Proposed IPTEE Generic
 Letter

NO 09/11/90 Note from D. Trimble to JRC (generic & plant-specific
 data)

NO 03/04/91 Note from J. Conran, CRGR to D. Trimble, OCM/JC -
 Subj: Information Request - Examples of regulatory
 actions taken (or proposed) to docify licensee practice
 or industry initiative

NO 12/31/91 Memo from J. M. Taylor, EDO & W. C. Parler, OGC to
 Com'r. Remick - Subj: Commissioner Remick's Five
 Questions on SECY-91-348

NO 04/20/91 Memo from W. C. Parler, OGC to Commissioners -
 Subj: Criteria or Method for Reinterpretation of
 Existing NRC Regulations

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NO 11/19/92 Memo from J. M. Taylor, EDO & W. C. Parler, OGC to
Com'r. Remick - Subj: SECY-92-308 - Proposed Amendments
to the Fitness-for-Duty (FFD) Rule

NO 06/14/93 Memo from W. C. Parler, OGC to Com'r. Remick -
Subj: Backfit Rule applicability to Requirements
Considered Worthwhile by NRC and Affected Licensees

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BACKFIT - VOLUME II

BACKFIT SURVEY

- X NO 06/16/89 Memo from J. L. Blaha, OEDO to T. Cerne, OCM/JC -
 Subj: Information Request - AEOD's survey of plant-specific backfitting (material includes 4/7/89 Notice to licensees with attachments; 5/19/89 NUMARC ltr. to E. Jordan)
- X NO 08/09/89 Memo from J. L. Blaha, OEDO to C. Ader, OCM/TR -
 Subj: Information Request - Results of AEOD's survey of backfitting (material includes Q&As and industry responses to NRC Backfit Questionnaire)
- X NO 09/07/89 Notes on Backfit Survey
- YES 05/14/90 Memo from J. M. Taylor, EDO to Commissioners -
 Subj: April 1989 Survey on Backfitting
- X NO 10/11/90 ⁹⁰⁰⁵²¹⁰⁰⁷⁷ Note from J. Blaha to J. Gray, OCM/JC -
 Subj: Information Request - Backfit Survey
- NO 10/11/90 J. Gray notes on Backfit Survey
Not in binder

BACKFIT - VOLUME III

WORKSHOPS

- public document*
- 08/13/90 Federal Register Notice of Backfitting & Event Reporting Workshops
- X* NO 09/05/90 Memo from J. L. Blaha, AO/OEDO to Com'r Asst's. - transmits 8/30/90 memo by E. L. Jordan, Office for Analysis & Evaluation of Operational Data -- Subj: Backfit & Event Reporting Workshops
- yes* 09/27/90 Transcript of Office for Analysis & Evaluation of Operational Data Regional Workshop on Backfitting - Region II / Atlanta, GA 9011050018
- yes* 09/27/90 View graphs used during 9/27/93 Backfitting Workshop/Region II 9011050018
- yes* 09/28/90 Transcript of Day 2 of Backfitting Workshop/Region II Event Reporting Workshop 9011050086

BACKFIT - VOLUME IV

WORKSHOPS

yes 10/01/90 Transcript of Office for Analysis & Evaluation of
Operational Data Regional Workshop on Backfitting -
Region I / King of Prussia, PA

9011050162

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BACKFIT - VOLUME V

REGULATORY ANALYSIS GUIDELINE

- X NO 01/11/90 Memo from W. Minners, Div. of Safety Issue Resolution, RES to B. M. Morris, Div. of Regulatory Applications - Subj: Needs & Options for Improving Guidance for Regulatory Analysis in Support of Safety Issue Resolution
- NO 01/00/90 Report on Needs & Options for Improving Guidance for Regulatory Analysis in Support of Safety Issue Resolution - prepared by MITRE Corp., Civil Systems Div. for the NRC
- X NO 01/23/90 Memo from Com'r. Rogers to Chairman Carr - Subj: Review of the MITRE Report
- X NO 02/12/90 Memo from J. M. Taylor, EDO to Chairman Carr - Subj: MITRE Report -- outline of staff plans
- YES 06/00/90 NUREG-1409 - Backfitting Guidelines 9108070378
- NO Undated Proposed Schedule for Publication of the Regulatory Analysis Guidelines & Accompanying Commission Paper
- YES 04/03/91 Portion of Commission Briefing transcript on GSI Status 9104160456
- YES 05/01/91 SECY-91-114 - Proposed Actions to Improve Guidance for Performing Regulatory Analyses 9105060032
- NO 09/15/92 Note from D. Trimble, OCM/JC to J.M.C., etc. - Subj: Staff status of revised procedure on reg. analysis
- YES 11/12/92 Ltr. from ACRS to J. M. Taylor, EDO - Subj: Revised Regulatory Analysis Guidelines 9212010251
- X NO 02/19/93 Ltr. from J. M. Taylor, EDO to P. G. Shewmon, ACRS - Subj: provides NRC response to 11/12/92 ACRS comments on Regulatory Analyses; PNL Report on Discount Rate; & revised proposed guidelines
- YES 02/22/93 SECY-93-043 - Regulatory Analysis Guidelines of the U.S. NRC 9302250276
- NO Undated JRC Staff (KAC & KSD) comments on SECY-93-043

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N 03/12/93 Memo from Com'r. Remick to S. J. Chilk, SECY -
Subj: SECY-93-043 - Regulatory Analysis Guidelines of
the U.S. NRC

N 03/31/93 SRM on SECY-93-043

YES 06/14/93 SECY-93-167 - Regulatory Analysis Guidelines of the
U.S. NRC *Tracking System Record only - Released 8-16*

YES 06/25/93 Com'r Remick's Vote Sheet on SECY-93-167
9305240342

BACKFIT - VOLUME VI

NUBARG COMMENTS/LETTERS

- X NO 11/12/90 Ltr. from Winston & Strawn to Chairman Carr -
Subj: Proposed Individual Plant Examination for
External Events (IPEEE)
- YES 12/07/90 JRC Vote Sheet - Subj: SECY-90-340 - Resolution of
Generic Safety Issue B-56, " Diesel Generator
Reliability" 9108010134
- NO 10/24/91 Ltr. from Winston & Strawn to Com'r Curtiss -
Subj: Attendance at October 30 NUBARG Meeting
- X NO 11/11/91 Ltr. from Winston & Strawn to JRC - Subj: Thank you for
participation in 10/30/91 NUBARG Meeting
- X NO 02/06/92 Ltr. from Winston & Strawn to Chairman Selin -
Subj: NRC Application of the Backfitting Rule
- X NO 06/04/93 Ltr. from Winston & Strawn to Chairman Selin -
Subj: Backfitting Rule Considerations
- X NO 06/07/93 Ltr. from Winston & Strawn to Chairman Selin -
Subj: Backfitting Rule Considerations

BACKFIT - VOLUME VII

\$/PERSON-REM

- N^o 10/23/85 Memo from W. J. Dircks, EDO to Commissioners -
Subj: Basis for Quantifying Offsite Property Loses
- X N^o 03/06/92 Memo from C. J. Heltemes, Reg. Analysis Steering Group,
NRR to NMSS, OGC, AEOD, NRR OEDO, & RES -
Subj: Revision of Regulatory Analysis Guidelines --
Dollar Value of Averted Radiological Dose
- X N^o 07/10/92 Memo from C. J. Heltemes, RES to K. Connaughton, OCM/JC
- Subj: Information Requested on \$/Person-Rem

BACKFIT - VOLUME VIII

GENERIC COMMUNICATIONS

- ✓ 03/10/89 Ltr. to Chairman Bevill, Approp. Sub. on Energy & Water Development from Chairman Zech - Subj: Transmits 1988 Report on Changes to Systems, Components, Structures, Procedures, Organization or Design of Operating Power Plants Imposed by NRC 8903210031
- ✓ 04/07/89 Notice to all licensees - transmits questionnaire requesting industry views on the conduct of the backfit process 8904210275
- X No 05/09/89 View graphs on Bulletins issued from 1971 thru April 1989
- ✓ 05/09/89 Ltr. to Chairman Zech from the ACRS - Subj: Generic Letter on Safety-Related Motor-Operated Valve Testing and Surveillance 8905240065
- X No 05/19/89 Ltr. to E. L. Jordon, AEOD from law offices of Bishop, Cook, Purcell & Reynolds - Subj: AEOD Questionnaire Regarding Backfitting, dated April 7, 1989
- X No 05/26/89 Notice of Public Meetings to be held to discuss Generic Letter (GL) 89-04, "Guidance on Developing Acceptable Inservice Testing Program" *a public document*
- X No 07/20/89 Memo from Com'r. Roberts to Chairman Carr - Subj: ACRS Letter Concerning The Proposed Resolution of Generic Issue 128
- X No 07/06/89 Ltr. to Chairman Carr from Northeast Utilities - Provides additional info. to their 6/2/89 discussions -- Operations Rework Procedure Excerpt; Generation Construction Rework Procedure; and Backfitting Issues
- X No 01/08/90 Note from J. L. Blaha, AO/OEDO to G. Marcus, OCM/KR - Information Request: Surveys of Mechanisms Used to Communicate Requirements to Reactor Licensees
- ✓ 02/27/90 Ltr. to Chairman Bevill, Approp. Sub. from Chairman Carr - transmits Report for Calendar Year 1989 on changes to operating nuclear power plants 9003070037
- X No 07/06/89 Attachment 3 to 7/6/89 Northeast Utilities / Backfitting Issues - Generic Letter 88-17: Decay Heat Removal

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X No 06/19/91 Memo from J. M. Taylor, EDO to Commissioners -
100 Subj: Staff Requirements - Briefing on Committee to
Review Generic Requirements (CRGR) Process

Yes 06/25/91 SECY-91-192 -- Analysis of Recent Generic
Communications 9106280154

BACKFIT - VOLUME IX

MISCELLANEOUS

- No public document*
 No 11/30/84 Federal Register Notice on 10 CFR Parts 2 & 50 --
 Revision of Backfitting Process for Power Reactors
- No public document*
 No 12/24/85 GAO Report to Congress: Nuclear Regulation - Process
 for Backfitting Changes in Nuclear Plants Has Improved
- X No 01/27/88 Q&A #77-81 / BreauX - on the Backfit Rule
- Yes 04/18/88 SECY-88-102 - Draft Final Backfit Rule 8805130269
- X No 05/20/88 Ltr. to Chairman Gedjenson, House Interior, Sub. on
 General Oversight & Investigations - Subj: provides NRC
 responses to Gedjenson april 20, 1988 ltr concerning
 fitness-for-duty, backfit, and design basis threat
- No public document*
 No 08/26/88 Portion of NRC Manual Chapter 0514-044--Appeal Process
- NO 11/23/88 Memo from P. Bollwerk, OGC to OCM Tech. Assts. -
 Subj: Ltr. to the Solicitor General Regarding Backfit
 Rule attorney Fee Award
- YES 03/10/89 Ltr. to Chairman Bevill, Chairman Sub. on Energy &
 Water Development, House Approp. from Chairman Zech -
 Subj: Report for 1988 Calendar Year on all changes to
 systems, components, structures, procedures,
 organization, or design of operating plants where such
 changes were imposed by the Commission or its staff
 8903210031
- X NO 06/05/89 Speech by Nicholas S. Reynolds of the law firm Bishop,
 Cook, Purcell & Reynolds to the Amer. Nuclear Society
 Summer Meeting in Atlanta, Georgia - Subj: The NRC's
 Backfitting Rule: Is the Commitment Waning?
- 07/06/89 Ltr. to Chairman Carr from Northeast Utilities -
 provides additional info. to their 6/2/89 discussions -
 - Operations Rework Procedure Excerpt; Generation
 Construction Rework Procedure; and Backfitting Issues
 Maybe 8909270047
- > 07/06/89 Attachment 3 to 7/6/89 Northeast Utilities /
 Backfitting Issues - Generic Letter 88-17: Decay Heat
 Removal
 see above

- X NO 07/12/89 Enclosure 4 to the Minutes of CRGR Meeting No. 165:
Discussion of Compliance and Adequate Protection
Exceptions of the Backfit Rule
- NO 08/--/89 Nuclear News article quotes V. Stello, EDO on backfit
- YES 10/25/89 Enclosure 4 to the Minutes of the CRGR Meeting No. 172
- Backfit Discussion in CRGR Review Packages
- YES 09/14/89 Ltr. to E. J. Mroczka, Northeast Utilities from
Chairman Carr (CR-89-124) - followup to 7/6/89 letter
from Northeast Utilities 8912140177 8909270045
- X NO 09/29/89 Memo from J. G. Partlow, NRR to NRC Regional Offices of
the Div. of Reactor Safety - Subj: Determination of
Backfit Appeals Regarding Containment Integrated
Leakage Rate Testing at Oconee, McGuire, & Catawba
Nuclear Stations
- YES 10/24/89 Memo to All NRR Employees from T. E. Murlery, NRR -
Subj: NRR Office Letter No. 901 - Procedures for
Managing Plant-Specific Backfits
- X NO 11/03/89 Memo from E. L. Jordan, Chairman CRGR to NRR directors
- Subj: Submittal of CRGR Review Packages 8910310142
- X NO 01/17/90 Memo from E. L. Jordan, AEOD to P. E. Bird, Personnel -
Subj: Draft NUREG on Backfitting For SES Conference
- X NO 11/21/90 Memo from J. M. Taylor, EDO to Com'r Rogers -
Subj: Need for Follow-Up on Backfit Decisions
- X NO 03/27/91 Ltr. to Chairman Carr from NUMARC - regarding benefit-
cost analyses
- X NO 12/11/91 Note to J. Gray, OCM/JC from J. L. Blaha, AO/OEDO -
Subj: Information Request on Draft Backfit Rule Change;

SET1

PDR Review
rec'd 7/30/93Boxes 237-240;
Box 250VOLUME I
LICENSE RENEWAL INFORMATION

DATE	TO	FROM	SUBJECT
NO 09/86	Beckjord Denton Davis Taylor Heltemes	Shao	Integration of Agency Activities for Aging and Life Extension - Trigalex
YES 11/06/86 1031			<u>Federal Register Notice</u> -- 10 CFR Part 50 -- Production and Utilization Facilities; Request for Comments on Development of Policy for Nuclear Power Plant License Renewal (pgs. 40334-40335) 8612110353
YES 07/21/87	Commission	Stello	SECY-87-179 -- Status of Staff Activities to Develop a License Renewal Policy, Regulations and Licensing Guidance and to Report on Public Comments 8708200099
YES 06/27/88	Commission	Stello	SECY-88-180 -- License Renewal Rulemaking 8807080136
YES 08/88			NUREG-1317 -- Regulatory Options for Nuclear Plant License Renewal (Draft for Comment) 8809140371
YES 08/29/88			<u>Federal Register Notice</u> -- 10 CFR Part 50 -- Nuclear Plant License Renewal (pgs. 32919-32920) in FR file Not Found on BRS
NO 1988			Nuclear Information and Resource Service (NIRS) -- The Aging of Nuclear Power Plants: A Citizen's Guide to Cause and Effects
01/13/89	Schinki	Parler	Enclosed --> Memo for Stello from Parler dated 1/13/89 re OGC Analyses of Legal Issues Relating to Nuclear Power Plant Life Extension 8901300096
YES 03/89			NUREG/CR-5332 -- Summary and Analysis of Public Comments on NUREG-1317: Regulatory Options for Nuclear Plant License Renewal (Final Report) 8905100268

NO to Memo from Parler to Schinki
YES to Memo from Parler to Stello

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
X	NO 04/04/89	Van Cise	Plaha	Enclosed --> Ltr to Houston, RES/NRC from Rasin, NUMARC dated 11/29/88 re a copy of NUMARC report entitled "Methodology to Identify and Evaluate Plant Equipment for License Renewal" 9001050 dated 705 10.6.89
	<i>to both memo yes to Rpt.</i>			
X	NO 04/19/89			Proposed Rule for Nuclear Power Plant License Renewal - Environmental Assessment (Second Draft)
	YES 04/21/89	Houston	Rasin	Draft Report on NUMARC NUPLEX Position Paper on License Renewal Rulemaking dated 04/18/89 9006140508
	05/16/89	Houston	Rasin	Study of Generic Environmental Issues Related to License Renewal dated 05/09/89 9011190041
	<i>NO to S-16 memo YES to S-9 Rpt.</i>			
X	NO 06/16/89	Commission	Stello	License Renewal
	YES 06/20/89	Stello	Parler	OGC Legal Analyses of Adequate Protection and Backfit Considerations Relating to Nuclear Power Plant Life Extension 8907170229
	YES 08/24/89	Snizek Speis	Kudrick	Technical Task Force in License Renewal 8908310259
	09/01/89	Commission	Taylor	SECY-89-275 -- Staff Activities for the Development of License Renewal Regulations and Licensing Guidance 8909130055
	<i>YES</i>			
X				• Memo to Taylor from Chilk dated 10/11/89 re Staff Requirements - SECY-89-275 - Staff Activities for the Development of License Renewal Regulations and Licensing Guidance
				• Curtiss' vote on SECY-89-275
X	YES 09/13/89	Commission	Taylor	SECY-89-288 -- Preliminary Regulatory Approach to License Renewal Regulation 8909190022
	<i>NO</i>			

VOLUME II LICENSE RENEWAL INFORMATION

DATE	TO	FROM	SUBJECT
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X NO 01/04/90	Commission	Kammerer	Massachusetts Department of Public Utilities Policy on Pre-Approval of Utility Investments in New Plants and Major Modifications to Existing Plants
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YES 01/17/90	Commission	Taylor	SECY-90-021 -- Report on License Renewal Workshop and Proposed Revisions to the Program Plan and Schedule for Rulemaking 9001290264
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YES • Memo for Taylor from Chilk dated 03/06/90 re Staff Requirements - Briefing on Status of Proposed Rule on Licensing Renewal 9003080224

YES • Memo for Taylor from Chilk dated 04/06/90 re SECY-90-021 - Report on License Renewal Workshop and Proposed Revisions to the Program Plan and Schedule for Rulemaking (Backfit) 9004260303 Discussion

YES 04/11/90	Carr	Michelson, ACRS	Proposed Rule on Nuclear Power Plant License Renewal 9004200034
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X NO 04/30/90	Michelson, ACRS	Taylor	Proposed Rule on Nuclear Power Plant License Renewal
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05/03/90	Commission	Taylor	YES SECY-90-160 -- Proposed Rule on Nuclear Power Plant License Renewal 9005080305
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YES • Memo to Taylor from Chilk dated 05/25/90 re Staff Requirements - Briefing on Proposed Rule on License Renewal (SECY-90-160) 9005300033

NO • Carr's vote on SECY-90-160
YES • Curtiss' vote on SECY-90-160 9007170033
YES • Remick's vote on SECY-90-160 9007160356
YES • Roger's vote on SECY -90-160 9007170036

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
YPS 07/90			NUREG-1362 -- Regulatory Analysis for Proposed Rule on Nuclear Power Plant License Renewal (Draft Report for Comment) 9008070329
? 06/28/90	Taylor	Chilk	SECY-90-160 - Proposed Rule for Nuclear Power Plant License Renewal
have seen - date on BRS is 5/3/90 - 9005080305			
? 10/11/90	Taylor	Michelson	Draft Implementation Documents for the Proposed License Renewal Rule
have ACRS-R-1486 3 pages - 9010180011			

VOLUME IIa

LICENSE RENEWAL INFORMATION

DATE	TO	FROM	SUBJECT
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yes 10/23/90 Commission Taylor
ACN: 9010290343

SECY-90-361 -- Draft Regulatory Guide on Standard Format and Content for License Renewal Applications and Draft Standard Review Plan for the Review of License Renewal Applications

yes - • Enclosure 1 - Proposed Federal Register Notice 7590-1

no - • Enclosure 2 - Draft Regulatory Guide (12/90)

no - • Enclosure 3 - NUREG-1299 -- Draft Standard Review Plan for the Review of License Renewal Applications for Nuclear Power Plants (Draft Report for Comments) (11/90) (available separately)

From SECY: 9012110326
yes - • Enclosure 4 - Ltr to Taylor from Michelson, ACRS dated 10/11/90 re Draft Implementation Documents for the Proposed License Renewal Rule

ATTORNEY -
CLIENT
INFO

no 03/13/91 Commission Parler

Nuclear Power Plant Life Extension Hearings

yes 8/30-31-
9/1/88

NUREG/CP-0100 -- Proceedings of the International Nuclear Power Plant Aging Symposium (Held at Hyatt Regency Bethesda, MD)

8905100270

VOLUME III LICENSE RENEWAL INFORMATION

SECY-91-138

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
X	no 05/17/91	Commission TA's & LA's	Blaha	SECY-91-138 -- "Final Rule on Nuclear Power Plant License Renewal" (Road Map)
X	no 05/20/91	Chilk	Knotts Horin Ross	Notice of Proposed Rulemaking Nuclear Power Plant License Renewal 55 Fed. Reg. 29,043 (July 17, 199) -- 6 months late
	yes 05/21/91			Commission Meeting View Graphs with transcrip 9106060028
	yes 05/15/91	Commission	Taylor	SECY-91-138 -- Final Rule on Nuclear Power Plant License Renewal 9105230033
X	4-17-91	Can	Hand	ACRS views on Draft Final Rule Rule on Nuclear Power Plant License Renewal Attachment to SECY 91-138).

VOLUME IV LICENSE RENEWAL INFORMATION

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
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	no ?			NUREG-1459 -- Analysis of Public Comments on Draft Regulatory Guide DG-1009, "Standard Format and Content of Technical Information for Applications to Renew Nuclear Power Plant Operating Licenses"
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X	no 01/31/91			UCS' Major comments on Proposed License Renewal Rule
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	yes 04/17/91	Carr	Ward, ACRS	Draft Final Rule on Nuclear Power Plant License Renewal 9104290045
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	07/22/91	Chairman	Taylor	Information Requested During Licensing Renewal Briefing may be: 9108020172
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X	no 07/24/91	Chairman	Taylor	The Staff's Views on the <u>New York Journal of Commerce</u> Article related to the License Renewal Rule
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X	07/30/91	Chairman	Taylor	Selection of Lead Plants for License Renewal may be: 9108080084
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	yes 08/91			NUREG-1437 -- Generic Environmental Impact Statement for License Renewal of Nuclear Plants (Volume 1) Main Report and Draft Report for Comment 9109300088
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	yes 08/91			NUREG-1437 -- Generic Environmental Impact Statement for License Renewal of Nuclear Plants (Volume 2) Appendices and Draft Report for Comment 9109300090
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*** Appendix H - The Economics of License Renewal as included in the Nureg

	no 11/05/91	Jim	Kevin	NUMARC letter dated November 4, 1991 re License Renewal Rule
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NOT in binder ↑

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
70 12/13/91	<i>public document</i>		<u>Federal Register</u> Notice -- 10 CFR Parts 2, 50, 54, and 140 - Nuclear Power Plant License Renewal (pgs. 64943-64980)
yes 12/91			NUREG-1362 -- Regulatory Analysis for Final Rule on Nuclear Power Plant License Renewal (Final Report) 9201060099

VOLUME V

LICENSE RENEWAL INFORMATION

DATE

TO

FROM

SUBJECT

yes 12/91

NUREG-1398 -- Environmental Assessment for Final Rule on Nuclear Power Plant License Renewal (Final Report) 9201060281

yes 12/91

NUREG-1412 -- Foundation for the Adequacy of the Licensing Bases ...A Supplement to the Statement of Considerations for the Rule on Nuclear Power Plant License Renewal (10 CFR Part 54)... (Final Report) 9201060078

yes 12/91

NUREG-1428 -- Analysis of Public Comments on the Proposed Rule on Nuclear Power Plant License Renewal 9201060074

X NO 06/05/92

Fraley

Craig

Request for Review of Interim Regulatory Guide and Standard Review Plan for License Renewal

ES 08/17/92

Taylor

Ward

Proposed Regulatory Guide and Interim Standard Review Plan for License Renewal and a Related Branch Technical Position on Fatigue Evaluation Procedures 9201020019

yes 10/01/92

Ward

Taylor

Proposed Regulatory Guide and Interim Standard Review Plan for License Renewal and a Related Branch Technical Position on Fatigue Evaluation Procedures 9201020012

yes 10/22/92

Taylor

Ward

Proposed Branch Technical Position on Environmental Qualification of Electrical Equipment for License Renewal 9201030179

X

NO 10/23/92

Commission

Taylor

Generic License Renewal Program Proposed by the Babcock and Wilcox Owners Group

X

NO 10/27/92

Scinto
Murley

Snizek

Commission Briefing on License Renewal

X

NO 11/06/92

Chairman

Taylor

License Renewal Issues

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
X	no 11/16/92	Trimble	Blaha	License Renewal
			yes •	Dated 11/10/92 to Licensee: Northern States Power Company, Facility: Monticello Nuclear Generating Station, re Summary of Meeting With Northern States Power Company Concerning License Renewal 9211160301
	yes 11/17/92	Commission	Taylor	Commission Meetings on License Renewal 9212070133
	yes 11/19/92	Taylor Parler	Curtiss	License Renewal 9212030186
X	no 11/23/92	Crutchfield	Murley	License Renewal
X	no 12/04/92	Murley	Crutchfield	Augmented License Renewal Implementation Issues Task
	yes 12/04/92	Commission	Taylor	Revised Agenda for Commission Meetings on License Renewal 9212150315
	yes 12/21/92	Taylor Parler	Chilk	Staff Requirements 9212300340 - Briefing on License Renewal Rulemaking Issues, - Briefing on License Renewal Regulatory Guidance Issues, and - Briefing on License Renewal Industry Initiatives and Resources
	yes 02/04/93	Shewmon	Taylor	Proposed Branch Technical Position on Environmental Qualification of Electrical Equipment for License Renewal 9302120241
X	no 02/09/93	Murley	Curtiss	License Renewal Rule Implementation Issues
X	no 02/22/93	Chairman	Taylor	OPP Review of License Renewal
			no •	Memo to Taylor from Vollmer dated 02/19/93 re License Renewal - the Utility Decisionmaking Perspective

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
	yes 03/01/93	Commissioner	Taylor	SECY-93-049 -- Implementation of 10 CFR Part 54, "Requirement for Renewal of Operating Licenses for Nuclear Power Plants" 9303100375
	yes 03/03/93	Curtiss	Taylor	License Renewal Rule Implementation Issues 9303150009
	yes 03/09/93	Commission	Parler	License Renewal and SECY-93-049 9303150008
	yes 04/01/93	Commission	Taylor	Staff Requirements Memorandum #M930315B on License Renewal Issues 9304080241
X	no 04/02/93	Taylor	Curtiss	License Renewal Issues
X	no 04/08/93	Commission	Taylor	License Renewal Issues
	no 04/16/93	Marty	Joe Gray	Recent Memo from EDO to Commission on 04/08/93 re License Renewal Issues
	yes 04/30/93	Commission	Taylor	SECY-93-113 -- Additional Implementation Information for 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants" 9305060372
	no 05/12/93	Cyr, Karman, Gray, Jenson and Crockett	Parler	Draft Rulemaking Package on License Renewal
	no 05/14/93	Commission	Parler	COMSECY-93-029 -- Draft Rulemaking Package on License Renewal [NOTE: COVER MEMO IS ATTORNEY-CLIENT INFORMATION LIMITED TO MRC UNLESS THE COMMISSION DETERMINES OTHERWISE. ENCLOSURES 1 & 2 WERE PUBLICLY RELEASED]
				<ul style="list-style-type: none"> • Selin's vote on COMSECY-93-029 • Curtiss' vote on COMSECY-93-029 • Remick's vote on COMSECY-93-029 • Roger's vote on COMSECY-93-029 • de Planque's vote on COMSECY-93-029
	yes 05/14/93	Commission	Taylor	License Renewal 9306020178

DATE	TO	FROM	SUBJECT
No 05/17/93	Commission	Parler	Enclosed --> Memo to Commission from Parler dated 5/17/93 re SECY-93-113; Additional Interpretations of Part 54
No 06/02/93	Taylor	Parler	Maintenance Regulatory Guide
No 06/03/93	Parler	Curtiss	Maintenance Regulatory Guide
No 06/10/93	Parler	Taylor	Maintenance Regulatory Guide
X No 06/16/93	Commission	Taylor	10 CFR Part 51 License Renewal Rulemaking
yes 06/18/93	Selin	Wilkins	SECY-93-113, Additional Implementation Information for 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants" 9306290027
X yes 06/28/93	Taylor Parler	Chilk	COMSECY-93-029 - Draft Rulemaking Package on License Renewal
(0-7620310)			SECY-93-049 - Implementation of 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants"
no discussed all 3 items			SECY-93-113 - Additional Information for 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants"
?		No - Sniezek	Draft Revision to 10 CFR 54

