

## ENCLOSURE 2

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“GNF CRDA Application Methodology”  
February 2018

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Global Nuclear Fuel

NEDO-33885  
Revision 0  
February 2018

*Non-Proprietary Information—Class I (Public)*

## Licensing Topical Report

# GNF CRDA Application Methodology

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## ACRONYMS AND ABBREVIATIONS

<b>Term</b>	<b>Definition</b>
3D	Three-Dimensional
AOO	Anticipated Operational Occurrence
APRM	Average Power Range Monitor
ASME	American Society of Mechanical Engineers
ATWS	Anticipated Transient Without Scram
BOC	Beginning-of-Cycle
BPWS	Banked Position Withdrawal Sequence
BWR	Boiling Water Reactor
CFR	Code of Federal Regulations
CHAN	Channel
CPR	Critical Power Ratio
CRD	Control Rod Drive
CRDA	Control Rod Drop Accident
CRE	Control Rod Ejection
DMH	Direct Moderator Heating
DNBR	Departure from Nucleate Boiling Ratio
DSS-CD	Detect and Suppress Solution – Confirmation Density
ECP	Engineering Computer Program
EOC	End-of-Cycle
EPF	Enthalpy Peaking Factor
ESBWR	Economic Simplified Boiling Water Reactor
FGR	Fission Gas Release
FWHM	Full-Width Half-Maximum
GDC	General Design Criteria
GE	General Electric
GEH	GE-Hitachi Nuclear Energy Americas LLC
GNF	Global Nuclear Fuel – Americas, LLC
HTCF	High Temperature Cladding Failure
IRM	Intermediate Range Monitor
LCO	Limiting Condition for Operation
LDPSP	Low Dome Pressure Set Point
LHGR	Linear Heat Generation Rate
LOCA	Loss-of-Coolant Accident
LPF	Local Peaking Factor
LPSP	Low Power Set Point
LTR	Licensing Topical Report
MOC	Middle-of-Cycle
MSIV	Main Steam Isolation Valve
N/A	Not Applicable



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<b>Term</b>	<b>Definition</b>
NPR	No Prompt Response
NRC	Nuclear Regulatory Commission
OEM	Original Equipment Manufacturer
OOS	Out-of-Sequence
PCMI	Pellet-Cladding Mechanical Interaction
PIRT	Phenomena Identification and Ranking Table
PLR	Part Length Rod
PPE	Peak Pellet Exposure
PPR	Pin Power Reconstruction
PRNM	Power Range Neutron Monitor
PWR	Pressurized Water Reactor
RAI	Request for Additional Information
RFRAC	Release Fraction
RG	Regulatory Guide
RIA	Reactivity-Initiated Accident
RLP	Reference Loading Pattern
RPS	Reactor Protection System
RTP	Rated Thermal Power
RWE	Rod Withdrawal Error
SE	Safety Evaluation
SNPB	Nuclear Performance and Code Review Branch
SPERT	Special Power Excursion Reactor Test
SR	Surveillance Requirement
SRP	Standard Review Plan
STS	Standard Technical Specifications
TER	Technical Evaluation Report
TOPP	Time of Peak Power
TS	Technical Specifications
WRNM	Wide Range Neutron Monitor

## **1.0 INTRODUCTION**

In Boiling Water Reactors (BWRs), the Control Rod Drop Accident (CRDA) is a postulated design basis reactivity insertion accident. This Licensing Topical Report (LTR) provides a method for analyzing the effects of such an event.

### **1.1 Event Description**

A postulated CRDA scenario is described below. The plant administrative and/or instrumentation controls on the rod pattern sequence are in operation. The operator begins to withdraw control rods following a predetermined withdrawal sequence. A control rod becomes decoupled from its drive and remains stuck at the full-in position. Later in the startup sequence, the rod falls at the maximum velocity and produces a high local reactivity increase in a small region of the core. The reactor attains a positive period; however, the initial power burst is limited by the Doppler reactivity feedback. The Reactor Protection System (RPS) flux- and/or period-based trip signals scram the reactor but the transient is largely terminated by the Doppler reactivity feedback before the scram has time to influence the power. Other inherent feedback mechanisms, primarily in the form of steam voids, also decrease reactivity and reduce the power and enthalpy rise in the fuel.

### **1.2 Regulatory Background**

The original basis for CRDA analysis was developed beginning in 1972 with the previous CRDA LTR, NEDO-10527 (References 1, 2, and 3). Guidance was provided by the Nuclear Regulatory Commission (NRC) for a Pressurized Water Reactor (PWR) Control Rod Ejection (CRE) in Regulatory Guide (RG) 1.77 in 1974 (Reference 4), consistent to what was applied for BWRs. Subsequently General Electric (GE) developed the Banked Position Withdrawal Sequence (BPWS) LTR, NEDO-21231 (Reference 5), which describes a process to limit control rod worth from a postulated CRDA.

New information on fuel performance under prompt power excursion conditions has since become available, and the NRC has provided new guidance for Reactivity-Initiated Accidents (RIAs), starting with Revision 3 of the Standard Review Plan (SRP), Section 4.2, in 2007 (Reference 6). Reference 6 provided acceptance criteria thresholds for fuel cladding failure, which were based on more recent prompt power testing. Two types of criteria were provided, a High Temperature Cladding Failure (HTCF) criterion that varied with fuel rod internal pressure, and a Pellet-Cladding Mechanical Interaction (PCMI) criterion that varied with hydrogen content. These new criteria were then updated to reflect the state-of-the-art knowledge of the NRC in 2015 (Reference 7), which provides the basis for the methodology in this LTR.

### **1.3 Summary**

This document describes and demonstrates a methodology for assuring compliance with the applicable BWR CRDA licensing acceptance criteria. The CRDA consequences are evaluated based on the fuel enthalpy response during the event. The proposed methodology evaluates these enthalpy responses in relation to the NRC-provided guidance on the fuel cladding failure thresholds (Sections 3.2 and 3.3) and other related failure mechanisms to confirm that no cladding failures occur during the event.

The CRDA calculations are performed with TRACG, which has been previously approved for a multitude of BWR analyses, including Anticipated Operational Occurrence (AOO) (References 8 and 9), Anticipated Transient Without Scram (ATWS) (References 9 and 10), Loss-of-Coolant Accident (LOCA) (Reference 11), and Detect and Suppress Solution – Confirmation Density (DSS-CD) (Reference 12) as described in Section 3.1.3. The methodology also makes use of the PANACEA Three-Dimensional (3D) core simulator, which uses the same 3D kinetics model as TRACG, and is also separately approved for steady-state BWR neutronics applications (Section 3.1.1). Throughout this document PANACEA and TRACG are used as general terms for programs that implement the models and methodology described in Section 3.1 or their NRC approved successors.

The use of these proven methods allows for modeling the feedback during the CRDA event to calculate the response for the given conditions. The comparison of the calculated enthalpies to the failure thresholds demonstrates that the cladding failure thresholds are not exceeded, and thus no cladding failures are predicted. The results of the application demonstration show that enthalpy response is limiting in BWRs at cold conditions.

This methodology applies a number of conservatisms, [[

]] and the use of conservative failure thresholds. [[

]] Section 3.7.1 provides detailed information on the process conservatisms.

[[

]] See

Section 3.5 for more information.

This methodology is applicable to all BWR types and all fuel designs for which the methods referenced in Section 3.0 are applicable. [[

]]

See Section 7.0 for more information.

## **2.0 LICENSING REQUIREMENTS AND SCOPE OF APPLICATION**

### **2.1 Applicable Guidance**

The *General Design Criteria for Nuclear Power Plants* are stipulated in Appendix A to Part 50 of Title 10 of the Code of Federal Regulations (CFR). Each SRP section describes the acceptance criteria to meet the relevant requirements of the NRC's regulations, as they relate to the General Design Criteria (GDC). NRC approval of licensing methods used for CRDA analysis implies that the methods are capable of assessing a CRDA response as it relates to the GDC. Reactivity insertion events, specifically the CRDA in BWRs, are classified in Section 15.0 of the SRP (Reference 13) as postulated accidents.

The NRC guidelines for review of BWR CRDA events are identified in SRP Section 15.4.9 (Reference 14). Additional acceptance criteria and guidance for RIAs are provided in SRP Section 4.2 Appendix B (Reference 6). These interim criteria are further refined in NRC staff technical memorandum ML14188C423 (Reference 7).

Applicable design criteria for CRDA are Criterion 13 and Criterion 28. Criterion 28 is discussed further in Section 2.2.1; however, Criterion 13 is generically addressed by the methodology described herein because 1) rod pattern controls (administrative or instrumentation-based) are operating normally, 2) [[ ]] and 3) no additional controls are necessary for this event. Applicable guidance from the SRP related to the design criteria is listed in Section 2.2.1.

### **2.2 Application Methodology**

This report demonstrates a methodology for determining the consequences of a CRDA using TRACG. [[

]] TRACG and PANACEA have been qualified as described in Section 3.1.

#### **2.2.1 Regulatory Compliance**

GDC 28 of 10 CFR 50, Appendix A requires reactivity control systems to be designed with appropriate limits on the potential amount and rate of reactivity increase to ensure that the effects of postulated reactivity accidents can neither result in damage to the reactor coolant pressure boundary greater than local yielding value, nor sufficiently disturb the core, its support structures, or other reactor pressure vessel internals so as to impair significantly the capability to cool the core. GDC 28 also requires that these postulated reactivity accidents include consideration of rod ejection (unless prevented by positive means), rod dropout, steam line rupture, changes in reactor coolant temperature and pressure, and cold-water addition.

This application methodology using TRACG and PANACEA for BWR CRDA evaluations addresses all elements of the NRC review guidelines (References 6, 7, and 14). These criteria are collected and directly quoted in Table 2-1, which also shows where these criteria are addressed in the methodology.

