



Update to LIC-500, “Topical Report Process”

Public Workshop on Topical Report
Transformation Process

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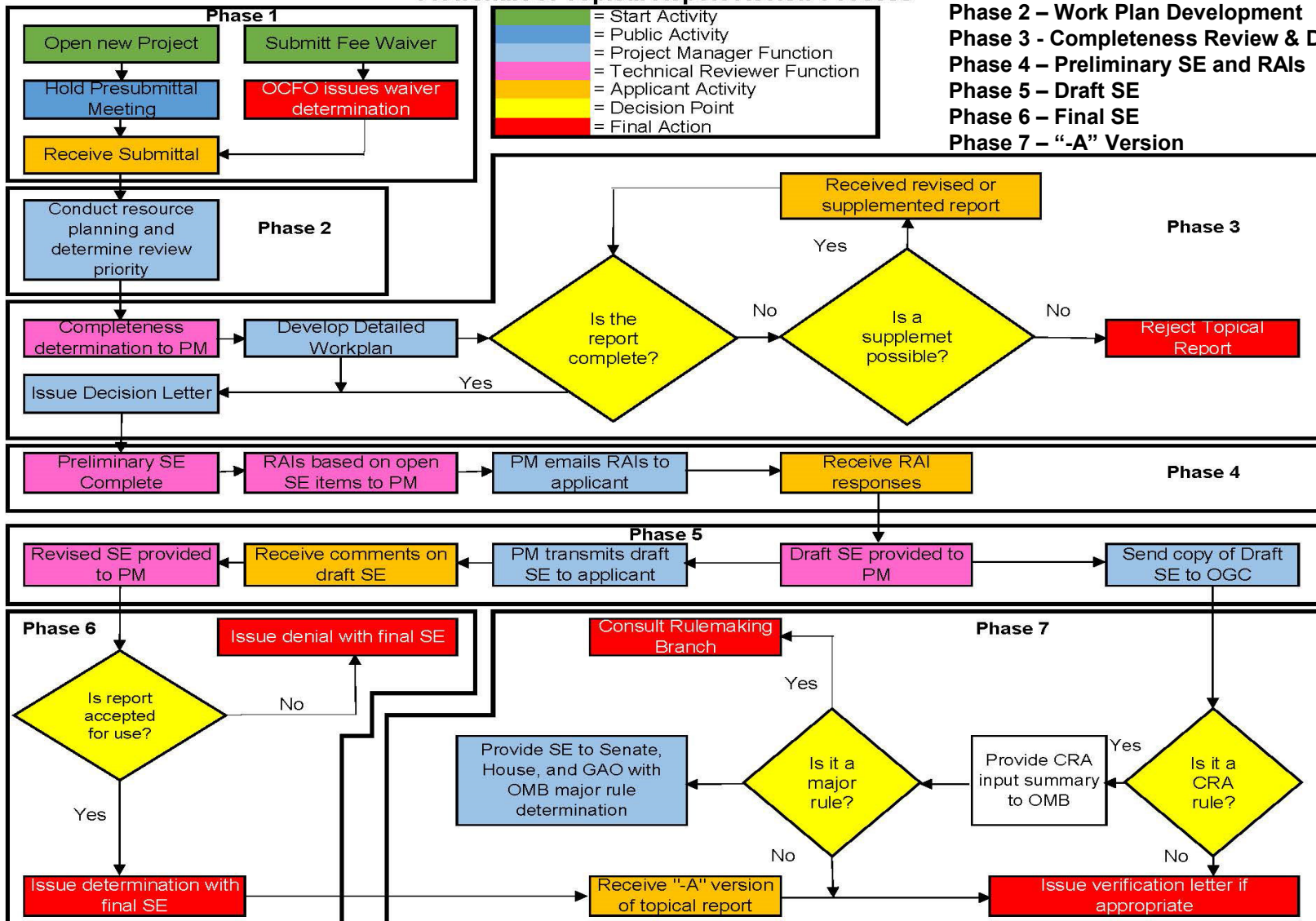
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Agenda

- Topical Report (TR) Review Process
- Status Quo
- Simplified Safety Evaluation (SE)
- Limited SE
- Modified Review
- Tiger Team Review
- Submittal Documentation
- Schedule for Revising LIC-500

TR Review Process

Flowchart of Topical Report Review Process



Status Quo, Simplified SE, Limited SE

Status Quo (nominally 24 months)

- No revision to the LIC-500, “Topical Report Process.”
 - For Topical Reports that require extensive review, the normal (Status Quo) review process described in latest revision to LIC-500 would apply.

Simplified SE (3-6 months)

- Revise LIC-500 to establish a modified process for the Simplified SE.
 - Use the Flow Diagram to determine the type of SE (Simplified, Limited), early (e.g. Pre-submittal) in the TR review.
 - Conduct a more rigorous Completeness Review.

Limited SE (6-12 months)

- Revise LIC-500 to establish a modified process for the Limited SE.
 - Use the Flow Diagram to determine the type of SE (Simplified, Limited), early (e.g. Pre-submittal) in the TR review.
 - Conduct a more rigorous Completeness Review.

Modified Review & Tiger Team Review

Modified Review (12 months)

- Revise LIC-500 to establish a modified process for Modified Review.
 - Issue the Draft SE with Open Items, instead of issuing Requests for Additional Information (RAIs) separately.
 - Conduct a more rigorous Completeness Review.
 - Review could be suspended if Open Items are not resolved.

Tiger Team Review (12 months)

- Revise the LIC-500 review process to conduct an audit and issue the Draft SE with the Open items.
 - RAIs could be eliminated because the Draft SE from the audit includes the Open Items.

Submittal Documentation & Schedule

Submittal Documentation (varies)

- Revise LIC-500 to establish a modified process for Submittal Documentation
 - Where appropriate, use telephone calls to discuss clarification issues and then have vendors provide the information without the need for an additional RAI.
 - NRC staff could document the industry position in its Draft SE and if comments are not provided on the draft, then that position is considered endorsed by the vendor.

Schedule for Revising LIC-500

- Planned: January – March 2020