Secy-93-049
 dated 3/1/93
 9303100375
 Secy-93-113
 dated 4/30/93
 9305060372

VOLUME VI LICENSE RENEWAL INFORMATION

INDUSTRY COMMENTS AND DOCUMENTS

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
	Yes 10/28/88	Chilk	Colvin, NUMARC	Advanced Notice of Proposed Rulemaking - NUREG-1317 "Regulatory Options for Nuclear Plant License Renewal" - 53 FR 32919 (8/29/88) request for comments 881110070
X	NO 12/19/89	Curtiss	Kadac, Yankee Electric Company	Plant Life Extension -- marked-up version of the staff's conceptual approach to the PLEX rule
X	NO 03/02/90	Beckjord	Parker, Northern States Power Company	Comments on the 12/28/89 Draft of the Conceptual Rule for License Renewal
	Yes 08/22/90	Curran	Chilk	NRC considered the Union of Concerned Scientists' request for an extension of the period for commenting on the proposed license renewal rule 9008290184
X	NO 10/16/90	Curtiss	Kadac, YAEC	Comments on the Proposed License Rule
X	NO	Carey, EPRI	Edwards, YAEC	Meeting on May 27 w/D.Dorosuk, D.Farrar, D.Edwards regard to identifying a way to modify the approach in SECY-93-113 to accommodate industry interests w/in the guidance from the Chairman
	Yes 02/01/91			TRANSCRIPT -- Briefing on Status of Final Rule on License Renewal - Part 54 9102080059
	Yes 04/04/91	Carr	Lee, NUMARC	Status of the License Renewal Rule 9003230320
	Yes 04/26/91	Carr	Kadac, YAEC	Mark-ups of the draft License Renewal Rule prepared by NUMARC and submitted to NRC on 10/17/90 9105140355
	NO 05/10/91		Silberg	Committee on Nuclear Technology and Law -- re Report on Renewal of Nuclear Power Plant Operating Licenses <u>Public Document</u>

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
	Yes 05/13/91	Carr	Kadak, YAEC	Markup of the NRC draft Statement of Considerations which accompanied the draft License Renewal rule 9105240108
	Yes 05/16/91	Parler	Gallo	Licensing Renewal Rulemaking - Proposed 10 CFR Part 54 910524015
	Yes 05/17/91	Curtiss	Silberg	Committee on Nuclear Technology and Law re Report on the Renewal of Nuclear Power Plant Operating Licenses 9105240089
	Yes 06/03/91	Carr	Lee, NUMARC	Final Rule for License Renewal 9107190022
	Yes 06/04/91	Commission	Silberg	License Renewal Rulemaking 9108150075
X	NO 06/05/91	Curtiss	Kadak, YAEC	Proposed Final Rule on Nuclear Power Plant License Renewal (SECY-91-138)
	Yes 06/21/91	Carr	Reynolds	NRC Backfitting Policy for License Renewal 9107190003
	Yes 11/04/91	Curtiss	Rasin, NUMARC	SRM on SECY-91-138, Final Rule on Nuclear Plant License Renewal dated 6/27/91 9203230361
X	NO 08/20/92	Distribution	Edward, YAEC	Integration of the License Renewal and Maintenance Rules
X	NO 09/08/92	Curtiss		Lead Plant License Renewal Project, Monticello Nuclear Generating Plant, Northern States Power Company
X	NO 09/18/92		Nickell, EPRI	Utility/Numarc Perspective on Fatigue Evaluation for License Renewal
	Yes 09/30/92	Taylor	Beard	B&W Owners Group Generic License Renewal Program 9210090215
X	NO 10/15/92	Selin	Kadak, YAEC	John Haseltine presentation to ACRS on Lessons Learned from our Reactor Vessel Experience

DATE TO FROM SUBJECT

X NO 11/13/92 Trimble Edwards, YAEC License Renewal and Maintenance Rules

- The Maintenance and License Renewal Rules: Suggestions for Compatibility (11/92)
- Appendix I - The New License -- A Regulatory Mirage

YES 11/23/92 Crutchfield Silberg Northern States Power placed a hold on its application for license renewal of its Monticello facility

92/2040164 + 92/2040165

~~NO~~ 11/23/92 Curtiss Silberg Northern States Power placed a hold on its application for license renewal of its Monticello facility

~~92~~ Public Document

X NO 12/04/92 Selin Colvin, NUMARC Topics to be discussed at next Commission Briefing

- New versus Renewed License
- Definition of Age-Related Degradation Unique to License Renewal
- Scope of License Renewal

X NO 12/07/92 Memo Gallo Current Positions and Implementation Plans with Respect to the NRC's License Renewal Rule, 10 CFR Part 54

YES 12/15/92 Murley Denton Calvert Cliffs Nuclear Power Plant - License Renewal and Maintenance Rule Initiatives

92/2210272

X NO 01/04/93 Murley Kadak, YAEC License Renewal Rule in INSIDE NRC regarding Yankee's and Northern States Power Applications

X NO 01/07/93 Doroshuk Lewis Benefits and Risks of Amending the License Renewal Rule

X NO 03/05/93 Bishop, NUMARC Gallo Strategy for Minimizing the Litigation Risks Associated with Various Proposals to Clarify the NRC's License Renewal Regulations

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
X NO 01/22/93	Murley	Rasin, NUMARC	Thoughts on Resolving the License Renewal Policy Issues identified in the 12/21/92 SRM
X NO 02/01/93	colvin	Kadak, VAE	Problems with License Renewal Rule
X NO 03/08/93	Trimble	Edwards	Limited Rule Change structure
X NO 03/09/93	Trimble	Edwards	Recommended BG&E Position on Required Changes to the License Renewal Rule
X NO 03/17/93	Trimble	Edwards	Some Semi-Random Thoughts
X NO 03/18/93	License Renewal File	Gallo	Commission Meeting on March 15, 1993 - Briefing of NRC Staff on License Renewal (SECY-93-049)
X NO 04/26/93	curtiss	NUMARC	License Renewal SECY-93-049 - Legal Issues
X NO 04/28/93	Memo		Appropriateness and Risks of Use of an Interpretative Rule to Amend the NRC's License Renewal Rule
X NO 05/13/93	Walters, NUMARC	Gallo	Responding to request for comments on SECY-93-113
X NO 05/14/93	Trimble	Edwards	Some More Thoughts on License Renewal
X NO 06/02/93	Trimble	Edwards	The Change to 10 CFR 54

VOLUME VII LICENSE RENEWAL INFORMATION

TRANSCRIPTS ON LICENSE RENEWAL ISSUES

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
yes 07/12/88			Briefing on Policy Paper for Plant Life Extension 8807260103
yes 05/16/90			Briefing on Proposed Rule on License Renewal 905310320
yes 05/21/91			Briefing on Final Rule on License Renewal - Part 54 •Including discussion on compiling CLB 9106060058
yes 12/07/92			Briefing on License Renewal Rulemaking Issues 9212160241
yes 12/08/92			Briefing on License Renewal Regulatory Guidance Issues 9212160238
yes 12/18/92			Briefing on License Renewal Industry Initiatives and Resources 9212310109
yes 03/15/93			Briefing on License Renewal Issues 9303220349

VOLUME VIII LICENSE RENEWAL INFORMATION

Current Licensing Basis

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
no 6/13/80	Commission	Denton, NRR	Compliance of Operating License Applications with Current NRC Regulations, Regulatory Guides, and Branch Technical Positions
yes 7/23/80 Foia Shelly 82-93-80308	Commission	Denton, NRR	Compliance of NRC Licenses with NRC Regulations, Regulatory Guides, Branch Technical Positions, and Licensee Commitments 9010210583
yes 6/11/90 7/90	Commission	Taylor, EDO	Staff Response to Commission Comments on the Briefing on Proposed Rule on License Renewal 9203250093
yes 7/90 7/90			NUREG-1412 -- Foundation for the Adequacy of the Licensing Bases (A Supplement to the Statement of Considerations for the Proposed Rule on Nuclear Power Plant License Renewal (10 CFR Part 54)) - Draft Report for Comment 9201060078
yes 10/4/90	Commission	Taylor	SECY-90-343 -- Status of the Staff Program to Determine How the Lessons Learned from the Systematic Evaluation Program have been Factored into the Licensing Bases of Operating Plants 9010150030
yes 10/19/90	Taylor	Curtiss	SECY-90-343 9010150030
yes 3/1/91	Curtiss	Taylor	SECY-90-343 9010150030

DATE	TO	FROM	SUBJECT
4/11/91 YES	Commission	Taylor	Staff Response to the Commission's Questions Concerning Complication of Current Licensing Basis <u>9104180182</u>
			Sample of Comments on Litigative Risk From a Requirement to Compile the Current Licensing Basis
YES -			5/16/91 Ltr to Parler from J. Gallo re Licensing Renewal Rulemaking - Proposed 10 C.F.R. Part 54 <u>9105240115</u>
yes -			4/4/91 Ltr to Chairman Carr from E. Lee, NUMARC re license renewal rule <u>91063030320</u>
yes -			4/11/91 Memo to Commission from Taylor re Staff Response to the Commission's Questions Concerning Complication of Current Licensing Basis <u>9104180182</u>
yes 7/22/91	Chairman	Taylor	Information Requested During Licensing Renewal Briefing <u>9108020172</u>
X NO 8/5/91	Commission	Selin	Commission Meeting on Current Licensing Basis
X NO? n.d.			Notes on CLB from Joe Gray
X NO 8/5/91	Commission	Selin	Commission Meeting on Current Licensing Basis
X NO 10/21/91	Commission	Curtiss	Current Licensing Basis for Operating Plants
X NO 3/21/91 Not In Binder	Commission	Curtiss	COMJC-91-003 -- Current Licensing Basis for Operating Plants <u>Not In Binder</u>
NO 3/25/92	Commission	Taylor	Status Report on COMJC-91-003, "Current Licensing Basis for Operating Plants"
NO 9/10/92	Commission	Taylor	SECY-92-314 -- Current Licensing Basis for Operating Plants ✓

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
NO 9/29/92	Parler Taylor	Curtiss	SECY-92-314
NO 12/2/92	Commission	Taylor	Current Licensing Basis for Operating Plants
NO 12/4/92	Curtiss	Taylor	Current Licensing Basis
NO 6/7/93	Gray	Blaha	Response to OPP Report on CLB

VOLUME IX LICENSE RENEWAL INFORMATION

Miscellaneous Documents

	<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
X	NO n.d.			SLIDE -- Age-Related Degradation (Effect)
X	NO n.d.			SLIDE -- Two Options for the Issue on Licensing Design Basis
	NO 11/6/89	Gray	Blaha	11/13 & 14/89 Agenda for Public Workshop on Nuclear Power Plant License Renewal <i>Public Document</i>
X	NO 11/8/89	Commission	Taylor	Staff Response to Commission Comments on SECY-89-275
X	NO 5/17/91	TA's & LA's	Blaha	SECY-91-138, "Final Rule on Nuclear Power Plant License Renewal" (Road Map)
X	NO 9/25/91	Chairman	Taylor	Information Requested During the Briefing on Aging Issues in Plant License Renewal
X	NO 10/3/91	Commission	Taylor	OTA Proposed Assessment on "Aging Nuclear Power Plants: Life Attainment, License Extension and Decommissioning"
X	NO 10/28/91	Commission	Parler	Analysis of H.R. 3629, Kostmayer Licensing Reform/Plant Life Extension Legislation
	YES 11/15/91	Hiatt	Parler	Request for Commission to reissue for public comment the proposed rule on license renewal of nuclear power plants, 10 CFR Part 54 9111210015
	YES 3/6/92	Holders	Partlow	Reactor Vessel Structural Integrity, 10 CFR 50.54(f) (Generic Letter 92-01, Revision 1) 9203060147
	NO 7/10/92	Fraley	Craig	Request for review of branch technical position on Environmental Qualification of Electric Equipment for license renewal

July 30, 1993 6:37pm
[LR-VOLS.GR]

<u>DATE</u>	<u>TO</u>	<u>FROM</u>	<u>SUBJECT</u>
YES 10/7/92	Taylor	Jordan	Minutes of CRGR Meeting Number 225 9210300158 (2 pages)
X NO 10/27/92	Gray	Blaha	Commission Briefing on License Renewal
YES 11/17/92	Commission	Taylor	Commission Meetings on License Renewal 9212070133
X NO 12/4/92	Gray	Blaha	License Renewal Regulatory Guide
X NO 3/1/93	Commission	Taylor	Nuclear Safety Research Review Committee (NSRRC) Report
YES 3/24/93	Commission	Taylor	License Renewal Issues 9304080155
X NO 3/25/93	Gray	Blaha	License Renewal Document
NO 5/26/93	Scroggins	Murley	NRR Input to the FY 1994-1998 Budget

TECHNICAL SPECIFICATIONS PROGRAM

VOLUME I

- Yes A. 04/28/80 SECY-80-214 (Consent Calendar Item) -- STANDARDS FOR THE CONTENT OF TECHNICAL SPECIFICATIONS 8006300575
- No B. 07/10/81 SECY-81-413 (Policy/Notation) -- ENVIRONMENTAL TECHNICAL SPECIFICATIONS (OR) LICENSING ACTIONS
- No B.1 ----- Vote Sheets on SECY-81-413
- No B.2 08/31/81 SRM from S. Chilk, SECY to W. Dircks, EDO on SECY-81-413
- No C. 11/30/81 SECY-81-672 -- PROPOSED RULE CHANGE ON TECHNICAL SPECIFICATIONS
- No C.1 ----- Vote Sheets on SECY-81-672
- No • 1/4/82 Com'r. Bradford's memo to Commissioners re SECY-81-672
- No • 1/28/82 memo from W. Dircks, EDO to Commissioners re response to Commissioner Bradford's 1/4 memo
- No • 2/24/82 Remick, OPE memo to Commissioners re response to Commissioner Bradford's 1/4 memo
- Yes C.2 03/09/82 SRM from S. Chilk, SECY to W. Dircks, EDO -- Proposed Rule Change on Technical Specifications (SECY-81-672) 8203230003
- Yes D. 03/05/82 SECY-82-099 - 10 CFR PART 50 - PROPOSED RULE TO CLARIFY APPLICABILITY OF LICENSE CONDITIONS AND TECHNICAL SPECIFICATIONS IN AN EMERGENCY 8203180405
- No D.1 ----- Vote Sheets on SECY-82-099
- No • 3/25/82 Memo from M. Malsch, OGC & F. Remick, OPE to Commissioners provides comments on SECY-82-099
- No • 6/22/82 Memo from W. Dircks, EDO to Commissioners responds to 3/25/82 memo
- Yes D.2 07/23/82 SRM on SECY-82-099 from S. Chilk, SECY to W. Dircks, EDO; P.Cotter, ASLBP; & L Bickwit, OGC 8208300019
- No E. 02/22/83 SECY-83-075 (Negative Consent) -- FINAL RULE - APPLICABILITY OF LICENSE CONDITIONS AND TECHNICAL SPECIFICATIONS IN AN EMERGENCY
- No F. 03/09/83 SRM -- SECY-83-075 -- S. Chilk, SECY to Wm. Dircks, EDO
- Yes G. 01/13/86 SECY-86-010 - (Policy/Info) -- RECOMMENDATIONS FOR IMPROVING TECHNICAL SPECIFICATIONS 8601280338

No H. 10/21/86 SECY-86-310 - (Policy/Notation) -- PROPOSED TECHNICAL SPECIFICATIONS POLICY STATEMENT

No I. 12/31/86 Memo - EDO, V. Stello to Commission -- INADVERTENT RELEASE OF SECY-86-310

No J. ----- Vote Sheets - SECY-86-310
 No Chairman Zech - Approved/Comments 11/12/86
 No Com'r. Roberts - Approved/Comments 11/26/86
 No Com'r. Bernthal - Approved/Comments 12/1/86
 No Com'r. Carr - Approved/Comments 11/7/86
 No Com'r. Asselstine - Disapproved/Separate Views 1/7/87

No K. 01/09/87 SRM - S. Chilk, SECY to V. Stello, EDO -- SECY-83-310, "PROPOSED TECHNICAL SPECIFICATIONS POLICY STATEMENT"

No L. 02/03/87 Federal Register Notice - Withdrawal of Proposed Rule on March 30, 1982 -- RE: Commission proposed amending its regulations in order to reduce the volume of Technical Specifications that are made part of an operating license, thereby reducing the number of changes which licensees have to submit to the NRC.

No M. 02/03/87 Federal Register Notice - Interim Policy Statement -- RE: scope and purpose of Technical Specifications for nuclear power plants as required by 10 CFR 50.36

? ~~Ref~~ N. 03/27/87 Letter from Terry R. Lash, Dir., State of IL Dept. of Nuclear Safety to Secretary of the Commission -- RE: INTERIM POLICY STATEMENT ON TECHNICAL SPECIFICATION IMPROVEMENTS FOR NUCLEAR POWER REACTORS; 52 Fed. Reg. 3788 - 3792 (Feb. 6, 1987) 8704030531 - may be

No O. 10/30/87 SECY-87-269 - (Policy/Notation) -- FINAL POLICY STATEMENT ON TECHNICAL SPECIFICATION IMPROVEMENTS

No P. 11/12/87 Memo from Com'r. Roberts to V. Stello, EDO -- SECY-87-269 - Final Policy Statement on Technical Specification Improvements (RE: if beneficial for licensees to be able to modify related portions of their LCOs without having to evaluate all LCOs)

No Q. 12/09/87 Memo from V. Stello, EDO to Com'r. Roberts -- SECY-87-269, Final Policy Statement on Technical Specification Improvements

No R. ----- Vote Sheets - SECY-87-269:
 No Chairman Zech - Approved/Comments 12/18/87

No Com'r. Roberts - Approved/Comments 12/16/87
 No Com'r. Bernthal - Approved/Comments 12/21/87
 No Com'r. Carr - Approved/Comments 12/30/87
 No Com'r. Rogers - Approved 11/19/87

No S. 02/17/88 SRM - from J. Hoyle, SECY to V. Stello, EDO --
 SECY-87-269: Final Policy Statement on Technical
 Specification Improvements

Yes T. 08/22/88 Memo from E. J. Butcher, Tech. Specif. Branch, Div. of
 Operational Events Assess., NRR to C. E. Rossi, Div. of
 Operational Events Assessment, NRR -- MINUTES OF
 MEETING WITH NUMARC STS IMPROVEMENT COORDINATION GROUP
 REGARDING PROGRESS OF WORK ON THE TECHNICAL
 SPECIFICATIONS IMPROVEMENT PROGRAM 8808300133

Yes U. 10/28/88 SECY-88-307 - (Policy/Info) -- TECHNICAL SPECIFICATIONS
 IMPROVEMENT PROGRAM 8811070004

Yes V. 01/06/89 Com'n. Briefing Transcript -- Tech Spec Improvements
 Including Reduction of Testing at Power 8901190055

Yes W. 01/19/89 SRM - 1/6/89 Commission Briefing -- from S. Chilk, SECY
 to V. Stello, EDO may be 8901230283

No X. 02/89 NUREG/BR-0110, Issue #89-1, Technical Specification
 Improvement Program Highlights

Yes Y. 03/02/89 Memo from E. Beckjord, RES to T. Murley, NRR --
 RESEARCH INFORMATION LETTER NO. 160, "EVALUATION OF
 RISKS ASSOCIATED WITH AOT AND STI REQUIREMENTS AT NPPs"
 8903140564

X No Z. 03/06/89 Memo from Chairman Zech to V. Stello, EDO -- "Reactor
 Licensing Actions - Timeliness, Inventory Levels, &
 Future Resource Needs"

X No AA. 05/30/89 Memo from V. Stello, EDO to Commissioners -- TECHNICAL
 SPECIFICATION IMPROVEMENT PROGRAM

Yes BB. 06/02/89 Com'n. Briefing Transcript -- Technical Specifications
 Improvement Program ~~8907070239~~ 8907070239

X No CC. 06/09/89 Memo from V. Stello, EDO to Commissioners -- responds
 to Chairman Zech's 3/6/89 memo and Secretary Chilk's
 1/19/89 SRM.

X No DD. 06/16/89 SRM - 6/2/89 Com'n.. Briefing -- Status of Technical
 Specifications Improvement Program

[TECHSPEC.IDX]
 July 28, 1993 6:52am

- X No EE. 08/30/89 Memo from J. Taylor, EDO to Commissioners -- FINAL POLICY STATEMENT ON TECHNICAL SPECIFICATION IMPROVEMENTS
- X No FF. 09/11/89 Memo from M. Wohl, Reactor Engineer, Special Projects Section, OTSB (Thru: D. Fisher, Section Leader) to J. A. Calvo, Chief, Tech. Spec. Branch, DOE, NRR -- SUMMARY OF 8/3/89 NRC-INDUSTRY TECHNICAL SPECIFICATIONS IMPROVEMENT PROGRAM MEETING ON RISK-BASED TECHNICAL SPECIFICATIONS
- No GG. 12/89 Vienna - consultant's report on the "Use of Reliability Methods and Probabilistic Safety Assessment to Improve NPPs Operational" Limits and Conditions
- No HH. 02/21/90 Memo from J. Taylor, EDO to Commissioners -- EMERGING TECHNICAL CONCERNS
- X Yes HH.1 04/13/90 ²¹ *Taylor to Commission re. Emerging Technical Concerns*
~~Transcript of 4/13/90 Commission Briefing on Risk Based Technical Specification Program 9004250ab2~~
- X No HH.2 05/14/90 Memo from S. Chilk, SECY to J. Taylor, EDO -- Final SRM on Commission Briefing of 4/13/90 on Risk Based Technical Specifications Program
- X No II. 07/06/90 Memo from J. Taylor, EDO to Commissioners -- FINAL POLICY STATEMENT ON TECHNICAL SPECIFICATION IMPROVEMENTS
- X No JJ. 07/17/90 Memo from Com'r. Roberts to J. Taylor, EDO -- Regulatory Improvements
- Yes KK. 10/29/90 SECY-90-366 - (Policy/Info) - REPORT ON THE STATUS OF THE TECHNICAL SPECIFICATIONS IMPROVEMENT PROGRAM [and a 11/5/90 Memo from Office of the Asst. Sec. of Defense to Dept. of State re: Proposed Agreements for Cooperation with Hungary & Czechoslovakia Concerning Peaceful Uses of Nuclear Energy) 9011050057
- X No KK.1 11/02/90 Memo from J. Taylor, EDO to Com'r. Curtiss -- Responds to 10/4/90 Questions from Com'r. Curtiss on Voluntary Entry Into the Conditions of LCO Action Statements
- Yes LL. 12/06/90 SECY-90-400 - (Policy/Info) -- DENIAL OF PETITION FOR RULEMAKING (PRM-50-50) REQUESTING RESCISSION OF 10 CFR 50.54 PARAGRAPHS (X) AND (Y), "REASONABLE ACTION THAT DEPARTS FROM A LICENSING CONDITION OR A TECHNICAL SPECIFICATION" 9012202246

[TECHSPEC.IDX]
July 28, 1993 6:52am

MM. ----- Vote Sheets - SECY-90-400:
 No Chairman Carr - Approved 12/17/90
 Yes Com'r. Rogers - Approved 12/19/90 9101090421
 Yes Com'r. Curtiss - Approved 12/19/90 9101090260
 No Com'r. Remick - Approved 12/19/90

Yes NN. 12/20/90 SRM - from S. Chilk, SECY to J. Taylor, EDO -
 SECY-90-400 9101090344

Yes OO. 02/91 NUREG/CR-5742 [SAIC-90/1400] FEASIBILITY ASSESSMENT OF
 A RISK-BASED APPROACH TO TECHNICAL SPECIFICATIONS
 Yes Vol. 1 - Executive Summary 9106180006
 Yes Vol. 2 - Main Report 9106180007

X No PP. 05/23/91 Memo from J. Taylor, EDO to Commissioners -- TECHNICAL
 SPECIFICATIONS IMPROVEMENT PROGRAM (status update)

VOLUME II

Yes QQ. 12/13/91 Memo from J. Taylor, EDO to Commissioners -- TECHNICAL
 SPECIFICATIONS IMPROVEMENT PROGRAM (status update)
 9112240103

Yes RR. 12/19/91 Transcript of 12/19/91 Commission Meeting on "Status of
 the Technical Specifications Improvement Program"
 no - [includes an anonymous note re 12/19/91 Com'n.
 Briefing] Transcript & viewgraphs only 9201060218

Yes SS. 01/30/92 SRM from S. Chilk, SECY to J. Taylor, EDO -- on
 12/19/91 Commission Briefing 9203030320

X No TT. 11/06/92 Memo from J. Taylor, EDO to Commissioners -- responds
 to 1/30/92 SRM

Yes UU. 12/23/92 Memo from J. Taylor, EDO to Commissioners -- Risk
 Insights in Technical Specifications 9301050129

Yes VV. 03/17/93 SECY-93-067 - (Policy/Nota) -- FINAL POLICY STATEMENT
 ON TECHNICAL SPECIFICATIONS IMPROVEMENTS 9303190152

partial WW. ----- Vote Sheets - SECY-93-067:
 Yes Chairman Selin - Disapproved/Comments 4/7/93 9506170160
 Yes Com'r. Rogers - Approved-Disapp./Comments 4/19/93
 No Com'r. Remick - Approved/Comments 4/24/93 9306170165

- Yes WW.1 03/26/93 Transcript of Public Commission Meeting -- Progress of NRC Regulatory Review 9304020013
- Yes XX. 03/30/93 Transcript of Commission Briefing -- STATUS OF TECHNICAL SPECIFICATION IMPROVEMENT PROGRAM 9304200290
- X No YY. 03/31/93 Draft Statement of Work for PLG's portion of the EPRI program addressing PRA applications (note to Commissioner Assistants)
- Yes YY.1 04/07/93 SRM from S. Chilk, SECY to J. Taylor, EDO on 3/30/93 Briefing on Status of Technical Specification Improvement Program 9304140217
- X No ZZ. 04/12/93 Memo from J. Taylor to Commissioners -- CLARIFICATION OF THE BRIEFING ON STATUS OF TECHNICAL SPECIFICATION IMPROVEMENT PROGRAM, MARCH 30, 1993
- Yes 1. 04/16/93 SRM from S. Chilk to J. Taylor on 3/26/93 Commission Meeting on Progress of NRC Regulatory Review 930423051
- No 2. 04/27/93 Ltr. from Joe F. Colvin, NUMARC to Chairman Selin re status of petition for rulemaking being considered by NUMARC to facilitate the adoption of the improved Standard Technical Specifications.
- Yes 3. 05/25/93 Memo from S. Chilk, SECY to J. Taylor, EDO - FINAL SRM on Policy Statement on Technical Specifications Improvements 930617053

Box 3

MEDICAL - VOLUME I

No

Briefing Book I - 5/6/93 Glenn Hearing -- Medical Radiation Protection

MEDICAL - VOLUME II

No

Briefing Book II - Background Memos
(Crane File - Misadministrations)

MEDICAL - VOLUME III & VOLUME IV - 1

~~XXXX~~ General Chronology - Index on next page

Medical Vol. 1 (These are documents that are released)

4-29-93

Deanne to Waldo and Alvarez, with attached
"Bonds from meeting w/ Alvarez"

5-15-93

ONE Statement

5-3-93

Rathbun to Commission re. Final Testimony

3-29-93

Glenn to Selin

8-11-93

G's & A's

4-14-93

Taylor to Comm.

