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NL-19-005

January 10, 2019

U.S. Nuclear Regulatory Commission
Attn: Document Control Desk
Mail Stop O-P1-17
Washington, D.C. 20555-0001

SUBJECT: **Licensee Event Report # 2017-004-01, "Reactor Trip Due to Main Generator Loss of Field"**
Indian Point Unit No. 3
Docket No. 50-286
DPR-64

Reference: Licensee Event Report #2014-004-00, letter NL-17-151 dated December 20, 2017

Dear Sir or Madam:

Pursuant to 10 CFR 50.73(a)(1), Entergy hereby provides Licensee Event Report (LER) 2017-004-01. The attached LER is a revision to an LER submitted by the above Reference, and which identifies an event where the reactor automatically tripped, which is reportable under 10 CFR 50.73(a)(2)(iv)(A). As a result of the reactor trip, the Auxiliary Feedwater System was actuated, which is also reportable under 10 CFR 50.73(a)(2)(iv)(A). This event was recorded in the Entergy Corrective Action Program as Condition Report CR-IP3-2017-05133. This LER revision is being provided to report changes in the root cause, to update the status of corrective actions, and to make editorial corrections.

There are no commitments made or revised in this letter. Should you have any questions regarding this submittal, please contact Mr. Robert Walpole, Manager, Regulatory Assurance at (914) 254-6710.

Sincerely,

AJV/gd

cc: Mr. David Lew, Regional Administrator, NRC Region I
NRC Resident Inspector's Office
Ms. Bridget Frymire, New York State Public Service Commission

IE22
NRR

0NRC FORM 366 (04-2018)

U.S. NUCLEAR REGULATORY COMMISSION

APPROVED BY OMB: NO. 3150-0104 EXPIRES: 03/31/2020

Estimated burden per response to comply with this mandatory collection request: 80 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Information Services Branch (T-2 F43), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by e-mail to Infocollects.Resource@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

LICENSEE EVENT REPORT (LER)

(See Page 2 for required number of digits/characters for each block)

(See NUREG-1022, R.3 for instruction and guidance for completing this form http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/r3/)

1. Facility Name	2. Docket Number	3. Page
Indian Point Unit 3	05000-286	1 OF 6

4. Title

Reactor Trip Due to Main Generator Loss of Field

5. Event Date			6. LER Number			7. Report Date			8. Other Facilities Involved	
Month	Day	Year	Year	Sequential Number	Rev No.	Month	Day	Year	Facility Name	Docket Number
										05000
11	03	2017	2017	004	01	01	10	2019	Facility Name	Docket Number
										05000

9. Operating Mode	11. This Report is Submitted Pursuant to the Requirements of 10 CFR §: *(Check all that apply)*			
1	☐ 20.2201(b)	☐ 20.2203(a)(3)(i)	☐ 50.73(a)(2)(ii)(A)	☐ 50.73(a)(2)(viii)(A)
	☐ 20.2201(d)	☐ 20.2203(a)(3)(ii)	☐ 50.73(a)(2)(ii)(B)	☐ 50.73(a)(2)(viii)(B)
	☐ 20.2203(a)(1)	☐ 20.2203(a)(4)	☐ 50.73(a)(2)(iii)	☐ 50.73(a)(2)(ix)(A)
	☐ 20.2203(a)(2)(i)	☐ 50.36(c)(1)(i)(A)	☒ 50.73(a)(2)(iv)(A)	☐ 50.73(a)(2)(x)
10. Power Level	☐ 20.2203(a)(2)(ii)	☐ 50.36(c)(1)(ii)(A)	☐ 50.73(a)(2)(v)(A)	☐ 73.71(a)(4)
100	☐ 20.2203(a)(2)(iii)	☐ 50.36(c)(2)	☐ 50.73(a)(2)(v)(B)	☐ 73.71(a)(5)
	☐ 20.2203(a)(2)(iv)	☐ 50.46(a)(3)(ii)	☐ 50.73(a)(2)(v)(C)	☐ 73.77(a)(1)
	☐ 20.2203(a)(2)(v)	☐ 50.73(a)(2)(i)(A)	☐ 50.73(a)(2)(v)(D)	☐ 73.77(a)(2)(i)
	☐ 20.2203(a)(2)(vi)	☐ 50.73(a)(2)(i)(B)	☐ 50.73(a)(2)(vii)	☐ 73.77(a)(2)(ii)
		☐ 50.73(a)(2)(i)(C)	☐ Other (Specify in Abstract below or in NRC Form 366A	