**Table 2-1: BWR CRDA Regulatory Guidance**

Reference	Description	Section of the LTR Where Guidance is Addressed
Reference 14 II.1	GDC 13, as to the availability of instrumentation to monitor variables and systems over their anticipated ranges to assure adequate safety, and of appropriate controls to maintain these variables and systems within prescribed operating ranges.	See supporting review items below.
Reference 14 III.1.A	The reviewer verifies whether the applicant considers for this event a spectrum of initial conditions that cover the range of time-in-cycle and initial power levels.	4.1.1.4 / 4.3.4
Reference 14 III.1.B	The reviewer verifies the use of maximum expected individual control rod worths. The nominal control rod withdrawal pattern and abnormal patterns not precluded by an instrumentation system accepted under SRP Chapter 7 review must be considered in the development of control rod worth criteria.	4.2 / 4.3
Reference 14 III.1.C	The reviewer determines whether an acceptable and conservative function describes the control rod worth as a function of control rod position and whether the control rod position as a function of time is suitably conservative.	3.1.1 / 3.1.2.1 / 3.7.1 / 4.1.1
Reference 14 III.1.D	The reviewer determines whether conservative reactivity coefficients, notably the Doppler, are compatible with those described in SRP Section 4.3.	3.7 / 4.1.1.5
Reference 14 III.1.E	The reviewer ensures that the scram action is represented conservatively in the integral scram worth curve (SRP Section 4.3) and in the scram delay time.	3.7.1 / 4.1.1
Reference 14 III.1.F	Analytical methods are checked for previous review and approval. The applicant's methods should account conservatively for all major reactivity feedback mechanisms.	3.1

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Reference	Description	Section of the LTR Where Guidance is Addressed
Reference 14 II.2	<p>Acceptance criteria are based on GDC 28 requirements as to the effects of postulated reactivity accidents that result in neither damage to the reactor coolant pressure boundary greater than limited local yielding nor result in sufficient damage to impair significantly core cooling capacity.</p> <p>Regulatory positions and specific guidelines necessary to meet the relevant GDC 28 requirements are in SRP Section 4.2.</p> <p>The maximum reactor pressure during any portion of the assumed excursion should be less than the value that causes stress to exceed the "Service Limit C" as defined in the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code.</p>	See supporting review items below.
Reference 14 III.2	The reviewer inspects the results of the calculation of maximum reactor pressure for compliance with the acceptance criterion in subsection II of this SRP section (the reviewer may do an audit calculation when appropriate).	3.8
Reference 14 II.3	10 CFR 100.11 and 10 CFR 50.67 establish radiation dose limits for individuals at the boundary of the exclusion area and at the outer boundary of the low population zone. The fission product inventory released from all failed fuel rods is an input to the radiological evaluation to SRP Section 15.0.3. SRP Section 4.2 describes fuel rod failure mechanisms. Guidance for calculating radiological consequences is in RGs 1.183 and 1.195.	See supporting review items below.
Reference 14 III.3.A	The reviewer determines whether the transient critical power ratio is computed by an acceptable technique (reviewed either previously or de novo during this review) for analyses using at-power conditions.	4.4
Reference 14 III.3.B	The reviewer must determine the number of failed rods for the radiological evaluation. The number of fuel rod failures for each of the failure mechanisms addressed in SRP Section 4.2 (Reference 6) (see below) must be combined.	3.2 / 3.3 / 3.4 / 3.5 / 3.8 / 4.2 / 4.3
Reference 14 III.3.C	The reviewer determines the acceptability of the time-dependent steaming and activity releases from each potential release path (condenser, etc.). Each scenario should be investigated in combination and separately for the most severe release path.	3.8

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Reference	Description	Section of the LTR Where Guidance is Addressed
<p>Reference 6 Appendix B, Section B.1</p> <p>As modified by Reference 7</p>	<p>The high cladding temperature failure criteria for zero power conditions is a peak radial average fuel enthalpy greater than 170 cal/g for fuel rods with an internal rod pressure at or below 1 MPa above system pressure, linearly decreasing to a minimum value of 100 cal/g for fuel rods with an internal rod pressure between 1 MPa and 4.5 MPa above system pressure.</p> <p>For intermediate (greater than 5% Rated Thermal Power (RTP)) and full power conditions, fuel cladding failure is presumed if local heat flux exceeds thermal design limits (e.g., Departure from Nucleate Boiling Ratio (DNBR) and Critical Power Ratio (CPR)).</p>	<p>3.3 / 4.4</p>
<p>Reference 6 Appendix B, Section B.2</p> <p>As modified by Reference 7</p>	<p>The PCMI failure criteria is a change in radial average fuel enthalpy greater than the corrosion-dependent limit provided. See Reference 7 for applicable limits.</p>	<p>3.2</p>
<p>As added by Reference 7</p>	<p>If fuel temperature anywhere in the pellet exceeds incipient fuel melting conditions, then fuel cladding failure is presumed.</p>	<p>3.5</p>
<p>Reference 6 Appendix B, Section C</p> <p>As modified by Reference 7</p>	<p>Fuel rod thermal-mechanical calculations, employed to demonstrate compliance with the peak radial average fuel enthalpy and peak fuel temperature criteria, must be based upon design-specific information accounting for manufacturing tolerances and modeling uncertainties using NRC approved methods including burnup-enhanced effects on pellet power distribution, fuel thermal conductivity, and fuel melting temperature.</p>	<p>3.1.3.6 / 3.7.1</p>
<p>Reference 6 Appendix B, Section C.1</p> <p>As modified by Reference 7</p>	<p>Peak radial average fuel enthalpy must remain below 230 cal/g.</p>	<p>3.8</p>

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Reference	Description	Section of the LTR Where Guidance is Addressed
Reference 6 Appendix B, Section C.2  As modified by Reference 7	A limited amount of fuel melting is acceptable provided it is restricted to (1) fuel centerline region and (2) less than 10% of pellet volume. For the outer 90% of the pellet volume, peak fuel temperature must remain below incipient fuel melting conditions.	3.5 / 3.8
Reference 6 Appendix B, C.3  As modified by Reference 7	Mechanical energy generated as a result of (1) non-molten fuel-to-coolant interaction and (2) fuel rod burst must be addressed with respect to reactor pressure boundary, reactor internals, and fuel assembly structural integrity.  Per Reference 7, this is no longer applicable until such time as regulatory guidance exists.	3.8
Reference 6 Appendix B, C.4  As modified by Reference 7	No loss of coolable geometry due to (1) fuel pellet and cladding fragmentation and dispersal and (2) fuel rod ballooning.  Per Reference 7, this is no longer applicable until such time as regulatory guidance exists.	3.8
Reference 6 Appendix B, Section D  As modified by Reference 7	The total fission-product gap fraction available for release following any RIA would include the steady state gap inventory (present prior to the event) plus any fission gas released during the event. Updated FGR correlations provided in Reference 7.	3.3.1 / 3.8



### **3.0 METHODOLOGY**

The methodology for evaluation of a postulated BWR CRDA applies methodologies from three main technology areas: (1) core neutronics modeling, (2) hydraulic modeling, and (3) fuel thermal/mechanical modeling. Core modeling performed using the PANACEA BWR core simulator depends on lattice physics inputs generated using the TGBLA lattice physics program. Hydraulic modeling of the reactor system, core, and fuel channels is accomplished using TRACG, which relies on the nuclear modeling from TGBLA and PANACEA to calculate the transient power distribution in the core. TRACG calculates the thermal response of the fuel rods using specific inputs from the PRIME fuel thermal/mechanical program to represent the initial conditions of the fuel pellets and rods.

Implementation of the CRDA application methodology is accomplished without any technical modifications to any of the three main technology areas. The technical models in PANACEA, TRACG, and PRIME as used previously in NRC-approved applications (Reference 15) have not been modified. As will be demonstrated, these programs can all be applied within their qualified application ranges without any changes in the technical modeling capability. The CRDA application methodology describes how the inputs for these programs are specified and how the calculated outputs are applied to demonstrate compliance with the CRDA acceptance criteria.

#### **3.1 Models**

The PANACEA, TRACG, and PRIME models are applied in a complementary way to implement the CRDA application methodology described in this LTR. The elements from each model are described in further detail below as they pertain to their use in the CRDA application.

##### **3.1.1 3D Kinetics in PANACEA and TRACG**

PANACEA and TRACG use the same 3D kinetics model to solve for the nodal power distribution. The lattice physics treatment with TGBLA is integral to application of PANACEA, and is inherently a part of the approved applications (Reference 16) of both PANACEA and TRACG. The TGBLA lattice physics data needed to calculate the nuclear parameters is processed in PANACEA and passed into TRACG via the PANACEA wrapup file. The PANACEA wrapup file is the interface between PANACEA and TRACG exactly as it is in the NRC-approved AOO, ATWS, and stability applications (References 8, 9, 10, and 12). The basic model for calculating the transient neutron flux and power distribution is described in Section 9.1 of Reference 17. Inputs to the model are different between TRACG and PANACEA because of different intended applications. PANACEA is primarily intended for steady state core design and simulation, so it has many functions and associated inputs that are not needed by TRACG. For example, TRACG does not need PANACEA's capability to expose the core through the fuel cycle because TRACG can initialize the transient by accessing the exposed core conditions that PANACEA creates. TRACG is primarily intended for transient evaluations, so it has hydraulic and heat transfer models that supplant the simpler models in PANACEA. [[

]]

The nodal powers calculated by either PANACEA or TRACG are based on the solution of the 3D neutron diffusion equation using a modified one neutron energy group representation and up to six delayed neutron precursors. PANACEA solves the equation either in its steady-state or transient form depending on which module is being used. Either form accounts for the presence or absence of a control blade for each node. TRACG always solves the more general transient form which will reduce to the steady state form if all inputs are converged to a consistent set of steady conditions. The transient solution evaluates the time-dependent neutron flux and neutron precursor concentrations at every (i,j,k) node as a function of time. Control blade movements during the transient are explicitly modeled. The 3D kinetics modeling for CRDA in TRACG is no different from the modeling employed for TRACG AOO transient (References 8 and 9) or stability (Reference 12) applications previously approved by the NRC.

### **3.1.2 PANACEA**

PANACEA is used in several different ways for design, licensing, and monitoring of the current BWR fleet in the United States. No technical changes in PANACEA are necessary to implement the CRDA methodology, as the models currently used in various applications are applicable. Some current applications include: core design to determine the Reference Loading Pattern (RLP) for reload licensing, evaluation of shutdown margin, AOO evaluations of Rod Withdrawal Error (RWE), and monitoring of thermal limits (Reference 15). Use of PANACEA for CRDA calculations has previously been accepted by the NRC as part of the certification of the Economic Simplified Boiling Water Reactor (ESBWR) (Reference 19).

