

ATTACHMENT 3.1-3 TO RMPP 3.1, REVISION 0 ALLEGATION SCREENING FORM

- a) Is there an immediate safety concern that must be quickly addressed?
- b) Is the allegation a specific safety or quality issue or a generalized concern?
- c) Has the staff previously addressed this issue or a similar issue?
- d) Have there been a substantial number of allegations on similar concerns?
- e) What is the time sensitivity of the allegation and what immediate actions are necessary?
- f) What is the potential for wrongdoing and will investigative assistance be needed?
- g) Does the allegation package contain sufficient information for a thorough evaluation? If not, identify the additional information needed.
- h) Can the issues be adequately addressed by a routine technical inspection? If not, determine the best way to address the issues.
- i) Is the identity of the alleged necessary for a thorough evaluation?
- j) Identify any peripheral issues that could develop.
- k) Are any licensing actions or enforcement actions pending that could be affected by the allegation? When an allegation involves a case with pending licensing action, the Radiological Health Specialist working on the case should be promptly notified.
- l) Can inspection resources be effectively utilized pursuing the issue or is the allegation too vague or frivolous?
- m) Is further consideration of the allegation required? If not, inform the alleged in a courteous and diplomatic manner of the rationale for not considering it further. Consult the Radiation Control Program Director, Radioactive Materials Program Manager, and the Department of Health Legal Division before doing so.
- n) Can licensee resources reasonably be used in resolving the allegation to conserve staff resources?
- o) Does the allegation have the potential to require escalated enforcement action?

Commented [SJ1]: Edited to make consistent with 3.1.6. It is also not clear who in RMP has the final say in dispositioning allegations. Please clarify and revise text accordingly.