12. Licensee Contact for this LER

Licensee Contact	Telephone Number (Include Area Code)
Troy Schaefer, Supervisor, Engineering	(914) 254-7455

13. Complete One Line for each Component Failure Described in this Report

Cause	System	Component	Manufacturer	Reportable To ICES	Cause	System	Component	Manufacturer	Reportable To ICES
B	TL	EXC	W351	Y					

14. Supplemental Report Expected	15. Expected Submission Date	Month	Day	Year
☐ Yes (If yes, complete 15. Expected Submission Date) ☒ No				

Abstract (Limit to 1400 spaces, i.e., approximately 14 single-spaced typewritten lines)

On November 3, 2017, at 2022 hours, with reactor power at 100 percent, Indian Point Unit 3 experienced an automatic reactor trip on a turbine trip, which was in response to a main generator trip. The main generator trip was initiated by actuation of the Generator Protection System due to a main generator loss of field.

All control rods fully inserted and all required safety systems functioned properly. The Auxiliary Feedwater System (AFWS) automatically started as expected on steam generator low level to provide feedwater flow to the steam generators. The plant was stabilized in hot standby with decay heat being removed by the main condenser. The direct cause of the loss of the main generator field was a failed Thyristor Firing Module drawer in the Automatic Voltage Regulator (AVR) system of the Main Generator Exciter System. The root cause was determined to be an inadequate refurbishment of obsolete power supplies in the AVR system. A plant modification has removed the obsolete power supplies from the design of the AVR system.

This event had no effect on the health and safety of the public. The event was reported to the Nuclear Regulatory Commission (NRC) on November 3, 2017 under 10 CFR 50.72(b)(2)(iv)(B) and 50.72(b)(3)(iv)(A) as an event that resulted in the automatic actuation of the Reactor Protection System when the reactor is critical and a valid actuation of the AFWS.

1. FACILITY NAME	2. DOCKET NUMBER	3. LER NUMBER		
		YEAR	SEQUENTIAL NUMBER	REV NO.
Indian Point Unit 3	05000-286	2017	- 004	- 01

NARRATIVE

Note: The Energy Industry Identification System Codes are identified within the brackets { }.

DESCRIPTION OF EVENT

On November 3, 2017, at 2022 hours, with reactor power at 100 percent, the Indian Point Unit 3 (IP3) Control Room operators received a Turbine Trip First Out Annunciator {ALM} and an automatic reactor trip {JC}, initiated by a main generator {TB} Lockout Relay 86BU {RLY, 86} trip. The 86BU relay trip was due to actuation of the main generator Loss of Field Relay 40 {RLY, 40}, and resulted in a direct trip of the 345 kilo-Volt (kV) generator output breakers 1 and 3 {EL, BKR, 52}. All control rods {AB} fully inserted and all required safety systems functioned properly. The plant was stabilized in hot standby with decay heat being removed by the main condenser {SG}. There was no radiation release. The emergency diesel generators {EK, DG} did not start, as offsite power remained available and stable. The Auxiliary Feedwater System (AFWS) {BA} automatically started as expected on steam generator (SG) {AB, SG} low level as a result of void fraction (shrink) effect. Indian Point Unit 2 (IP2) was unaffected and remained at 100 percent power.

The Generator Protection System protects the main generator from internal and external faults by tripping the field excitation breaker {BKR, 41} and the 345 kV generator output breakers 1 and 3. These circuit breakers are tripped by the Primary (86P) and Backup (86BU) Generator Lockout Relays {RLY, 86}, which also initiate a turbine trip {JJ}. The Turbine Protection System energizes solenoid valves 20/AST and 20/ASB {TG, PSV} to dump the autostop oil when a turbine trip is required. This removes the autostop oil pressure, allowing the turbine stop valves {TG, SHV} to close by spring action. A turbine trip can be actuated by a: (1) main generator trip, (2) reactor trip {JC}, (3) safety injection {BQ} actuation, or 4) manual trip. The Primary and Backup Generator Lockout Relays (86P and 86BU) provide the main generator trip signals to energize the 20/AST and 20/ASB solenoid valve for a turbine trip.