#### **3.1.2.1 Control Blade Worth**

PANACEA has the proven ability to calculate static control blade worths. This capability is already applied when performing licensing calculations for cold shutdown margin demonstration (Reference 15). Positive reactivity due to control blade withdrawal during the CRDA is the single most important variable in the assessment of CRDA scenarios. [[

]] TRACG uses the transient 3D neutron kinetics model from PANACEA, thus TRACG has the same capability as PANACEA to simulate control blade movements during a CRDA transient. For the most limiting high-worth control blades that produce local prompt criticality during the CRDA, the scram occurs too late to affect the amplitude of the power pulse or the corresponding prompt enthalpy deposition. In the longer term, the scram

reduces the overall core reactivity, which will reduce the transient power response calculated by PANACEA or TRACG. [[

]]

### **3.1.2.2 PANACEA Nodal Power and Nodal Fuel Enthalpy Responses**

Nodal fuel enthalpy and nodal fuel temperature are directly related via the fuel specific heat as indicated in Section C.1.2 of Reference 17. The fuel temperature can be calculated from the fuel enthalpy or the fuel enthalpy can be calculated from the fuel temperature using Equation C.1-7 of Reference 17. [[

]]

As will be shown later, the PANACEA model can appropriately calculate the prompt enthalpy rise that occurs in the fuel prior to any perceptible changes in the moderator conditions if the core is initially cold. The analysis methodology has been qualified against the Special Power Excursion Reactor Test (SPERT) reactivity transient tests, as documented later in this report. [[

]] TRACG can be used as an alternate calculation [[

]]

### **3.1.2.3 Rod Power Local Peaking Factor**

An estimation of the LPF during the CRDA transient is needed so that the enthalpy response for the most limiting fuel rod can be calculated. [[

]] The NRC previously reviewed and accepted the calculation of pin powers using TGBLA lattice inputs and PANACEA with PPR as indicated in their final Safety Evaluation (SE) contained in Reference 20.

### **3.1.2.4 Rod Fuel Enthalpy Peaking Factor**

[[

]]

[[

]]

**Figure 3-1: EPF as a Function of Time**

### **3.1.3 TRACG**

The detailed models used in TRACG and their verification are described in the TRACG licensing documents contained in References 8, 9, 17, and 21. None of these models have changed. The models, processes, and interfaces are unchanged from those previously reviewed by the NRC for other applications that apply the 3D kinetics model (i.e., AOO (References 8 and 9), stability (Reference 12), and ATWS (References 9 and 10)). TRACG also contains heat transfer, hot rod, and cladding perforation models developed, quantified, and reviewed for LOCA applications (Reference 11). All these models, processes, and interfaces are also applicable for CRDA applications. CRDA calculations performed for the ESBWR using TRACG have previously been accepted by the NRC (Reference 19). This document focuses on how the existing TRACG capabilities are applied in CRDA applications.

#### **3.1.3.1 TRACG Modeling Incorporated from PANACEA**

TRACG and PANACEA use the same 3D kinetics model to solve for the nodal power distribution. The basic model for calculating the transient neutron flux and power distribution is described in Section 9.1 of Reference 17. The description of the model application and differences between TRACG and PANACEA are described in Section 3.1.1.

The initial control blade pattern that will be evaluated during the TRACG transient CRDA calculation is also specified via the PANACEA wrapup file. [[

]]

Static control blade worths in TRACG are the same as they are in PANACEA because they are calculated from the same 3D kinetics model using the same initial inputs. The user interface to move control blades is different in TRACG but ultimately it sets the nodal control fractions in the same array in the 3D kinetics model that is used in PANACEA.

Nuclear parameters required for the 3D kinetics model are the same between TRACG and PANACEA. They are described in Section 9.2 of Reference 17. The distinction between TRACG and PANACEA is related to the hydraulic and fuel temperature values that are needed to evaluate the nuclear parameters. As discussed in Section 3.1.1 the PANACEA hydraulic models are simpler because they are intended mainly for steady-state analyses whereas the TRACG models are designed for transient applications. Notwithstanding, by design both models produce exactly the same initial nodal power distribution for the steady state. Section 9.4 of Reference 17 describes the implementation of the TRACG thermal hydraulics with the PANACEA 3D kinetics model both for the initial steady state and in general during the evaluation of the transient.

### **3.1.3.2 TRACG Nuclear-Related Modeling that is Different from PANACEA**

[[

]] The TRACG model is described in Section 9.3 of Reference 17. [[

]]

TRACG has a model for calculating the amount of Direct Moderator Heating (DMH) that depends on local moderator density. Equation 9.4-14 of Reference 17 describes the basic TRACG model. The TRACG model was developed originally for AOO applications as explained in Subsection C3DX in Section 5.1 of Reference 8. [[

]]

TRACG has a detailed mechanistic model for calculating fuel rod temperatures that is applicable for both steady state and transients (Reference 11). For steady state, PANACEA estimates the nodal effective average fuel temperature using heat flux tables that relate nodal power to fuel temperature. Note that one downstream effect of implementing the PRIME models (Reference 18) was to change the PANACEA heat flux tables to be consistent with how reduced fuel pellet thermal

conductivity with exposure produces higher fuel temperatures given the same nodal power. [[

]]

### **3.1.3.3 TRACG Fuel Rod Enthalpy**

In TRACG, transient heat transfer from the fuel to the moderator is calculated for each axial cell of each fuel rod group of each simulated channel. [[

]]

Calculated fuel temperatures are obtained from a one-dimensional heat conduction equation performed at each axial cell of each simulated fuel rod group in each simulated fuel channel group. The technical details for the fuel rod heat conduction model are provided in Section 4.2.3 of Reference 17. [[

]]

### **3.1.3.4 TRACG Models that Affect Calculated Fuel Temperatures**

The models that are used for CRDA calculations are the same models that are used for all other TRACG applications: AOO (References 8 and 9), stability (Reference 12), ATWS (References 9 and 10), and LOCA (Reference 11). The nominal models are used [[



]]

Heat transfer coefficients at the cladding surface are calculated using the best-estimate models described in Section 6.6 of Reference 17. These models have been validated for a wide range of conditions that include ranges of application expected for CRDA evaluations.

CRDA applications utilize the TRACG dynamic modeling for the pellet-cladding gap. The model as described in Section 7.5.2 of Reference 17 refers to the original GESTR-LOCA gap conductance model. The model based on PRIME is essentially unchanged and it is accurate to substitute PRIME for GESTR in this description. [[

]] More details about the PRIME interface  
with TRACG are provided in Section 3.1.3.6.

[[

]]

The thermal conductivity of the fuel is calculated within TRACG using the model that has been updated to be equivalent to the thermal conductivity model used in PRIME (Reference 18). Details are provided in Section C.1.4.1 of Reference 17. The PRIME thermal conductivity model is now the default model in TRACG and is used for all applications. The PRIME fuel thermal conductivity model accounts for effects due to exposure and gadolinia content that were not considered in the previous model.

### **3.1.3.5 TRACG Calculated Fuel Rod Internal Gas Pressure**

All TRACG models used to calculate fuel rod internal gas pressure are unchanged from their previous applications for AOO (References 8 and 9), stability (Reference 12), ATWS (References 9 and 10), and LOCA (Reference 11). Fuel rod internal gas pressure is calculated by TRACG for every rod group of every simulated channel using Equation 7.5-31 of Reference 17. Other details for how the TRACG model accounts for changes in the gas volume and temperatures are provided in Sections 7.5.3.1 and 7.5.3.2 of Reference 17. [[

]]

The PRIME fuel files provide the key inputs as is the case in all other TRACG applications that use the dynamic gap model. [[

]]

For CRDA transients in TRACG, the PRIME fuel files are applied [[

]]

Limitation 1.3 in the NRC SE contained in Reference 11 “permits modeling of competitor or co-resident fuel to the extent that TRACG LOCA can accommodate the design features of such fuel, but requires that operating constraints on such fuel remain supported by, or more conservative than, the analytic methods furnished by the vendor(s) of the fuel.” [[

]]

#### **3.1.3.6 TRACG Cladding Perforation Calculation**

The local pressure difference between the calculated fuel rod internal gas pressure and local coolant pressure is used to calculate the cladding hoop stress. The details are provided in Section 7.5.3.1. of Reference 17. [[

]] (See the response to Nuclear Performance and Code Review Branch (SNPB) Request for Additional Information (RAI)-33 in NEDE-33005P-A Revision 1 (Reference 11).)

The most important features of the TRACG cladding perforation model are: [[

]] The details of the model are provided in Section 7.5.3.1. of Reference 17.

Increases in fuel rod internal gas pressures and cladding temperature depend on the power level [[

]] See Section 3.4 for a discussion of how the TRACG cladding perforation model complements the NRC CRDA acceptance criteria.

#### **3.1.4 PRIME**

The NRC-approved PRIME steady-state capability (References 22, 23, and 24) is used to produce the fuel file inputs that are needed in the TRACG CRDA calculations. [[

]] The PRIME references cited here contain the entire contents of their corresponding NRC final SE and Technical Evaluation Report (TER).

##### **3.1.4.1 Fission Gas**

Fission gas production in the fuel pellet and release of fission gas from the fuel pellet is modeled by PRIME [[  
]] This information is passed to TRACG via the PRIME fuel files. [[

]]

In the NRC assessment (Reference 18) of PRIME, the NRC contractor Pacific Northwest National Laboratory concluded that the FGR model in PRIME is acceptable for steady-state and transient cases up to a [[ ]]. This exposure limitation is adequate for CRDA evaluations because the bundles that produce the largest enthalpy responses have lower exposures.

#### **3.1.4.2 Gap Conductivity**

Gap conductivity depends on the composition of the gases in the free volume inside the fuel rod tube and outside of the fuel pellet. Gap conductivity affects the calculated fuel rod temperatures, which in turn influence the release of fission gas from the fuel pellet. These effects are all modeled in PRIME to provide a best estimate of the amount of fission gas that has been released from the fuel into the gap.

Fission gas values obtained from PRIME are passed to TRACG via the fuel files. [[

]]

#### **3.1.4.3 Fuel Rod Thermal-Mechanical Model**

The PRIME fuel rod thermal-mechanical model together with all other PRIME models are used [[ ]] as defined in Section 3.3.1 of Reference 24. [[

]]

The PRIME fuel files [[

]] This interface is the way that PRIME thermal-mechanical limits are communicated and enforced in downstream application programs like TRACG.

For CRDA applications the PRIME fuel files are applied [[

]] These

uncertainties are summarized in Section 8.6 of the SE on PRIME in Reference 24 where the reviewer concluded for each element that the treatment of uncertainty was acceptable.

