



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

June 25, 2019

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE NRC
LICENSE AMENDMENT REQUEST ACCEPTANCE
REVIEW PROCESS (OIG-19-A-05)

REFERENCE: STAFF RESPONSE TO THE OFFICE OF THE INSPECTOR
GENERAL'S AUDIT OF THE NRC LICENSE AMENDMENT
REQUEST ACCEPTANCE REVIEW PROCESS DATED
MAY 30, 2019.

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated May 30, 2019. Based on this response, recommendations 1 through 3 remain open and resolved. OIG discussed the need for extension with staff and set a new follow-up target date. Please provide an updated status of the resolved recommendations by August 31, 2019.

If you have questions or concerns, please call me at (301) 415-5915, or Paul Rades, Team Leader, at (301) 415-6228.

Attachment: As stated

cc: C. Haney, OEDO
D. Jackson, OEDO
J. Jolicoeur, OEDO
S. Miotla, OEDO
EDO_ACS Distribution

Audit Report

AUDIT OF THE NRC LICENSE AMENDMENT REQUEST ACCEPTANCE REVIEW PROCESS

OIG-19-A-05

Status of Recommendations

Recommendation 1: Strengthen data verification and validation measures to ensure completed acceptance review reports and data are processed accurately.

Agency Response

Dated January 31, 2019: The staff agrees with the recommendation.

Planned Action: The Office of Nuclear Reactor Regulation (NRR) staff will continue to update the Replacement Reactor Program System (RRPS), including improving the automated reporting capability. NRR staff and management will continue to review and edit automated RRPS reports manually as needed to ensure the most accurate information is reported, until RRPS updates described in Recommendation 3 of this audit response resolve RRPS reporting issues. NRR staff will document the manual review and validation process for RRPS reports in an NRR Division of Operating Reactor Licensing (DORL)

Target date for documenting the manual process:
February 28, 2019

The NRR staff work to document the manual review and validation process for RRPS reports has not been completed. Work continues on this effort and an updated target completion date is provided below.

Updated Target Completion Date: August 31, 2019

Point of Contact: Perry H. Buckberg, NRR/DORL
(301) 415-1383

Audit Report

AUDIT OF THE NRC LICENSE AMENDMENT REQUEST ACCEPTANCE REVIEW PROCESS

OIG-19-A-05

Status of Recommendations

Recommendation 1 cont'd:

OIG Analysis:

The actions proposed by the agency meet the intent of the recommendation. OIG will close this recommendation upon verifying that staff have performed manual reviews and edits of acceptance review reports pending RRPS updates.

Status:

Open: Resolved

Audit Report

AUDIT OF THE NRC LICENSE AMENDMENT REQUEST ACCEPTANCE REVIEW PROCESS

OIG-19-A-05

Status of Recommendations

Recommendation 2: Identify a single, consistent process for calculating the number of workdays for the acceptance review metric and communicate it to DORL staff.

Agency Response

Dated January 31, 2019: The staff agrees with the recommendation.

Planned Action: DORL will provide a reminder of the guidance in LIC-109 regarding acceptance review milestones to its staff to help ensure consistent understanding. This information will be included in the DORL memorandum described in Recommendation 1 of this audit response and provided to all applicable DORL staff.

Target Date for Completion: February 28, 2019

The NRR staff work to provide a reminder of the LIC-109 guidance has not been completed. Work continues on this effort and an updated target completion date is provided below.

Updated Target Completion Date: August 31, 2019

Point of Contact: Perry H. Buckberg, NRR/DORL
(301) 415-1383

OIG Analysis:

The actions proposed by the agency meet the intent of the recommendation. OIG will close this recommendation upon reviewing forthcoming staff guidance and verifying that it addresses LIC-109 standards for calculating the number of workdays for the acceptance review metric.

Status:

Open: Resolved.

Audit Report

AUDIT OF THE NRC LICENSE AMENDMENT REQUEST ACCEPTANCE REVIEW PROCESS

OIG-19-A-05

Status of Recommendations

Recommendation 3: Complete the RRPS—Licensing Module upgrade efforts to generate automated reports.

Agency Response

Dated May 30, 2019:

The staff agrees with the recommendation.

Planned Action: The NRC is working to improve all aspects of the RRPS with updates. Funding for a task order was implemented in January 2019 to support dedicated resources for improvements to RRPS automated reporting and advanced search capabilities that are, in part, intended to support workload planning and metrics reporting. NRR staff will continue to work with RRPS contractors to implement these improvements.

Target Date for Completion: February 28, 2020

Work to implement RRPS improvements continues.

Target Date for Completion: February 28, 2020

Point of Contact: Perry H. Buckberg, NRR/DORL
(301) 415-1383

OIG Analysis:

The actions proposed by the agency meet the intent of the recommendation. OIG will close this recommendation after verifying that RRPS has been upgraded to include automated reporting capabilities that support workload planning and metrics reporting.

Status:

Open: Resolved.