The November 3, 2017 reactor trip event was reported to the Nuclear Regulatory Commission (NRC) in a 4-hour non-emergency notification under 10 CFR 50.72(b)(2)(iv)(B) for an actuation of the Reactor Protection System (RPS) when the reactor is critical, and included an 8-hour notification for a valid actuation of the RPS and AFWS under 10 CFR 50.72(b)(3)(iv)(A) (Event Log No. 53052). This event notification was updated on November 6, 2017 to revise the report to reflect the actual Reactor Trip First Out Annunciator, which was for Generator Lockout Relay actuation of a turbine trip. The initial notification incorrectly stated that the reactor tripped on 33 SG low level. As previously described, SG low level is an expected post reactor trip transient condition. The event was recorded in the Indian Point Energy Center (IPEC) Corrective Action Program (CAP) as CR-IP3-2017-05133. A post transient evaluation was initiated and completed on November 7, 2017.

Prior to the November 3, 2017 reactor trip event, on August 31, 2017, the IP3 Control Room had received an Exciter Trouble alarm {ALM}. The operators dispatched to investigate discovered that the Drawer Operative light for one of the two Thyristor Firing Module drawers (Module B) was extinguished, indicating a loss of pulse. They also noted an acrid odor in the vicinity of the drawer and burn marks on the underside of the drawer. The Thyristor Firing Modules are part of the Main Generator Exciter System {TL, EXC}. No main generator capability was lost at the time, and IP3 remained online at full power. However, the Exciter Trouble alarm could not be cleared. So Engineering consulted the equipment vendor, Siemens-Allis {S188}, to understand the consequences of the discovered conditions. The vendor stated that the two Thyristor Firing Module drawers are parallel and redundant, and that full generator capability could still be maintained while operating on a single drawer. In addition, the vendor did not recommend opening the failed Thyristor Firing Module drawer because the vendor could not be certain of the material condition of the system, and opening the drawer may introduce a risk of tripping the generator. A Critical Decision Paper (CDP) and an Operational Decision-Making Issue (ODMI) document were prepared using vendor-provided information to assist plant management in determining whether or not to enter a

forced outage to repair the failed Thyristor Firing Module drawer. The decision was ultimately made to wait until the next forced outage or refueling outage to effect the repair. To support this outage repair work, a work order was prepared and the necessary replacement parts were staged.

The Main Generator Exciter System supplies the Direct Current (DC) field excitation current for the generator. It automatically maintains the generator output voltage and controls reactive load in accordance with the setting determined by the operator. It also maintains the generator output within the design capability to keep the generator in synchronism with the electrical transmission grid system. The Exciter System is a rotating, brushless type system that consists of a permanent magnet generator (PMG) {PMG}, an Alternating Current (AC) generator {GEN}, and a rotating rectifier assembly {RECT} mounted on a common shaft. The PMG provides 120 Volt AC, 420 Hertz (Hz), 3-phase power to the exciter field through the field breaker and four Power Amplifiers {AMP}. Two Thyristor Firing Modules control the firing (on) times of the Power Amplifier thyristors (SCRs) {SCR}, and by varying (delaying) the point in the AC sine wave at which the SCRs are pulsed, the magnitude of the current flow to the main generator exciter field can be changed. Each of the two Firing Modules has an internal +/-15 VDC power supply {JX} which is energized by the PMG. The 120 VAC, 420 Hz, 3-phase supply from the PMG is stepped down to 90 VAC inside the Firing Module and is rectified to a DC voltage. The +/-15 VDC voltage is used to power all of the other circuit cards inside the Firing Module. Once the main generator is spinning at its rated 1800 revolutions per minute (RPM), the power supply output is always 15 VDC, regardless of generator load.