### **3.1.5 Model Qualification Relevant to CRDA Applications**

Coupling of the PANACEA 3D neutronic model with hydraulic, fuel rod, and other models in TRACG has previously been utilized for AOO (References 8 and 9), stability (Reference 12), and ATWS (Reference 9 and 10) calculations. These models are qualified for BWR applications by comparison to BWR plant data in Chapter 7 of Reference 21. This qualification against plant data when combined with the separate effects, component, and system effects testing in earlier chapters of Reference 21 provides an excellent basis for the application of TRACG to all BWR plant transients, including CRDA applications.

#### **3.1.5.1 Description of SPERT III Core**

Comparisons with experimental rod drop transients performed at the SPERT III facility in 1965 provide additional qualification of PANACEA and TRACG for rapid reactivity insertions like those that characterize the limiting BWR CRDA events. The experimental tests are very fast and of short duration so hydraulic modeling is not important except to establish the initial thermal conditions for the fuel. For this reason, the tests can be simulated well using only PANACEA. For comparison purposes, the test (Test 43) with the highest reactivity insertion of \$1.21 was also simulated with TRACG.

Details of the SPERT III facility and the reactivity insertion tests that were performed there are available in Reference 26. For convenience, some of the most relevant features are briefly summarized here with additional information provided in Section 3.8 of Reference 21. The SPERT III facility was designed as a small model PWR. The fuel was sintered  $\text{UO}_2$  ( $10.5 \text{ g/cm}^3$ ) enriched to 4.8%. The fuel rods were 0.466 inches (11.8 mm) in diameter and located in 4x4 and 5x5 BWR type fuel bundles. The fuel bundles were located on three-inch (7.62 cm) centers. The control rods were of two types: a single cruciform transient rod and eight box shim rods. The control rods were made of borated steel. The transient rod was located in the center of the core and was inserted into the core from the bottom. The shim rods were in the second and third fuel ring and were inserted from the top of the core. Each shim rod had a fuel leader which consisted of a sixteen-rod fuel bundle. The placement of the different components of the SPERT III core is shown in Figure 3.8-1 of Reference 21. The cruciform control rod was in the center of the core with the four sixteen-rod bundles surrounding it. Each core quadrant contained two coupled shim rods. Additional design characteristics of the SPERT III core can be found in Table 3.8-1 of Reference 21.

The cold reactivity insertion transients were run from a 294 K (70°F) condition with no coolant flow. The reactivity insertion at cold startup conditions ranged from \$0.77 to \$1.21. For comparison of the SPERT III transients with the methodology described above, the nuclear cross sections were generated using the TGBLA06 nuclear lattice design program with each unique 4x4 or 5x5 fuel lattice simulated separately. As in the typical BWR model, each fuel assembly was

modeled as a stack of twenty-four equal-length axial nodes. Because of the shorter SPERT III active core height of 97.28 cm (38.3 inches) compared to ~366 to 381 cm (144 to 150 inches) for a BWR core, the axial mesh height used for SPERT III simulation was 4.05 cm (~1.59 inches) compared to a typical mesh height of 15.24 cm (6 inches) for a BWR core.

### 3.1.5.2 PANACEA Transient Experiment Comparisons

Table 3-1 summarizes for several of the SPERT III core cold startup transients the key comparisons between the PANACEA calculated and experimental results and experimental uncertainties from Reference 26. Specifically, Tests 22, 13, 17, 51, 19, 21, 41, and 43 were considered. These are arranged in Table 3-1 in order of increasing accident rod worth.

The initial critical position of the shim-transient rods for each case was different. [[

]] The cold critical experimental configuration at 294 K (70°F) and a pressure of 1.01352E5 Pa (14.7 psia) is documented in Reference 26 to occur with the shim bank position 37.08 cm (14.6 inches) above the bottom of the core, and the transient rod all the way in (i.e., at notch position 00).

[[

]] The maximum reactivities obtained for all cases along with the initial target eigenvalue are well within the experimental uncertainty.

The peak power for all of cases agrees well with 1.5 standard deviations of the experimental values. [[

]]

The power responses versus time for all eight PANACEA cases were compared to the corresponding measured responses. In all cases the agreement was very good for the time ranges over which the experimental data was recorded. Of all the tests, the power response from Test 43 with the highest reactivity insertion of \$1.21 is expected to best reflect a power response from a BWR CRDA event that would result in a fuel enthalpy response closest to the acceptance criteria. For this reason, only the plots for Test 43 are shown here in Figure 3-2 and Figure 3-3.

Integration of the power response with time for the calculated and measured responses allows one to quantify the total energy deposited in the fuel. These total energies do not quantify the effects of specific bundle and fuel rod local power peaking but they do provide a quantity that is

proportional to the core-averaged energy deposited in the fuel which is thus proportional to deposited fuel enthalpy.

### 3.1.5.3 TRACG Transient Experiment Comparisons

Only Test 43 of the SPERT III cold reactivity insertion transients was analyzed with TRACG because it was the test with the highest control blade worth of  $1.21 \pm 0.05$ . TRACG simulation of Test 43 is documented in Section 3.8 of Reference 21. For convenience, the information has been summarized here. A PANACEA wrapup file was generated for the corresponding Test 43 configuration and initial conditions. The PANACEA wrapup file provides the nuclear parameters as functions of water density, fuel temperature, control state and exposure as described in Section 9.2 of Reference 17.

[[

]]

The TRACG simulation was performed up to the time where experimental data were recorded at the nominal control rod worth of 1.21. [[

]]

The calculated powers are compared with the experimental results in Figure 3-4. [[

]]

The peak power (total core power) is very sensitive to the control rod worth as shown in Figure 3-4. [[

]] The energy deposition or integrated power during the experiment is obtained by integrating the power curves with time. For comparison purposes, the calculated energies have been shifted in time by the same amount as used to line up the calculated peak powers. The integrated powers are compared in Figure 3-5. [[

]] The comparison shows that the TRACG calculation for the nominal rod worth of \$1.21 predicts the total energy within the estimated uncertainty. [[

]]

#### **3.1.5.4 SPERT III Benchmark Conclusions**

The methodologies in PANACEA and TRACG used in the analysis of reactivity insertion accidents involve the use of the time-dependent 3D diffusion equations using the modified one-and-a-half group equations from the steady-state methods and six delayed neutron groups. [[

]] Both methodologies have been qualified by comparison to the SPERT III experimental rod drop accident measurements. They show excellent agreement with these experimental data. Based on the demonstrated characteristics and the qualification of the two methodologies, it is concluded that methods in PANACEA and TRACG are valid for the purposes of the analyzing rapid reactivity insertion events.



**Table 3-1: Summary Comparison of Experimental and PANACEA Calculated Results**

<b>Description</b>	<b>Maximum Reactivity (\$)</b>	<b>Peak Power (MWth)</b>	<b>Time of Peak (s)</b>	<b>Energy@ TOPP (MJ)</b>
Test 22 (experimental uncertainty)	0.77 (0.03)	2.1 (0.3)	13.7 (0.2)	6.9 (1.2)
[[				]]
Test 13 (experimental uncertainty)	0.93 (0.04)	5.6 (0.8)	3.2 (0.06)	5.1 (0.9)
[[				]]
Test 17 (experimental uncertainty)	0.99 (0.04)	8.6 (1.3)	1.2 (0.05)	3.3 (0.6)
[[				]]
Test 51 (experimental uncertainty)	1.00 (0.04)	11 (2)	1.08 (0.02)	2.4 (0.4)
[[				]]
Test 19 (experimental uncertainty)	1.03 (0.04)	18 (3)	0.63 (0.01)	1.9 (0.3)
[[				]]
Test 21 (experimental uncertainty)	1.09 (0.04)	56 (8)	0.38 (0.01)	2.8 (0.5)
[[				]]
Test 41 (experimental uncertainty)	1.13 (0.05)	110 (17)	0.31 (0.02)	3.8 (0.6)
[[				]]
Test 43 (experimental uncertainty)	1.21 (0.05)	280 (42)	0.21 (0.006)	6.0 (1.0)
[[				]]

[[

]]

**Figure 3-2: PANACEA Power Response Comparison to SPERT 43 Data (“EXPT” is experimental data, “ALT” is time-shifted PANACEA calculation)**

[[

]]

**Figure 3-3: PANACEA Integrated Energy Comparison to SPERT 43 Data (“EXPT” is experimental data, “ALT” is time-shifted PANACEA calculation)**

[[

]]

**Figure 3-4: TRACG Power Response Comparison to SPERT 43 Data**

[[

]]

**Figure 3-5: TRACG Integrated Energy Comparison to SPERT 43 Data**

### **3.2 PCMI Enthalpy Criteria**

The PCMI fuel cladding failure mechanisms for a postulated CRDA event are described in References 6 and 7. Because cladding failure may occur almost instantaneously during a prompt power rise, the PCMI cladding failure threshold is based on the prompt fuel enthalpy increase. The prompt fuel enthalpy increase is defined as the radial average fuel enthalpy increase at the time corresponding to one half-height pulse width after the peak of the prompt power pulse, referred to as one Full-Width Half-Maximum (FWHM) after the peak. Hereafter, the term “delta enthalpy” is substituted for the prompt fuel enthalpy increase for brevity.

The interim RIA acceptance criteria and guidance (SRP 4.2 Appendix B (Reference 6)) provide a definition for the PCMI cladding failure threshold, which is modified based on additional information in Reference 7. The PCMI threshold is expressed in terms of the delta enthalpy ( $\Delta\text{cal/g}$ ) and is dependent on the excess fuel rod cladding hydrogen content. The numerical values for the PCMI failure threshold from Reference 7 are listed in Table 3-2 and the PCMI failure threshold is illustrated in Figure 3-6.

#### **3.2.1 Hydrogen Model**

The PCMI fuel cladding failure threshold is presented in terms of delta enthalpy versus fuel rod cladding hydrogen content. However, it is desirable to convert it to a form based on fuel burnup

(i.e., exposure) to be more easily applied in CRDA analyses. This conversion is achieved by utilizing an exposure-dependent hydrogen concentration model.

To demonstrate the conversion of hydrogen concentration to exposure, this report applies the NRC-provided best-estimate hydrogen uptake model for modern cladding alloys (Equation 3.2-1) from Reference 27. The modern hydrogen model is chosen as it is based on fuels more representative of current fuel than the legacy model. [[ ], a 1.40 multiplier is applied to the modern hydrogen uptake model, per Reference 27, which is approximately equal to two sigma at lower burnup.

$$C_H = 1.4 * \{22.8 + \exp[0.117 * (PPE - 20)]\} \quad (3.2-1)$$

where:

$C_H$  = Total hydrogen concentration (wppm)

PPE = Peak Pellet Exposure (GWd/MTU)

The term peak pellet exposure is the standard terminology for the value of the local axial burnup calculated by PANACEA. PPE is approximated as the *peak nodal pin exposure* (i.e., the peak fuel pin exposure in a calculational node). The application of this hydrogen model to the PCMI cladding failure threshold is demonstrated in Section 3.2.2.