2-1-93

Pollycore to Glenn

8-22-89

Chille To Taylor

12-21-93

→ Pawlit to Hoxes

9-26-90

Williams to Carr

~~8-22-89~~

Williams to Carr

10-19-90

9-23-92

Norton to File 90-28C

4-1-93

Williams to Commission, enclosing
OIG Report Case No. 91-72A

4-14-93

OIG Case No. 93-29A
Report

[MEDICAL.IDX]

July 30, 1993 7:47am

MEDICAL - VOLUME 2 - BOX 247

(released)

1. (PARTIAL) REPORT AND RECOMMENDATION OF THE DIFFERING PROFESSIONAL OPINION PANEL TO THE EDO ON CINCINNATI HOSPITAL CONTAMINATION INCIDENT (ASLP NOS. DPO-1, 90-611-01SP); 7/12/90
2. SECY-80-26 - EFFECTIVE AMENDMENTS OF 10 CFR PT 35 TO REQUIRE REPORTING OF MISADMINISTRATIONS OF BYPRODUCT MATERIAL; 1/16/80
3. SECY-83-362 - EMERGENCY PLANNING - PREDISTRIBUTION - STOCKPILING OF POTASSIUM IODIDE FOR THE GENERAL PUBLIC; 8/30/83
4. MEMO TO COMMISSIONERS FROM J.E. ZERBE, DIR., OFC OF POLICY EVALUATION & MARTY MALSCH, ACTING GC, RE: SECY-86-168 - FINAL REVISION OF 10 CFR PT 35 "MEDICAL USE OF BYPRODUCT MATERIAL"; 6/25/86
5. IE INFO NOTICE NO. 86-84: RUPTURE OF A NOMINAL 40-MILLICURIE IODINE-125 BRACHYTHERAPY SEED CAUSING SIGNIFICANT SPREAD OF RADIOACTIVE CONTAMINATION; 9/30/86
6. PRELIMINARY NOTIFICATION (PN): THERAPEUTIC MISADMINISTRATION; 11/17/86
7. STATEMENT OF PETER C. CRANE: IN THE MATTER OF DIFFERING PROFESSIONAL OPINION - CINCINNATI HOSPITAL; 6/11/90
8. REPORT AND RECOMMENDATION OF THE DIFFERING PROFESSIONAL OPINION PANEL TO THE EDO ON CINCINNATI HOSPITAL CONTAMINATION INCIDENT (ASLP NOS. DPO-1, 90-611-01SP); 7/12/90
9. SRM - COMKC-90-21 - PROPOSED RESPONSE TO OIG INVT RE: MEDICAL MISADMINISTRATION AT THE UNIV. OF CINCINNATI HOSPITAL; 11/15/90

MEDICAL - VOLUME III

- ~~No~~ A. 02/09/79 Regulation of the Medical Uses of Radioisotopes;
Statement of General Policy ~~Public Document~~
- X No B. Undated Medical Issue Paper
- No C. 02/20/87 SECY-87-49: Training & Experience Criteria for the
Medical Use of Byproduct Material
No • 4/30/87 Revised SRM on SECY-87-49
No • Vote sheets
- Partial D. 03/08/88 SECY-88-71: ^{Partial} Training & Experience Criteria for
Release the Medical Use of Byproduct Material 8803240032 - FOIA 88-228
? • 4/27/88 SRM on SECY-88-71 ? • Vote sheets
maybe 8810250523 maybe 8810250514
- No E. 06/21/89 Note to JRC from J. Kotra -- SECY-89-171
Not in Binder
- No F. 03/21/90 Memo from J. Taylor, EDO to Commission -- Exercise
of Broad Discretion re Humana Hospital Grenbrier
Valley (EA 90-007)
- yes G. 03/29/90 SRM from J. Chilk, SECY to J. Taylor, EDO & W.
Parler, GC -- Annual Meeting on Medical Use of
Byproduct Material (2/20/90) 9004050008
- No H. 6/5/90 Note from H. Thompson, OEDO to Com'n. Assts. --
Dual Regulation of Nuclear Medicine & the
Radiopharmaceutical Industry
- X No I. 02/27/91 Memo from J. Taylor, EDO to Commissioners --
Schedule Revision for the Final Rule on Quality
Assurance for Medical Administration of Byproduct
Material
- X No J. 06/03/91 Note from J. Blaha, OEDO to S. Burns, OCM/KC --
Info. Request regarding diagnostic & therapeutic
misadministrations
- No K. 07/31/91 Memo from H. Thompson, NMSS&OS to P. Crane, GC --
SECY-91-079
- X No L. 12/30/91 Memo from J. Taylor, EDO to Commission --
Procedures for Receiving & Analyzing
Misadministration Data from the Agreement States
- No M. 02/27/92 Memo from J. Taylor, EDO to Commission -- Response
to the OMB Concerns re 10 CFR Part 35, " Quality
Management Program & Misadministration"

[MEDICAL.IDX]

July 30, 1993 7:47am

~~Yes~~ N. 03/03/92 Memo from R. Cunningham, NMSS to D. Rathbun, CA --
 Congressional Committee Request for Copies of
 Speeches Related to the NMSS Program for
 Identifying the Causes of Human Error Leading to
 Medical Misadministration 9203170331

X NO O. 03/20/92 Memo from J. Taylor, EDO to Com'r. Remick -- Draft
 Standard Order for Revocation of a Medical License

YES P. 03/23/92 Ltr. from J. Taylor, EDO to J. MacRae, OMB --
 Responds to 1/24/92 MacRae ltr. re NRC Rule
 entitled "The Medical Use of Byproduct Material"
 ACN: 9301060006

NO Q. 04/15/92 Memo from W. Parler, GC to Commission --
 Regulation of Nuclear Medicine

X NO R. 04/09/92 Memo from J. Taylor, EDO to Commission -- Staff
 Issuance of Guidance to the Regions

X NO S. 05/18/92 Memo from J. Taylor, EDO to Commission -- Staff
 Issuance of Guidance for Inspection & Enforcement
 Action for Instruction & Supervision Under 10 CFR
 35.25

~~Yes~~ T. 06/23/92 Memo from S. Chilk, SECY to EDO, GC, & ACMUI --
 SRM on Annual Briefing (6/1/92) on Medical Use of
 Byproduct Material (SECY-92-175) Public Document

NO U. 07/01/92 Note from J. Blaha, OEDO to Com'n. Assts. -- OMB
 Response on Information Collection of Medical QA

X NO V. 07/09/92 Memo from J. Taylor, EDO to Com'n. -- Status
 Report on the Medical Visiting Fellows Program

X NO W. 07/30/92 Memo from J. Taylor, EDO to Com'n. -- Change in
 Schedule for Revising NRC Abnormal Occurrence
 Reporting Guidelines for Medical Misadministration

YES X. 08/25/92 SRM from SECY to EDO & ACMUI -- Periodic Meeting
 with ADMUI on Medical Uses of Isotopes on 7/31/92
 9308310092

X NO Y. 09/24/92 Memo from J. Taylor, EDO to Com'n. -- Management
 Plan for the Regulation of the Medical Use of
 Byproduct Material

X NO Z. 12/10/92 Memo from J. Taylor, EDO to the Com'n. -- Patient
 Deaths Attributed to Medical Radiation Exposure

[MEDICAL.IDX]

July 30, 1993 7:47am

MEDICAL - VOLUME IV

X NO AA. 12/11/92 Note from J. Blaha, AO/OEDO to Com'n'r. Assts. --
NRC Bulletin 92-03: Release of Patients After
Brachytherapy

X NO BB. 12/11/92 Memo from J. Taylor, EDO to Chairman Selin --
Misadministrations

YES CC. 12/21/92 Memo from J. Chilk, SECY to J. Taylor, EDO --
COMIS-92-026 - Review of the Regulation of the
Medical Use of Byproduct Material 9301110273

YES DD. 12/28/92 Univ. of Cincinnati Hospital, Eugene L. Saenger to
Chairman Selin -- Re 12/18 New York Times --
handling of radiation deaths 9301250289

YES EE. 01/08/93 Memo from J. Taylor, EDO to Commissioners --
Patient Deaths Attributed to Medical Radiation
Exposure 9303040132

X NO FF. 01/13/93 Memo from M. Pollycove, Visiting Medical Fellow to
J. Glenn, IMNS -- Patient deaths Attributed to
Medical Radiation Exposure at Riverside Hospital

YES GG. 01/19/93 SECY-93-007: Aspects of the National Medical Use
Program Related to Prevention of
Misadministrations 9301220346

X NO HH. 01/19/93 Note from J. Blaha, OEDO to Com'r. Assts. -- re
Pollycove's Memo of 1/19/93 (attached) to J.
Glenn, IMNS on the Confirmation by Dr. Robert E.
Zipf of Riverside Hospital re radiation exposure
patient deaths

X NO II. 01/21/93 COMSECY-93-004: Response to SRM on COMIS-92-026 -
Review of the Regulation of the Medical Use of
Byproduct Material

NO JJ. 02/02/93 Note to JRC from J. Kotra -- Loss of an IR-192
Source & Therapy Misadministration at Indiana
Regional Cancer Center -- Major Findings of the
IIT Not In Binder

NO KK. 02/07/93 Note to JRC from J. Kotra -- Major Policy Issues
Arising from the IIT Investigation of the Oncology
Services Incident Not In Binder

[MEDICAL.IDX]

July 30, 1993 7:47am

X NO LL. 03/19/93 Review of NRC Regulation of Radiation Medicine Activities
 YES MM. 04/14/93 Memo from J. Taylor, EDO to Commissioners -- Evaluation of Therapeutic Misadministration Data for 1990-1992 930 4200 149
 NO NN. 05/17/93 **LIMITED DISTRIBUTION** - Memo from J. Taylor, EDO to Commissioners -- Medical Radiation Protection
 • Com'r. de Planque memo of 5/20/93 to J. Taylor, EDO -- Medical Radiation Protection Memo of 5/17/93
 X NO OO. 05/19/93 Memo from J. Taylor, EDO to Commissioners -- Agenda for Improvements to the Medical Use Program
 X NO PP. 06/02/93 Memo from J. Taylor, EDO to Commissioners -- Study Plan for Review of Medical Radiation Protection Options
 NO QQ. 06/10/93 Memo from J. Chilk, SECY to Commissioners -- Background Paper on Regulatory Radiation Medicine
~~RR. 06/16/93 Memo from J. Taylor, EDO to Commissioners -- Management Review of Existing Medical Use Regulatory Program (COMIS-92-026)~~
 X NO RR. 06/16/93 Memo from J. Taylor, EDO to Commissioners -- Management Review of Existing Medical Use Regulatory Program (COMIS-92-026)
 NO SS. 06/24/93 Note to JRC from J. Kotra -- Report on NRC Staff's Internal Review of NRC's Medical Regulatory Program--Selected Q'a and comments not In Binder

Box 247

CONFIRMATORY ACTION LETTERS

X No A. 10/17/91 Note from J. Blaha, AO/OEDO to K. Connaughton,
OCM/JC -- Information Request (provides listing,
by Region, of CALs issued beginning w/1988

X No B. 04/07/92 Memo from J. Taylor, EDO to Commissioners --
Noncompliance with Commitments of Confirmatory
Action Letters As a Basis for License Revocation

YESC. 10/14/92 SECY-92-347: Staff Guidance on the Use of
Confirmatory Action Letters
9210160268

COMMERCIAL GRADE DEDICATION

X Yes/NO A. Undated Attachment 7 - Proposed Modifications to Part 21
to Facilitate Procurement and Dedication of
Commercial Grade Items (includes EPRI report
dated June 1988 - Guideline for the Utilization of
Commercial Grade Items in Nuclear Safety Related
Applications (NCIG-07) *Partial NCIG-07 9301290102*

Yes B. 08/24/90 SECY-90-304 - NUMARC Initiatives on Procurement
9008290112

Box 247

[UNLICHEN.IDX]

July 30, 1993 11:01am

ACTIONS AGAINST UNLICENSED INDIVIDUALS

- no* A. 10/29/86 SECY-86-319 - Identifying & Informing Others of Wrongdoers
- no* B. 10/17/88 Memo from L. Chandler, OGC to W. Parler, GC - Enforcement Actions Against Unlicensed Individuals - An Analysis of the Atomic Energy Act of 1954, As Amended
- X partial* C. 05/10/89 SECY-89-151 - Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit The Issuance of Orders to Non-Licensees
Partial release: FOIA 91-101;
- no* D. 06/01/89 Memo from Com'r. Roberts to SECY, GC, & EDO - SECY-89-151 -- Request for Additional Information Before Voting
- no* E. 06/01/89 Memo from Com'r. Curtiss to S. Chilk, SECY & W. Parler, OGC - Legal Opinion on SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees
- no* F. 06/05/89 Memo from W. Parler, GC to Commissioners -- Legal Opinion on SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees
- X no* G. 06/15/89 Memo from V. Stello, EDO to Commissioners -- Response to Questions Concerning SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees
- X no* H. 06/29/89 REVISED Memo from S. Chilk, SECY to V. Stello, EDO -- SRM on SECY-89-151 -- Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees
- yes* I. 03/28/90 Notice to Federal Register on Proposed Rule: Willful Misconduct by Unlicensed Persons
9005090257

July 30, 1993 11:01am

Yes.

various

Public Comments on Proposed Rule on "Willful Misconduct by Unlicensed Persons (55 Fed. Reg. 12374 (April 3, 1990))

- ① 9006250160
- ② 9006210337
- ③ 9006210149
- ④ 9006220090
- ⑤ 9006210548

① NUMARC; ② Newman & Holtzinger; ③ Shaw, Pittman, Potts & Trowbridge; ④ The Assoc. of The Bar of the City of New York; ⑤ Bishop, Cook, Purcell & Reynolds;

yes -

06/19/91

SECY-91-183 -- Final Rules Regarding Revisions to Procedures to Issue Orders & Deliberate Misconduct by Unlicensed Persons 9106240047

Background material includes:

- Yes SECY-90-022 - Proposed Rule Holding Unlicensed Persons Accountable for Willful Misconduct 9001290258
- Yes SECY-89-321 - Revisions to Procedures to Issue Orders - 10 C.F.R. Part 2 8910230047
- Yes SECY-89-151 - Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees RDR SECY Tracking System

LICENSING CONDITIONS FOR OPERATION / MAINTENANCE

- YES A. 09/18/89 Letter from D. Holtzcher to A. B. Davis -- Clinton Power Station Response to Concerns Regarding Twelve-Week Rolling Maintenance Schedule 8910030468
- YES B. 04/06/90 Memo from F. Rosa, Elec. Systems Branch, Div. of Systems Tech. to R. Wessman, Proj. Directorate I-3, Div. of Reactor Projects I/II -- Vermont Yankee - Plans to Overhaul an Emergency Diesel Generator While at Full Power 9004160193
- YES C. 07/16/90 Paper - Performing LCO-Preventive Maintenance During Plant Operations released in
- YES D. 10/04/90 Memo from J. Curtiss to J. Taylor -- Voluntary Entry into Technical Specification/Limiting Conditions for Operation (LCOs) for the Performance of Maintenance 9104180077
- X NO E. 11/02/90 Memo from J. Taylor, EDO to JRC - Staff Response to Questions on Voluntary Entry Into The Conditions of LCO Action Statements
- YES F. 01/07/91 Memo from J. Taylor, EDO to Commissioners transmitting copy of 12/27/90 Ltr. to K. Straham, INPO from J. Snizek, Dep. Exec. Dir. for NRR Regional Operations & Research - re increased performance of preventive maintenance during power operation 9104110231
- YES G. 01/14/91 INPO ltr. responding to J. Snizek ltr. of 12/27/90 9104110235
- YES H. 01/18/91 Memo from J. Taylor, EDO to Commissioners - Preventive Maintenance 9104110240
- X NO I. 02/13/91 Memo from J. Curtiss to J. Taylor - Voluntary Entry into Action Statements for the Purpose of Performing Preventive Maintenance
- X NO J. 02/26/91 Memo from F. Young, SRI-TMI to C. Hehl, Dir., Div. of Reactor Projects - Preventative Maintenance During Power Operations
- YES K. 03/28/91 Memo from J. Taylor, EDO to Com'r Curtiss - Voluntary Entry into Technical Specifications Action Statements for the Purpose of Performing Preventative Maintenance 9104110126

Partial release in

FOIA 91-170

[MISCDOCS.IDX]

Box 248

SEQUESTRATION OF WITNESSES

yes A. 09/20/88 SECY-88-269: Promulgation of Witness/Lawyer
Sequestration Rule for OI Investigations
8811040061

yes B. 11/14/88 FRN Proposed Rule 10 CFR Part 19 (45768 Vol. 53,
No. 219) Sequestration of Witnesses Interviewed
Under Subpoena 8812060238

yes C. 08/15/89 SECY-89-248: Rulemaking on Sequestration of
Witnesses Interviewed Under Subpoena/Exclusion of
Attorneys 890818 0117

yes D. 12/28/89 Notice sent to Fed. Reg. on: Final Rule:
Sequestration of Witnesses Interviewed Under
Subpoena/Exclusion of Attorneys 9001110023

yes E. 01/17/90 SECY-90-022: Proposed Rule Holding Unlicensed
Persons Accountable for Willful Misconduct
9001290258

~~yes~~ F. 07/23/91 U.S. Court of Appeals: Professional Reactor
Operator Society, et al. v. NRC -- Petition for
Review of an Order of the NRC Public Document

yes G. 11/15/91 SECY-91-370: Promulgation of a Final Rule Revoking
the Vacated Attorney Exclusion Rule for NRC
Investigations & Inspections and Promulgation of a
Proposed Rule Replacing the Vacated Provisions
9112050023

X NO H. 02/19/92 NUMARC - R. Bishop ltr. to NUMARC Administrative
Points of Contact -- NRC Proposed Rule: Exclusion
of Attorneys From Interviews Under Subpoena

yes I. 05/19/92 SECY-92-184: Proposed Change to the General
Statement of Policy and Procedure for NRC
Enforcement Actions, 10 CFR Part 2, Appendix C
920521 0293

yes J. 11/06/92 SECY-92-376: Final Rule on Exclusion of Attorneys
From Interviews Under Subpoena
9212290196

REPORTING REQUIREMENTS

SECY PAPERS & RELATED SRMs & VOTE SHEETS

VOLUME I

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
A.	NO 12/16/85	SECY-85-399 -- Proposed Amendments to 10 CFR 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Reporting of Defects in Design and Construction"
	NO 03/06/86	Memo, Commissioners/Malsch (Comments on SECY-85-399: Proposed Amendments to 10 CFR 21, "Reporting of Defects and Non-Compliance" and 10 CFR 50.55(e), "Reporting of Defects in Design and Construction")
	NO 06/05/86	Memo, Palladino/Malsch & Zerbe (Application of the Backfit Rule to Rules on Record-Keeping and Reporting
	NO	Vote Sheets
	NO 10/20/86	Stello/Chilk, Final SRM
B.	NO 03/09/88	SECY-88-72 -- Proposed Amendments to 10 CFR Part 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Reporting of Defects in Design and Construction"
	NO	Vote Sheets
	NO 06/10/88	Stello/Chilk, Final SRM
C.	NO 09/12/88	SECY-88-258 -- Proposed Amendments to 10 CFR Part 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Reporting of Defects in Design and Construction"
	NO	Vote Sheets
	NO 10/17/88	Stello/Chilk, Final SRM

Tab No.	Date	Document Description
X D.	NO 08/14/89	SECY-89-246 -- Proposed Amendments to 10 CFR Part 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Conditions of Construction Permits"
X	NO 07/20/90	Memo, Jordan/Murley (Revisions to 10 CFR Part 21)
X	NO 09/21/89	Memo, Roberts/Taylor (SECY-89-246)
	NO 08/06/90	Memo, Commissioners/Williams (Revisions to 10 CFR)
	NO Undated	Note, Curtiss/Connaughton (SECY-89-246) <i>not in binder</i>
	NO	Vote Sheet - Remick
X	NO 05/02/90	Taylor/Chilk, Final SRM
E	<i>yes</i> 05/22/91	SECY-91-150 -- Proposed Amendments to 10 CFR Part 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Conditions of Construction Permits" 9106040262
	<i>no</i> 03/28/91	Memo, Commissioners/Taylor (The Staff's Schedule to Complete the Recommendations of the Office of the Inspector General Concerning 10 CFR Part 21 - Response to COMSECY-91-001)
	<i>yes</i> 02/27/91	Memo, Taylor/Chilk (COMSECY-91-001, Resolution of the Office of the Inspector General Report Recommendations Concerning Part 21) 920140309
	<i>no</i> 01/10/91	Memo, Commissioners/Taylor (Resolution of the Office of the Inspector General Report Recommendation Concerning 10 CFR Part 21)
	<i>no</i> 06/27/91	For Affirmation, 06/28/91, SECY-91-150
	<i>yes</i> *06/28/91	Taylor/Chilk, Final SRM
	*citations attached	9107050257

Tab No.

Date

Document Description

VOLUME II

X	NO F.	09/05/90	Note to S. Burns, et al. fm Blaha (Backfit and Event Reporting Workshops)
	Yes G.	09/93	NUREG-1022, Licensee Event Report System ACN: 8310130130
	Yes H.	02/84	NUREG-1022, Supplement No. 1 - Licensee Event Report System ACN: 8403070121
X	NO I.	04/01/93	Memo, Rogers/Taylor (LERS Reporting Problems Discovered During Design Basis Reviews)
Q	NO J.	11/10/92	Memo, Jordan/Rogers (LERS Reporting Problems Discovered During Design Basis Reviews)
X	NO K.	08/14/92	Memo, Blaha/Fleishman (Analysis of LERS)
	Yes L.		Public Comments on Criteria and Procedures for the Reporting of Defects 10 CFR Part 21 - Listed by dates:

Yes	12/19/88	8902020341	—Stone and Webster Engineering Corp.
Yes	12/20/88	8902020354	—The B&W Owners Group
Yes	12/21/88	8902020370	—Indiana Michigan Power
Yes	12/27/88	8902030065	—Yankee Atomic Electric Company
Yes	12/29/88	8902020395	—Philadelphia Electric Company
Yes	12/30/88	8902020427	—Wolf Creek Nuclear Operating Corp.
Yes	12/30/88	8902020417	—Portland General Electric Company
Yes	01/03/89	8902020385	—Nuclear Utility Backfitting and Reform Group
Yes	01/03/89	8902020397	—Bishop, Cook, Purcell & Reynolds
Yes	01/03/89	8902020329	—Carolina Power & Light Company
Yes	12/29/88	8902020323	—Wisconsin Public Service Corp.
Yes	12/29/88	8902020295	—Philadelphia Electric Company
Yes	12/30/88	8902020284	—Commonwealth Edison
Yes	01/03/89	8902030072	—Newman & Holtzinger
Yes	01/03/89	8902030055	—Shaw, Pittman, Potts & Trowbridge
Yes	12/29/88	8902020372	—Detroit Edison
Yes	01/03/89	8902030059	—Conner & Wetterhahn
Yes	01/04/89	8902020392	—Illinois Power Company
Yes	01/04/89	8902020414	—Tennessee Valley Authority
Yes	12/30/88	8902020411	—Bechtel Power Corporation
Yes	01/03/89	8902020391	—Nuclear Management & Resources Council
Yes	01/03/89	8902020442	—GPM Nuclear Corporation
Yes	01/03/89	8902020439	—Northeast Utilities

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
Yes	01/03/89	8902020424 - Florida Power & Light
Yes	01/04/89	8902020383 Niagara Mohawk
Yes	01/04/89	8902020326 Gulf States Utilities Co.
Yes	12/27/88	8902020308 Duquesne Light Company
Yes	12/30/88	8902020303 Baltimore Gas & Electric
Yes	01/03/89	8902020281 Public Service of New Hampshire
Yes	01/03/89	8902020280 Boston Edison
Yes	01/03/89	8902020285 Consolidated Edison Co. of NY, Inc.
Yes	01/11/89	8902020270 General Electric Nuclear Energy
Yes	01/16/89	8902020274 Combustion Engineering
Yes	01/27/89	8902060045 TU (Texas) Electric
Yes	01/17/89	8902090451 RGE
Yes	03/24/89	8904040313 Latham & Watkins
Yes M.	09/30/92	Letter, Meyer/Tipton (NUMARC) - Review of Reactor Licensee Reporting Requirements, Request for Public Comments, with 6 enclosures ACN: 9210050082
X NO N.	5-90 Undated	Paper - Background on Development of the Commission's Position on a Regulatory Time Limit for Evaluating Potential Defects Under Part 21
X NO O.	11/11/88	Note, Haikalis/Jones - Background on 10 CFR Part 21 and 50.55(e) Proposed Amendments
Yes P.	05/28/87	Memo, Merschhoff/Hodges - Slow Control Rod Motion Initiation Experienced at the Vermont Yankee Power Station Due to Failure of Scram Solenoid Pilot Valves ACN: 8706050251
Yes Q.	11/06/87	Letter, General Electric fm Partlow - Re Notice of Violation ACN: 8711090371
Yes R.	12/17/87	Memo, Stelio/Jordan - Minutes of CRGR Meeting Number 124 ACN: 8712290486
NO S.	12/29/87	Memo, Jordan/Novak - Response to CRGE Issues Concerning Proposed Amendments to 10 CFR Part 21, "Reporting of Defects and Noncompliance" and 10 CFR 50.55(e), "Reporting of Defects in Design and Construction"

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
NOT.	02/12/88	Memo, Heltemes/Jordan - 10 CFR Part 21/Section 50.55(e) Rulemaking Issue Closure
X NOV.	05/07/92	Memo, Chairman/Taylor - NRC Staff Assessment of Reporting Requirements for Power Reactor Licensees
YES NOV.	08/19/91	Press Release - NRC Amends Reporting Requirements for Materials Licensees <u>Public Document</u>
NOV.	12/18/89	Memo, Williams/Taylor - Management of 10 CFR Part 21 Reports Concerning the Comanche Peak Steam Electric Station

* 6 citations for 6/28/91

RLOOK,0 SHORT
01 1090#1
AC 9108020052
DATE: 910628
DTC: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
EST_PAGES: 2
L1: STAFF REQUIREMENTS MEMO RE SECY-90-340 'DIESEL GENERATOR
L2: RELIABILITY 'CONCERNING RESOLUTION OF GENERIC SAFETY ISSUE
L3: B-56.
FICHE: 58653:223-58653:224
PFL: 10CFR--PT9.7-910628
RPT: COMJC-91-1
RPT: COMJC-91-1-A
TSK: B-56
TSK: OR
REF: 10CFR9.7/?
REF: GTECI-B-56/*B-56 DIESEL RELIABILITY
REF: GTECI-EL/*ELECTRICAL POWER
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PACKAGE: 910628-9108020052
0132/4132#2
ACN: 9107220187
DATE: 910628
DTC: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
ES GES: 11
L1: STAFF REQUIREMENTS MEMO RE APPROVAL OF PUBLICATION OF
L2: PROPOSED REV TO POLICY STATEMENT SUBJ TO ATTACHED EDITORIAL
L3: COMMENTS.
FICHE: 58492:159-58492:170
PFL: 10CFR--PT9.7-910628
REF: 10CFR9.7/?
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PACKAGE: 910628-9107220187
0132/2256#3
ACN: 9107090077
DATE: 910628
DTC: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
EST_PAGES: 4
L1: STAFF REQUIREMENTS MEMO RE SECY-91-132 'EVALUATION OF
L2: INITIATING CONSENSUS PROCESS TO ADDRESS ISSUES RE BELOW
L3: REGULATORY CONCERN.
FICHE: 58334:348-58334:351
PFL: 10CFR--PT9.7-910628
RUL: PR-CHP1
FRN: 53FR49886
REF: 10CFR9.7/?
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PA E: 910628-9107090077
0132/1976#4
ACN: 9107050257
DATE: 910628
DTC: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
EST_PAGES: 109
*
RE SECY 91-150

X
L2: CONCERNING SECY-91-150 RE PROPOSED AMENDS TO 10CFR21
L3: 'REPORTING OF DEFECTS & NONCOMPLIANCE' & 10CFR50.55
L4: 'CONDITIONS OF CPS.'
FICHE: 58298:090-58298:198
PFL: 10CFR--PT9.7-910628
RPT: AA68-2-5
RP: AD32-2
RF: AD32-2-4
RPL: AD4-2-191
RPT: M910628
RPT: NUREG-1455
CON: IIT7-504-91
CON: IIT7-505-91
CON: IIT7-506-91
CON: IIT7-507-91
CON: IIT7-508-91
CON: IIT7-509-91
CON: IIT7-510-91
CON: IIT7-511-91
CON: IIT7-512-91
CON: IIT7-513-91
CON: IIT7-514-91
CON: IIT7-515-91
FRN: 56FR36081
FRN: 56FR40178
FRN: 56FR64943
REF: 10CFR9.7/?
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PACKAGE: 910628-9107050257

10132/1919#5

ACN: 9107050183
DP: 910628
DT: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
EST_PAGES: 2
L1: STAFF REQUIREMENTS MEMO RE BRIEFING ON PROGRESS OF DESIGN
L2: CERTIFICATION REVIEW & IMPLEMENTATION PER SECY-91-161.
FICHE: 58298:083-58298:084
PFL: 10CFR--PT9.7-910628
RPT: M910612A
REF: 10CFR9.7/?
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PACKAGE: 910628-9107050183

10132/1418#6

ACN: 9107020405
DATE: 910628
DTC: CM/*MEMORANDUMS-CORRESPONDENCE
DTC: SRM/*STAFF REQUIREMENTS MEMORANDUMS
EST_PAGES: 2
L1: STAFF REQUIREMENTS MEMO RE 910610 BRIEFING ON PROPOSED RULE
L2: ON TRAINING & QUALIFICATION OF NUCLEAR POWER PLANT PERSONNEL
L3: (SECY-91-108). COMMISSION EXPRESSED NUMBER OF CONCERNS OVER
L4: BROAD SCOPE OF RULE AS PREPARED BY NRC.
FICHE: 58264:204-58264:205
PFL: 10CFR--PT9.7-910628
RP: AD80-1-26
RF: M910610
FR: 57FR537
REF: 10CFR9.7/?
AN#1: CHILK S J
AA#1: NRCSEY/@OFFICE OF THE SECRETARY OF THE COMMISSION
PACKAGE: 910628-9107020405