Two controls are used to transmit and adjust the main generator output terminal voltage demand signals to the Thyristor Firing Modules and Power Amplifiers. These are Base Adjust and Voltage Adjust. The Base Adjuster {EC} is used to generate the signal that determines a base or fixed value for excitation and serves as the means of adjusting voltage and reactive load in the manual mode. The Voltage Adjuster {EC} is used to adjust and, in conjunction with the Automatic Voltage Regulator (AVR) {90}, maintains a predetermined generator output voltage or reactive load. When the AVR is off, only the signal from the Base Adjuster is allowed to be applied to the two Thyristor Firing Modules. With the AVR in service, the AVR output is connected to the Firing Modules. The AVR output signal will add to or subtract from the base signal to maintain the desired main generator output terminal voltage as set by the Voltage Adjuster.

Following the November 3, 2017 reactor trip event, the AVR was removed from service and the Thyristor Firing Module B that had failed on August 31, 2017 was inspected. A visual inspection and subsequent bench testing revealed that internal components of the failed Thyristor Firing Module were damaged. Specifically, one of the phase transformers (T1) used to step down the 120 VAC, 420 Hz, 3-phase supply from the PMG to 90 VAC was damaged and four of the six input diodes (D1, D2, D3, and D5) for the +/-15 VDC power supply were damaged. The T1 transformer was replaced, along with the other two phase transformers (T2 and T3). The power supply containing the failed diodes was also replaced with a spare power supply. Prior to returning it to service, the repaired Thyristor Firing Module B was tested for functionality. A Failure Modes Analysis (FMA), Fault Tree Analysis, and troubleshooting plan were used to identify and test different segments of the system, as well as the individual subcomponents. Each identified failure mode was systematically eliminated through testing. The AVR system as a whole underwent a full functional test prior to return to service in order to verify its proper operation.

The FMA and Fault Tree Analysis focused on the Main Generator Exciter, PMG, AVR, and their subcomponents for investigation, which collectively work to maintain field excitation in the main generator. The FMA identified a total of 48 potential failure modes, and these were reviewed independently by an Engineering consultant for reasonableness of the basis of each failure mode. Troubleshooting eliminated all but one failure mode, which could neither be eliminated nor confirmed. This potential failure mode described a condition where the degraded/failed Thyristor Firing Module B caused a failure of the in service/operating parallel redundant Thyristor Firing Module A. This potential failure mode was supported by the investigation and testing of the failed components, which revealed that the power supply internal to Module B did not isolate upon failure and may have

affected the operation of Module A since the two modules share multiple connection points (i.e., the modules are not electrically isolated).

Since this final remaining failure mode could not be proven by testing, the Engineering consultant suggested the implementation of a monitoring plan to allow for additional information processing during and following plant start up. This monitoring plan was put in place via the temporary installation of a high speed recorder, and had been incorporated in an ODMI.

Subsequent to the November 3, 2017 event reported herein, IP3 experienced a reactor trip due to Main Generator loss of field on February 16, 2018. Following that event, a FMA was performed to aid in troubleshooting. The FMA identified a total of 47 potential failure modes, and the troubleshooting plan systematically eliminated all but one of the potential failure modes. The remaining potential failure mode was a failure of a +/-15 VDC power supply in the Thyristor Firing Module drawers. This failure mode was later confirmed during testing under controlled conditions when it was revealed that the power supply in the Firing Module A (top) drawer had a failed diode. The intermittent conducting/non-conducting failure mode of the D8 diode created a competition between the two power supplies for control of the +/-15 VDC buses, which caused sudden changes in power supply voltage to the components downstream in the Firing Module drawer and in the Power Amplifier drawers. The temporary high speed recorder installed following the November 3, 2017 event captured data showing that such a transient occurred approximately six seconds prior to the main generator loss of field trip. The AVR sensed the transient and attempted to correct the degraded exciter field voltage by sending a signal to raise excitation to the Thyristor Firing Modules. Despite a continuous signal being applied to increase excitation, the AVR was unsuccessful in recovering the exciter field voltage to achieve the minimum excitation required. Consequently, the changes in power supply voltage caused by the intermittent failure of auctioneering diode D8 were ultimately sufficient to disrupt the exciter field for a period that was long enough to actuate the main generator Loss of Field Relay 40. This, in turn, tripped the Generator Protection System Backup Lockout Relay 86BU and resulted in the turbine trip and automatic reactor trip. This later event revealed that diodes are not invulnerable. It has therefore been determined that the failure of a diode in the Thyristor Firing Module B drawer power supply was the direct cause of the November 3, 2017 reactor trip event.