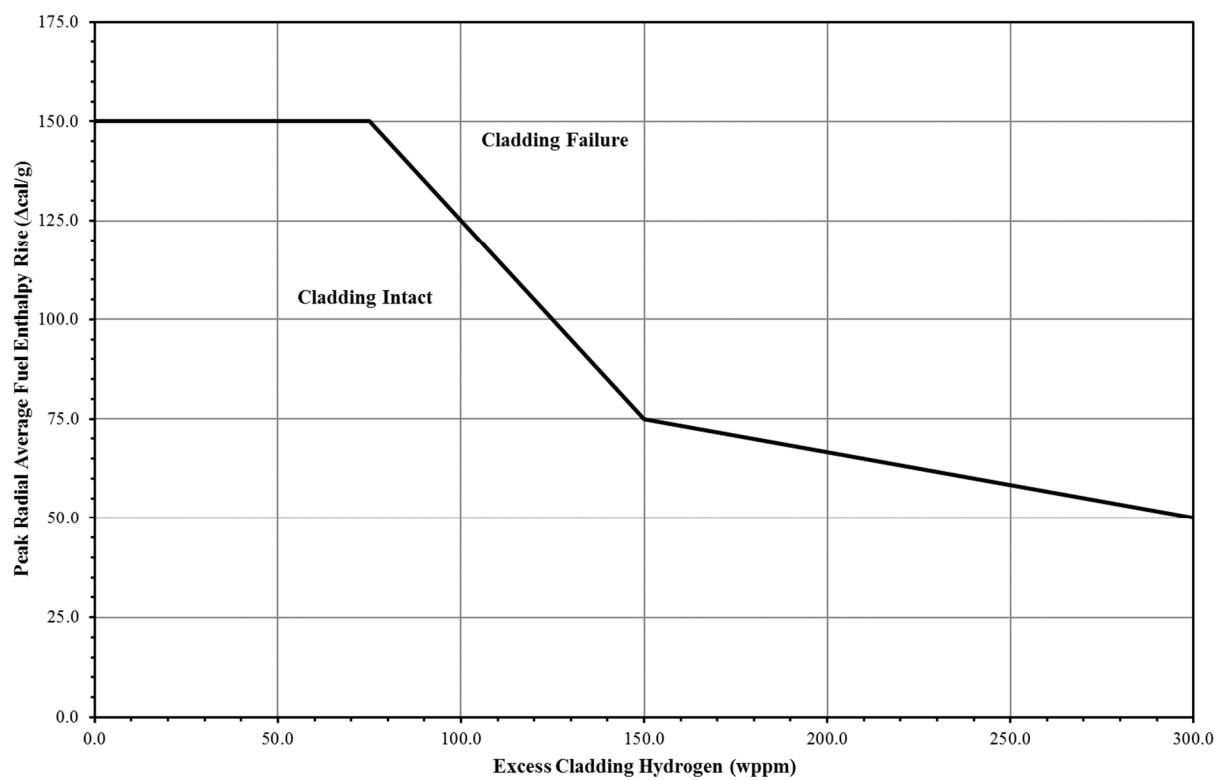
### 3.2.2 PCMI Threshold Conversion

As exposure and enthalpy are readily available from the TRACG calculations, the threshold criteria from Section 3.2 are more useful as a function of exposure, rather than as a function of hydrogen content. Therefore, the hydrogen model from Section 3.2.1 is applied to the threshold criteria to convert them to a function of exposure. Equation 3.2-1 is used iteratively to determine the exposure that produces the target hydrogen concentration. Thus, the PCMI failure threshold as a function of hydrogen content is recast in terms of delta enthalpy and PPE. This then allows a direct comparison to the outcome of a CRDA with a known delta enthalpy and PPE.

The numerical values for the PCMI criteria developed with the hydrogen concentration model from Section 3.2.1 are listed in Table 3-2. The PCMI failure threshold is illustrated in Figure 3-6 and the corresponding PCMI criteria are illustrated in Figure 3-7.

**Table 3-2: PCMI Failure Threshold and Enthalpy Criteria**

Hydrogen Concentration (wppm)	Exposure (GWD/MTU)	Delta Enthalpy (Δcal/g)
0.0	0.0	150.0
75.0	49.3	150.0
150.0	57.9	75.0
300.0	64.9	50.0



**Figure 3-6: PCMI Failure Threshold**

[[

]]

**Figure 3-7: PCMI Enthalpy Criteria vs. Exposure**

### **3.3 High Temperature Cladding Failure Enthalpy Criteria**

The HTCF mechanisms for CRDA events are described in References 6 and 7. These events are associated with a slower increase in temperature than occurs in PCMI failure events and are based on an absolute peak radial average fuel enthalpy, not a prompt enthalpy increase (i.e., not the delta enthalpy). The interim RIA acceptance criteria and guidance (SRP 4.2 Appendix B (Reference 6)) provide a definition for the failure thresholds, which is modified based on additional information in Reference 7. The final criteria that emerge from Section 3.2.1.3 of Reference 7 provide a composite failure threshold, depicted in Figure 3-8, which is represented by the following Equation 3.3-1:

$$\text{Cladding differential pressure} < 1.0 \text{ MPa}, \quad (3.3-1)$$

$$\text{Peak radial average fuel enthalpy} = 170 \text{ cal/g}$$

$$\text{Cladding differential pressure} > 1.0 \text{ MPa}, < 4.5 \text{ MPa},$$

$$\text{Peak radial average fuel enthalpy} = 170 - ((\Delta P - 1.0) * 20) \text{ cal/g}$$

$$\text{Cladding differential pressure} > 4.5 \text{ MPa},$$

$$\text{Peak radial average fuel enthalpy} = 100 \text{ cal/g}$$



where:

$\Delta P$  = Cladding differential pressure (MPa)

### 3.3.1 Fission Gas Release Model Application

The total fission gas in the gap and fuel rod plenum must be determined to calculate the cladding differential pressure. This total includes the FGR from steady-state operation, as well as the transient FGR that occurs during the CRDA event. The steady-state calculation of released and retained fission gas is performed using the approved PRIME methodology, as described in Section 3.1.4.

The only missing component is then the additional transient FGR that occurs during a postulated CRDA. This methodology applies the NRC-provided transient FGR model (Reference 7) (Equations 3.3-2A and 3.3-2B) to obtain the percent transient FGR during the CRDA event. This is then applied to the retained fission gas fraction from PRIME to obtain the combined steady-state and transient FGR in the fuel rod.

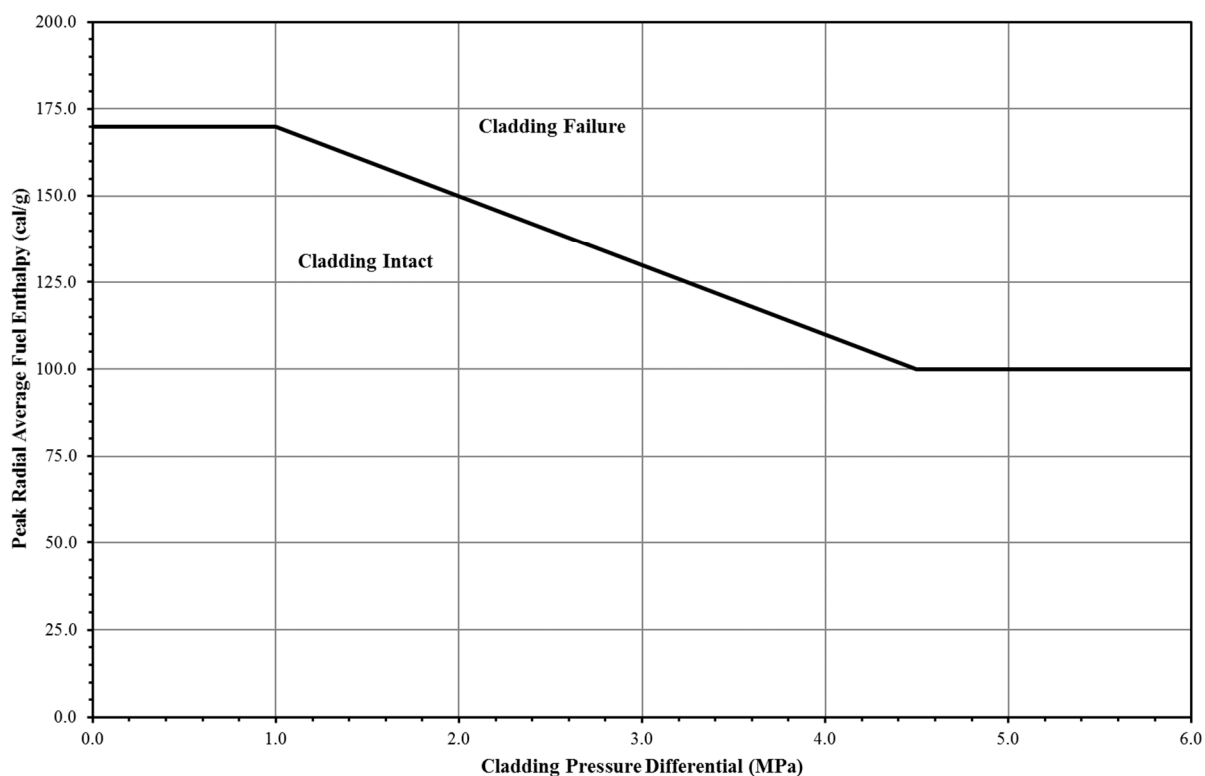
$$\text{PPE} < 50 \text{ GWd/MTU: Transient FGR (\%)} = [(0.26 * \Delta H) - 13] \quad (3.3-2A)$$

$$\text{PPE} > 50 \text{ GWd/MTU: Transient FGR (\%)} = [(0.26 * \Delta H) - 5] \quad (3.3-2B)$$

where:

FGR = Fission gas release, must be greater than or equal to zero (%)

$\Delta H$  = Radial average fuel enthalpy increase throughout the event ( $\Delta\text{cal/g}$ )



**Figure 3-8: HTCF Threshold**

### 3.4 Cladding Perforation Caused by Temperature-Induced Strain

The NRC CRDA acceptance criteria presume a relationship based on test data that relates cladding failure to (1) cladding hydrogen content, (2) fuel pellet radially average enthalpy, and (3) differential pressure between fuel rod internal gas pressure and the coolant pressure. Items 1 and 2 are supported by cladding failure data obtained from singular power pulses in test reactors. These singular power pulses were designed to produce fuel responses that are bounding of a fast reactivity insertion event like a BWR CRDA or a PWR CRE. Item 3 is an acknowledgment that differential pressure on the cladding that increases with fission gas accumulations at higher exposures will be exacerbated during a CRDA power pulse.