D END

Box 251

SITE DECOMMISSIONING MANAGEMENT PLAN
VOLUME I

SECY PAPERS/BACKGROUND DOCUMENTS

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
yes A.	10/31/88	SECY-88-308 -- Contaminated Material License Facilities 8811170014
X NO B.	07/20/89	Letter fm M. Synar to K. Carr - Encloses three copies of GAO's Report, Nuclear Regulation: NRC's Decommissioning Procedures and Criteria Need to be Strengthened, RCED-89-119)
yes C.	09/26/89	Letter fm K. Rogers to J. Glenn - Submittal of NRC's Response to the Recommendations in GAO/RCED-89-119 8910160037
NO D.	07/27/89	SECY-89-224 -- Determining Need for Discussions with EPA on Use of Superfund
NO E.	08/22/89	Memo, Taylor/Chilk, SECY-89-224 -- Determining Need for Discussions with EPA on Use of Superfund
yes F.	12/08/89	SECY-89-369 -- Strategy for Decommissioning of Material Licensee Sites 8912140279
yes G.	08/03/89	Paper - Statement by K. Carr to the Subcommittee on Environment, Energy, and Natural Resources Concerning Decommissioning of Nuclear Facilities 9306160174
X NO H.	08/14/89	Memo, Taylor/Carr - Actions Items Resulting from the Synar Hearing on Decommissioning
yes I.	09/21/89	Letter fm Carr to M. Synar - List of NRC Licensees that have been Approved to Perform Burials Under 10 CFR 20.302 since 1981 8910060317
yes J.	12/21/89	Transcript - Briefing on NRC Actions for Cleanup of Contaminated Sites Under NRC Jurisdiction 900109062
yes K.	01/31/90	Memo, Taylor/Chilk - Staff Requirements, Briefing on NRC Actions for Cleanup of Contaminated Sites Under NRC Jurisdiction <u>Public Document</u>

- 2 -

15 L.

03/29/90

SECY-90-121 -- Site Decontamination
Management Program

9004110042

SITE DECOMMISSIONING MANAGEMENT PLAN
VOLUME II

yes M.	04/12/91	SECY-91-096 -- Updated Report on Site Decommissioning Management Plan 9104220148
yes N.	05/23/91	Letter fm Carr to M. Synar - Status of NRC's Efforts to Improve Regulatory Oversight of the Decommissioning of Nuclear Facilities 9106070282
yes O.	10/22/91	SECY-91-334 -- Current Activities in Decommissioning of Material Licensee Sites 9110300230
No P.	10/24/91	SECY-91-342 -- Action Plan to Ensure Timely Remediation of Sites Listed in the Site Decommissioning Management Plan
No Q.	12/31/91	SECY-91-342A -- Issues Associated with Ensuring Timely Remediation of Sites Listed in the Site Decommissioning Management Plan
X NO R.	11/05/91	Paper - Commission Briefing on Action Plan to Ensure Timely Remediation of Sites Listed in the SMDP
NO S.	11/04/91	Memo, Commissioners/Thompson, SDMP Strategy Applicability
NO T.	12/03/91	Memo, Taylor/Chilk - Staff Requirements Briefing on Enforcement Strategy Related to Contaminated Sites
yes U.	03/24/92	SECY-92-106 -- Action Plan to Ensure Timely Remediation of Sites Listed in the Site Decommissioning Management Plan 9203310012
yes V.	04/06/92	Memo, Taylor/Chilk - SECY-92-106 SRM from Chilk to Taylor - 9204160140
yes W.	04/03/92	Memo, Taylor/Chilk - SECY-92-106 SRM from Chilk to Taylor 9204140257
NO X.	04/09/92	Memo, Curtiss/Chilk - COMJC-92-003 and SECY-92-106

KITTY'S MEMOS

NO AA.	Undated	Note, Curtiss/Kotra - Timely Remediation of Sites Listed in the SDMP <u>Not In Binder</u>
--------	---------	--

BB. NO 10/11/91

Note, Curtiss/Dragonette - Background
Materials on the Synar Sites
Not In Binder

CC. NO 10/29/91

Note, Curtiss/Dragonette - Summary of
Issues on the Site Decommissioning Plan
for the Briefing 10:00 a.m. Wednesday
Not In Binder

DD. NO 10/31/91

Note, Curtiss/Dragonette - Thresholds
for Decommissioning *Not In Binder*

SITE DECOMMISSIONING MANAGEMENT PLAN
VOLUME III

X NO A. 04/06/92 Memo from J. Blaha, AO/OEDO to Com'r.
Assts. -- Robert Bernero's
Decommissioning Press Conference
Handouts

X NO B. 07/20/89 Ltr. from Rep. Synar to Chairman Carr
transmits GAO's report (Nuclear
Regulation: NRC's Decommissioning
Procedures and Criteria Need to Be
Strengthened, RCED-89-119)

YES C. 06/--/74 Regulatory Guide 1.86 -- Termination of
Operating Licenses for Nuclear Reactors
9009140263

NO D. 05/07/93 Memo from J. Taylor, EDO to
Commissioners -- Removal of a Site From
the Site Decommissioning Management Plan
(termination of materials
licensees/release of site)

Escrow Account Order - UNC

YES E. 01/03/92 Ltr. from R. Bernero to J. Velasquez,
UNC re denial of request for an
alternative surety agreement
9201150039

YES F. 01/13/92 Ltr. From R. Bernero to United Nuclear
Corp., Attn: J. Velasquez -- transmits
order to establish an escrow account
9201240029

Immediately Effective Order Chemtron

NO G. Undated [rec'd. 4/7/92] Memo from J. Taylor to
Commissioners -- NRC Order (Immediately
Effective) to Chemtron Corporation
Requiring Submittal of Site
Characterization Report By June 15, 1992

X NO H. 04/07/92 Memo from JRC to J. Taylor, EDO -- NRC
Order (Immediately Effective) to
Chemtron Corporation Requiring Submittal
of Site Characterization Report by
June 15, 1992

Miscellaneous Documents

23 I. 01/31/90 Memo from S. Chilk, SECY to J. Taylor,
EDO -- SRM on 12/21/89 Com'n. Briefing

9002070252

on NRC Actions for Cle of
Contaminated Sites Under NRC
Jurisdiction

YES J.

05/23/91

Ltr. from Chairman Carr to Rep. Synar --
informs of status of the NRC's efforts
to improve regulatory oversight of the
decommissioning of nuclear facilities,
including a summary of recent NRC
actions on the radioactively
contaminated sites

9106070282

X NO K.

12/19/91

Memo from J. Taylor, EDO to
Commissioners -- Agreement States'
Actions on Sites Similar to Those on
NRC's SDMP

X NO L.

04/02/92

Note from J. Blaha, AO/OEDO to K.
Dragonette, OCM/JC -- Action Plan for
Cleanup of SDMP Sites (provides
examples of specific cases where Table 2
values have been applied)

YES M.

04/03/92

Ltr. to Hon. Wm. K. Reilly, EPA from
Chairman Selin -- re NRC's action plan

9204210320

NO N.

08/06/92

Memo from JRC to D. Rathbun, OCA --
Draft Testimony for Kostmayer Hearing on
Proposed Legislation Concerning the
Cleanup of Radiologically Contaminated
Sites

Box 251

LICENSING REFORM LEGISLATION

VOLUME I

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
YESA.	10/19/90	Letter fm H. Denton to L. Stuntz, DOE - NRC's Comments on the Proposed National Energy Strategy (NES) Options 9107090033
YESB.	02/01/90	Letter fm K. Carr to W. Young - Request for NRC to Submit Written Testimony on What the Role of Nuclear Energy Should be in the Future to Assist DOE in Developing the NES 9002260173
YESC.	09/21/89	Letter fm K. Carr to W. Moore - Re Invitation to NRC to Participate in the Interagency Effort to Develop a NES 8910060199
NO D.	11/20/90	Memo, Commissioners/Denton - National Energy Strategy Revision
YES E.	10/30/90	Letter fm H. Denton to L. Stuntz, DOE - NRC Comments on the Candidate Options for the NES 9107090028
YES F.	01/31/91	Letter fm H. Denton to O. Wethington, White House - Comments from the NRC on Portions of the Draft NES Circulated for Review on 01/29/91 9107090032
NO G.	Undated	Paper - Major Technical Problems with Title V, Subtitle A of 02/06/91 Draft NES Bill
NO H.	05/06/91	Memo, Carr/Young - Nuclear Licensing Reform Legislation
X NO I.	05/10/91	Memo, Commissioners/Rathbun - Markup of Nuclear Titles in S.341, National Energy Security Act, by the Senate Energy and Natural Resources Committee, May 8, 1991
NO J.	05/14/91	Memo, Rathbun/Curtiss - Commission's Position and Testimony on Licensing Reform Legislation
NO K.	05/14/91	Memo, Rathbun/Gray - Additional Comments to Memo of May 14, 1991 from Commissioner Curtiss on "Commission's Position and Testimony on Licensing Reform Legislation"

	<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
	NO L.	05/14/91	Memo, Carr/Young - Nuclear Licensing Reform Legislation
	NO M.	05/17/91	Memo, Commissioners/Rathbun - Congressional Request for Documents
X	NO N.	05/24/91	Memo, Commissioners/Rathbun - Senate Nuclear Regulation Subcommittee Hearing on High-Level Waste Provisions of the National Energy Strategy
X	NO O.	05/24/91	Memo, Commissioners/Rathbun - High-Level Waste Developments in Senate Energy Committee
	NO P.	05/31/91	Memo, Commissioners/Rathbun - Additional Documents Responsive to the Graham/Simpson and Kostmayer Requests
X	NO Q.	06/03/91	Letter fm D. Rathbun to B. Graham - NRC Submittal of Testimony or Responses to Questions that have been Provided to Congressional Committees and Correspondence Between the NRC and the Executive Branch
	YES R.	06/04/91	Letter fm J. Curtiss to B. Graham - Responses to Questions on Legislative Proposals to Change the Licensing Process for Nuclear Power Plants 9106140006
X	NO S.	06/10/91	Letter fm N. Harris to J. Curtiss - Re Recent Visit to Discuss current Atomic Energy Act Licensing Procedures
	YES T.	06/26/91	Letter fm K. Rogers to B. Graham - NRC's Comments on S.1220 as Reported by the Senate Committee on Energy and Natural Resources 9107050241
X	NO U.	06/27/91	Memo, Commissioners/Rathbun - Release of Interagency Comment Documents to the Public
	NO V.	07/19/91	Note, Rathbun/Curtiss - Position on S.1220
	NO W.	07/24/91	Note, Selin/Curtiss - Position on NEA Strategy with Regard to Nuclear Power
	YES X.	08/01/91	Letter fm Selin to J. Watkins - Streamlining the Licensing of Future Nuclear Power Plants 9108200024

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
yes Y.	08/01/91	Letter fm Watkins to Selin - Re Support by NRC of the Nuclear Licensing Reform Provisions of the National Energy Security Act of 1991, S.1220 9108200021
NO Z.	09/24/91	DOE Memo to J. Watkins fm W. Young - Re Chairman Selin and Nuclear Licensing Reform Legislation
X NO AA.	Undated	Chart on Nuclear Licensing Legislation
NO BB.	11/22/91	Paper - Comments on Senator Graham's Staff's Memorandum on the Difference Between Part 52 and S.1220
NO CC.	01/21/92	Letter fm J. Watkins to A. Simpson - Comments on Testimony Submitted by NRC on the Nuclear Licensing Reform Provisions of S.1220
NO DD.	01/31/92	Memo, Commissioners/Rathbun - Examples Provided to the Senate Energy Committee Regarding the Possible Use of Interim Operating Authority
yes EE.	03/19/92	Letter fm Selin to G. Miller - National Energy Strategy Legislation 920327 0204

<u>Tab No.</u>	<u>Date</u>	<u>Document Description</u>
VOLUME II		
NO A.	10/20/92	Paper - Summary and Analysis of Energy Policy Act of 1992
NO B.	04/01/92	Memo, Commissioners/Parler - S.2166, National Energy Security Act of 1992
NO C.	05/14/92	Memo, Commissioners/Parler - H.R. 776, Comprehensive National Energy Policy Act
NO D.	05/15/92	Memo, Commissioners/Parler - H.R. 776, Comprehensive National Energy Policy Act
NO E.	11/26/92	Memo, Commissioners/Parler - Energy Policy Act of 1992
NO F.	11/18/92	Memo, Commissioners/Parler - Amendment of 10 CFR 52.97(b) Pursuant to the Energy Policy Act of 1992

WHISTLEBLOWERS - VOLUME I

SECYS

- No A. 08/23/85 SECY-85-285 -- Statute of Limitations for Enforcement Actions
- No B. 08/07/86 SECY-86-235 -- Enforcement Policy re Violations Involving Intimidation, Harassment & Discrimination for Reporting Safety Concerns
- No C. 09/24/86 SRM - SECY-86-235 (includes vote sheets)
- X No D. 03/27/92 SECY-92-111 -- Proposed Enforcement Action Against the Commonwealth Edison Company's Byron Nuclear Station (EA 92-019)

BACKGROUND FACTUAL INFORMATION

- 6/24/93 Blaha to Gray
- No E. (varied) ~~Personal JRC notes, Notes from JRC staff; & Factual information~~

LEGISLATION

- Yes F. 05/15/78 Senate Report No. 95-848 - Nuclear Regulatory Commission Authorizations -- Report of the Committee on Environment & Public Works, U.S. Senate (to accompany S. 2584)
ACN: 8012240098
- No G. 02/09/90 Ltr. to A. Fotias, OMB from W. Parler, GC - provides NRC views on DOE's draft Q&A's regarding H.R. 3255 (whistleblower protection)
- X No H. 10/01/92 Memo from K. Rathbun, CA to Commissioners - Nuclear Whistleblower Provisions of H.R. 776, As Agreed To By Conferees

NRC MANUAL CHAPTER

- Yes I. 04/03/90 NRC Manual Chapter NRC-0517 -- Management of Allegations

IG REPORTS

- No J. 04/15/92 Memo from D. Williams, IG to Commissioners - Review of NRC's Allegation Management System

[WHISBLOW.IDX]

July 29, 1993 1:48pm

IO K. 05/25/93 (date received) -- OIG Report of Interview /
Inspection No.: 92-01N (H&I)

NO L. 06/14/93 [ADVANCE COPY] of Inspection Report - Inspection
of the NRC Response to Whistleblower Retaliation
Complaints

NO M. 06/15/93 [LIMITED DISTRIBUTION] -- Memo from J. Taylor,
EDO to Commissioners - OIG Interview on H&I

DEPARTMENT OF LABOR MOU

Yes N. 06/22/83 Memo from J. Axelrad, IE to Regional
Administrators - Subj: Working Arrangements for
Implementing the MOU with the DOL
ACN: 8307110705

YES O. 05/03/89 Ltr. to E. Dole, Sec. of Labor from Chmn. Zech -
Subj: MOU Between the NRC & the DOL; Employee
Protection
ACN: 8905250449

CONTRACTS WITH WHISTLEBLOWERS

NO P. 03/12/85 Memo from S. Connelly, I&A to Commissioners - Use
of Consultants at Waterford

NO Q. 04/14/89 Ltr. to Sen. Glenn, Gov. Affairs Comm. from
Chmn. Zech - provides responses to Glenn ltr. of
4/5/89

Yes R. 9/30/89 Inspector General Semi-Annual Report - 4/1/89 -
9/30/89 ACN: 8912110157

NOS. 11/22/89 Memo from J. Taylor, Act. EDO to Chmn. Carr -
Comments on the Office of Inspector General (OIG)
Semi-Annual Report

YES T. 01/03/90 Energy Daily article on "NRC Paying Whistleblower
Witness" Public Document

NO U. 01/04/90 Note from W. Parler, GC to J. Scinto, OGC -
Contracts for Information

NOV. 01/04/90 Note from J. Gray, OCM/JC to JRC - re Energy Daily
article Not In Binder

WHISTLEBLOWER - VOLUME II

ACTIONS AGAINST UNLICENSED INDIVIDUALS

- NO A. 10/29/86 SECY-86-319 - Identifying & Informing Others of Wrongdoers *Not In Binder*
- NO B. 10/17/88 Memo from L. Chandler, OGC to W. Parler, GC - Enforcement Actions Against Unlicensed Individuals - An Analysis of the Atomic Energy Act of 1954, As Amended *Not In Binder*
- NO C. 05/10/89 SECY-89-151 - Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit The Issuance of Orders to Non-Licensees *Not In Binder*
- NO D. 06/01/89 Memo from Com'r. Roberts to SECY, GC, & EDO - SECY-89-151 -- Request for Additional Information Before Voting *Not In Binder*
- NO E. 06/01/89 Memo from Com'r. Curtiss to S.Chilk, SECY & W.Parler, OGC - Legal Opinion on SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees *Not In Binder*
- NO F. 06/05/89 Memo from W. Parler, GC to Commissioners -- Legal Opinion on SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees *Not In Binder*
- NO G. 06/15/89 Memo from V. Stello, EDO to Commissioners -- Response to Questions Concerning SECY-89-151: Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees *Not In Binder*
- NO H. 06/29/89 REVISED Memo from S. Chilk, SECY to V. Stello, EDO -- SRM on SECY-89-151 -- Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees *Not In Binder*
- Yes I. 03/28/90 Notice to Federal Register on Proposed Rule: Willful Misconduct by Unlicensed Persons *Not In Binder*
ACN: 9005090257

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J. various Public Comments on Proposed Rule on "Willful Misconduct by Unlicensed Persons (55 Fed. Reg. 12374 (April 3, 1990))

Not In Binder

NUMARC; Newman & Holtzinger; Shaw, Pittman, Potts & Trowbridge; The Assoc. of The Bar of the City of New York; Bishop, Cook, Purcell & Reynolds; *over for ACN's*

Yes K. 06/19/91 SECY-91-183 -- Final Rules Regarding Revisions to Procedures to Issue Orders & Deliberate Misconduct by Unlicensed Persons *ACN: 9106240047*

Background material includes:

Not In Binder

- Yes. SECY-90-022 - Proposed Rule Holding Unlicensed Persons Accountable for Willful Misconduct *ACN: 9001290258*
- Yes. SECY-89-321 - Revisions to Procedures to Issue Orders - 10 C.F.R. Part 2 *ACN: 53359; 189-215*
- NO. SECY-89-151 - Identifying & Informing Others of Wrongdoers & Initiating Rulemaking to Permit the Issuance of Orders to Non-Licensees

COMIS-93-005/005A

No L. 03/31/93 COMIS-93-005 - NRC Actions Vis-a-Vis Allegers

No M. 04/07/93 Memo from Com'r. Rogers to S. Chilk, SECY - COMIS-93-005 -- NRC Actions Vis-a-Vis Allegers

No N. ----- Draft JRC vote on COMIS-93-005 prepared by J. Gray

No O. 04/16/93 JRC vote on COMIS-93-005

No P. 06/04/93 JRC vote on COMIS-93-005A

Q. yes 06/15/93 Memo from S. Chilk, SECY to J. Taylor, EDO - SRM on COMIS-93-005A -- NRC ACTIONS VIS-A-VIS ALLEGERS
Maybe 9307020312

ENGLISH V. GE

No R. 03/06/90 Memo from Com'r. Curtiss to J. Cordes, Solicitor - Government Brief in English V. General Electric

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MISCELLANEOUS SPECIFIC CASES

No S. 05/25/93 J. Goldberg memo to B. Parler -- Bartlik V.
 Tennessee Valley Authority (88-ERA-15)
 X No T. 05/11/93 A. Gunderson V. ltr. to Chmn. Selin re NES
 X No U. 04/28/93 S. Kohn, National Whistleblower Center ltr. to
 Chmn. Selin -- Carolina Power & Light Co.,
 Brunswick Steam Electric Plant
 No V. 04/02/93 [CONFIDENTIAL] M. Kohn, National Whistleblower
 Center ltr. to Chmn. Selin -- TVA Inspector
 General Investigation of Hasan Allegations &
 Request for Relief Pursuant to 10 CFR 2.206
 No W. 01/30/91 D. L. Kern, law office of Windle Turley, PC ltr.
 to R. Watkins, DOL -- Edna Ottney v. Case
 No X. 07/30/91 Memo from J. Taylor, EDO to Chmn. Selin --
 Response to the Chairman's Request for Information
 Regarding Whistleblowers at Peach Bottom Atomic
 Power Station

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WHISTLEBLOWER - VOLUME III

APS CASES

No 05/10/93 Thomas J. Saporito, Jr., Complainant, v. Arizona
Public Service Co. & The Atlantic Group

Yes 07/20/92 Ltr. to Chmn. Selin -- Palo Verde maybe 9211230218

No 07/23/92 Memo from W. Parler, GC to Commissioners -- /DOL
Admin. Law Judge Recommended Decision & Order
Regarding Mitchell v. Arizona Public Service
Company (91-ERA-9)

Yes 11/23/92 Ltr. from J. Lieberman, OE to D. K. Colapinto
attorney for Sarah Thomas & Linda Mitchell maybe 9301070026

Yes 05/27/93 Phoenix New Times article -- Falling Out At Palo
Verde Public Document

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WHISTLEBLOWER - VOLUME IV

MISCELLANEOUS

~~Yes~~ P. ----- United States Merit Systems Protection Board
Questions & Answers About Whistleblower Appeals
 brochure Public Document

~~No~~ Q. 04/11/89 Note from W. Parler, GC to Commissioners -
 Whistleblower Protection Act of 1989

~~X~~ No R. 08/07/89 Ltr. to Chmr. Carr from M. F. Wieseman, Special
 Counsel, Office of Special Counsel - re
 P.L. 101-12 (Whistleblower Protection Act of 1989)

~~Yes~~ S. 03/13/90 DOE's FRN Vol. 55, No. 49 - Notice of Proposed
 Rulemaking -- "Criteria and Procedures for DOE
 Contractor Employee Protection Program Public Document

~~X~~ No T. 09/11/92 Summary of the Whistleblower Complaint Process
 (prepared for JRC by C. A. Harris, Sr. Labor
 Management & Employee Relations Specialist, Office
 of Personnel, NRC)

~~Yes~~ U. 04/21/93 Chattanooga Free-Press article -- "Lawyer
 Critical, But Hopeful, Over Whistleblower
 Remedies" Public Document

~~Yes~~ V. ----- Houston Chronicle article -- "Whistle-blowers
 quickly noted N-plant problems" (rec'd from HL&P
 public affairs office on 5/24/93 Public Document

~~X~~ No W. 04/01/93 JRC Memo to J. Fouchard, PA - Houston Chronicle
 Article -- Whistle-Blowers

~~X~~ No X. 04/01/93 J. Fouchard, PA memo to JRC - re Houston Chronicle
 article

~~X~~ No Y. 04/06/93 Memo from Chmn. Selin to JRC - Houston Chronicle
 Article -- Whistleblowers

~~X~~ No Z. 06/11/93 Ltr. to Chmn. Selin from Senate E&PW (Senators
 Baucus, Chafee, Simpson & Lieberman) -- requests
 NRC consideration of what constitutes 'protected
 activity under section 211 of the Energy
 Reorganization Act of 1974

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COMMENTS FROM OUTSIDE GROUPS

No UNDATED Case Examples

Yes ~~No~~ 09/25/89 Ltr. from Robinson, Robinson, Peterson, Berk, Rudolph, Cross & Garde to Secretary re "Proposed Rule; "preserving the Free Flow of Information to the Commission" 8910030110

No 05/10/93 Ltr. from J. R. Newman, Newman & Holtzinger to L. Norton, OIG -- OIG Review of NRC's Role in Implementing Section 211 of the Energy Reorganization Act and 20 - CFR § 50.7

X No 06/15/93 NUMARC ltr. to Chmn. Selin - Implementation of Section 211 of the Energy Reorganization Act

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MAINTENANCE INDEX - VOLUME I Box 253

SECY PAPERS

- YES A. 04/12/85 SECY-85-129: Maintenance and Surveillance Program Plant 8509190696
- YES B. 05/05/86 SECY-86-144: Performance Indicators (includes vote sheets & final SRM) NO NO
- YES C. 10/24/86 SECY-86-316: Maintenance Policy Statement (includes vote sheets & final SRM dated 4/27/87 - ~~YES~~) NO NO Partially withheld 8709300171
- NO D. 12/17/86 Memo from V. Stello, EDO to Com'r. Roberts re SECY-86-316 -- provides add't. info. on the proposed maintenance policy statement and the staff's Maintenance & Surveillance Program Plan
- NO E. 08/13/87 SECY-87-207: Policy for Use of Performance Indicators (includes vote sheets & 12/2/87 SRM) NO NO
- YES F. 02/25/88 Memo from S. Chilk, SECY to V. Stello, EDO & R. Fraley, ACRS -- SRM -- SECY-87-314: Interim Policy Statement on Maintenance of Nuclear Power plants & Requirements from Status Briefing on 1/7/88 8803020224
- Yes G. 02/26/88 Enclosure O, "Items Addressed by the Commission" from the Weekly Information Report for the Week Ending 2/26/88 - Subj: SRM - SECY-87-314 ACN: 8803070316
- Yes H. 04/15/88 SECY-88-103: Status of the Performance Indicator Program 8805130022
- Yes I. 05/23/88 SECY-88-142: Staff Plan & Schedule for Proposed Rulemaking for the Maintenance of Nuclear Power Plants (includes 6/17/88 SRM) 8806030386
- X NO J. 09/30/88 SECY-88-277: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes 11/10/88 SRM)
- Yes K. 10/07/88 SECY-88-289: Preliminary Results of the Trial Program on Maintenance Performance Indicators 881028042
- X NO L. 02/23/89 SECY-89-066: Status of Evaluation of Candidate Performance Indicator, "Safety System Function Trends"

X NO M. 04/28/89 SECY-89-143: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants

YES N. 06/26/89 Memo from S. Chilk, SECY to V. Stello, EDO -
Subj: SECY-89-143/COMLZ-89-21 - Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes Chairman Zech's vote on SECY-89-143)
ACN: 890627-DMC

X NO O. 12/05/89 Affirmation Sheet from S. Chilk, SECY - S
SECY-89-325 - Revised Policy Statement :
Enforcement Criteria Related to the Maint. ce of Nuclear Power Plants

YES P. 06/17/91 Vote Sheets on SECY-91-110 - Staff Evaluation & Recommendation on Maintenance Rulemaking
(includes Chairman Carr, Commissioners Rogers, Curtiss, & Remick) *9107090010 Carr 9107080322 Curtiss*
YES 9107080322 9107090010 Rogers

X NO Q. 06/19/91 Memo from J. Snizek, Nuclear Reactor Regulation, Regional Operations & Research to E. Beckjord, RES - Subj: Maintenance Rulemaking

X NO R. 06/20/91 Note from S. Burns, OCM/KC to Commission Tech. Assts. - Subj: Federal Register Renoticing of Maintenance Rule

NO S. 06/17/91 Note to Chairman's Office from W. Parler, GC - RE: SECY-91-110

X NO T. 12/01/89 Note from J. Blaha, AO/OEDO to Commission Tech. Assts. - Subj: Maintenance Team Inspection (MIT) Schedule

YES U. 06/25/92 SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
ACN: 9207020287

X NO V. 07/09/92 Note from D. Trimble, OCM/JC to J. Blaha, AO/OEDO - Subj: Request for staff response to questions regarding the industry approach to implementation of the maintenance rule and NRC's enforcement options under such an approach

X NO W. 07/14/92 Memo from JRC to S. Chilk, SECY -- Subj: SECY-92-229 (Negative Consent Paper)

MAINTENANCE INDEX - VOLUME I Box 253

SECY PAPERS

- YES A. 04/12/85 SECY-85-129: Maintenance and Surveillance Program Plant 8509190696
- YES B. 05/05/86 SECY-86-144: Performance Indicators (includes vote sheets & final SRM) NO NO
- YES C. 10/8/86 SECY-86-316: Maintenance Policy Statement (includes vote sheets & final SRM dated 4/27/87 - ~~YES~~) NO Partially withheld 8709300171
- NO D. 12/17/86 Memo from V. Stello, EDO to Com'r. Roberts re SECY-86-316 -- provides add't. info. on the proposed maintenance policy statement and the staff's Maintenance & Surveillance Program Plan
- NO E. 08/13/87 SECY-87-207: Policy for Use of Performance Indicators (includes vote sheets & 12/2/87 SRM) NO NO
- YES F. 02/25/88 Memo from S. Chilk, SECY to V. Stello, EDO & R. Fraley, ACRS -- SRM -- SECY-87-314: Interim Policy Statement on Maintenance of Nuclear Power plants & Requirements from Status Briefing on 1/7/88 8803020224
- Yes G. 02/26/88 Enclosure O, "Items Addressed by the Commission" from the Weekly Information Report for the Week Ending 2/26/88 - Subj: SRM - SECY-87-314 ACN 8803070316
- Yes H. 04/15/88 SECY-88-103: Status of the Performance Indicator Program 8805130022
- Yes I. 05/23/88 SECY-88-142: Staff Plan & Schedule for Proposed Rulemaking for the Maintenance of Nuclear Power Plants (includes 6/17/88 SRM) 8806030386
- X NO J. 09/30/88 SECY-88-277: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes 11/10/88 SRM)
- Yes K. 10/07/88 SECY-88-289: Preliminary Results of the Trial Program on Maintenance Performance Indicators 881028042
- X NO L. 02/23/89 SECY-89-066: Status of Evaluation of Candidate Performance Indicator, "Safety System Function Trends"

There Main.
Box
docs in ~~Volume~~ 3.