An extent of condition (EOC) review was conducted to determine where the same or similar conditions may exist, but the adverse impact has not yet occurred. For this event, the EOC was limited to the IP2 and IP3 main generator AVRs where a failure could result in a direct plant trip either by a loss of excitation or by other means. The assessment concluded that the extent of condition at IP3 has a low associated risk due to the extensive troubleshooting and testing that occurred on the Exciter System and AVR following the November 3, 2017 reactor trip event, and since the system was returned to service with no known deficiencies. At IP2, although the AVR is of a different design, the recent operating history of the AVR was reviewed to ensure that any similar conditions are properly evaluated and addressed.

CAUSE OF EVENT

The direct cause of the loss of main generator field was the failure of the "B" Thyristor Firing Module drawer power supplies. The loss of the main generator field actuated Generator Protection System Backup Lockout Relay 86BU, which in turn initiated the turbine trip and reactor trip.

The root cause of this event was an improper refurbishment of obsolete firing drawer power supplies, which caused the event by allowing failure of subcomponents (diodes) that are considered a low probability failure type. The basis for this determination is that diodes are considered to be robust solid-state components that are not generally expected to fail under normal operating conditions. Because of their inherent durability, diodes are not normally replaced during power supply refurbishments. Testing of the power supplies after refurbishment

assumes diodes are acceptable as long as the power supply tests satisfactorily. Although diodes are considered robust components, they are not invulnerable and the power supply refurbishment performed in 2014 should have included instructions to replace the diodes as a preventive measure.

Significant contributing causes to this event are:

1. Inconsistent evaluation of vendor recommendations. This contributed to this event by not properly validating the vendor recommendation that was used during CDP development. This was also a contributor in that the decision not to upgrade the AVR, contrary to vendor recommendations, allowed the obsolete equipment to remain in service, as a result of a knowledge gap in the industry due to antiquated equipment.
2. Ineffective implementation of the CDP. This contributed to this event by removing the opportunity to challenge assumptions and smaller decisions that factored into the options of the final CDP.

CORRECTIVE ACTIONS

The following corrective actions have been or will be performed under the Entergy Corrective Action Program to address the causes of this event.

- Following the IP3 reactor trip event, repairs were made to the failed components and the system was returned to service with no known deficiencies.
- A temporary modification was implemented under Engineering Change (EC)-74798 to install monitoring equipment and record certain key IP3 main generator AVR operating parameters. This monitoring equipment ensured that important system parameters were logged to assist in the troubleshooting of the February 16, 2018 failure of the AVR.
- An ODMI for CR-IP2-2017-04158 (Revision 2) was in effect to provide guidance for operation of the AVR with the Generator Exciter monitoring plan. This ODMI required monitoring of generator parameters for abnormal operation, including the monitoring equipment installed under EC-74798, and provided direction on actions to be taken in the event that one or more generator parameters deviate outside of their normal operating ranges, or if thyristor power supply voltage was unstable.
- As part of the final system functional test, it was verified that a Thyristor Firing Module drawer could be electrically disconnected without creating a significant system disturbance. This will make future troubleshooting and isolation easier in the event of recurrence of this or a similar failure.
- The critical decision process was revised to require justification of assumptions and evaluate decisions regarding equipment issues for inclusion in the risk register.
- An Engineering Change replaced the two existing obsolete Thyristor Firing Module power supplies with two new Acopian {A038} power supplies using redundant power packages.
- As an Extent of Cause action, an evaluation was performed to determine if power supplies for other selected control systems in high-critical single point vulnerable systems which have the potential to cause a direct plant trip were obsolete. There were no obsolete power supplies.
- As an Extent of Condition action, the recent operating history of the IP2 AVR was reviewed to ensure that any similar conditions are properly evaluated and addressed. No similar conditions were discovered.