[[

]] The TRACG perforation model described in Section 3.1.3.6 complements the NRC CRDA acceptance criteria by evaluating the cladding hoop stress relative to the rupture hoop stress. [[

]] the CRDA mitigation strategy described in Section 4.3 will be used to also prevent cladding perforations that are predicted by the TRACG cladding perforation model.

### **3.5 Fuel Melting**

Per Reference 7, the change to the core cooling guidance resulted in the addition of a new failure threshold: if a fuel pellet experiences any incipient melting, it is now considered a failed rod for CRDA calculations. For CRDA calculations the fuel centerline temperature can be checked against the appropriate exposure and material-dependent fuel melting temperature to determine if any melting has occurred. Alternatively, it can be demonstrated that fuel temperatures do not rise above a conservatively bounding temperature for all expected conditions in the core. If it is demonstrated that no melting occurs in the fuel pellets, then no rods are considered to have failed due to fuel melt.

### **3.6 [[ ]]**

[[

]]

### 3.7 Uncertainty Evaluation

A description of the postulated BWR CRDA is described in Section 1.1 begins with an assumed complete mechanical disconnection of a control blade drive from its cruciform control blade at or near the coupling in such a way that the drive can move independently of the control blade. The probability of this initial mechanical failure is low because the design of the drive and its coupling uses high quality materials and it receives stringent quality control testing procedures appropriate to other equipment typically listed in the critical component list for a plant. Additionally, tests conducted under both simulated reactor conditions and conditions more extreme than those expected in reactor service have shown that the drive (or coupling) retains its integrity even after thousands of scram cycles. Tests also show that the drive and coupling do not fail when subjected to forces twenty times greater than that which can be achieved in a reactor (Reference 1).

For purposes of completely evaluating the consequences of the failed control drive coupling, the mechanical failure is assumed to be possible for any control blade at any time during the fuel cycle. Also for purposes of conservative evaluation, it is assumed that the decoupled control blade has stuck in the fully inserted location because this will allow for the maximum drop of the control blade when the drive is withdrawn and thus maximize the reactivity insertion. The condition of a decoupled control blade becoming stuck in its fully inserted position is highly unlikely because each blade is equipped with rollers or pads that make contact with the nearly flat channel walls. Because a control blade weighs approximately 84.4 kg (i.e., 186 pounds), even if it separates from its drive, gravity forces would tend to make the blade follow its drive movement as if it were connected (Reference 1).

At some later time after the drive has been withdrawn, the previously decoupled and stuck control blade is assumed to fall or drop at its terminal velocity from its full-in position to the position of its decoupled drive mechanism. For purposes of conservative evaluation, the drop is assumed to occur at the time in cycle and reactor conditions where the reactivity insertion would be maximized.

The probability of blade separation from its drive is already extremely low and this event does not, of itself, immediately result in a CRDA, making the probability of an impactful CRDA even more unlikely. The control rod separating from the Control Rod Drive (CRD) mechanism is not of immediate reactor safety consequence as would be a LOCA event, where the line break initiates the LOCA. In most cases, if such a blade separation were to occur, it is expected that the blade would not be stuck, but rather follow its drive movement. The separation would be detected at the next fully withdrawn stroke where the ability to withdraw to the over-travel position would signal separation, because the blade bottoms on a seat and prevents withdrawal to the over-travel position if connected. Thus, this drive could be inserted and declared inoperable in accordance with the plant Technical Specifications (TS) until the next outage where it could be repaired. However, for the analysis, it is presumed that the separated blade is somehow stuck at the fully inserted position. This assumption sets up a condition whereby, if the drive were withdrawn, the stuck blade could later fall to its drive position and cause a reactivity insertion accident defined as the CRDA. The assumption of a blade being stuck at the full-in position, while also dropping during the start-up sequence, at the maximum drop velocity, and with a worst-case blade pattern, results in an analysis

which postulates an extraordinarily unlikely event. Despite the improbability of the event, this methodology addresses key uncertainties for the CRDA evaluations as described in the following section.

### 3.7.1 || Addressing Uncertainties

Specific key uncertainties that are known to affect CRDA evaluations were called out in the regulatory guidance summarized in Table 2-1. Elements from Table 2-1 related to uncertainty have been listed below in Table 3-3 [1]

### Table 3-3: $\llbracket$ $\rrbracket$ Used to Address Uncertainties

Uncertainty	Process Treatment	Requirement
Reactivity Coefficients (Doppler)	[[  ]] The modeling sensitivity in TRACG is provided in Section 3.7.2.	Reference 14 III.1.D
Reactivity Coefficients (Void)	Sensitivity to void reactivity is examined in Section 3.7.2, [[  ]]	Reference 14 III.1.D
Manufacturing Uncertainties	[[          ]]	Reference 6 Appendix B, C  As modified by Reference 7

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Uncertainty	Process Treatment	Requirement
Fuel Cladding Failure Thresholds	<p>The fuel cladding failure criteria is a lower bound of the applicable failure data from different fuel rod designs and claddings [[</p> <p style="text-align: center;">]]</p> <p>Additionally, the hydrogen model (Section 3.2.1) accounts for uncertainties in the hydrogen data, [[</p> <p style="text-align: center;">]]</p>	General
Modeling Uncertainties: Pellet Burnup Related Effects	<p>As discussed in Section 3.1.3.5, [[</p> <p style="text-align: center;">]]</p>	Reference 6, Appendix B, C As modified by Reference 7
Fission Gas Release	<p>[[</p> <p style="text-align: right;">]] See Sections 3.1.4.1, 3.3.1, and 4.1.1.2 for additional information.</p>	Reference 6 Appendix B, D  As modified by Reference 7

<b>Uncertainty</b>	<b>Process Treatment</b>	<b>Requirement</b>
Control Rod Worths	[[          ]] Variation on worth with exposure and cycle are addressed through calculational processes discussed in Section 4.3.	Reference 14 III.1.C
Reactor Scram	[[          ]]	Reference 14 III.1.E

### 3.7.2 Doppler and Void Reactivity Feedback Evaluation

There are two inherent feedback mechanisms that affect the local reactivity during a postulated CRDA event. The prompt power pulse is limited by the Doppler reactivity feedback while formation of steam voids in the moderator limits the long-term power, in the absence of a scram from neutron flux or period trip signals. [[

]]

**Table 3-4:** [[ ]]

[[			
			]]

**Table 3-5:** [[ ]]

[[		
		]]



[[

]]

**Figure 3-9:** [[

]]

[[

]]

**Figure 3-10:** [[ ]]

### **3.8 Post Failure Criteria Treatment**

In addressing GDC 28 requirements, the methodology described herein does not calculate a number of failed fuel rods, but instead demonstrates that no failures occur for a given withdrawal sequence. Per Item III.3.B of Reference 14, the number of failed fuel rods is determined by assessing the various failure mechanisms including against the thresholds addressed in References 6 and 7. If it is determined, as in the case of this methodology, that the number of failed rods is zero, then the post-failure criteria of References 6 and 7 are no longer applicable. The post-failure criteria that are no longer applicable includes mechanical energy generated from non-molten fuel-to-coolant interaction, fuel melting considerations, fuel rod burst impacts on pressure boundary and reactor internals, and loss of coolable geometry. Additionally, without fuel cladding failures no radiological dose evaluation is required due to a postulated CRDA (References 6 and 7) and there is no need to calculate the fission product inventory. Therefore, GDC 28 is addressed by precluding cladding failures for a postulated CRDA.

## **4.0 TECHNICAL EVALUATION PROCESS DESCRIPTION**

This section describes the application methodology using the methods and models described in the previous section. The calculations of enthalpy in TRACG provide the licensing basis for meeting the cladding failure thresholds (Sections 3.2, 3.3, 3.4, and 3.5) and confirming that no cladding failures will occur. The inputs, and assumptions, and a description of the evaluation and of how the outputs are used are provided in Section 4.1.

[[

]]

### **4.1 TRACG Enthalpy Evaluation**

Enthalpy calculations with TRACG form the basis for demonstrating that no cladding failures occur during a postulated CRDA. The calculations are performed consistent with the models described in Section 3.1 and with the inputs and assumptions described in the following sections.

#### **4.1.1 Inputs and Assumptions**

The TRACG analyses require a number of key inputs and assumptions, which simplify the analysis. Some of the generic model assumptions and inputs are described in Section 3.1; however, the details of these and other key inputs [[ ]] are described in more detail in the following subsections. Along with these, there are a number of assumptions which are specific to the enthalpy analysis, which are not previously described.

The first of these assumptions is that the accident blade is assumed to fall from full-in to the CRD position at a rate of 3.11 ft/s. This is the maximum rate determined during velocity limiter testing from Reference 1. The accident blade is assumed to achieve this velocity instantaneously rather than accelerating from a resting position. This assumption introduces the greatest amount of positive reactivity in the shortest amount of time, resulting in a conservative calculated response from the fuel.

A second key assumption is [[

inputs is provided in the following subsections. ]] Additional information on key

#### **4.1.1.1 Basedeck and Nodalization**

The general TRACG model is described in Section 3.1.3. [[

]]

The channel geometry is generated based on fuel dimensions, spacer grids, water rod locations and dimensions, and loss coefficient specifications, consistent with References 9 and 12. [[

]]

[[

]]

**Figure 4-1: Example TRACG Channel Grouping**

[[

]]

**Figure 4-2: Example Delta Enthalpy Around Accident Blade ( $\Delta\text{cal/g}$ )**

#### **4.1.1.2 Transient Fission Gas Release**

[[

]]

This application of the FGR model is for calculation of fuel rod internal pressure to determine the ratio to the HTCF threshold for a given CRDA analysis (Section 3.3). As the intention of the methodology is to demonstrate no fuel cladding failures due to a CRDA (Section 3.8), no considerations of dose release are made, and the process of calculating FGR above for dose considerations is not part of this methodology.