Recombine this part of
index w/ Main in Box 4

X NO M. 04/28/89 SECY-89-143: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants

YES N. 06/26/89 Memo from S. Chilk, SECY to V. Stello, EDO -
Subj: SECY-89-143/COMLZ-89-21 - Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes Chairman Zech's vote on SECY-89-143)
ACN: 890627-DMC

X NO O. 12/05/89 Affirmation Sheet from S. Chilk, SECY - Subj: SECY-89-325 - Revised Policy Statement & Enforcement Criteria Related to the Maintenance of Nuclear Power Plants

YES P. 06/17/91 Vote Sheets on SECY-91-110 - Staff Evaluation & Recommendation on Maintenance Rulemaking (includes Chairman Carr, Commissioners Rogers, Curtiss, & Remick) 9107090010 Carr 9107080322 Curtiss
YES 9107080322 9107090007 Rogers

X NO Q. 06/19/91 Memo from J. Sniezek, Nuclear Reactor Regulation, Regional Operations & Research to E. Beckjord, RES - Subj: Maintenance Rulemaking

X NO R. 06/20/91 Note from S. Burns, OCM/KC to Commission Tech. Assts. - Subj: Federal Register Renoticing of Maintenance Rule

NO S. 06/17/91 Note to Chairman's Office from W. Parler, GC - RE: SECY-91-110

X NO T. 12/01/89 Note from J. Blaha, AO/OEDO to Commission Tech. Assts. - Subj: Maintenance Team Inspection (MIT) Schedule

YES U. 06/25/92 SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
ACN: 9207020287

X NO V. 07/09/92 Note from D. Trimble, OCM/JC to J. Blaha, AO/OEDO - Subj: Request for staff response to questions regarding the industry approach to implementation of the maintenance rule and NRC's enforcement options under such an approach

X NO W. 07/14/92 Memo from JRC to S. Chilk, SECY -- Subj: SECY-92-229 (Negative Consent Paper)

MAINTENANCE RULE - VOLUME II

Box 253

BACKGROUND

- Yes A. 03/23/88 Federal Register Notice (Vol. 53, No. 56 pp. 9430-9431 -- 10 CFR Part 50, "Final Commission Policy Statement on Maintenance of Nuclear Power Plants)
- Yes B. 05/25/88 Memo from S. Chilk, SECY to V. Stello, EDO -- SRM - Briefing on Status of Performance Indicators Program on 4/28/88 Public Document
- Yes C. 06/09/88 Memo from Com'r. Carr to Chairman & Commissioners - Subj: COMKC-88-03 - Options for Rulemaking to be Provided at NRC Maintenance Workshop, July 11-13, 1988 (includes vote sheets on COMKC-88-03, draft SRM, & final SRM dated 6/17/88 - Yes 8905110175 memo 8905110161)
- Yes D. 06/27/88 Memo from V. Stello, EDO to Commissioners - Subj: Proposed Rulemaking for the Maintenance of Nuclear Power Plants 8807120557
- X NO E. 08/05/88 Ltr. from B. Lee, Jr. (NUMARC) to Chairman Zech re industry initiatives in plant maintenance
- NO F. 08/11/88 Memo from K. Carr to Commissioners - Subj: XYZYX Information Corporation Report, "Observations & Recommendations on the Proposed Rulemaking for the Maintenance of Nuclear Power Plants" (copy attached)
- Yes G. 08/24/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM - 8/16/88 Commission Briefing on Maintenance Workshop 8808260311
- Yes H. 09/13/88 Ltr. from W. Kerr, ACRS to Chairman Zech - Subj: Proposed Rulemaking Related to Maintenance of Nuclear Power Plants 8809220142
- X NO I. 10/13/88 Memo from V. Stello, EDO to Commissioners - Subj: Revision to SECY-88-277 - The Proposed Maintenance Rule to be Published for Public Comment
- Yes J. 10/27/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM on 10/14/88 Commission Briefing on Proposed Rule for Maintenance of Nuclear Power Plants 8811010329

Yes K. 11/³¹~~28~~/88 Proposed Rule - 53 FR 47822 -- 10 CFR Part 50
 "Ensuring the Effectiveness of Maintenance
 Programs for Nuclear Power Plants 8812050092

Yes L. 12/05/88 Ltr. from Chairman Zech to R. Campbell, Nuclear
 Management & Resources Council 8901100396

Yes M. 04/11/89 Ltr. from F. Remick, ACRS to Chairman Zech - Subj:
 Proposed Final Rulemaking Related To Maintenance
 of Nuclear Power Plants 8904240487

Yes N. 04/26/89 Memo from E. Jordan, CRGR to V. Stello, EDO -
 Subj: Minutes of CRGR Meeting Number 161
 [discussion items: final maintenance rulemaking &
 draft reg. guide] 8905170115

X NOO. 04/26/89 Memo from Chairman Zech to Commissioners
 [COMLZ-89-21] - Subj: Final Rulemaking on
 Maintenance of Nuclear Power Plants (includes vote
~~sheets by Roberts, Rogers & Carr~~)

Yes P. 05/09/89 Memo from S. Chilk, SECY to V. Stello, EDO - SRM
 on 5/2/89 Commission Briefing on Results of
 Maintenance Team Inspections 8905180432

NOQ. 05/10/89 Note from D. Trimble, OCM/JC to Commissioner
 Curtiss - Subj: Final Rulemaking on Maintenance
 (COMLZ-89-21)

Yes R. 05/11/89 Memo from S. Chilk, SECY to R. Fraley, ACRS -
 Subj: SRM 5/3/89 Commission Briefing by ACRS
 8905260158

Yes S. 06/14/89 "Memo For The Record" from S. Chilk, SECY - Subj:
 5/31/89 Commission Briefing on Final Rule &
 Regulatory Guide for Maintenance of Nuclear Power
 Plants 8906160111

Yes T. 08/01/89 Draft Regulatory Guide DG-1001, "Maintenance
 Programs for Nuclear Power Plants 8908310144

X NO U. 08/18/89 Memo from E. Beckjord, RES to E. Jordan, AEOD -
 Subj: CRGR Review of Revised Policy Statement on
 the Maintenance of Nuclear Power Plants

X NOV. 08/17/89 Memo from Chairman Carr to Commissioners -
 [COMKC-89-12] Maintenance of Nuclear Power Plants

Yes W. 08/17/89 54 FR 158 pp.33988-33989, Regulatory Guide;
 Issuance, Availability

X NO XYZ. 08/22/89 Memo from Com'r. Rogers to Commissioners - Subj:
 COMKC-89-012 - Maintenance of Nuclear Power Plants

YES AA. 09/29/89 Memo from S. Chilk, SECY to J. Taylor, EDO - SRM
 on 9/15/89 Com'n. Briefing on Status of
 Maintenance Initiatives & Schedule 890030238

X NO BB. 12/05/89 Memo from J. Taylor, EDO to Commissioners - Subj:
 Results of Requested Review of the Revised
 Inspection Program for Operating Reactors

YES CC. 12/21/89 NUMARC handout from 12/21/89 presentation to NRC
 staff on "Industry Action Plan for Continued
 Improvement in Maintenance of Nuclear Power Plants
 9001100086 MTG sum w/ Related Docs

X NO DD. 12/22/89 Memo from Com'r. Curtiss to Commissioners - Subj:
 Rulemaking Options -- Maintenance [COMJC-89-013]

X NO EE. 01/09/90 Memo from Chairman Carr to S. Chilk, SECY - Subj:
 Comments on COMJC-89-13

X NO FF. 01/25/90 Memo from J. Taylor, EDO to Com'r. Rogers - Subj:
 Inspection Program Review

YES GG. 02/15/90 Memo from J. Taylor, EDO to Com'r. Rogers - Subj:
 Response to Request for Information Regarding
 SECY-90-015, "Comparison of Maintenance Indicator
 with Maintenance Team Inspection" 9003150265

YES HH. 02/16/90 Ltr. from J. Colvin, NUMARC to J. Taylor, EDO -
 encloses the "Industry Action Plan for Continued
 Improvement in Maintenance of Nuclear Power
 Plants" dated 1/25/90 9003230021

NO II. 04/17/90 Ltr. from B. Lee, NUMARC to J. Taylor, EDO -
 provides copy of Maintenance Programs in the
Nuclear Power Industry INPO 90-008, March 1990

YES JJ. 05/23/90 Memo from S. Chilk, SECY to J. Taylor, EDO -
 COMJC-90-3 - Nuclear Power Plant Maintenance
 9007190324

X NO KK. 05/24/90 Memo from S. Chilk, SECY to Com'r. Curtiss -
 Nuclear Power Plant Maintenance

X NO LL. 10/22/90 Ltr. from B. Lee, NUMARC to J. Taylor, EDO -
 encloses Revision 1 of the "Industry Action Plan
 for Continued Improvement in Maintenance of
 Nuclear Power Plants" dated 9/30/90

~~YES~~ MM. 12/17/90 GAO/RCED-91-36 report to Rep. Gejdenson - Nuclear Regulation -- NRC's Efforts to Ensure Effective Plant Maintenance Are Incomplete Public Document

YES NN. 01/07/91 Memo from J. Taylor, EDO to Commissioners -- Preventive Maintenance ~~9104110231~~ 9104110231
 Attachment: 12/27/90 Ltr. to K. Strahm, INPO from J. Snizek, Nuclear Reactor Regulation Regional Operations & Research 9104110235
 128

X NO OO. 01/18/91 Memo from J. Taylor, EDO to Com'r. Curtiss -- SECY-90-283, Planned Maintenance Systems
 Attachment: 12/28/90 Memo from S. Treby, GC to J. Lieberman, OE -- Subj: Request of Com'r. Curtiss on Section 206

YES PP. 01/18/91 Memo from J. Taylor, EDO to Commissioners -- Subj: Preventive Maintenance 9104110240
 Attachment: 1/14/91 INPO Ltr. to J. Snizek 9104110235

X NO QQ. 02/13/91 Memo from Com'r. Curtiss to J. Taylor, EDO - Subj: Major Revision of Maintenance Inspections (CR-91-014)

X NO RR. 02/27/91 Memo from J. Taylor, EDO to Com'r. Curtiss - Subj: Response to Questions Regarding Major Revision of Maintenance Inspections (CR-91-014)

X NO SS. 03/15/91 Memo from J. Taylor, EDO to Commissioners - Subj: Industry Maintenance Commitment -- attaches NUMARC ltr. of 3/7/91 re their commitment to conduct a assessment of its maintenance program using INPO 90-008, "Maintenance Programs in the Nuclear Power Industry" as assessment basis

YES TT. 04/17/91 Ltr. from D. Ward, ACRS to Chairman Carr -- Subj: Staff Evaluation & Recommendations on Maintenance Rulemaking 9105210087

YES UU. 04/17/91 Memo from J. Taylor, EDO to Com'r. Remick -- Subj: Shutdown and Refueling Maintenance Operations 9104290092

X NO VV. 04/19/91 Memo from S. Burns, OCM/KC to R. Fraley, ACRS -- Subj: ACRS Letter on Staff Recommendations on Maintenance Rulemaking

X NO WW. 04/25/91 Memo from Com'r. Curtiss to Commissioners -- Subj: Results of Maintenance Team Inspections (re correlation of MTIs with other quantitative

indicators of maintenance effectiveness) --
attaches staff analyses

yes XX. 04/26/91 Ltr. from D. Trimble, OCM/JC to W. Kindley, INPO -
- transmits copy of staff analyses, "A Comparison
of Performance Indicators by MTI Plant Grade"

9105130288
X NO YY. 05/21/91 Ltr. from J. Taylor, EDO to D. Ward, ACRS -- Subj:
Staff Evaluation and Recommendations on
Maintenance Rulemaking

X NO ZZ. 05/30/91 Ltr. from B. Lee, NUMARC to J. Taylor, EDO --
encloses Revision 2 of the "Industry Action Plan
for Continued Improvement in Maintenance of
Nuclear Power Plants"

MAINTENANCE RULE - VOLUME III

Box 253

BACKGROUND CONTINUED

- X NO 1. 06/13/91 Note from J. Blaha, AO/OEDO to Tech Assts. -- provides copy of info. provided to Com'r. Curtiss on probabilistic risk
- X NO 2. 06/19/91 Memo from J. Snizek to E. Beckjord, RES -- Subj: Maintenance Rulemaking - task force scheduled for completion of rulemaking package
- X NO 3. 06/20/91 Memo from J. Taylor, EDO to Commissioners -- Subj: Response to Staff Requirements Memorandum No. M910506 on the Need for Maintenance Rulemaking (with enclosures)
- YES 4. 06/27/91 Memo from J. Taylor to Commissioners -- Maintenance Rulemaking (provides revised maintenance rulemaking package) 9107230352
- yes 5. 06/28/91 Affirmation Sheet from S. Chilk, SECY -- Subj: SECY-91-110 - Staff Evaluation & Recommendation on Maintenance Rulemaking (with attachments) 9107090103?
- yes NO 6. 06/28/91 Memo from S. Chilk, SECY to J. Taylor, EDO -- SRM on Item III - SECY-91-110 Public Document Enclosures withheld
- X 7. 7/10/91 Final Maintenance Rule (published 7/10/91)
 YES "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" ~~9107230352~~
 Background documents:
 YES - • Regulatory Analysis 9111250176
 NO • Environmental Assessment

MAINTENANCE INDEX - VOLUME IV**Box 254**REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 1. ----- Enclosure 4: Equipment Availability Breakout Session Summary
- X NO 2. ----- SSC's Which Might be Eliminated Using A Risk (PRA Based) Criterion for Determining How to Apply the Maintenance Rule
- X NO 3. ----- Draft Memo from J. Roe, NRR, to J. Sneizek, EDO; W. Russell, NRR; J. Heltemes, RES; R. Baer, RES, SUBJ: A Comparison of Maintenance and License Renewal Rules
- X NO 4. 07-19-91 Ltr. from NUMARC to Cmr. Curtiss, SUBJ: Maintenance Rule Questions for Commissioner Curtiss
- X NO 5. 08-09-91 Memo from A. Haikalas, OCM, to S. Meador, EDO, SUBJ: Revision to August 2, 1991 Memo: Monthly Meetings with Commission Curtiss on Status of Maintenance Rule Regulatory Guide Implementation
- YES 6. 08-13-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Notice of Meeting with NUMARC to Discuss Regulatory Guide on Monitoring of Maintenance in Nuclear Power Plants 9109120087
- YES 7. 08-16-91 Ltr. from B. Lee, NUMARC, to Chairman Selin from RE: industry's reaction and response to the NRC's adoption of a final maintenance rule 9109060199
- NO 8. 08-19-91 Note from Dave to JRC, JRG, KAC, SUBJ: Maintenance Rulemaking Task Force Meetings with Members of the Staff Assigned to Develop the Reg. Guide for Maintenance
Not In Binder
- X NO 9. 08-20-91 Memo from R. Baer, RES, to W. Minners, RES, SUBJ: Proposed Meetings with Maintenance Rule Task Force
- NO 10. 08-21-91 Note from DCT to JRC, JRG, KAC, SUBJ: Concerns Arising out of Initial Senior NRC Staff/Industry Meeting on Maintenance Rule R. G. Development (8/21/91)
Not In Binder

- NO 11. 08-30-91 Draft Memo from R. Baer to W. Minners SUBJ: 08/27/91 Meeting with Maintenance Rule Task Force w/enclosed Memo from S. Chilk, SECY to M. Malsch, OGC, SUBJ: SECY-91-253 - Review of ALAB-952 Affirming Dismissal of Intervenor from Operating License Amendment Proceeding dtd 09/03/91 *Not in binder*
- NO 12. 09-05-91 Memo from D. Trimble, OCM, to O. Rothberg, RES, SUBJ: My comments on Your Draft Minutes of the August 27, 1991, Staff Meeting with the Maintenance Rule Task Force *Not in Binder*
- X NO 13. 09-06-91 Memo from J. Taylor, EDO, to Cmr. Curtiss, SUBJ: Enclosed Meeting Summary dtd 09-05-91 *Enclosures are Public*
- NO 14. 09-09-91 Memo from D. Trimble to File, SUBJ: Summary of Cmr. Curtiss's 9/9/91 Monthly Meeting with the Staff Responsible for Development of the RG Implementing the Maintenance Rule *Not In Binder*
- X NO 15. 09/10/91 Office of Research - Regulatory Guide to Support Maintenance Rule
- X NO 16. 09-16-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Meeting with Maintenance Rule Task Force
- X NO 17. 09-17-91 Memo from C. Heltemes, RES, to W. Russell, RES; T. Novak, AEOD, SUBJ: Development of Regulatory Guide to Support the Maintenance Rule (10CFR50.56)
- X NO 18. 09-24-91 Draft Senior Management Meeting 09/24/91 w/attached Draft Maintenance Rule Project Plan and Schedule Milestones dtd 9/18/91
- NO 19. 10-04-91 Note from R. Baer to Distribution, SUBJ: Tentative List of Ground Rules for Development of the Regulatory Guide for the Maintenance Rule
- NO 20. 10-11-91 Note from Kevin to Jim, SUBJ: Summary of Second Meeting of the Maintenance Rule Task Force and Key Issues Associated with Implementation of the Maintenance Rule
- NO 21. 10-15-91 From O. Rothberg, Progress on Regulatory Guide for Maintenance Rule Since Last Meeting
- X NO 22. 10-17-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Meeting with Maintenance Rule Task Force on 10/10/91

- X NO 23. 10-24-91 Note from G. Grant, Reg. Ops. Br. to D. Trimble, etc. SUBJ: Interaction with NUMARC Regarding Maintenance Rule Implementation Guidance
- YES 24. 10-29-91 Memo from R. Correia, NRR, to E. W. Brach, NRR, SUBJ: Meeting Summary -- September 24, 1991, NRC/NUMARC Public Meeting on the Preparation of Guidance for the Implementation of the Maintenance Rule (10 CFR 50.65)
Mtg Summary to E. William 9111060330
- NO 25. 11-01-91 Note from O. Rothberg, RES; T. Foley, NRR; P. O'Reilly, AEOD; C. Johnson, RES; to Distribution List, SUBJ: Regulatory Guide for Maintenance Rule
- X NO 26. 11-01-91 Note from J. Sniezek, EDO, from D. Trimble, Enclosed copy of Proposed Resolution of B-56 for CRGR Review dtd 10/30/91
- X NO 27. 11-14-91 Progress of Regulatory Guide for Maintenance Rule Meeting with Cmr. Curtiss
~~Regulatory Guide for Maintenance Rule~~
- X NO 28. 11-14-91 Notes from Foley, Baer, Rothberg, re: SSCs
- YES 29. 12-03-91 Memo from R. Correia, NRR, to E. W. Brach, NRR SUBJ: Notice of Public Meeting with NUMARC to Discuss Regulatory Guide on Monitoring of Maintenance in Nuclear Power Plants, Dec. 18, 1991, 2:00 to 3:00 p.m. 9112120115
- YES 30. 12-05-91 Memo from R. Correia, NRC Coord. for NRC/NUMARC, to E. W. Brach, NRR, SUBJ: Meeting Summary -- November 19, 1991 NRC/NUMARC Public Meeting on the Development of Guidance Documents for the Implementation of the Maintenance Rule (10 CFR 50.65) 9112160021
- NO 31. 12-09-91 Note from Dave to JRC, JRG, KAC, re: Background for 12/10/91 Meeting with Staff on Maintenance Regulatory Guide W/attached Redraft of Regulatory Guide
- NO 32. 12-16-91 Note from O. Rothberg to Maintenance Regulatory Guide Steering Group-J. Sniezek, W. Russell, C. Heltemes, R. Baer, SUBJ: Draft Regulatory Guide for Maintenance Rule
- YES 33. 12-31-91 Memo from R. Correia, NRC Coord. for NRC/NUMARC, NRR, to E. W. Brach, NRR, SUBJ: Meeting Summary -- December 18, 1991 NRC/NUMARC Public Meeting on the

Development of Guidance Documents for the
Implementation of the Maintenance Rule (10 CFR
50.65) 9201140318

- YES 34. 01-02-92 Memo from R. Correia, NRC Coord. for NRC/NUMARC,
NRR, to E. W. Brach, NRR, SUBJ: Notice of Public
Meeting with NUMARC to Discuss Regulatory Guide on
Monitoring of Maintenance in Nuclear Power Plants
on 02-13-92, 1:00 to 3:00 p.m. 9201220217
- X NO 35. 01-10-92 Note from G. Grant, EDO, to D. Rathbun, OCM/IS; J.
Scarborough, OCM/KR; J. Gray, OCM/JC; J. Guttman,
OCM/FR; P. Gwynn, OCM/GdeP, SUBJ: Maintenance Rule
Regulatory Guide
- YES 36. 01-15-92 Memo from O. Rothberg, NRR, to R. Baer, NRR, SUBJ:
Trip Report of Visit to Susquehanna Nuclear Plant
to Discuss Their Approach to Monitoring the
Effectiveness of Maintenance Activities
9201220202
- X NC 37. 01-29-92 Memo from R. Baer, NRR, to R. Correia, NRR, SUBJ:
Comments on Draft Terms and Definitions from
NUMARC Regarding Regulatory Guide for the
Maintenance Rule

MAINTENANCE INDEX - VOLUME V

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 38. 02/92 Sand91-7093, Limited Release, Contractor Report -- A Review of Efforts to Determine the Effect of Age-Related Degradation on Risk
- NO 39. 02-12-92 From O. Rothberg, RES, Progress Meeting on Staff's Draft Regulatory Guide to Support the Maintenance Rule
- NO 40. 02-26-92 Note from Dave to Jim re: Summary of 2/26/92 Maint. RG NRC/Industry Steering Group Mtg w/attached NUMARC Proposal -- Draft Key Points Used in Developing a Process to Meet the Maintenance Rule, 10 CFR 50.65; 03/6/92 Memo from R. Correia, NRR, to G. Zech, NRR; SUBJ: Meeting Summary -- 02/26/92 NRC/NUMARC Public Mtg *except on notes* 9203120307
- NO 41. 03-06-92 Note from DCT, KAC, to JRC, SUBJ: Thoughts on the Industry's Proposed Approach for Compliance with the Maintenance Rule *Not In Binder*
- X NO 42. 03-11-92 Memo from J. Boardman, AEOD, to P. O'Reilly, AEOD, SUBJ: Trip Report of Training in Reliability Centered Maintenance (RCM) at the EPRI NDE Center in Charlotte, NC, March 3-6
- X NO 43. 03-23-92 From O. Rothberg, RES, RE: Progress Meeting on Regulatory Guide to Support The Maintenance Rule
- YES NO 44. 03-20-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 3/17/92, NRC/NUMARC Working Group Public Mtg on the Development of Guidance Documents for the Implementation of the Maintenance Rule (10CFR 50.65) 9203270237
- X NO 45. 03-24-92 Ltr from B. Christie, RAPA, to M. Taylor, EDO, RE: draft paper describing System Reliability Program at River Bend Nuclear Station w/attachments
- YES NO 46. 03-24-92 From O. Rothberg, RES; T. Foley, NRR; P. O'Reilly, AEOD; C. Johnson, RES, Draft-for-Comment Regulatory Guide--Monitoring the Effectiveness of Maintenance at Nuclear Power Plants *Public Document*

- NO 47. ----- Initial Reaction to the NUMARC Maintenance Guidance
- X NO 48. 03-31-92 Ltr from B. Lee, NUMARC, to J. Sniezek, EDO, RE: Draft Revision I of NUMARC 93-01, Industry Guideline for Monitoring the Effectiveness of Maintenance at Nuclear Power Plants
- NO 49. 04/92 From PDLR re: License Renewal Rule - Maintenance Rule
- NO 50. 04-08-92 Note from D. Trimble to J. Curtiss re Review of the License Renewal & Maintenance rules
Not In Binder
- NO 51. 04-09-92 Note from D. Trimble to JRC, JRG, KAC, w/attached ltr dtd 03-16-92 from RAPA re: System Reliability Program used at River Bend
Not In Binder
- NO 52. 04-14-92 Note from D. Trimble to JRC, JRG, KAC, w/attached detailed Comparison of NUMARC Guidance Document with NRC Staff's Draft Reg. Guide on Monitoring the Effectiveness of Maintenance
Not In Binder
- X NO 53. 04-15-92 Memo from J. Taylor to Commissioners, SUBJ: Maintenance Inspection Guidance
- YES NO 54. 04-17-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Telephone Conf. Summary: 04-14-92 NRC/NUMARC Telephone Conf. Call to Discuss the NUMARC Guidance Document, dtd 3/30/92, for the Implementation of the Maint. Rule (10 CFR 50.65)

9204290207

MAINTENANCE INDEX - VOLUME VI

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X *nt* 55. 04-20-92 Ltr from R. Correia, NRR, to W. Smith, NUMARC, re: NRC staff provided preliminary comments on NUMARC guidance document dtd 3/30/92
- nt* 56. 04-21-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Telephone Conf. Summary: 04-17-92 NRC/NUMARC Telephone Conf. Call to Discuss the NRC Draft Regulatory Guide, dtd 03-24-92, for the Implementation of the Maintenance Rule (10 CFR 50.65) 9204290231
- nt* 57. 04-21-92 Memo from E. Beckjord, RES, to T. Murley, NRR, SUBJ: Transmittal of Research Information Letter 170: Risk Evaluations of Aging 9205040210 9205210176
- nt* 58. 04-27-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Partial Response to JRC's Questions to the Staff During His 4/10/92 Mtg with the NRC Maint. R. G. Steering Group
Not In Binder
- nt* 59. 04-28-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Maintenance Reg. Guide, Industry Approval Process and Verification & Validation (V&V)
Not In Binder
- nt* 60. 04-30-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 04-22-92 NRC/NUMARC Public Mtg on the Development of Guidance Documents for the Implementation of the Maint. Rule (10 CFR 50.65) 9205070299
- X *nt* 61. 05-04-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Status of Developing Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
Not In Binder
- nt* 62. 05-05-92 Memo from J. Roe, NRR, to J. Snizek, EDO; W. Russell, NRR; J. Heltemes, RES; R. Baer, RES, SUBJ: A Comparison of Maintenance and License Renewal Rules
- nt* 63. 05-06-92 Memo from J. Curtiss, OCM to J. Taylor, EDO, SUBJ: Maintenance Inspection Guidance (COMSECY-92-010)
- nt* 64. 05-06-92 Note from D. Trimble to JRC, JRG, KAC, re: PRA-based option provided in staff's maint. RG for determining SSC risk-significance
Not In Binder

- no* 65. 05-06-92 Note from G. Mizuno, OGC, to T. Foley, NRR, SUBJ: Use of Risk Information in Selecting SSCs for Monitoring Under the Maintenance Rule, 10 CFR 50.65
- no* 66. 05-08-92 Progress Meeting on Reg. Guide to Support the Maintenance Rule - May 8, 1992
- no* 67. 05-18-92 Note from D. Trimble to JRC, KAC, Article in M&D Network, re: Teaming for Successful Condition Based Motor Maintenance
- no* 68. 05-22-92 Memo from S. Chilk, SECY, to Chairman, SUBJ: COMIS-92-007 - Maintenance Inspection Guidance
- no* 69. 05-26-92 Note from J. Blaha, EDO, to D. Trimble, etc., enclosed ltr. to NUMARC w/enclosures re: preliminary inspection guidance & enforcement guidance of Maintenance Rule
- no* 70. 06-05-92 Memo from R. Baer, RES, to Multiple Addressees SUBJ: Revised Draft Maintenance Regulatory Guide
- no* 71. ----- SSCs
- X* *no* 72. 06-05-92 Ltr. from J. Colvin, NUMARC, to J. Snizek, RE: Industry Guideline for Monitoring the Effectiveness of Maintenance at Nuclear Power Plants 4206160174
- no* 73. ----- Note from KAC to JRC, JRG, DCT re: Comments on NUMARC Maintenance Rule Regulatory Guidance
Not In Binder
- no* 74. 06-05-92 Notes for Cmr. Curtiss bfg. on NUMARC 93-01 Rev. 2, Guideline for Monitoring the Effectiveness of Maintenance
Not In Binder
- no* 75. ----- Comments on NUMARC Maintenance Rule Reg. Guidance
- no* 76. 06-09-92 Note from J. Blaha, EDO to D. Trimble, etc., SUBJ: NUMARC Implementation Guidance for the Maint. Rule
- no* 77. 06-11-92 Note from D. Trimble to JRC re: Draft Comments and Issues on NUMARC 93-01, Rev. 2 - June 5, 1992
- no* 78. 06-12-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Notes on 6/12/92 Mtg with Industry on Maint. RG.
Not In Binder

- X YES 79. 06-22-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 6/12/92 NRC/NUMARC Public Mtg on the Development of Guidance Documents for the Implementation of the Maint. Rule (10 CFR 50.65)
9206260306
- X YES 80. 06-25-92 SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
920702-0287
- NO 81. 06-26-92 Memo from G. Zech, NRR, to J. Roe, NRR, SUBJ: Inspection Procedure (IP) 62703, "Monthly Maintenance Observation"
- NO 82. 07-14-92 Memo from J. Curtiss, OCM, to S. Chilk, SECY, SUBJ: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65 (SECY-92-229)
- X YES 83. 07-17-92 Memo from S. Chilk, SECY, to J. Taylor, EDO, SUBJ: SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
9208060166
- X YES 84. 07-17-92 Ltr. from J. Snizek, EDO, to T. Tipton, NUMARC, re: NRC review of Draft Revision 2A of NUMARC 93-01, Industry Guideline for Monitoring the Effectiveness of Maint. at Nuclear Power Plants
920724 0216
- NO 85. 07-21-92 Memo from J. Blaha, EDO, to D. Trimble, OCM/JC, SUBJ: Request for Staff Response to Questions Re the Industry Approach to Implementation of the Maintenance Rule & NRC's Enforcement Options Under such an Approach
- X YES 86. 07-21-92 Note from J. Blaha, EDO, to D. Trimble, SUBJ: NUMARC Draft Maintenance Document NUMARC 93-01 rev 2A
Public Document
- YES 87. 07-27-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 06/18,19, 23,24,25,26, July 2, 6,8, 1992, NRC/NUMARC Public Meetings on the Development of an Industry Guideline Document for the Implementation of the Maint. Rule (10 CFR 50.65)
9208040278

MAINTENANCE INDEX - VOLUME VII

Box 255

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 88. 07-28-92 Memo from J. Boardman, AEOD, to P. O'Reilly, AEOD, SUBJ: Report of the Seventh EPRI Reliability Centered Maintenance (RCM) Users Group (ERUG) Meeting, and the Charter Meeting of the EPRI RCM Workstation Users Group during the Period of June 16-18, 1992
- Yes 89. 07-30-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Implementing Guidance for 10 CFR Part 50.65 (The Maintenance Rule) 9208240134
- Yes 90. 08-26-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 8/4/92 NRC/NUMARC Public Meeting on the Verification & Validation of the Industry Guideline for the Implementation of the Maintenance Rule (10 CFR 50.65) 9209020234
- Yes 91. 08-27-92 Memo from O. Rothberg, RES, to R. Baer, RES; F. Cherny, RES, SUBJ: Rpt of Mtg with NUMARC & Nuclear Utility Reps to Discuss NUMARC's Verification & Validation Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 9209240264
- X NO 92. 09-09-92 Memo from C. Heltemes, RES, to R. Fraley, ACRS, SUBJ: Transmittal of a Proposed Public Comment Package Regarding Implementation Guidance for the Maintenance Rule, 10 CFR 50.65
- NO 93. 09-22-92 Memo from E. Beckjord, RES, to E. Jordan, CRGR, SUBJ: Request for CRGR Review of Proposed Regulatory Guidance for the Maintenance Rule, 10 CFR 50.65
- Yes 94. 10-14-92 Ltr. from T. Tipton, NUMARC, to J. Snizek, EDO, re: Enclosure 1: Principal Lessons Learned to Date from th Maint. Rule Industry Guideline V&V Program & Encl. 2, Maint. V&V Program Plan and Cost Estimating Baseline Major Project Milestones Status - Sept. 1992 9211110132
- X NO 95. 11-92 Rpt on Maint. Rule Interrelationships with Rules Affecting SSCs, Rev. 24, prepared for O. Rothberg, NRC, by Science & Engineering Assocs., Inc.

