



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

August 28, 2019

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S.
NUCLEAR REGULATORY COMMISSION'S SCREENING
AND ASSESSMENT OF PROPOSED GENERIC ISSUES
(OIG-19-A-07)

REFERENCE: STAFF RESPONSE TO THE OFFICE OF THE INSPECTOR
GENERAL'S AUDIT OF THE U.S. NUCLEAR
REGULATORY COMMISSION'S SCREENING AND
ASSESSMENT OF PROPOSED GENERIC ISSUES
(OIG-19-A-07) DATED AUGUST 02, 2019

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated August 2, 2019. Based on this response, recommendations 1 through 3 are closed. All recommendations related to this audit are therefore closed.

If you have questions or concerns, please call me at (301) 415-3485, or Paul Rades, Team Leader, at (301) 415-6228.

Attachment: As stated

cc: C. Haney, OEDO
D. Jackson, OEDO
J. Jolicoeur, OEDO
S. Miotla, OEDO
RidsEdoMailCenter Resource
OIG Liaison Resource
EDO_ACS Distribution

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S SCREENING AND ASSESSMENT OF PROPOSED GENERIC ISSUES

OIG-19-A-07

Status of Recommendations

Recommendation 1: Clarify, finalize, and implement guidance for timely proposed generic issue initial screening.

Agency Response Dated
August 02, 2019:

The staff issued Revision 3 to the RES Office Instruction, TEC 002, "Generic Issues Program," on July 17, 2019, to include a target for the GI staff to complete its initial screening review within 6 months of initial receipt of the proposed GI.

OIG Analysis:

OIG auditors reviewed RES Office Instruction, TEC-002, Revision 3, "Generic Issues Program" and determined that RES included a target for the GI staff to complete its initial screening review within 6 months of initial receipt of the proposed GI. The revised Office Instruction addresses the intent of this recommendation; therefore, it is considered closed.

Status:

Closed.

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S SCREENING AND ASSESSMENT OF PROPOSED GENERIC ISSUES

OIG-19-A-07

Status of Recommendations

Recommendation 2: Implement office guidance for displaying GI program milestone documentation on the Dashboard Web site.

Agency Response Dated
August 02, 2019:

Revision 3 to RES Office Instruction TEC-002 clarified that the GI Dashboard Web site will be used as the Official database for tracking the status of GIs. Management Directive (MD 6.4) identifies seven "Milestone Memorandum" which document the progression of a GI through the three stages of the GI process. The GI program staff updated the GI dashboard to more clearly and systematically show the links to these milestone memoranda. The GI program staff also supplemented the information on the GI Dashboard consistent with the above procedures (where appropriate, since not all memorandums are applicable to every GI), including the example documents identified in the OIH report.

OIG Analysis:

OIG auditors reviewed RES Office Instruction TEC-002 and determined that RES clarified that the Dashboard will be used as the database for tracking the status of GI. The GI program staff updated the GI dashboard to more clearly and systematically show the links to the Milestone Memorandum in accordance with Management Directive 6.4. The revised Office Instruction and updated Dashboard address the intent of this recommendation; therefore, it is considered closed.

Status: Closed.

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S SCREENING AND ASSESSMENT OF PROPOSED GENERIC ISSUES

OIG-19-A-07

Status of Recommendations

Recommendation 3: Update applicable procedures to emphasize appropriate use, reporting, and monitoring of generic issues CACs [cost activity code] for staff time spent on generic issues work.

Agency Response Dated
August 02, 2019:

Revision 3 of RES Office Instruction (TEC-002) included the responsibility for the GI Program staff to notify the staff of the appropriate CACs for proposed GIs, and to perform periodic reviews of the time charged to the GI program CACs to facilitate accurate accounting for NRC staff efforts on generic issues. The GI program staff developed a procedure describing the appropriate reports in HRMS for the reviews and placed it on the GI SharePoint site as a reference. The GI program staff will ensure that time charged to the GI program CAC is consistent with the agency guidance in Management Directive 10.43, "Time and Labor Reporting."

OIG Analysis:

OIG auditors reviewed RES Office Instruction (TEC 002), Revision 3, and the subject RES office procedure, and determined that RES addressed the responsibility for the GI Program staff to notify NRC staff of the appropriate CACs for proposed GIs, and to perform periodic reviews of the time charged to the GI program CACs to facilitate accurate accounting for NRC staff efforts on generic issues consistent with the agency guidance in Management Directive 10.43, "Time and Labor Reporting." The revised Office Instruction and procedure addresses the intent of this recommendation; therefore, it is considered closed.

Status:

Closed.