#### **4.1.1.3 Initial Coolant Temperature**

It has been observed that the consequences of a CRDA are worse at lower reactor coolant temperatures. [[

]] The results in Table 4-1 are expressed as ratios to the PCMI and HTCF enthalpy criteria from Sections 3.2 and 3.3. The ratios to the enthalpy criteria are used to determine trends in the sensitivities of this section and Section 4.1.1.4, as the failure criteria in Sections 3.4 and 3.5 are pass/fail criteria which do not provide trending information.

[[

11

### Table 4-1: Coolant Temperature Evaluation Results

[illegible]

**Note:**

1. [[

#### 4.1.1.4 Power and Flow Evaluation

CRDA events of concern in a BWR are those postulated to occur at startup conditions, either cold zero-power or hot zero-power; however, these conditions could potentially incorporate a range of core flows, and, based on the definitions of the point at which CRDA is no longer a concern, can

also include a range of very low powers. [[

]]

Table 4-2: Reactor Power and Core Flow Evaluation Inputs

Parameter	Value
[[	
	]]

Note:

1. [[

]]

[[

]]

Table 4-3: [[

]]

[[				
				]]



**Table 4-4:** [[ ]]

[[				
				]]

**4.1.1.5** [[ ]]

[[

]]

[[

**Figure 4-3:** [[

]]  
]]

[[

]]

**Figure 4-4:** [[

]]

#### **4.1.2 Enthalpy Calculation**

PANACEA is run to create the appropriate neutronics input to TRACG for the CRDA scenario being evaluated. [[

]]

The CRDA evaluation is then performed in TRACG using the basedeck and nodalization described in Section 4.1.1.1 and the inputs and assumptions described in Section 4.1.1. [[

]]

These peak fuel rod enthalpies are then compared to the failure criteria (Sections 3.2 and 3.3) using the exposure distribution from PANACEA and the differential rod internal pressure as calculated by TRACG (Section 3.1.3.5). Additionally, the fuel temperatures calculated for the hot rod group in each channel can be evaluated to confirm there has been no fuel pellet melting.

**4.2** [[ ]]

[[

]]

[[

]]

**Figure 4-5:** [[ ]]

#### **4.2.1 Out-of-Sequence Control Rods**

This section presents the detailed process [[ ]], to justify a certain number of allowed out-of-sequence control rods in a given withdrawal sequence.

##### **4.2.1.1 Background**

During reactor startup and power ascension, there are various reasons why a plant operator may have to deviate from the analyzed startup sequence. For example, a CRD may become inoperable for various mechanical or electrical reasons. In such an instance, the usual operator action is to fully insert and disarm such an inoperable control rod. Plant operation may continue provided that the Limiting Condition for Operation (LCO) in the plant TS are still met.

There are also reasons why a plant operator may wish to deliberately deviate from an analyzed startup sequence by leaving a control rod fully inserted. One example is when a control rod adjacent is left inserted for power suppression of a specific fuel bundle. Another example could be

that a control rod is particularly difficult to withdraw off notch position 00 and the control rod is left fully inserted and withdrawn later in the sequence.

Most plant TS permit up to eight inoperable control rods. This allowance for eight inoperable control rods has a wider basis than CRDA. It is customary, however, for the CRDA analysis to allow for eight sequence deviations. For example, the previous GE generic CRDA evaluation (BPWS (Reference 5)) assumed a maximum of eight inoperable control rods based on plant TS. In principle, more than eight sequence deviations could be allowed, but the number eight is judged to be more than adequate for actual plant operation.

[[

]]

CRDA evaluations need to account for out-of-sequence control rods. However, the number and location of these out-of-sequence control rods are not known in advance. [[

]] The standard process evaluates eight out-of-sequence control rods; however, the number of out-of-sequence control rods may be changed to support any desired flexibility.

#### **4.2.1.2 Evaluation Process**

[[

]]

### **4.3 Plant- and Cycle-Specific CRDA Evaluation**

This section presents an application of the methodology described in Sections 4.1 through 4.2 to the CRDA evaluation for any given plant and fuel cycle design. Control rod patterns developed in accordance with this section are referred to as “analyzed rod position sequence(s)” in the TS.

#### **4.3.1 Inputs**

The following inputs are needed to perform a cycle-specific CRDA evaluation:

1. Nominal RLP
2. [[

]]

Input 1 defines the reactor statepoints used to calculate the static reactivity worth of all dropped control rods using PANACEA. [[

]]

#### **4.3.2 Assumptions**

[[

]]

#### 4.3.3 Process Outline (Standard Process)

A startup sequence is divided into a number of steps. A "step" is defined as the selection of a single control rod (or a single gang of control rods in a BWR/6), the movement of that rod (or gang) from an initial position to a final position, and then the deselection of that rod (or gang). The control rod(s) may be moved continuously or in single notch increments (or a mixture of both). The step is not completed until the control rod (or gang) is at its target position and is deselected for movement. A startup sequence progresses in steps until all control rods within a group are at a designated bank position. At this point, either the same group of control rods is moved to another bank position, or a different control rod group is chosen for movement.

[[

]]

Potential dropped control rods are considered over a wide range in which the reactor is assumed to be critical. The reactor is assumed to be critical at a given step in the sequence if the calculated eigenvalue is within the range of [[

]]. The upper bound of the analysis range is provided by either the Low Power Set Point (LPSP) or the Low Dome Pressure Set Point (LDPSP) (See Section 4.4.1).

[[



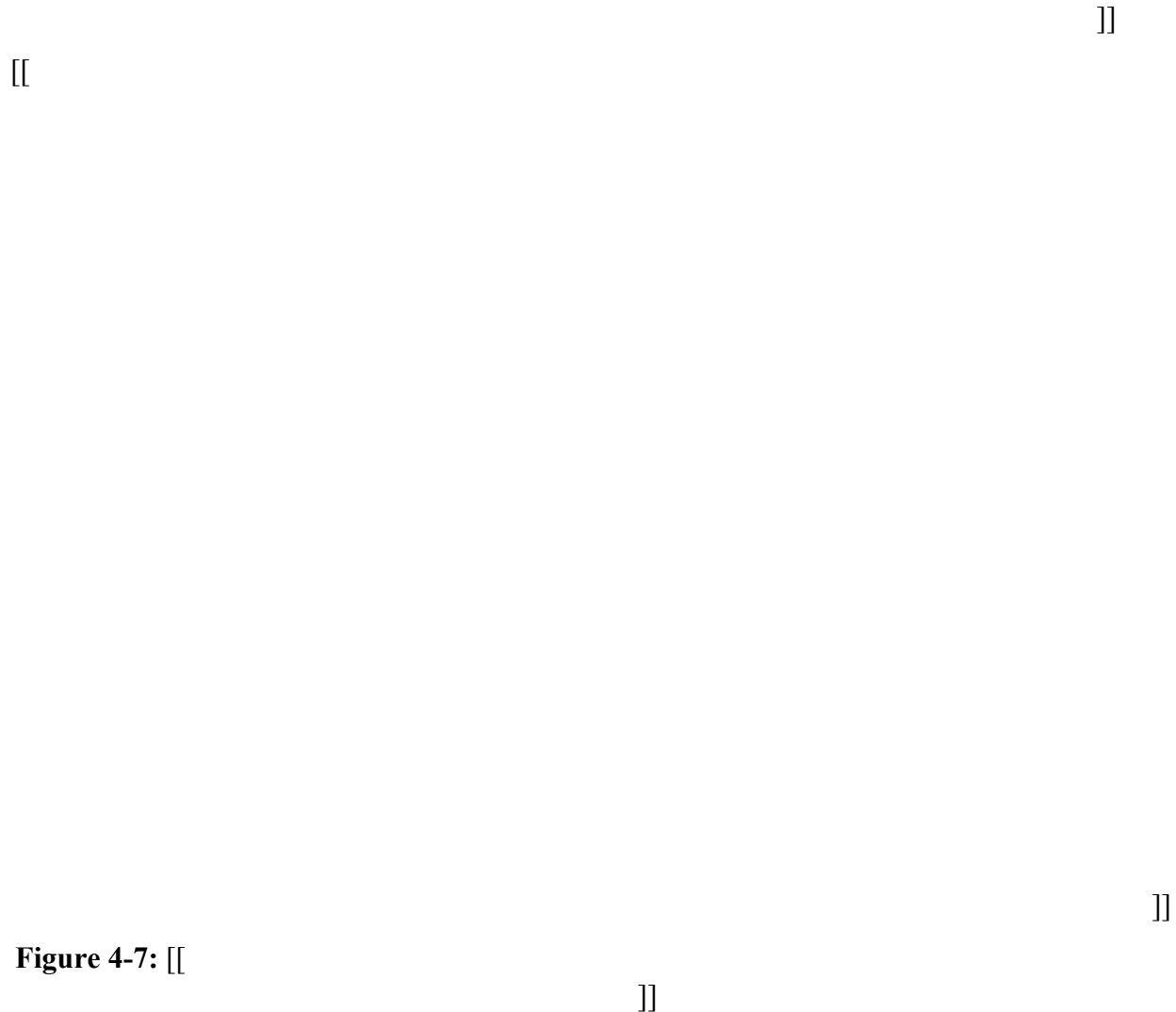
[[ ]]

]]

**Figure 4-6:** [[ ]]

4.3.4 [[ ]]  
[[ ]]





#### **4.3.5 Control Rod Withdrawal Sequence Development**

All the control rods in a BWR are divided into a certain number of groups to define the withdrawal sequence. This methodology does not stipulate what the control rod group definitions must be. The group definitions are an input to the evaluation process.

##### **4.3.5.1 Order of Control Rod Withdrawal Within Each Group**

The order of control rod withdrawal within each group is an important factor in a CRDA evaluation because it affects the worth of potential accident control rods. [[ ]]

]]

The plant operator specifies a single, fixed order of control rod withdrawal within each group. If this order is well-chosen such that it distributes the reactivity worth of the control rods evenly around the core, then there is a high probability that the withdrawal sequence will require minimal bank positions for protection against a postulated CRDA for a large portion of the fuel cycle.

[[

]]

[[

]]

**Figure 4-8:** [[

]]

#### **4.3.5.2 Order of Withdrawal of Control Rod Groups**

[[

]]

#### **4.3.6 Allowed Out-of-Sequence Control Rods**

[[

]]

#### **4.4 Range of Application of Plant- and Cycle-Specific CRDA Evaluation**

This section defines the range of application of the GNF plant- and cycle-specific CRDA evaluation. This range is defined by either of two values: the LPSP, or a new pressure threshold, defined here as the LDPSP.