- Yes 96. 11-92 Draft Regulatory Guide DG-1020 - Monitoring the Effectiveness of Maint. at Nuclear Power Plants
9304140296
- Yes 97. 11-20-92 Ltr from T. Tipton, NUMARC, to J. Snizek, EDO re: Encl. 1, Second Interim Lessons Learned Report - 10/92 from the Maintenance Rule Industry Guideline V&V Program, Encl. 2, Major Milestones by NUMARC V&V Ad Hoc Advisory Committee - 10/92 9301260306
- Yes 98. 11-24-92 Federal Register, Vol. 57, No. 227, NRC Draft Regulatory Guide; Issuance; Availability
- Yes 99. 11-25-92 Memo from O. Rothberg, RES, to R. Baer, RES, thru F. Cherny, RES, SUBJ: Rpt of Meeting with NUMARC and Nuclear Industry Representatives on 10/19-21, 1992 to discuss NUMARC's V&V Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 9212080241
- X NO 100. 11-25-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Verification and Validation of the Maintenance Rule (10 CFR 50.65) Implementing Guidance
- Y NO 101. 12-08-92 Ltr from J. Taylor, EDO, to P. Shewmon, ACRS, re: Mr. Ward's ltr dtd 10/15/92 on maintenance rule implementation guidance w/encl. memo from E. Beckjord to E. Jordan & S. Treby, dtd 11/10/92 re: NRC staff's resolution of issues identified by ACRS, CRGR, OGC re: Draft Regulatory Guidance to Implement the Maintenance Rule, 10 CFR 50.65
- Yes 102. 12-14-92 Memo from O. Rothberg, RES, to R. Baer, RES, thru F. Cherny, RES, SUBJ: Rpt of Meeting with NUMARC and Nuclear Industry Representatives on 11/16-18/92 to Discuss NUMARC's V&V Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 9301250288
- Yes 103. 12-17-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- December 3-4, 1992 NRC/NUMARC Public Meeting on the Status of the V&V of the Industry Guideline for the Implementation of the Maintenance Rule (10 CFR 50.65) 9301060275

MAINTENANCE INDEX - VOLUME XIIa

Box 256

TRANSCRIPTS ON RISK-BASED REGULATION

- Yes 1. 8/3/88 Annual Briefing by NUMARC on Plant Maintenance
9012240037
- Yes 2. 8/16/88 Briefing on Maintenance Workshop 8808230143
- Yes 3. 5/2/89 Briefing on Results of Maintenance Team
Inspections 8905260366
- Yes 4. 9/15/89 Briefing on Status of Maintenance Initiatives and
Schedules 8910050070
- Yes 5. 5/6/91 Briefing on Maintenance Rule 9105130247
- Yes 6. 1/29/93 Briefing on Implementing Guidance for the
Maintenance Rule and Industry Verification and
Validation Effort 9302110083

MAINTENANCE INDEX - VOLUME I

Box 253

SECY PAPERS

YES A. 04/12/85 SECY-85-129: Maintenance and Surveillance Program Plant 8509190696

YES B. 05/05/86 SECY-86-144: Performance Indicators (includes vote sheets & final SRM) NO NO

YES C. 10/8/86 SECY-86-316: Maintenance Policy Statement (includes vote sheets & final SRM dated 4/27/87 - ~~YES~~) NO Partially withheld 8709300171

NO D. 12/17/86 Memo from V. Stello, EDO to Com'r. Roberts re SECY-86-316 -- provides add't. info. on the proposed maintenance policy statement and the staff's Maintenance & Surveillance Program Plan

NO E. 08/13/87 SECY-87-207: Policy for Use of Performance Indicators (includes vote sheets & 12/2/87 SRM) NO NO

YES F. 02/25/88 Memo from S. Chilk, SECY to V. Stello, EDO & R. Fraley, ACRS -- SRM -- SECY-87-314: Interim Policy Statement on Maintenance of Nuclear Power plants & Requirements from Status Briefing on 1/7/88 8803020224

Yes G. 02/26/88 Enclosure O, "Items Addressed by the Commission" from the Weekly Information Report for the Week Ending 2/26/88 - Subj: SRM - SECY-87-314 ACN: 8803070316

Yes H. 04/15/88 SECY-88-103: Status of the Performance Indicator Program 8805130022

Yes I. 05/23/88 SECY-88-142: Staff Plan & Schedule for Proposed Rulemaking for the Maintenance of Nuclear Power Plants (includes 6/17/88 SRM) 8806030386

X NO J. 09/30/88 SECY-88-277: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes 11/10/88 SRM)

Yes K. 10/07/88 SECY-88-289: Preliminary Results of the Trial Program on Maintenance Performance Indicators 881028042

X NO L. 02/23/89 SECY-89-066: Status of Evaluation of Candidate Performance Indicator, "Safety System Function Trends"

X NO M. 04/28/89 SECY-89-143: Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants

YES N. 06/26/89 Memo from S. Chilk, SECY to V. Stello, EDO -
Subj: SECY-89-143/COMLZ-89-21 - Amendment to 10 CFR 50 Related to Maintenance of Nuclear Power Plants (includes Chairman Zech's vote on SECY-89-143)
ACN: 890627-DMC

X NO O. 12/05/89 Affirmation Sheet from S. Chilk, SECY - Subj: SECY-89-325 - Revised Policy Statement & Enforcement Criteria Related to the Maintenance of Nuclear Power Plants

YES P. 06/17/91 Vote Sheets on SECY-91-110 - Staff Evaluation & Recommendation on Maintenance Rulemaking (includes Chairman Carr, Commissioners Rogers, Curtiss, & Remick)
*9107090010 Carr 9107080322-cur, 135
YES 9107080709 9107090007-Rogers*

X NO Q. 06/19/91 Memo from J. Sniezek, Nuclear Reactor Regulation, Regional Operations & Research to E. Beckjord, RES - Subj: Maintenance Rulemaking

X NO R. 06/20/91 Note from S. Burns, OCM/KC to Commission Tech. Assts. - Subj: Federal Register Renoticing of Maintenance Rule

NO S. 06/17/91 Note to Chairman's Office from W. Parler, GC - RE: SECY-91-110

X NO T. 12/01/89 Note from J. Blaha, AO/OEDO to Commission Tech. Assts. - Subj: Maintenance Team Inspection (MIT) Schedule

YES U. 06/25/92 SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
ACN: 9207020287

X NO V. 07/09/92 Note from D. Trimble, OCM/JC to J. Blaha, AO/OEDO - Subj: Request for staff response to questions regarding the industry approach to implementation of the maintenance rule and NRC's enforcement options under such an approach

X NO W. 07/14/92 Memo from JRC to S. Chilk, SECY -- Subj: SECY-92-229 (Negative Consent Paper)

MAINTENANCE RULE - VOLUME II

Box 253

BACKGROUND

Yes A. 03/23/88 Federal Register Notice (Vol. 53, No. 56 pp. 9430-9431 -- 10 CFR Part 50, "Final Commission Policy Statement on Maintenance of Nuclear Power Plants
 Yes B. 05/25/88 Memo from S. Chilk, SECY to V. Stello, EDO -- SRM - Briefing on Status of Performance Indicators Program on 4/28/88 Public Document
 Yes C. 06/09/88 Memo from Com'r. Carr to Chairman & Commissioners - Subj: COMKC-88-03 - Options for Rulemaking to be Provided at NRC Maintenance Workshop, July 11-13, 1988 (includes vote sheets on COMKC-88-03, draft SRM, & final SRM dated 6/17/88 - Yes 8805110175 memo 8805110161
 Yes D. 06/27/88 Memo from V. Stello, EDO to Commissioners - Subj: Proposed Rulemaking for the Maintenance of Nuclear Power Plants 8807120557
 X NO E. 08/05/88 Ltr. from B. Lee, Jr. (NUMARC) to Chairman Zech re industry initiatives in plant maintenance
 NO F. 08/11/88 Memo from K. Carr to Commissioners - Subj: XYZYX Information Corporation Report, "Observations & Recommendations on the Proposed Rulemaking for the Maintenance of Nuclear Power Plants" (copy attached)
 Yes G. 08/24/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM - 8/16/88 Commission Briefing on Maintenance Workshop 8808260311
 Yes H. 09/13/88 Ltr. from W. Kerr, ACRS to Chairman Zech - Subj: Proposed Rulemaking Related to Maintenance of Nuclear Power Plants 8809220142
 X NO I. 10/13/88 Memo from V. Stello, EDO to Commissioners - Subj: Revision to SECY-88-277 - The Proposed Maintenance Rule to be Published for Public Comment
 Yes J. 10/27/88 Memo from S. Chilk, SECY to V. Stello, EDO - Subj: SRM on 10/14/88 Commission Briefing on Proposed Rule for Maintenance of Nuclear Power Plants 8811010329

Yes K. 11/³¹~~28~~/88 Proposed Rule - 53 FR 47822 -- 10 CFR Part 50
"Ensuring the Effectiveness of Maintenance
Programs for Nuclear Power Plants 8812050092

Yes L. 12/05/88 Ltr. from Chairman Zech to R. Campbell, Nuclear
Management & Resources Council 8901100396

Yes M. 04/11/89 Ltr. from F. Remick, ACRS to Chairman Zech - Subj:
Proposed Final Rulemaking Related To Maintenance
of Nuclear Power Plants 8904240487

Yes N. 04/26/89 Memo from E. Jordan, CRGR to V. Stello, EDO -
Subj: Minutes of CRGR Meeting Number 161
[discussion items: final maintenance rulemaking &
draft reg. guide] 8905170115

X NO O. 04/26/89 Memo from Chairman Zech to Commissioners
[COMLZ-89-21] - Subj: Final Rulemaking on
Maintenance of Nuclear Power Plants (~~includes vote
sheets by Roberts, Rogers & Carr~~)

Yes P. 05/09/89 Memo from S. Chilk, SECY to V. Stello, EDO - SRM
on 5/2/89 Commission Briefing on Results of
Maintenance Team Inspections 8905180432

NO Q. 05/10/89 Note from D. Trimble, OCM/JC to Commissioner
Curtiss - Subj: Final Rulemaking on Maintenance
(COMLZ-89-21)

Yes R. 05/11/89 Memo from S. Chilk, SECY to R. Fraley, ACRS -
Subj: SRM 5/3/89 Commission Briefing by ACRS
8905260158

Yes S. 06/14/89 "Memo For The Record" from S. Chilk, SECY - Subj:
5/31/89 Commission Briefing on Final Rule &
Regulatory Guide for Maintenance of Nuclear Power
Plants 8906160111

Yes T. 08/01/89 Draft Regulatory Guide DG-1001, "Maintenance
Programs for Nuclear Power Plants 8908310144

X NO U. 08/18/89 Memo from E. Beckjord, RES to E. Jordan, AEOD -
Subj: CRGR Review of Revised Policy Statement on
the Maintenance of Nuclear Power Plants

X NO V. 08/17/89 Memo from Chairman Carr to Commissioners -
[COMKC-89-12] Maintenance of Nuclear Power Plants

Yes W. 08/17/89 54 FR 158 pp.33988-33989, Regulatory Guide;
Issuance, Availability

X NO XYZ. 08/22/89 Memo from Com'r. Rogers to Commissioners - Subj:
 COMKC-89-012 - Maintenance of Nuclear Power Plants

YES AA. 09/29/89 Memo from S. Chilk, SECY to J. Taylor, EDO - SRM
 on 9/15/89 Com'n. Briefing on Status of
 Maintenance Initiatives & Schedule 89/0030238

X NO BB. 12/05/89 Memo from J. Taylor, EDO to Commissioners - Subj:
 Results of Requested Review of the Revised
 Inspection Program for Operating Reactors

YES CC. 12/21/89 NUMARC handout from 12/21/89 presentation to NRC
 staff on "Industry Action Plan for Continued
 Improvement in Maintenance of Nuclear Power Plants
 9001100086 MTG sum w/ Related Docs

X NO DD. 12/22/89 Memo from Com'r. Curtiss to Commissioners - Subj:
 Rulemaking Options -- Maintenance [COMJC-89-013]

X NO EE. 01/09/90 Memo from Chairman Carr to S. Chilk, SECY - Subj:
 Comments on COMJC-89-13

X NO FF. 01/25/90 Memo from J. Taylor, EDO to Com'r. Rogers - Subj:
 Inspection Program Review

YES GG. 02/15/90 Memo from J. Taylor, EDO to Com'r. Rogers - Subj:
 Response to Request for Information Regarding
 SECY-90-015, "Comparison of Maintenance Indicator
 with Maintenance Team Inspection" 903150265

YES HH. 02/16/90 Ltr. from J. Colvin, NUMARC to J. Taylor, EDO -
 encloses the "Industry Action Plan for Continued
 Improvement in Maintenance of Nuclear Power
 Plants" dated 1/25/90 903230021

NO II. 04/17/90 Ltr. from B. Lee, NUMARC to J. Taylor, EDO -
 provides copy of Maintenance Programs in the
Nuclear Power Industry INPO 90-008, March 1990

YES JJ. 05/23/90 Memo from S. Chilk, SECY to J. Taylor, EDO -
 COMJC-90-3 - Nuclear Power Plant Maintenance
 9007190324

X NO KK. 05/24/90 Memo from S. Chilk, SECY to Com'r. Curtiss -
 Nuclear Power Plant Maintenance

X NO LL. 10/22/90 Ltr. from B. Lee, NUMARC to J. Taylor, EDO -
 encloses Revision 1 of the "Industry Action Plan
 for Continued Improvement in Maintenance of
 Nuclear Power Plants" dated 9/30/90

~~YES~~ MM. 12/17/90 GAO/RCED-91-36 report to Rep. Gejdenson - Nuclear Regulation -- NRC's Efforts to Ensure Effective Plant Maintenance Are Incomplete Public Document

YES NN. 01/07/91 Memo from J. Taylor, EDO to Commissioners -- Preventive Maintenance ~~9104110231~~ 9104110231
Attachment: 12/27/90 Ltr. to K. Strahm, INPO from J. Snizek, Nuclear Reactor Regulation Regional Operations & Research 9104110231
128

X NO OO. 01/18/91 Memo from J. Taylor, EDO to Com'r. Curtiss -- SECY-90-283, Planned Maintenance Systems
Attachment: 12/28/90 Memo from S. Treby, GC to J. Lieberman, OE -- Subj: Request of Com'r. Curtiss on Section 206

YES PP. 01/18/91 Memo from J. Taylor, EDO to Commissioners -- Subj: Preventive Maintenance 9104110240
Attachment: 1/14/91 INPO Ltr. to J. Snizek 9104110235

X NO QQ. 02/13/91 Memo from Com'r. Curtiss to J. Taylor, EDO - Subj: Major Revision of Maintenance Inspections (CR-91-014)

X NO RR. 02/27/91 Memo from J. Taylor, EDO to Com'r. Curtiss - Subj: Response to Questions Regarding Major Revision of Maintenance Inspections (CR-91-014)

X NO SS. 03/15/91 Memo from J. Taylor, EDO to Commissioners - Subj: Industry Maintenance Commitment -- attaches NUMARC ltr. of 3/7/91 re their commitment to conduct a assessment of its maintenance program using INPO 90-008, "Maintenance Programs in the Nuclear Power Industry" as assessment basis

YES TT. 04/17/91 Ltr. from D. Ward, ACRS to Chairman Carr -- Subj: Staff Evaluation & Recommendations on Maintenance Rulemaking 9105240087

YES UU. 04/17/91 Memo from J. Taylor, EDO to Com'r. Remick -- Subj: Shutdown and Refueling Maintenance Operations 9104290092

X NO VV. 04/19/91 Memo from S. Burns, OCM/KC to R. Fraley, ACRS -- Subj: ACRS Letter on Staff Recommendations on Maintenance Rulemaking

X NO WW. 04/25/91 Memo from Com'r. Curtiss to Commissioners -- Subj: Results of Maintenance Team Inspections (re correlation of MTIs with other quantitative

indicators of maintenance effectiveness) --
attaches staff analyses

yes XX. 04/26/91 Ltr. from D. Trimble, OCM/JC to W. Kindley, INPO -
- transmits copy of staff analyses, "A Comparison
of Performance Indicators by MTI Plant Grade"

9105130288

X NO YY. 05/21/91 Ltr. from J. Taylor, EDO to D. Ward, ACRS -- Subj:
Staff Evaluation and Recommendations on
Maintenance Rulemaking

X NO ZZ. 05/30/91 Ltr. from B. Lee, NUMARC to J. Taylor, EDO --
encloses Revision 2 of the "Industry Action Plan
for Continued Improvement in Maintenance of
Nuclear Power Plants"

MAINTENANCE RULE - VOLUME III

Box 253

BACKGROUND CONTINUED

- X NO 1. 06/13/91 Note from J. Blaha, AO/OEDO to Tech Assts. -- provides copy of info. provided to Com'r. Curtiss on probabilistic risk
- X NO 2. 06/19/91 Memo from J. Sniezek to E. Beckjord, RES -- Subj: Maintenance Rulemaking - task force scheduled for completion of rulemaking package
- X NO 3. 06/20/91 Memo from J. Taylor, EDO to Commissioners -- Subj: Response to Staff Requirements Memorandum No. M910506 on the Need for Maintenance Rulemaking (with enclosures)
- YES 4. 06/27/91 Memo from J. Taylor to Commissioners -- Maintenance Rulemaking (provides revised maintenance rulemaking package) 9107230352
- yes 5. 06/28/91 Affirmation Sheet from S. Chilk, SECY -- Subj: SECY-91-110 - Staff Evaluation & Recommendation on Maintenance Rulemaking (with attachments) 9107090103?
- yes NO 6. 06/28/91 Memo from S. Chilk, SECY to J. Taylor, EDO -- SRM on Item III - SECY-91-110 Public Document Enclosures withheld
- X 7. 7/10/91 Final Maintenance Rule (published 7/10/91)
 YES "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" ~~9107230352~~
 YES - Background documents:
 NO • Regulatory Analysis 91112501769
 • Environmental Assessment

MAINTENANCE INDEX - VOLUME IV

Box 254

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 1. ----- Enclosure 4: Equipment Availability Breakout Session Summary
- X NO 2. ----- SSC's Which Might be Eliminated Using A Risk (PRA Based) Criterion for Determining How to Apply the Maintenance Rule
- X NO 3. ----- Draft Memo from J. Roe, NRR, to J. Sneizek, EDO; W. Russell, NRR; J. Heltemes, RES; R. Baer, RES, SUBJ: A Comparison of Maintenance and License Renewal Rules
- X NO 4. 07-19-91 Ltr. from NUMARC to Cmr. Curtiss, SUBJ: Maintenance Rule Questions for Commissioner Curtiss
- X NO 5. 08-09-91 Memo from A. Haikalas, OCM, to S. Meador, EDO, SUBJ: Revision to August 2, 1991 Memo: Monthly Meetings with Commission Curtiss on Status of Maintenance Rule Regulatory Guide Implementation
- yes 6. 08-13-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Notice of Meeting with NUMARC to Discuss Regulatory Guide on Monitoring of Maintenance in Nuclear Power Plants 9109120087
- yes 7. 08-16-91 Ltr. from B. Lee, NUMARC, to Chairman Selin from RE: industry's reaction and response to the NRC's adoption of a final maintenance rule 9109060199
- NO 8. 08-19-91 Note from Dave to JRC, JRG, KAC, SUBJ: Maintenance Rulemaking Task Force Meetings with Members of the Staff Assigned to Develop the Reg. Guide for Maintenance
Not In Binder
- X NO 9. 08-20-91 Memo from R. Baer, RES, to W. Minners, RES, SUBJ: Proposed Meetings with Maintenance Rule Task Force
- NO 10. 08-21-91 Note from DCT to JRC, JRG, KAC, SUBJ: Concerns Arising out of Initial Senior NRC Staff/Industry Meeting on Maintenance Rule R. G. Development (8/21/91)
Not In Binder

- NO 11. 08-30-91 Draft Memo from R. Baer to W. Minners SUBJ: 08/27/91 Meeting with Maintenance Rule Task Force w/enclosed Memo from S. Chilk, SECY to M. Malsch, OGC, SUBJ: SECY-91-253 - Review of ALAB-952 Affirming Dismissal of Intervenor from Operating License Amendment Proceeding dtd 09/03/91 *Not in Binder*
- NO 12. 09-05-91 Memo from D. Trimble, OCM, to O. Rothberg, RES, SUBJ: My comments on Your Draft Minutes of the August 27, 1991, Staff Meeting with the Maintenance Rule Task Force *Not in Binder*
- X NO 13. 09-06-91 Memo from J. Taylor, EDO, to Cmr. Curtiss, SUBJ: Enclosed Meeting Summary dtd 09-05-91 *yes* Enclosures are Public
- NO 14. 09-09-91 Memo from D. Trimble to File, SUBJ: Summary of Cmr. Curtiss's 9/9/91 Monthly Meeting with the Staff Responsible for Development of the RG Implementing the Maintenance Rule *Not in Binder*
- X NO 15. 09/10/91 Office of Research - Regulatory Guide to Support Maintenance Rule
- X NO 16. 09-16-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Meeting with Maintenance Rule Task Force
- X NO 17. 09-17-91 Memo from C. Heltemes, RES, to W. Russell, RES; T. Novak, AEOD, SUBJ: Development of Regulatory Guide to Support the Maintenance Rule (10CFR50.56)
- X NO 18. 09-24-91 Draft Senior Management Meeting 09/24/91 w/attached Draft Maintenance Rule Project Plan and Schedule Milestones dtd 9/18/91
- NO 19. 10-04-91 Note from R. Baer to Distribution, SUBJ: Tentative List of Ground Rules for Development of the Regulatory Guide for the Maintenance Rule
- NO 20. 10-11-91 Note from Kevin to Jim, SUBJ: Summary of Second Meeting of the Maintenance Rule Task Force and Key Issues Associated with Implementation of the Maintenance Rule
- NO 21. 10-15-91 From O. Rothberg, Progress on Regulatory Guide for Maintenance Rule Since Last Meeting
- X NO 22. 10-17-91 Memo from O. Rothberg, RES, to R. Baer, RES, SUBJ: Meeting with Maintenance Rule Task Force on 10/10/91

- X NO 23. 10-24-91 Note from G. Grant, Reg. Ops. Br. to D. Trimble, etc. SUBJ: Interaction with NUMARC Regarding Maintenance Rule Implementation Guidance
- YES 24. 10-29-91 Memo from R. Correia, NRR, to E. W. Brach, NRR, SUBJ: Meeting Summary -- September 24, 1991, NRC/NUMARC Public Meeting on the Preparation of Guidance for the Implementation of the Maintenance Rule (10 CFR 50.65)
Mtg Summary to E. William 9/11/060330
- NO 25. 11-01-91 Note from O. Rothberg, RES; T. Foley, NRR; P. O'Reilly, AEOD; C. Johnson, RES; to Distribution List, SUBJ: Regulatory Guide for Maintenance Rule
- X NO 26. 11-01-91 Note from J. Sniezek, EDO, from D. Trimble, Enclosed copy of Proposed Resolution of B-56 for CRGR Review dtd 10/30/91
- X NO 27. 11-14-91 Progress of Regulatory Guide for Maintenance Rule Meeting with Cmr. Curtiss
~~Regulatory Guide for Maintenance Rule~~
- X NO 28. 11-14-91 Notes from Foley, Baer, Rothberg, re: SSCs
- YES 29. 12-03-91 Memo from R. Correia, NRR, to E. W. Brach, NRR SUBJ: Notice of Public Meeting with NUMARC to Discuss Regulatory Guide on Monitoring of Maintenance in Nuclear Power Plants, Dec. 18, 1991, 2:00 to 3:00 p.m. 9/12/20115
- YES 30. 12-05-91 Memo from R. Correia, NRC Coord. for NRC/NUMARC, to E. W. Brach, NRR, SUBJ: Meeting Summary -- November 19, 1991 NRC/NUMARC Public Meeting on the Development of Guidance Documents for the Implementation of the Maintenance Rule (10 CFR 50.65) 9/12/260021
- NO 31. 12-09-91 Note from Dave to JRC, JRG, KAC, re: Background for 12/10/91 Meeting with Staff on Maintenance Regulatory Guide W/attached Redraft of Regulatory Guide
- NO 32. 12-16-91 Note from O. Rothberg to Maintenance Regulatory Guide Steering Group-J. Sniezek, W. Russell, C. Heltemes, R. Baer, SUBJ: Draft Regulatory Guide for Maintenance Rule
- YES 33. 12-31-91 Memo from R. Correia, NRC Coord. for NRC/NUMARC, NRR, to E. W. Brach, NRR, SUBJ: Meeting Summary -- December 18, 1991 NRC/NUMARC Public Meeting on the

