



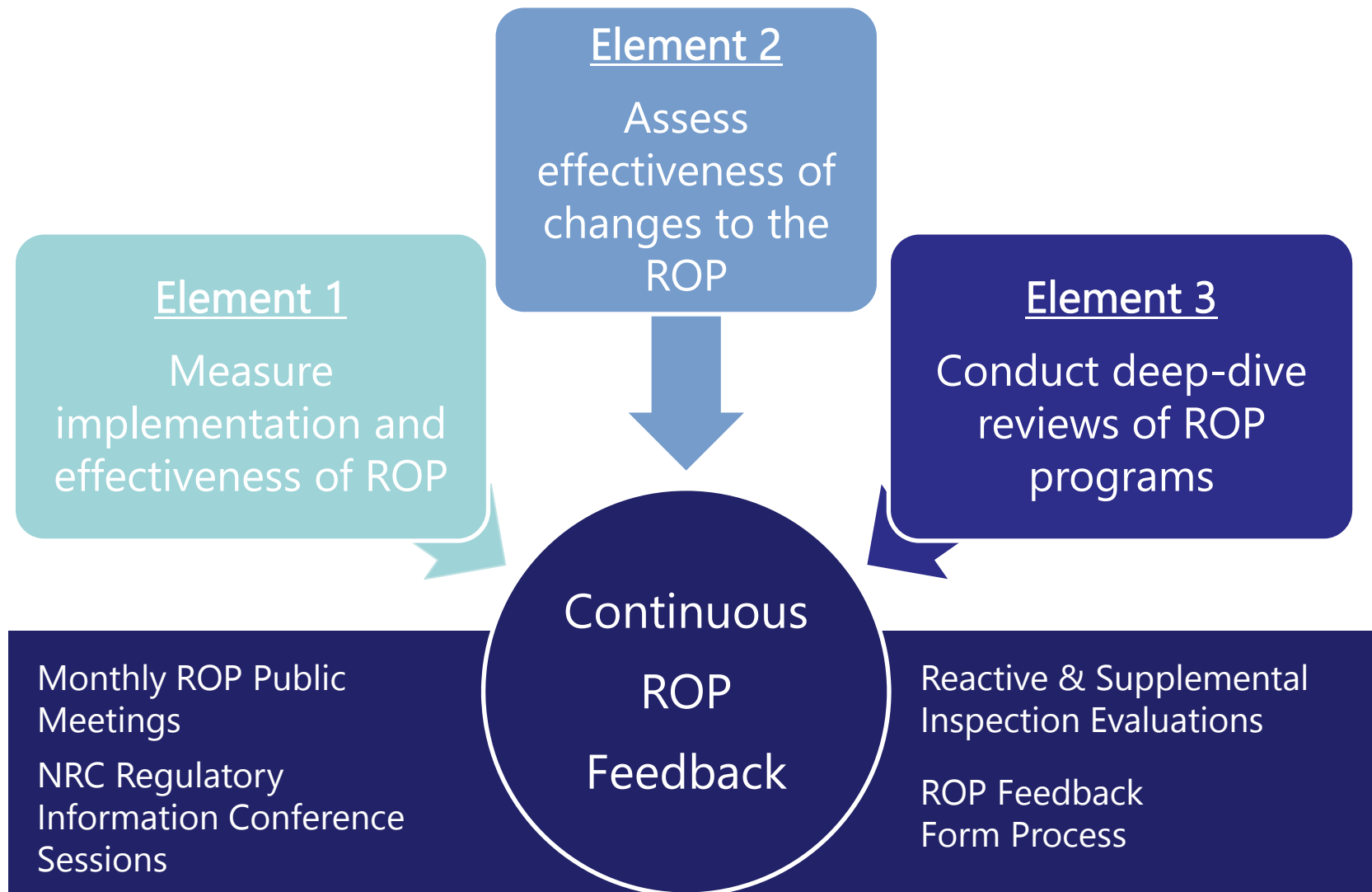
Reactor Oversight Process Self-Assessment

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Key Messages

- Fully implemented the ROP self-assessment process in CY 2018
- Results of CY 2018 ROP self-assessment indicate that the ROP provided objective, risk-informed, understandable, and predictable oversight
- Identified improvements to the ROP

ROP Self-Assessment Process



CY 2018 ROP Self-Assessment Results

	Self-Assessment Elements	2018	Results
Element 1	Metrics	Yes	25 of 26 ROP metrics were Green 1 Red metric with action plan
	Program Area Evaluations	Yes	Complete - All ROP Program Areas Effective
Element 2	Monitor ROP Revisions	Yes	Monitored
	Effectiveness Reviews	Yes	3 Reviews Completed – Changes Were Effective
Element 3	Regional Peer Reviews	Yes	Region III – Implementing ROP per Governance Documents
	Focused Assessments	Yes	Emergency Preparedness SDP - Effective
	Baseline IP Assessments	Yes	Complete – Baseline Inspection Procedures Are Effective

CY 2018 Results

Element 1: Measure effectiveness of and adherence to the current program

- Metrics assessment: 25 of 26 metrics were Green
 - One Red metric – Efficiency metric for responsiveness to ROP feedback forms
 - Staff taking actions to address performance in this area
- Program area evaluations:
 - PI, inspection, SDP, and assessment programs

ROP was consistently and effectively implemented in CY 2018 and all 4 ROP Program Areas are effective.

CY 2018 Results

Element 2: Monitor ROP revisions and assess effectiveness of recent program changes

- Three effectiveness reviews were conducted in CY 2018:
 - Inspection Finding Resolution Management Pilot
 - Recent changes to IP 95001, "Supplemental Inspection Response to Action Matrix Column 2 Inputs"
 - Implementation of safety culture common language initiative

All three ROP changes were determined to be effective with no unintended consequences identified.

CY 2018 Results, Continued

Element 3: Perform focused, in-depth assessments of specific program area(s)

- Regional peer review:
 - Region III implements ROP effectively and consistent with ROP governance documents.
- Focused assessment:
 - Emergency Preparedness SDP – effective with some recommendations for improvement.
- ROP Baseline IP review:
 - All Baseline IPs effective with some recommended adjustments.

CY 2018 Conclusions

- ROP provided effective oversight by meeting program goals and achieving intended outcomes
- Ensured openness and effectiveness in supporting NRC mission and strategic goals of safety and security
- ROP was successful in being objective, risk-informed, understandable, and predictable
- ROP improvements implemented and underway based on lessons learned and feedback from stakeholders

Limited CY 2019 ROP Self-Assessment

- Prioritize ROP enhancement
- Conduct ROP self-assessment holistic review
- Metrics, Program Evaluations, and Effectiveness Review only
- SECY Info Paper
- Implement changes in time for CY 2020

Self-Assessment Elements	2018	2019
Metrics	Yes	Yes
Program Evaluations	Yes	Yes
Monitor ROP Revisions	Yes	Deferred
Effectiveness Reviews	Yes	Yes
Regional Peer Reviews	Yes	Not Required
Focused Assessments	Yes	Deferred
Baseline IP Assessments	Yes	Not Required