EVENT ANALYSIS

The event is reportable under 10 CFR 50.73(a)(2)(iv)(A). The licensee shall report any event or condition that resulted in manual or automatic actuation of any of the systems listed under 10 CFR 50.73(a)(2)(iv)(B). Systems to which the requirements of 10 CFR 50.73(a)(2)(iv)(A) apply for this event include the Reactor Protection System (RPS) {JC}, including reactor trip, and AFWS actuation. This event meets the reporting criteria because an automatic reactor trip

was initiated on November 3, 2017 at 2022 hours and the AFWS was automatically actuated on a valid low SG water level signal due to shrink effect.

PAST SIMILAR EVENTS

A review was performed of the past five years for IP2 and IP3 Licensee Event Reports (LERs) that reported a reactor trip resulting from a failure of the Main Generator Excitation System, including the exciter and voltage regulator. The review identified IP2 LER 2012-006 that reported an automatic reactor trip which occurred on June 6, 2012. The reactor trip resulted from a turbine trip due to a trip of Backup Generator Lockout Relay 86BU on loss of main generator field excitation. Investigation determined the 86BU relay actuation was triggered by relay 62BU1/AUX {RLY, 62}, which serves as a time delay for the KLF-40 loss of field relay {RLY, 40}. The actuation of the loss of field relay was in response to a loss of generator field excitation from the Generrex voltage regulator system {TL, EXC}. The Generrex is the IP2 main generator exciter regulating system manufactured by the General Electric Company {G080}. The direct cause of the reactor trip was loss of generator field excitation due to failure of the Generrex C-Phase Trigger Generation Card. The root cause was indeterminate, but was most likely due to premature failure of the U5 operational amplifier (op-amp) on the C-Phase Trigger Generation Card causing the U3 and U6 op-amps to also degrade. Corrective actions included replacement of the C-Phase Trigger Generator and AC/DC Gate cards with new cards which were then calibrated and monitored for proper operation. The failed C-Phase Trigger Generator card was shipped to a vendor for an equipment failure analysis, and it was later confirmed that the card failed due to failure of the op-amps.

SAFETY SIGNIFICANCE

This event had no effect on the health and safety of the public. There were no actual safety consequences for the event because it was an uncomplicated automatic reactor trip with no other transients or accidents, and the required primary safety systems performed as designed. The AFWS actuated and provided required feedwater flow to the SGs. The AFWS actuation was an expected reaction to the low SG water level caused by SG void fraction (shrink). This occurs after a reactor trip due to main steam {SB} back pressure that results from the rapid reduction of steam flow following turbine control valve {TA, FCV} closure. A reactor trip with the reduction in SG level and AFWS actuation are conditions for which the plant is analyzed. This event was bounded by the analyzed event described in IP3 Updated Final Safety Analysis Report (UFSAR) Section 14.1.9, Loss of Normal Feedwater. The AFWS has adequate redundancy to provide the minimum required flow assuming a single failure. The UFSAR analysis demonstrates that the AFWS is capable of removing the stored and residual heat plus reactor coolant pump {P} waste heat following a loss of normal feedwater event, thereby preventing over-pressurization of the Reactor Coolant System (RCS) {AB} and preserving reactor coolant inventory.

The analysis in UFSAR Section 14.1.8, Loss of External Electrical Load, concludes that an immediate reactor trip on a turbine trip is not required for reactor protection. A reactor trip on a turbine trip is provided to anticipate probable plant transients and to avoid the resulting thermal transient. If the reactor {AC} is not tripped by a turbine trip, the over temperature delta temperature (OTDT) or over pressure delta temperature (OPDT) trip would prevent safety limits from being exceeded. This event was bounded by the analyzed event described in UFSAR Section 14.1.8. The response of the plant is evaluated for a complete loss of steam load or a turbine trip from full power without a direct reactor trip. The analysis shows that the plant design is such that there would be no challenge to the integrity of the RCS or main steam system {SB} and no core safety limit would be violated.

For this event, all control rods inserted as required upon initiation of the reactor trip. The RCS pressure remained below the setpoint for pressurizer power operated relief valve (PORV) {AB, RV} and code safety valve {AB, RV} operation, and above the setpoint for automatic safety injection actuation. Following the reactor trip, the plant was stabilized in hot standby with decay heat being removed by the main condenser.