Development of Guidance Documents for the
Implementation of the Maintenance Rule (10 CFR
50.65) 9201140318

- YES 34. 01-02-92 Memo from R. Correia, NRC Coord. for NRC/NUMARC,
NRR, to E. W. Brach, NRR, SUBJ: Notice of Public
Meeting with NUMARC to Discuss Regulatory Guide on
Monitoring of Maintenance in Nuclear Power Plants
on 02-13-92, 1:00 to 3:00 p.m. 9201220217
- X NO 35. 01-10-92 Note from G. Grant, EDO, to D. Rathbun, OCM/IS; J.
Scarborough, OCM/KR; J. Gray, OCM/JC; J. Guttman,
OCM/FR; P. Gwynn, OCM/GdeP, SUBJ: Maintenance Rule
Regulatory Guide
- YES 36. 01-15-92 Memo from O. Rothberg, NRR, to R. Baer, NRR, SUBJ:
Trip Report of Visit to Susquehanna Nuclear Plant
to Discuss Their Approach to Monitoring the
Effectiveness of Maintenance Activities
9201220202
- X NO 37. 01-29-92 Memo from R. Baer, NRR, to R. Correia, NRR, SUBJ:
Comments on Draft Terms and Definitions from
NUMARC Regarding Regulatory Guide for the
Maintenance Rule

MAINTENANCE INDEX - VOLUME V

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 38. 02/92 Sand91-7093, Limited Release, Contractor Report --
A Review of Efforts to Determine the Effect of
Age-Related Degradation on Risk
- NO 39. 02-12-92 From O. Rothberg, RES, Progress Meeting on Staff's
Draft Regulatory Guide to Support the Maintenance
Rule
- NO 40. 02-26-92 Note from Dave to Jim re: Summary of 2/26/92
Maint. RG NRC/Industry Steering Group Mtg
w/attached NUMARC Proposal -- Draft Key Points
Used in Developing a Process to Meet the
Maintenance Rule, 10 CFR 50.65; 03/6/92 Memo from
R. Correia, NRR, to G. Zech, NRR; SUBJ: Meeting
Summary -- 02/26/92 NRC/NUMARC Public Mtg
except as noted *9203120207*
Not In Binder
- NO 41. 03-06-92 Note from DCT, KAC, to JRC, SUBJ: Thoughts on the
Industry's Proposed Approach for Compliance with
the Maintenance Rule *Not In Binder*
- X NO 42. 03-11-92 Memo from J. Boardman, AEOD, to P. O'Reilly, AEOD,
SUBJ: Trip Report of Training in Reliability
Centered Maintenance (RCM) at the EPRI NDE Center
in Charlotte, NC, March 3-6
- X NO 43. 03-23-92 From O. Rothberg, RES, RE: Progress Meeting on
Regulatory Guide to Support The Maintenance Rule
- YES NO 44. 03-20-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ:
Meeting Summary -- 3/17/92, NRC/NUMARC Working
Group Public Mtg on the Development of Guidance
Documents for the Implementation of the
Maintenance Rule (10CFR 50.65)
9203270237
- X NO 45. 03-24-92 Ltr from B. Christie, RAPA, to M. Taylor, EDO, RE:
draft paper describing System Reliability Program
at River Bend Nuclear Station w/attachments
- ~~YES~~ NO 46. 03-24-92 From O. Rothberg, RES; T. Foley, NRR; P. O'Reilly,
AEOD; C. Johnson, RES, Draft-for-Comment
Regulatory Guide--Monitoring the Effectiveness of
Maintenance at Nuclear Power Plants *Public Document*

- NO 47. ----- Initial Reaction to the NUMARC Maintenance Guidance
- X NO 48. 03-31-92 Ltr from B. Lee, NUMARC, to J. Snizek, EDO, RE: Draft Revision I of NUMARC 93-01, Industry Guideline for Monitoring the Effectiveness of Maintenance at Nuclear Power Plants
- NO 49. 04/92 From PDLR re: License Renewal Rule - Maintenance Rule
- NO 50. 04-08-92 Note from D. Trimble to J. Curtiss re Review of the License Renewal & Maintenance rules
not In Binder
- NO 51. 04-09-92 Note from D. Trimble to JRC, JRG, KAC, w/attached ltr dtd 03-16-92 from RAPA re: System Reliability Program used at River Bend
not In Binder
- NO 52. 04-14-92 Note from D. Trimble to JRC, JRG, KAC, w/attached detailed Comparison of NUMARC Guidance Document with NRC Staff's Draft Reg. Guide on Monitoring the Effectiveness of Maintenance
not In Binder
- X NO 53. 04-15-92 Memo from J. Taylor to Commissioners, SUBJ: Maintenance Inspection Guidance
- YES NO 54. 04-17-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Telephone Conf. Summary: 04-14-92 NRC/NUMARC Telephone Conf. Call to Discuss the NUMARC Guidance Document, dtd 3/30/92, for the Implementation of the Maint. Rule (10 CFR 50.65)
9204290207

MAINTENANCE INDEX - VOLUME VI

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X *nt* 55. 04-20-92 Ltr from R. Correia, NRR, to W. Smith, NUMARC, re: NRC staff provided preliminary comments on NUMARC guidance document dtd 3/30/92
- nt* 56. 04-21-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Telephone Conf. Summary: 04-17-92 NRC/NUMARC Telephone Conf. Call to Discuss the NRC Draft Regulatory Guide, dtd 03-24-92, for the Implementation of the Maintenance Rule (10 CFR 50.65) 9204290231
- nt* 57. 04-21-92 Memo from E. Beckjord, RES, to T. Murley, NRR, SUBJ: Transmittal of Research Information Letter 170: Risk Evaluations of Aging 9205040210
92050210176
- nt* 58. 04-27-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Partial Response to JRC's Questions to the Staff During His 4/10/92 Mtg with the NRC Maint. R. G. Steering Group
Not In Binder
- nt* 59. 04-28-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Maintenance Reg. Guide, Industry Approval Process and Verification & Validation (V&V)
Not In Binder
- nt* 60. 04-30-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 04-22-92 NRC/NUMARC Public Mtg on the Development of Guidance Documents for the Implementation of the Maint. Rule (10 CFR 50.65) 9205070299
- X *nt* 61. 05-04-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Status of Developing Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
Not In Binder
- nt* 62. 05-05-92 Memo from J. Roe, NRR, to J. Snizek, EDO; W. Russell, NRR; J. Heltemes, RES; R. Baer, RES, SUBJ: A Comparison of Maintenance and License Renewal Rules
- nt* 63. 05-06-92 Memo from J. Curtiss, OCM to J. Taylor, EDO, SUBJ: Maintenance Inspection Guidance (COMSECY-92-010)
- nt* 64. 05-06-92 Note from D. Trimble to JRC, JRG, KAC, re: PRA-based option provided in staff's maint. RG for determining SSC risk-significance
Not In Binder

- no* 65. 05-06-92 Note from G. Mizuno, OGC, to T. Foley, NRR, SUBJ:
 Use of Risk Information in Selecting SSCs for
 Monitoring Under the Maintenance Rule, 10 CFR
 50.65
- no* 66. 05-08-92 Progress Meeting on Reg. Guide to Support the
 Maintenance Rule - May 8, 1992
- no* 67. 05-18-92 Note from D. Trimble to JRC, KAC, Article in M&D
 Network, re: Teaming for Successful Condition
 Based Motor Maintenance
- no* 68. 05-22-92 Memo from S. Chilk, SECY, to Chairman, SUBJ:
 COMIS-92-007 - Maintenance Inspection Guidance
- no* 69. 05-26-92 Note from J. Blaha, EDO, to D. Trimble, etc.,
 enclosed ltr. to NUMARC w/enclosures re:
 preliminary inspection guidance & enforcement
 guidance of Maintenance Rule
- no* 70. 06-05-92 Memo from R. Baer, RES, to Multiple Addressees
 SUBJ: Revised Draft Maintenance Regulatory Guide
- no* 71. ----- SSCs
- X *no* 72. 06-05-92 Ltr. from J. Colvin, NUMARC, to J. Sniezek, RE:
 Industry Guideline for Monitoring the
 Effectiveness of Maintenance at Nuclear Power
 Plants 4206160174
- no* 73. ----- Note from KAC to JRC, JRG, DCT re: Comments on
 NUMARC Maintenance Rule Regulatory Guidance
 Not In Binder
- no* 74. 06-05-92 Notes for Cmr. Curtiss bfg. on NUMARC 93-01 Rev.
 2, Guideline for Monitoring the Effectiveness of
 Maintenance
 Not In Binder
- no* 75. ----- Comments on NUMARC Maintenance Rule Reg. Guidance
- no* 76. 06-09-92 Note from J. Blaha, EDO to D. Trimble, etc., SUBJ:
 NUMARC Implementation Guidance for the Maint. Rule
- no* 77. 06-11-92 Note from D. Trimble to JRC re: Draft Comments and
 Issues on NUMARC 93-01, Rev. 2 - June 5, 1992
- no* 78. 06-12-92 Note from D. Trimble to JRC, JRG, KAC, SUBJ: Notes
 on 6/12/92 Mtg with Industry on Maint. RG.
 Not In Binder

- X 40579. 06-22-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 6/12/92 NRC/NUMARC Public Mtg on the Development of Guidance Documents for the Implementation of the Maint. Rule (10 CFR 50.65)
9206260306
- X 40580. 06-25-92 SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
920702-0287
- NO 81. 06-26-92 Memo from G. Zech, NRR, to J. Roe, NRR, SUBJ: Inspection Procedure (IP) 62703, "Monthly Maintenance Observation"
- NO 82. 07-14-92 Memo from J. Curtiss, OCM, to S. Chilk, SECY, SUBJ: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65 (SECY-92-229)
- X 40583. 07-17-92 Memo from S. Chilk, SECY, to J. Taylor, EDO, SUBJ: SECY-92-229: Implementing Guidance for the Maintenance Rule, 10 CFR 50.65
9208060166
- X 40584. 07-17-92 Ltr. from J. Snizek, EDO, to T. Tipton, NUMARC, re: NRC review of Draft Revision 2A of NUMARC 93-01, Industry Guideline for Monitoring the Effectiveness of Maint. at Nuclear Power Plants
920724 0216
- NO 85. 07-21-92 Memo from J. Blaha, EDO, to D. Trimble, OCM/JC, SUBJ: Request for Staff Response to Questions Re the Industry Approach to Implementation of the Maintenance Rule & NRC's Enforcement Options Under such an Approach
- X ~~Yes~~ 86. 07-21-92 Note from J. Blaha, EDO, to D. Trimble, SUBJ: NUMARC Draft Maintenance Document NUMARC 93-01 Rev 2A
Public Document
- Yes 87. 07-27-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 06/18,19, 23,24,25,26, July 2, 6,8, 1992, NRC/NUMARC Public Meetings on the Development of an Industry Guideline Document for the Implementation of the Maint. Rule (10 CFR 50.65)
9208040278

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Box 255

REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X NO 88. 07-28-92 Memo from J. Boardman, AEOD, to P. O'Reilly, AEOD, SUBJ: Report of the Seventh EPRI Reliability Centered Maintenance (RCM) Users Group (ERUG) Meeting, and the Charter Meeting of the EPRI RCM Workstation Users Group during the Period of June 16-18, 1992
- Yes 89. 07-30-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Implementing Guidance for 10 CFR Part 50.65 (The Maintenance Rule) 9208240134
- Yes 90. 08-26-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- 8/4/92 NRC/NUMARC Public Meeting on the Verification & Validation of the Industry Guideline for the Implementation of the Maintenance Rule (10 CFR 50.65) 9209010234
- Yes 91. 08-27-92 Memo from O. Rothberg, RES, to R. Baer, RES; F. Cherny, RES, SUBJ: Rpt of Mtg with NUMARC & Nuclear Utility Reps to Discuss NUMARC's Verification & Validation Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 9209240264
- X NO 92. 09-09-92 Memo from C. Heltemes, RES, to R. Fraley, ACRS, SUBJ: Transmittal of a Proposed Public Comment Package Regarding Implementation Guidance for the Maintenance Rule, 10 CFR 50.65
- NO 93. 09-22-92 Memo from E. Beckjord, RES, to E. Jordan, CRGR, SUBJ: Request for CRGR Review of Proposed Regulatory Guidance for the Maintenance Rule, 10 CFR 50.65
- Yes 94. 10-14-92 Ltr. from T. Tipton, NUMARC, to J. Snizek, EDO, re: Enclosure 1: Principal Lessons Learned to Date from th Maint. Rule Industry Guideline V&V Program & Encl. 2, Maint. V&V Program Plan and Cost Estimating Baseline Major Project Milestones Status - Sept. 1992 9211110132
- X NO 95. 11-92 Rpt on Maint. Rule Interrelationships with Rules Affecting SSCs, Rev. 24, prepared for O. Rothberg, NRC, by Science & Engineering Assocs., Inc.

- Yes 96. 11-92 Draft Regulatory Guide DG-1020 - Monitoring the Effectiveness of Maint. at Nuclear Power Plants
9304140296
- Yes 97. 11-20-92 Ltr from T. Tipton, NUMARC, to J. Sniezek, EDO re: Encl. 1, Second Interim Lessons Learned Report - 10/92 from the Maintenance Rule Industry Guideline V&V Program, Encl. 2, Major Milestones by NUMARC V&V Ad Hoc Advisory Committee - 10/92 9301260306
- Yes 98. 11-24-92 Federal Register, Vol. 57, No. 227, NRC Draft Regulatory Guide; Issuance; Availability
- Yes 99. 11-25-92 Memo from O. Rothberg, RES, to R. Baer, RES, thru F. Cherny, RES, SUBJ: Rpt of Meeting with NUMARC and Nuclear Industry Representatives on 10/19-21, 1992 to discuss NUMARC's V&V Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 92/2080241
- X No 100. 11-25-92 Memo from J. Taylor, EDO, to Commissioners, SUBJ: Verification and Validation of the Maintenance Rule (10 CFR 50.65) Implementing Guidance
- X No 101. 12-08-92 Ltr from J. Taylor, EDO, to P. Shewmon, ACRS, re: Mr. Ward's ltr dtd 10/15/92 on maintenance rule implementation guidance w/encl. memo from E. Beckjord to E. Jordan & S. Treby, dtd 11/10/92 re: NRC staff's resolution of issues identified by ACRS, CRGR, OGC re: Draft Regulatory Guidance to Implement the Maintenance Rule, 10 CFR 50.65
- Yes 102. 12-14-92 Memo from O. Rothberg, RES, to R. Baer, RES, thru F. Cherny, RES, SUBJ: Rpt of Meeting with NUMARC and Nuclear Industry Representatives on 11/16-18/92 to Discuss NUMARC's V&V Program for the Industry Implementation Guidance for the Maintenance Rule (10 CFR 50.65) 9301250288
- Yes 103. 12-17-92 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: Meeting Summary -- December 3-4, 1992 NRC/NUMARC Public Meeting on the Status of the V&V of the Industry Guideline for the Implementation of the Maintenance Rule (10 CFR 50.65) 9301060275

Box 4

Curtiss Papers
"Risk-Based Regulation"

LIST

- X 6/2/92 TO: David Trimble FM: Herschel Specter
Copy of paper Specter/N.Y. Power Authority will present
on 6/10/92 at ANS Mtg
- X 11/4/87 TO: Floyd Culler FM: George Sauter, EPRI, re:
Revised Regulation of Nuclear Power Plants
- X 5/13/92 TO: Chrm. Selin FM: John Brons, NY Power Authority,
re: Shoreham decommissioning order.
- X 3/26/92 TO: J. Taylor, EDO, FM: S. Chilk, NRC; re: SRM for mtg
M920310B, Brief on Risk-based Regulations Transition
Strategy
- X 4/28/93 CC:TO: D. Trimble, Curtiss' ofc., TO: Thomas Tipton
NUMARC, FM: J. Sniezek, OEDO re: Revisions to NUMARC
93-01
- X 3/31/93 TO: J. Curtiss FM: J. Blaha, OEDO re: Statement of
Work for PLG's Portion of the EPRI Program
- X 3/30/93 MEMO TO: Commissioners FM: Taylor, EDO re: NUMARC ltrs
dated 3/24/93 (comment on 3rd Progress Report of RRG
- X 4/29/93 MEMO TO: Commissioners FM: Taylor, EDO re: Regulatory
Review Group
- X 2/21/92 Not to: D. Trimble, OCM/JC FM: J. Blaha, OEDO re:
Enclosing a ltr from J. Brons, NY Power Authority
- X 3/10/92 "A Congressman's Perspective on Sequoyah Fuels, by Rep.
Mike Synar of OK)
- X 3/2/92 Ltr to JC Hoyle, Asst Sec, NRC FM: A Marion NUMARC re:
Material fm H Specter, NYPA "Risk Based Reg Transition
Strat, for 3/10/92 presentation
- X 2/22/93 MEMO TO: Commissioners FM: J. Taylor, EDO re: Risk-
Based Regs
- X 12/26/91 Ltr to G. Rambling, OCM/JC FM: H. Specter, NYPA re:
Attached material for presentation on 1/7/92.
- X 1
2/13/92 Ltr to J. Curtiss FM: H. Specter, NYPA re: NYPA draft
rpt, Risk-Based Regs.

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REGULATORY GUIDE/VERIFICATION & VALIDATION PROGRAM

- X** No 104. 01-04-92⁹³ Note to J. Blaha, EDO, to Cmr. Assts. SUBJ: Maintenance Inspection Guidance Procedure
- Yes** 105. 01-25-93 Ltr from T. Ortziger, State of IL, to Regulatory Publications Branch, SUBJ: NRC Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" 9301220165
- Yes** 106. 01-14-93 Ltr from D. Edwards, ^{Yankee Atomic Electric Co.} Yankee Engineering Services, to Division of Freedom of Information and Publication Services, SUBJ: Response to NRC Request for Public Comments on the Draft Guidance contained in NUMARC 93-01 and specific questions thereon (57FR55286) 9301220097
- Yes** 107. 01-14-93 Ltr from N. Liparulo, Westinghouse Electric Corp., to Regulatory Publication Branch, ADM, SUBJ: Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" 57 FR 55286 (11/24/92), Req for Public Comment 9301220063
- Yes** 108. 01-15-93 Ltr from J. McGaha, Entergy, to Regulatory Publications Branch, SUBJ: Entergy Operations Comment on Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" Ref: 57 Federal Reg. 55286, dtd Nov. 24, 1992 9305120103
- Yes** 109. 01-15-93 Ltr from J. Opeka, Northeast Utilities, to Division of Freedom of Information and Publication Services, ADM, Response to NRC Request for Public Comments on the Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" 9301270060
- Yes** 110. 01-19-93 Ltr from M. Medford, TVA, to S. Chilk, SECY, re: NRC Request for Comment on Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" 9301270066
- Yes** 111. 01-15-93 Ltr from W. Conway, Arizona Public Service Co., to Regulatory Publications Branch, SUBJ: Palo Verde Nuclear Generating Station, Units 1, 2, and 3, 9301280026

Doc. No.s STN 50-528-/529/530, Comments on Maintenance Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants," 57 Fed. Reg. 55286 (Nov. 24, 1992)

Yes 112. 01-19-93 Ltr from T. Tipton, NUMARC, to Regulatory Publications Branch, ADM, SUBJ: Draft Regulatory Guide DG-1020, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" 57 Fed. Reg. 55286 (Nov. 24, 1992) Request for Comments

9301250110
Yes 113. 01-19-93 Ltr from D. Shelton, Centerior Energy, to Regulatory Publications Branch, ADM, SUBJ: Comments on Draft Regulatory Guide DG-1020, Monitoring the Effectiveness of Maintenance at Nuclear Power Plants 9301280035

Yes 114. 01-22-93 Memo from L. Shao, RES, to W. Minners, RES, SUBJ: Transmittal of a Proposed Public Comment Package Regarding Implementation Guidance for the Maintenance Rule, 10 CFR 50.65 9302030066

X NO 115. 01-27-93 Note from J. Blaha, EDO, to Comm. Assts., SUBJ: Document Request - enclosed ltr from NUMARC dtd 01-19-93

Yes 116. 02-17-93 Memo from S. Chilk, SECY, to J. Taylor, EDO, SUBJ: SR - Briefing on Implementing Guidance for the Maintenance Rule and Industry Verification and Validation Effort, 10:00 a.m., Friday, January 29, 1993, Commissioners, Conf. Rm, OWFN, Rockville, MD

9302240106
X NO 117. 02-25-93 Memo from J. Taylor, EDO, to Commissioners, SUBJ: SRM RE: the January 29, 1993, Commission Briefing on Implementing Guidance for the Maintenance Rule and Industry V&V Effort

Yes 118. 04-21-93 Memo from E. Jordan, Chrm, CRGR, to J. Taylor, EDO, SUBJ: Minutes of CRGR Meeting Number 239

9307140089
Yes 119. 05-19-93 Memo from R. Correia, NRR, to G. Zech, NRR, SUBJ: NUMARC Maintenance Rule Guideline, 93-01 9308180091

Yes 120. 05-19-93 Ltr from J. Taylor, EDO to P. Shewmon, ACRS, RE: ACRS ltr dtd 04/26/93 with comments re NRC Staff's Regulatory Guide and enclosed final RG 1.160 and NUMARC 93-01 9308190096

- yes 121. 06/93 Regulatory Guide 1.160 (Draft was DG-1020) -
Monitoring the Effectiveness of Maintenance at
Nuclear Power Plants 9306250035
- NO 122. 06-03-93 Memo from J. Curtiss, OCM, to W. Parler, OGC,
SUBJ: Maintenance Regulatory Guide
- yes 123. 06-18-93 Federal Register, Vol. 58, No. 116, Regulatory
Guide; Issuance, Availability
- X NO 124. 06-22-93 Memo from J. Curtiss, OCM, to J. Taylor, EDO,
SUBJ: Maintenance Regulatory Guide
- NO 125. 06-22-93 Note from E. Jordan, AEOD, to J. Curtiss, OCM, RE:
followup of 06/21/93 discussion, with attached
memos, and 2 enclosures

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MISCELLANEOUS MEMOS FROM DCT

No 126. ----- Various undated Memos from DCT to JRC

IMPACT OF MAINTENANCE ON PLANT RISK

No 127. 11/15/91 Note from B. Russell, NRR, to J. Blaha, EDO, SUBJ:
Request for Information from Cmr. Curtiss' Office
re: Impact of Maintenance on Plant Risk with
assembled documents attached per request Not In Binder

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RISK-BASED REGULATION

No 1. 09/89 EPRI NP-6152, Interim Report on Demonstration of Reliability-Centered Maintenance Volume 2: First Annual Progress Report From San Onofre Nuclear Generating Station

Yes 2. 03-26-92 Memo from S. Chilk, SECY, to J. Taylor, EDO, SUBJ: SRM - Briefing on Risk-Based Regulations Transition Strategy 9203300065

No 3. 4-13-92 Note from Dave to Jim, re: Scheduled to meet w/Bill Vesely on 4/23/92 re his work on application in maintenance rule implementation
Not in Binder

X No 4. 04-16-92 Memo from W. Vesely, to Cmr. Curtiss, SUBJ: Enclosed Presentation Package for April 23 Presentation on Risk-Based Regulations and Risk-Based Aging Management

X No 5. 04-29-92 Presentation by: E. Lofgren, SAIC to Cmr. Curtiss, SUBJ: A Process for Risk-Focused Maintenance "Perspective"

X No 6. 10-23-92 Ltr from J. Newman, Cmr. Curtiss re an ANS Executive Conference on "Risk-Based Regulation and the Future of Nuclear Power"

X No 7. 05-15-92 Ltr from W. Vesely, to Cmr. Curtiss, SUBJ: Implementation of Risk-Based, Deterministic Approaches at Laguna Verde

X No 8. 5-12-92 Ltr from W. Vesely, to Cmr. Curtiss, SUBJ: Risk Based Regulations: Contract Issues and Implementation Issues

X No 9. 10-2-92 Ltr from W. Vesely, to Cmr. Curtiss, SUBJ: Second Paper for the International Conference on Nuclear Engineering (ICONE)

X No 10. ⁹
~~10~~-24-92 Ltr from W. Vesely, to Cmr. Curtiss, SUBJ: Paper on Risk-Based Regulation

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RISK-BASED REGULATION

- No* 11. 6-1-92 Note from Dave to Cmr. Curtiss, SUBJ: Draft COMIS
Not In Binder to initiate NRC action on Risk-Based Regulation
- No* 12. 5-18-92 Note from Dave to Cmr. Curtiss, regarding Vic
Not In Binder Benaroya from AEOD concerns and recommendations on
the subject of Risk-Based Regulation
- Yes* ~~X~~ 13. 5-8-92 Note from T. King, RES, to distribution, SUBJ:
Meeting with Hershel Specter (NY Power Authority)
to discuss proposal for risk-based regulation
transition strategy Public Document
- ~~X~~ *No* 14. 6-2-92 Fax from H. Specter, to Dave re a presentation on
6/10/92 at the ANS meeting in Boston
- Yes* ~~X~~ 15. 6-26-92 Note from J. Heltemes, to Dave regarding attached
letter on Performance-Based Regulations Public Document
- ~~X~~ *No* 16. 6-8-92 Draft Secy Paper on Plan to Study the Feasibility
and Practicality of Risk-Based Regulation
- ~~X~~ *No* 17. 8-19-92 Memo from Taylor, to Commission, SUBJ: Risk-Based
Inspection Guide (RIG)
- ~~X~~ *No* 18. 9-24-92 Ltr from W. Vesely, to Cmr. Curtiss, SUBJ: Paper
Risk-Based Regulation
- Yes* 19. 10-22-92 Memo from M. Case, to M. Slosson, SUBJ: Summary
of Meeting with NUMARC on Risk Based Regulation
9211110050
- ~~X~~ *No* 20. 10-16-92 Memo from W. Minners, to R. Fraley, SUBJ: Draft
Commission Paper on Risk-Based Regulation
- Yes* 21. 10-22-92 Note from M. Case, to M. Slosson, SUBJ: Summary
of Meeting with NUMARC on Risk Based Regulation
9211110050
- Yes* 22. 11-16-92 Ltr from P. Shewmon, to Chairman Selin, SUBJ:
Risk-Based Regulation 9212010244
- ~~X~~ *No* 23. 12-15-92 Ltr from W. Vesely, to V. Benaroya, Enclosed
presentation packages and IAEA report
- ~~X~~ *No* 24. 2-22-93 Memo from Taylor, to Commission, SUBJ: Risk-Based
Regulation

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RISK-BASED REGULATION

- 1/a, 25. 3-29-90 SAIC-90-1033 -- Feasibility Assessment of a Risk-Based approach to Technical Specifications by B. Atefi, D. Gallagher, and G. DeMoss 9004190137
- X No ~~25~~ 26. 3-25-92 Fax from E. Lofgren, to Dave, Slides on Risk-Based Regulation
- X No 27. 3-25-92 Report by W. Vesely, SAIC and G. Weidenhamer, NRC on Risk Evaluations of Aging

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NUREGs ON RISK-BASED REGULATION

- Yes 1. 8/85 NUREG/CR-4377 (BMI-2129) -- Evaluations and Utilizations of Risk Importance by W. Vesely and T. Davis 8509/00355
- Yes 2. 6/86 NUREG-1212 (Volume 1) -- Status of Maintenance in the U.S. Nuclear Power Industry 1985 (Finding and Conclusions) 8607280194
- Yes 3. 5/87 NUREG/CR-4810 (BNL-NUREG-52022) -- Evaluation of Diesel Unavailability and Risk Effective Surveillance Test Intervals by W. Vesely, G. DeMoss, E. Lofgren, T. Ginzburg, P. Samanta, and J. Boccio 8708260121
- Yes 4. 11/88 NUREG/CP-0099 (PNL-SA-16308) -- NRC Rulemaking on Maintenance of Nuclear Power Plants (Held at Mayflower Hotel Washington, DC July 11-13, 1988) 8812020308
- Yes 5. 8/89 NUREG/CR-5425 (BNL-NUREG-52213) -- Evaluation of Allowed Outage Times (AOTs) From a Risk and Reliability Standpoint by W. Vesely 8909180260
- Yes 6. 10/89 NUREG/CR-5323 (BNL-NUREG-52186) -- Validation of Risk-Based Performance Indicators: Safety System Function Trends 8911090119
- Yes 7. 4/90 NUREG-1333 -- Maintenance Approaches and Practices in Selected Foreign Nuclear Power Programs and Other U.S. Industries: Review and Lessons Learned (Final Report) 9005070010

