



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 27, 2020

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: EVALUATION OF
NUCLEAR REGULATORY COMMISSION'S (NRC)
AGENCYWIDE DOCUMENTS ACCESS AND
MANAGEMENT SYSTEM (ADAMS) FUNCTIONAL AND
OPERATIONAL CAPABILITIES (OIG-16-A-06)

REFERENCE: CHIEF INFORMATION OFFICER, MEMORANDUM DATED
January 06, 2020

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated January 06, 2020. Based on this response, recommendations 1 and 3 are now closed. Recommendations 2 and 4 through 13 were closed previously. All recommendations for this audit are now closed and no further action is required.

If you have questions or concerns, please call me at (301) 415-5915, or Terri Cooper, Team Leader, at (301) 415-5965.

Attachment: As stated

cc: C. Haney, OEDO
J. Quichocho, OEDO
J. Jolicoeur, OEDO
S. Miotla, OEDO
EDO_ACS_Distribution
RidsEDOMailsCenter Resource
OIG Liaison Resource

Evaluation Report
**EVALUATION OF NRC'S AGENCYWIDE DOCUMENTS ACCESS AND
MANAGEMENT SYSTEM (ADAMS) FUNCTIONAL AND OPERATIONAL
CAPABILITIES**

OIG-16-A-06

Status of Recommendations

Recommendation 1: Expedite and fully implement the ADAMS Records Manager (RM) module so that records retention schedules can be attached to all the official records within ADAMS.

Agency Response Dated
January 06, 2020: ADAMS Records Manager has been implemented; records retention schedules can now be attached to all official records within ADAMS.

OIG Analysis: OIG confirmed the ADAMS Records Manager has been implemented and retention schedules can be attached to official records within ADAMS. OIG will now close this recommendation.

Status: Closed.

Evaluation Report
**EVALUATION OF NRC'S AGENCYWIDE DOCUMENTS ACCESS AND
MANAGEMENT SYSTEM (ADAMS) FUNCTIONAL AND OPERATIONAL
CAPABILITIES**

OIG-16-A-06

Status of Recommendations

Recommendation 3: Reduce the number of templates, study applicability of automation techniques to pre-full profile metadata and attain better standardization and consistency.

Agency Response Dated
January 06, 2020: OCIO reduced the number of templates necessary by reviewing the per-file metadata and automating metadata capture. The automation of metadata capture has reduced the need for individual templates for specific document types, which has resulted in improved standardization and increased efficiency.

OIG Analysis: OIG verified the reduction of templates in ADAMS. Automated metadata capture has reduced the need for individual templates in ADAMS which has resulted in improved standardization and increased efficiency. OIG will now close this recommendation.

Status: Closed.