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Box 252

NUREGs ON RISK-BASED REGULATION

Yes 8.	6/90	NUREG/CR-5510 (SAIC-89/1744) -- Evaluations of Core Melt Frequency Effects Due to Component Aging and Maintenance 9007120/91
Yes 9.	1/91	NUREG/CR-5666 -- Programmatic Root Cause Analysis of Maintenance Personnel Performance Problems 9102110/95
Yes 10.	2/91	NUREG/CR-5665 (SI-14940000-1) -- A Systematic Approach to Repetitive Failures 9103110/98
Yes 11.	3/91	NUREG/CR-5612 (BNL-NUREG-52252) -- Degradation Modeling with Application to Aging and Maintenance Effectiveness Evaluations 9104220/30
Yes 12.	3/91	NUREG/CR-5695 -- A Process for Risk-Focused Maintenance 9104080/273
Yes 13.	8/91	NUREG/CR-5641 (BNL-NUREG-52261) -- Study of Operational Risk-Based Configuration Control 9108290/243
Yes 14.	2/92	NUREG/CR-5775 BNL-NUREG-52296) -- Quantitative Evaluation of Surveillance Test Intervals Including Test-Caused Risks 9203250/305

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Decommissioning of Shoreham, Rancho Seco, and Fort St. Vrain

- X No 1. Ltr to Admiral Carr from Admiral Watkins (7/27/89)
- Yes 1a. SECY-89-247: (Notation Vote) Shoreham Status & Developments (8/14/89) 8908160237
- Yes 1b. Ltr to Admiral Carr from W. Henson Moore, DOE (8/15/89) maybe 8908220372
- Yes 1c. Ltr to Hon. James D. Watkins, DOE, from Chairman Carr (9/15/89) maybe 8909220154
- Yes 2. SECY-90-084: (Negative Consent) Shoreham Nuclear Power Station - Status & Developments - Proposed Confirmatory Order on Shutdown (3/12/90) 9003190006
- Yes 3. SECY-90-131: (Negative Consent) Status & Developments Concerning the Fort St. Vrain Nuclear Generating Station - Proposed Confirmatory Order on Shutdown (4/6/90) 9004170214
- Yes 4. SECY-90-132: (Negative Consent) Rancho Seco Nuclear Generating Stations - Status and Developments - Proposed Confirmatory Order on Shutdown (4/6/90) 9004170212
- Yes 5. SECY-90-259: Status of the Shoreham Nuclear Power Station (7/20/90) 9007240231
- Yes 5a. Ltr to Admiral Carr (NRC) from Admiral Watkins (DOE) (9/18/90) number 9009270233
- Yes 5b. CLI-90-08: In the matter of LILCO/Shoreham (10/17/90) 9010290085
- X Yes 6. SECY-90-379: (Negative Consent) Rancho Seco Nuclear Generating Station - Status and Developments - Interim Licensing Actions and Reliefs (11/9/90) 9012200061
- X No 6a. COMKC-90-19: Clarification of Criteria for the Decommissioning of Early Shutdown Plants; SRM & Commissioner Curtiss' vote (11/21/90 and 10/17/90)
- Yes 6b. SECY-90-386: (Notation Vote) NRC Policy on the Accumulation Period for Decommissioning Funds for Prematurely Shut Down Reactors (11/26/90) (SRM and Comm. Curtiss' vote attached) 9011290226
- Yes 7. SECY-90-407: Requests for hearing and petitions to intervene regarding request for "Possession Only" license for Shoreham - Requirement for Decommissioning Plan before Issuance of a POL (see CLI-91-01) (12/18/90) Partial Release - 9106120378

- Yes 8. SECY-90-421: Decommissioning Criteria for Fort St. Vrain as a prematurely shutdown plant (12/27/90) 9101030294
- Yes 9. SECY-91-014: (Negative Consent) Shoreham Nuclear Power Station, Unit 1-The Long Island Lighting Company's Request to Ship Fuel Support Castings & Peripheral Pieces for Burial (1/18/91) 9101240351
- Yes 9a. CLI-91-01: In the matter of LILCO/Shoreham (1/24/91) - See SECY-90-407 have memo + order 9102010103
- No 9b. CLI-91-02: In the matter of LILCO/Shoreham (2/22/91) - Public Document
- X No 10. SECY-91-070: Appeal from a Licensing Board Order LBP-91-1 in the Shoreham proceeding (3/13/91)
- Yes 10a. SECY-91-129: (Notation Vote) Status & Developments at the Shoreham Nuclear Power Station (5/13/91) (see CLI-91-08) - POL for Shoreham 9105170236
- Yes 11. SECY-91-164: Decommissioning Costs (5/31/91) 9106070096
- Yes 11a. CLI-91-08: In the matter of LILCO/Shoreham (6/12/91) 9106080028
- Yes 11b. CLI-91-10: In the matter of LILCO/Shoreham (7/25/91) 9107300130
- Yes 12. SECY-91-372: (Notation Vote) Rancho Seco Nuclear Generating Station Possession Only License (11/15/91) 9112020271
- Yes 13. SECY-92-041: Shoreham Nuclear Power Station License Transfer (2/6/92) 9202110292
- No 13a. CLI-92-04: In the matter of LILCO/Shoreham - License Public Document Transfer (2/26/92)
- Yes 14. SECY-92-140: (Notation Vote) Shoreham Nuclear Power Station Decommissioning Order (4/17/92) 9204210306
- Yes 14a. SECY-92-186: (Negative Consent) Final Rule, 10 CFR Part 50, "Decommissioning Funding for Prematurely Shut Down Reactors" (5/21/92) 9205260121
- Yes 15. SECY-92-382: Decommissioning - Lessons Learned (11/10/92) 9211130217

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Yes 16. 12/19/90 Memo for James Taylor from Samuel Chilk
Subj: SECY-90-391 Fort St. Vrain Nuclear Generating Station - Status and Development 9101090193

No 17. 8/28/90 SECY-90-299 - Vote - Petition to Intervene and Request for Hearing in Shoreham Operating License Amendment Proceeding

No 18. 4/5/90 Federal Register Notice - Long Island Lighting Co.
No file record - probably available Public Document

No 19. 5/17/90 Federal Register Notice - Sacramento Municipal Utility District Public Document

No 20. 5/7/90 Federal Register Notice - Public Service Co. of Colorado Public Document

No 21. JRG Memorandum - Not in Binder

MISCELLANEOUS DOCUMENTS

No 22. Notes on Decommissioning, Shoreham, Rancho Seco, Fort St Vrain

X ~~No~~ 23. 8/30/89 Ltr to Anthony F. Early, Jr. from James H. Sniezek
Subj: Shoreham

Yes ~~No~~ 24. 9/19/89 Ltr to Thomas Murley from Anthony Early, LILCO

Yes ~~No~~ 25. 11/21/89 Ltr to Seymour H. Weiss, NRC, from A. Clegg
Crawford, Public Service Co. of Colorado

Yes ~~No~~ 26. 11/29/89 Ltr to George Knieghton, NRC, from Dan R. Keuter, SMUD 8912130066

Yes ~~No~~ 27. 1/12/90 Ltr to NRC from W.E. Steiger, LILCO
9001180300

Yes 28. 2/2/90 Memo for Carlyle Michelson, ACRS from R. Fraley
Subj: Assignment of ACRS/ACNW Responsibilities
Decommissioning of Nuclear Plants and Interface in Areas of Mutual Interest/Concern 9006280396

X No 29. 3/30/90 Note to J. Gray from J. Blaha transmitting information on Decommissioning

X No 30. 4/3/90 Ltr to James Partlow from Thomas K. Tipton, NUMARC

August 12, 1993
[DEC.INC]

- no 31. 4/9/90 Rule Envisions Public Document
- X no 32. 4/10/90 Ltr to Cyr, Burns, Cutchin, Gray, Karman and Jones
from Robert W. Bishop, NUMARC
- yes 33. 9/10/90 Ltr to Thomas Tipton, NUMARC from James Partlow 9009260286
- yes 34. 9/24/90 Ltr to NRC from John D. Leonard, LILCO
(9010020015); ~~9010020017~~
- yes 35. 10/1/90 Ltr to John Leonard, LILCO, from Dennis
Crutchfield 9010120006
- X no 36. 01/5/90 Ltr to Kenneth Carr from D.D. Hock, Public Service
Co of CO
- yes 37. 10/9/90 Ltr to Kenneth Carr from Michael R. Deland,
Executive Office of the President 9011290369
- yes 38. 10/16/90 Memo for Commers. Rogers, Curtiss, Remick from
Kenneth Carr Subj: Clarification of Criteria for
the Decommissioning of Early Shutdown Plants
9106120280 (FMA-90-568-910423)
- yes 39. 10/17/90 Ltr to James Taylor from S. David Freeman, SMUD
9010240339
- no 40. 01/29/90 Follow up to October 25, 1990 Decommissioning
Meeting with Commissioner Curtiss Public Document
- yes 41. 11/5/90 Ltr to Seymour Weiss, NRC from A. Clegg Crawford,
Public Service Co. of CO 9011150256
- X no 42. 11/8/90 Memo for James Taylor from Samuel Chilk Subj:
COMKC-90-19 Clarification of Criteria for the
Decommissioning of Early Shutdown Plants ~~9011150256~~
- No 43. 11/6/90 Note to J. Gray from J. Blaha enclosing Public Document
information requested on decommissioning
- No 44. 11/9/90 Memo for The Commissioners from James Taylor Subj:
Plant Closure Activities Public Document (enclosures are public avail.)
- X No 45. 11/9/90 Note to J. Gray from J. Blaha enclosing response
to question raised by Commissioner Curtiss
regarding issue related to Decommissioning
- yes 46. 11/14/90 Ltr to John D. Leonard, LILCO, from Seymour Weiss,
NRC re: Response to November 8, 1990 letter of
Intent 9011260054

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- X No 47. 11/13/90 Memo for Commers. Rogers, Curtiss, Remick from Kenneth Carr Subj: Plant Closure Activities
- No 48. 11/14/90 Memo for Chairman Carr from Commissioner Curtiss Subj: Plant Closure Activities
- No 49. 11/15/90 Note to Burns, Scarborough, Gray, Guttman from J. Blaha re LILCO Response on Shoreham *Public Document*
- Yes 50. 11/20/90 Memo for Thomas Murley from John Martin re Monthly Status Report on Decommissioning of Rancho Seco *9104180283*
- Yes 51. 11/21/90 REVISED Memo for James Taylor from S. Chilk re COMKC-90-19 Clarification of Criteria for the Decommissioning of Early Shutdown Plants *9108140208*
- No 52. 11/30/92 Memo for Commissioners from William Parler re Decommissioning - Lessons Learned *Public Document*
- ~~Yes~~ 53. 10/16/90 Memo to Commers. Rogers, Curtiss and Remick from Kenneth Carr re Clarification of Criteria for the Decommissioning of Early Shutdown Plant - Curtiss Vote *maybe 9106120280*
- X ~~see~~ No 54. 2/13/91 Memo to Cyr, Karman, Gray, Bates from Billie Champ re FOIA Request from James McGranery for Copies of COMKC-90-19 and Records Consisting of Guidance to NRC Personnel Relating to the Proposal to Decommission Shoreham
- X ~~see~~ No 55. 2/15/91 Memo for Billie Champ from Joseph Gray re FOIA Request from James McGranery (COMKC-90-19)
- No 56. 2/21/91 Memo for T. Murley et al. from Richard Dudley, NRR re Forthcoming Meeting to Discuss Decommissioning Status of Shoreham, Rancho Seco, Fort St. Vrain and TMI-2
- No 57. 5/29/92 Memo for Samuel Chilk from James Curtiss re Request by NRC Staff to Issue Decommissioning Order for Shoreham Facility on an Immediately Effective Basis
- Yes 58. 7/16/92 Ltr to Thomas Murley from Thomas E. Tipton re NUMARC Draft Report Regulatory Process for Decommissioning Prematurely Shut Down Plants *9203260283*
- No 59. 4/8/93 JRC, JRG, KAC, JPK, KSD re Summary of Meeting with Andy Kakak *Not in Binder*

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- B. NRC Open Meeting Policy
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- J. Confirmatory Action Letters (CALs)
- Y K. ⁵⁻¹²⁻⁸⁹ Section 189
- L. Immediately Effective Orders
- M. NRC Witnesses (Touhy Procedures)
- Y N. ²⁻⁹⁻⁹⁰ Equal Access to Justice Act (Attorney's Fees)
- O. Settlement Agreements
- Y P. ³⁻⁹⁻⁸⁹ IG Authority
- Q. Conflict of Interest

SRM'S JAN 92 - JUN 93

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9/9/92	Taylor	Chilk	SECY-91-270 - Interim Guidance on Staff Implementation of the Commission's Safety Goal Policy
8/18/92	Taylor	Chilk	SECY-92-215 - Revision of 10 CFR Part 100, Revisions to 10 CFR Part 50, New Appendix B to 10 CFR Part 100 and New Appendix G to 10 CFR Part 50
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Undtd	Taylor	Chilk	SECY-92-421 - Report Required by Energy Policy Act of 1992
8/11/92	Taylor	Chilk	SECY-92-219 - NRC-Sponsored Confirmatory Testing of the Westinghouse AP 600 Design
6/28/93	Taylor/ Parler	Chilk	COMSECY-93-029 - Draft Rulemaking Package on License Renewal/ SECY-93-049 - Implementation of 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power plants"/ SECY-93-113 - Additional Implementation Information for 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants"

SRM'S JUL 91 - DEC 91

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9/12/91	Cmrs	Chilk	SECY-91-270 - Interim Guidance on Staff Implementation of the Commission's Safety Goal Policy
9/13/91	Cmrs	Chilk	SECY-91-241 - Adequacy of Radioactive Materials Under the General License of 10 CFR 31.5 and SECY-91-275 - Proposed Amends to 10 CFR Part 31, "General Domestic Licenses for Byproduct Material," and 10 CFR Part 32, "Specific Domestic Licenses to Manufacture or Transfer Certain Items Containing Byproduct Material"
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9/5/90	Taylor	Chilk	SECY-90-241 - Level of Detail Required for Design Cert Under Part 52
9/10/90	Blaha	Chilk	Outstanding Commission Correspondence
9/12/90	Johnston	Carr	Concerning schedules and resources for design certification reviews by NRC
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11/23/90	Cmrs	Taylor	Response to Items 1 and 2 of the SRM of 10/02/90, "Support for Reviews of CANDU 3 and PIUS Designs"

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COMMISSIONER CURTISS

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COMMISSIONER CURTISS

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SECY PAPER VOTES 1991

COMMISSIONER CURTISS

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
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<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
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SECY-91-064	3/11/91	SECTION 208 REPORT TO CONGRESS ON ABNORMAL OCCURRENCES FOR OCT-DEC 1990
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SECY-91-055	2/27/91	REQUEST TO MODIFY FINAL RULE, "REVISED STANDARDS FOR PROTECTION AGAINST RADIATION (SECY-90-387) TO MEET PUBLICATION REQS OF THE OFFICE OF THE FEDERAL REGISTER
SECY-91-037	2/27/91	CHARTER OF COMMITTEE TO REVIEW GENERIC REQUIREMENTS
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SECY PAPER VOTES 1992

COMMISSIONER CURTISS

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-92-421	12/21/92	REPORT REQUIRED BY THE ENERGY POLICY ACT OF 1992
SECY-92-419	12/18/92	STATUS OF NRC RESEARCH ARRANGEMENTS WITH THE RUSSIAN RESEARCH CENTER (I.V. KURCHATOV INSTITUTE) AND THE RUSSIAN ACADEMY OF SCIENCES, AND PROPOSED CONTINUATION OF THESE ARRANGEMENTS
SECY-94-394		TIMELINESS IN DECOMMISSIONING OF MATERIALS FACILITIES
SECY-92-402		SECTION 208 REPORT TO THE CONGRESS ON ABNORMAL OCCURRENCES FOR JULY-SEPTEMBER
SECY-92-401	12/2/92	WAITING PERIOD CHANGE FOR PERFORMANCE BASED CHANGES TO BASE PAY FOR MEMBERS OF THE SENIOR LEVEL SYSTEM
SECY-92-377	11/27/92	MAINTENANCE INSPECTION GUIDANCE PROCEDURE IP 62703
SECY-92-374	11/17/92	FORT ST. VRAIN NUCLEAR GENERATING STATION (FSV) - PUBLIC SERVICE COMPANY OF COLORADO - APPROVAL OF DECOMMISSIONING PLAN AND AMENDMENT OF LICENSE
SECY-92-368	11/17/92	FINAL RULE AMENDING 10 CFR PART 52
SECY-92-365	10/27/92	GENERIC LETTER ON AVAILABILITY AND ADEQUACY OF DESIGN BASES INFO
SECY-92-365	11/2/92	GENERIC LETTER ON AVAILABILITY AND ADEQUACY OF DESIGN BASES INFORMATION
SECY-92-355	10/20/92	IMPLEMENTING SERVICE WATER SYSTEM OPERATIONAL PERFORMANCE INSPECTIONS

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-92-348	10/21/92	LETTER TO BUREAU OF LAND MANAGEMENT IN SUPPORT OF LAND TRANSFER TO STATE OF CALIFORNIA NECESSARY FOR LICENSING LL RADIOACTIVE WASTE DISPOSAL FACILITY
SECY-92-339	10/6/92	EVALUATION OF GE'S TEST PROGRAM TO SUPPORT DESIGN CERTIFICATION FOR THE SIMPLIFIED BOILING WATER REACTOR
SECY-92-338	10/6/92	IMPLEMENTING PROCEDURES FOR ISSUING URGENT GENERIC COMMUNICATIONS
SECY-92-325	9/24/92	CHARACTERIZATION OF DISCRETE NARM AND EVALUATION OF THE NEED TO SEEK LEGISLATION EXTENDING NRC AUTHORITY TO DISCRETE NARM
SECY-92-309	9/17/92	FINAL RULEMAKING AMENDING 10 CFR 50.54
SECY-92-304	9/1/92	SECTION 208 REPORT TO THE CONGRESS ON ABNORMAL OCCURRENCES FOR APRIL-JUNE 1992
SECY-92-292	8/21/92	ADVANCE NOTICE OF PROPOSED RULEMAKING ON SEVERE ACCIDENT PLANT PERFORMANCE CRITERIA FOR FUTURE LWRs
SECY-92-287	9/9/92	FORM AND CONTENT FOR A DESIGN CERTIFICATION RULE
SECY-92-284	8/31/92	PERFORMANCE MEASURES
SECY-92-270	8/1/92	INFO COLLECTION REQUEST ASSOCIATED WITH AMENDMENTS TO 10 CFR PARTS 2 AND 35 ON QUALITY MGMT PROGRAM AND MISADMINISTRATIONS
SECY-92-268	8/3/92	PARTIAL DISAPPROVAL OF OMB CLEARANCE FOR FINAL RULE, 10 CFR 26, FITNESS FOR DUTY
SECY-92-229	7/14/92	IMPLEMENTING GUIDANCE FOR THE MAINTENANCE RULE
SECY-92-229	6/25/92	IMPLEMENTING GUIDANCE FOR THE MAINTENANCE RULE, 10 CFR 50.65

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-92-224	6/22/92	REVISED IMPLEMENTING PROCEDURES FOR ISSUANCE OF GENERIC COMMUNICATIONS
SECY-92-192	5/26/92	SECURITY SUPPORT FOR NRC MEETINGS/HEARINGS
SECY-92-186	6/2/92	FINAL RULE, 10 CFR PART 50, "DECOMMISSIONING FUNDING FOR PREMATURELY SHUT DOWN REACTORS"
SECY-92-185	5/19/92	WORKSHOP ON RULEMAKING PROCEDURES FOR DESIGN CERTIFICATION
SECY-92-221	6/17/92	SECTION 208 REPORT TO THE CONGRESS ON ABNORMAL OCCURRENCES FOR JANUARY-MARCH 1992
SECY-92-146	4/30/92	PROPOSED MINOR RULEMAKING TO MODIFY OPERATING POWER REACTOR EVENT REPORTING REQUIREMENTS - 10 CFR 50.72 AND 10 CFR 50.73
SECY-92-140	5/29/92	SHOREHAM NUCLEAR POWER STATION DECOMMISSIONING ORDER
COMSECY-92-014	5/29/92	REQUEST BY NRC STAFF TO ISSUE DECOMMISSIONING ORDER FOR SHOREHAM FACILITY ON AN IMMEDIATELY EFFECTIVE BASIS
SECY-92-139	4/16/92	IMPLEMENTATION OF THE ADMINISTRATIVE DISPUTE RESOLUTION ACT (PL 101-552)
SECY-92-138	4/23/92	UMETCO LICENSE AMENDMENT TO USE FEED MATERIAL OTHER THAN NATURAL ORE IN ITS WHITE MESA MILL AT BLANDING, UTAH
SECY-92-115	4/16/92	COMMENTS ON ILLINOIS DEPT OF NUCLEAR SAFETY DRAFT BOILER AND PRESSURE VESSEL REGULATIONS
SECY-92-099	5/15/92	SEABROOK NUCLEAR GENERATING STATION - STATUS OF ACQUISITION BY NORTHEAST UTILITIES

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-92-072	3/4/92	SECTION 208 REPORT TO CONGRESS ON ABNORMAL OCCURRENCES FOR OCTOBER-DECEMBER 1991
SECY-92-068	2/27/92	IMPLEMENTATION OF THE VISITING FELLOWS PROGRAM FOR SCIENTIFIC, ENGINEERING, AND PROFESSIONAL STAFF
SECY-92-062	3/9/92	PROPOSED RULEMAKING TO AMEND 20 CFR 50.54
SECY-92-057	3/9/92	TIMELINESS IN DECOMMISSIONING OF MATERIALS FACILITIES
SECY-92-041	2/26/92	SHOREHAM NUCLEAR POWER STATION LICENSE TRANSFER
SECY-92-037	4/10/92	NEED FOR NRC-SPONSORED CONFIRMATORY INTEGRAL SYSTEM TESTING OF THE WESTINGHOUSE AP600 DESIGN
SECY-92-011	1/10/92	REQUEST FOR PUBLIC MEETING TO DISCUSS AGENCY'S POLICY ON ORGANIZATIONAL CONFLICTS OF INTEREST
SECY-92-009	1/9/92	REVISION OF NRC POLICY STATEMENT FOR ABNORMAL OCCURRENCE REPORTING

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<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-93-175	6/22/93	LOCATION OF THE BACKUP EMERGENCY OPERATIONS FACILITY FOR ZION NUCLEAR STATION
SECY-93-166	6/28/93	STAFF RECOMMENDATION FOR PROTECTION AGAINST MALEVOLENT USE OF VEHICLES AT NUCLEAR POWER PLANTS
SECY-93-160	6/8/93	SECTION 208 REPORT TO CONGRESS ON ABNORMAL OCCURRENCES FOR JAN-MAR 1993
SECY-93-144	6/3/93	CLARIFICATION OF ASSESSMENT REQUIREMENTS FOR SITING CRITERIA AND PERFORMANCE OBJECTIVES- PROPOSED RULEMAKING
SECY-93-136	6/22/93	UPDATE ON THE RESOLUTION OF THE UTAH LAND OWNERSHIP ISSUE
SECY-93-118	5/3/93	STATUS OF INDIVIDUAL PLANT EXAM OF EXTERNAL EVENTS
SECY-93-117	5/3/93	PROPOSED RULE, 10 CFR 50.54(bb), "NOTIFICATION OF SPENT FUEL MGMT AND FUNDING PLANS BY LICENSEES OF PREMATURELY SHUT DOWN POWER REACTORS"
SECY-93-113	5/28/93	ADDITIONAL IMPLEMENTATION INFO FOR 10 CFR PART 54, "REQUIREMENTS FOR RENEWAL OF OPERATING LICENSES FOR NUCLEAR POWER PLANTS"
SECY-93-112	5/13/93	PROPOSED RULEMAKING TO ELIMINATE REQUIREMENT OF PRIOR COMMISSION APPROVAL FOR A SPECIFIC LICENSE FOR AN ISFSI UNDER 10 CFR PART 72
SECY-93-104	4/20/93	PROGRAM ANALYSIS AND RECOMMENDATIONS CONCERNING NRC REVIEWS OF THE ADVANCED REACTOR (PRISM, MHTGR, AND PIUS) AND CANDU 3 DESIGNS

<u>SECY PAPER</u>	<u>DATE</u>	<u>SUBJECT</u>
SECY-93-102	416/93	REVIEW AND UPDATE OF OPTIONS TO PROTECT AGAINST MALEVOLENT USE OF VEHICLES AND RELATED THREAT INFORMATION
SECY-93-076	3/31/93	LIST OF APPROVED SPENT FUEL STORAGE CASKS: ADDITIONS
SECY-93-073	4/7/93	COMMENTS ON PROPOSED EPA STANDARDS FOR TRU AND HLW DISPOSAL AT SITES OTHER THAN YUCCA MOUNTAIN
SECY-93-057	3/5/93	SECTION 208 REPORT TO CONGRESS ON ABNORMAL OCCURRENCES FOR OCT-DEC 1992
SECY-93-040	3/5/93	EXEMPTION FROM 10 CFR PART 170 LICENSE FEES FOR REVIEW OF B&W OWNERS GROUP LICENSING RENEWAL TOPICAL REPORTS
SECY-93-004	1/14/93	LOCATION OF THE BACKUP EMERGENCY OPERATIONS FACILITY FOR THE HATCH NUCLEAR POWER PLANT

Trip Rpts

LIST OF ITEMS SENT TO JAMES CURTISS - 5/94

1. 6/88 BRIEFING BOOK - OUTLINE OF SAFETY REGULATION AND ADMINISTRATION FOR COMMERCIAL NUCLEAR POWER PLANTS IN JAPAN
2. 1/24/89 MEMO FOR LIST FM; J. SHEA, IP RE: COMM. ROGERS' VISIT TO THE FRG & VIENNA, 11/3/12/88
3. 6/89 MEMO TO ASSISTANTS FROM RATHBUN, RE: TRIP REPORT ON COMMISSIONER CURTISS' VISIT TO JAPAN
4. 10/89 TRIP NOTES ON FRANCE VISIT
5. 10/89 DRAFT MEMO TO COMMISSION FROM COM. CURTISS RE: VISIT TO FRANCE, 10/4-13/89
6. 10/89 ADDENDUM TO JRC NOTES FROM DAVE TRIMBLE ON CURTISS VISIT TO FRANCE, 10/4-13/89
7. 10/25/89 LTR FROM BERTRAND DE GALASSUS, ATTACHE FOR NUCLEAR ENERGY, RE: SENDING REQUEST INFORMATION
8. 1/5/90 MEMO TO ASSISTANTS FM. JOE GRAY RE: TRIP REPORT ON JRC'S VISIT TO CANADA
9. 7/18/90 MEMO FROM STEVE BURNS RE: TRIP REPORT FOR CARR'S PARTICIPATION IN 6TH ANNUAL RADIOACTIVE EXCHANGE DECISIONMAKER'S FORUM, FL.
10. 12/21/90 MEMO FM. STEVE BURNS RE: TRIP REPORT CARR'S VISIT TO NELRAD
11. 1/3/91 MEMO FM. STEVE BURNS RE: TRIP REPORT, CARR'S VISIT TO EPRI, NDE CTR. MCGUIRE & CATAWBA SITES
12. 3/22/91 MEMO TO COMM. ROGERS FM. J. SCARBOROUGH RE: VISIT TO GEORGIA TECH & CONSTRUCTION SYSTEM ASSOCIATES INC. ON 3/18-19/91
13. 5/22/91 MEMO TO AL KENNEKE FM. M. MAHY/GPA, IP RE: TRIP REPORT TO IAEA
14. 7/19/91 MEMO TO FILE FM. SUSAN BILHORN RE: COMM ROGERS' TRIP TO NORWAY, FINLAND & SWEDEN, 5/91
15. 7/22/91 MEMO TO AL KENNEKE, FM. JANET GORN RE: TRIP REPORT TO NETHERLANDS, SWITZERLAND, ENGLAND & FRANCE (NEA) 4/17-5/6/91

16. 8/22/91 MEMO FOR ORNL FILES FROM J. SCARBOROUGH RE: COMM. ROGERS VISIT TO OAK RIDGE NAT'L LABS 8/19-20/91
17. 10/22/92 MEMO TO ASSISTANTS' FROM J. BLAHA, OEDO, RE: TRIP REPORT - MINSK, BELARUS
18. 11/23/92 MEMO TO FILES FM. J. SCARBOROUGH RE: COMM. ROGERS ASIAN TRIP, 10/21-11/5/92
19. 6/8/93 MEMO TO GRAY FM. J. BLAHA RE: REQUEST FOR TRIP REPORTS
20. 6/29/93 MEMO TO FILE FM. KEVIN CONNAUGHTON RE: TRIP REPORT, JRC'S VISITS TO GERMANY, SWEDEN, FINLAND, FRANCE & IAEA, 10/1-17/92

Advanced LW Reactors

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