The LPSP has historically been used to define the reactor power level above which there are no constraints on control rod withdrawal order required to protect against a CRDA. The plant operator will constrain the withdrawal order for other reasons, but above the LPSP even the worst possible CRDA does not challenge the fuel cladding failure criteria. The LPSP has been used historically to define the range of application of CRDA evaluation. [[

]] Therefore, the reactor vessel steam dome pressure is used as a second parameter that defines the range of applicability for CRDA evaluations. The LDPSP is defined as the reactor vessel steam dome pressure for a saturated system above which there are no constraints on control rod withdrawal order intended to protect against a CRDA. Above the LDPSP, even the worst possible CRDA does not challenge the fuel cladding failure criteria, as described below.

Because only one of these setpoints needs to be reached, a plant can choose to implement both or just one of these setpoints to define the conditions above which there are no constraints on control rod withdrawal order due to CRDA considerations.

##### **4.4.1 Determination of Numerical Values of LPSP and LDPSP**

[[

]]

All of the LPSP and LDPSP results demonstrate large margins to the cladding failure criteria.  
[[

defined as 5% of RTP. [[ ]] The LPSP is therefore

]] A more conservative value may also be chosen by the plant.  
[[

]] the LDPSP is defined as 300 psig. [[

]]



**Table 4-5: LPSP and LDPSP Results**

[[							
							]]

#### 4.4.2 Reactor Mode Switch Discussion

At present, TS require a plant rod pattern control system to be operational in Mode 1 (“Run”) until the LPSP is reached. Based on the values of the LPSP and the LDPSP described in the preceding sections, it is determined that plants' rod pattern control system need only be operational in Mode 2 (“Startup or Hot Standby”).

The basis for this determination is as follows: A plant enters Mode 1 only after reactor power has been raised high enough to clear the APRM downscale setpoint. A typical value for this setpoint is 3% of RTP (Reference 30). More importantly, reactor steam dome pressure must be high enough such that the Main Steam Isolation Valves (MSIVs) will not close on low main steam line pressure. A typical value for MSIV closure in Mode 1 is 825 psig (Reference 30). MSIV closure in Mode 1 results in a reactor scram. Any GE BWR plant therefore is inherently above the LDPSP when entering Mode 1. In actual startup operation, the plant is also expected to be above the LPSP.

#### 4.4.3 Intermediate and Full-Power Application

The basis for the CRDA event in a BWR is limited in scope to startup conditions, specifically below 5% thermal power. [[

]]

#### 4.4.4 Shutdown Insertion Process

Control rod withdrawal sequences developed in accordance with this methodology may be used in reverse order for reactor shutdown. However, an optional shutdown insertion process is available that is simpler than the banked mode that is used for control rod withdrawal. The technical basis

for this insertion process is given in Reference 31 and it applies to this methodology without change.

In the optional shutdown insertion process, control rods are fully inserted, either individually or in gangs, to notch position 00 in one step, without stopping at any intermediate positions. Control rods that have not been confirmed to be coupled to their drive mechanisms (i.e., rods that were only partially withdrawn from the core prior to shutdown) must be fully inserted before reactor power falls below the LPSP and dome pressure falls below the LDPSP. The remainder of the control rods shall have had their coupling confirmed previously. Therefore, because control rods that have been confirmed to be coupled are only moved in the inward direction during shutdown, the possibility of a CRDA occurring below the LPSP and/or LDPSP is eliminated.

5.0 DEMONSTRATION APPLICATION

5.1 [[ ]]

[[

]]

5.1.1 [[ ]]

[[

]]

Table 5-1: [[ ]]

[[			
			]]

[[

]]

**Figure 5-1:** [[  
]]

5.1.2 [[  
[[

]] The inputs for this demonstration confirmation are summarized in Table 5-2.

**Table 5-2: Demonstration Inputs**

Parameter	Value
[[	
	]]

[[

]]

### **5.1.3 TRACG Enthalpy Demonstration**

[[

Section 4.1. [[ ]] The process for performing the TRACG cases is detailed in

] No cases in Table 5-3 exceed either the HTCF or PCMI criteria. No cladding perforation is calculated by TRACG, and fuel temperatures remain more than 900°F below the melting temperature.

[[

]]

**Table 5-3: Out-of-Sequence Control Rods Demonstration Results**

[[				
				]]

[[

]]

**Figure 5-2:** [[

]]

**Note:** [[

]]

[[

]]

**Figure 5-3:** [[

]]



[[

]]

**Figure 5-4:** [[ ]]

[[

]]

**Figure 5-5:** [[ ]]

## 5.2 Plant- and Cycle-Specific Application

This section presents a demonstration of the standard plant- and cycle-specific reload evaluation for CRDA. The plant chosen for demonstration is a BWR/5 with a core size of 764 bundles. The core loading consists of approximately one-third GNF2 and two-thirds GE14 fuel. [[

]]

For this demonstration, the following inputs are assumed to be chosen by the plant as input to the CRDA evaluation. They select a control rod group definition similar to those of Reference 5. [[

group, [[ ]]

The plant specifies a single, fixed order of withdrawal within each

]]

Using these inputs, the standard CRDA evaluation is followed (Section 4.3). The minimum banking requirements are determined [[

]]. Example startup withdrawal sequences that would be provided to the plant are presented in Table 5-5 and Table 5-6 [[  
]].

**Table 5-4: Example Control Rod Group Definitions and Withdrawal Order**

Order	Group 1	Group 2	Group 3	Group 4	Group 5	Group 6	Group 7	Group 8
1	2-39	2-31	22-59	30-59	2-35	2-43	10-35	10-43
2	58-23	58-31	38-3	30-3	58-27	58-19	50-27	50-19
3	58-39	26-55	38-59	6-35	58-35	58-43	50-35	50-43
4	2-23	34-7	22-3	54-27	2-27	2-19	10-27	10-19
5	18-55	34-55	6-43	54-35	26-59	18-59	26-51	18-51
6	42-7	26-7	54-19	6-27	34-3	42-3	34-11	42-11
7	42-55	10-39	54-43	22-51	34-59	42-59	34-51	42-51
8	18-7	50-23	6-19	38-11	26-3	18-3	26-11	18-11
9	10-47	50-39	14-51	38-51	6-47	10-51	18-43	18-35
10	50-15	10-23	46-11	22-11	54-15	50-11	42-19	42-27
11	50-47	18-47	46-51	14-43	54-47	50-51	42-43	42-35
12	10-15	42-15	14-11	46-19	6-15	10-11	18-19	18-27
13	10-31	42-47	30-51	46-43	14-55		26-35	26-43
14	50-31	18-15	30-11	14-19	46-7		34-27	34-19
15	26-47	18-31	14-35	30-43	46-55		34-35	34-43
16	34-15	42-31	46-27	30-19	14-7		26-27	26-19
17	34-47	26-39	46-35	22-35				
18	26-15	34-23	14-27	38-27				
19	18-39	34-39	22-43	38-35				
20	42-23	26-23	38-19	22-27				
21	42-39		38-43					
22	18-23		22-19					
23	26-31		30-35					
24	34-31		30-27					



### Table 5-6 Example Withdrawal Sequences at [[ ]]

[illegible]

In this example, as long as the plant adheres to these given sequences, [[  
]] compliance with the cladding  
failure thresholds of Sections 3.2, 3.3, 3.4, and 3.5 is ensured. [[

]] Once the plant reaches the LPSP or LDPSP (Section 4.4), it is no longer required to adhere to these banking requirements.

**Table 5-7: Example Withdrawal Sequence**

[[			
			]]

## 6.0 METHODOLOGY IMPLEMENTATION

### 6.1 GESTAR II Changes

This section describes the GESTAR II (Reference 15) changes proposed to incorporate this LTR following its approval. Plants that implement GESTAR II via Technical Specifications (TS), will not need to include a specific reference to this LTR in TS to implement this CRDA methodology.

The following GESTAR II markups include two GESTAR sections and the corresponding references sections.

The GESTAR II Main Section 1.1 changes pertain to the GESTAR II new fuel introduction process. This process is complete when the requirements of Section 1.1 have been completed and documented via the fuel product line compliance report. (This was historically termed the Amendment 22 process.) These changes describe what is required to be performed and documented in the compliance report when applying the methodology in this LTR.

The GESTAR II US Supplement Section S.2.2.3.1.5 changes reflect the application of the Rod Drop Accident Analysis methodology in this LTR. This LTR is much more self-contained than previous methods, hence the level of discussion is much abbreviated compared to the older methods. The methodology described in this LTR and referenced in GESTAR Section S.2.2.3.1.5 does not depend on the other S.2.2.3.1 subsections.

The additions are shown in a **bold blue font**.

#### 6.1.1 Main Section 1.1 Changes

##### 1.1.11 Rod Drop Accident Analysis

**New fuel designs must satisfy one of the criterion below:**

- A. Plant cycle specific analysis results shall not exceed the licensing limit described in the country specific supplement to this base document.
- B. Applicability of the bounding BPWS analysis must be confirmed.
- C. The rod drop accident analysis methodology in Reference 1-16 shall be applied.**

Discussions of plant specific and generic rod drop accident evaluation methodologies are presented in the country-specific supplement to this base document.

##### 1.2.11 Rod Drop Accident Analysis

- A. *Plant cycle specific analysis results shall not exceed the licensing limit in GESTAR-II.*

The current licensing limit of the control rod drop accident analysis is 280 cal/gm. This limit is based on a large amount of margin to reactivity-induced dispersal of the core and the demonstrated conservatism of current models. New models may result in a revision of the licensing limit. The results of this analysis are dependent upon the plant control rod pattern and the fuel loaded in the core. Plants with BPWS rod sequence control currently are covered by a generic analysis for all fuel types up to GE8x8NB. Plants with group

notch rod sequence control must be analyzed each cycle to ensure compliance with the licensing criteria. This analysis is performed prior to plant startup each cycle.

**B. *Applicability of the bounding BPWS analysis must be confirmed.***

The bounding rod drop accident analysis for plants with BPWS control rod withdrawal sequences is dependent upon the fuel design and must be confirmed generically for each new design. The applicability of the bounding analysis for a new fuel design is determined by comparing the local peaking, Doppler coefficient, and rod worths of the new fuel design with those used for the bounding analyses. The values of the local peaking and Doppler coefficient are obtained from the generic nuclear analyses documented in Subsection 1.2.3. This confirmation will be documented in the fuel design information report for older fuel products (Reference 1-2) and in the compliance reports for GE14 and newer fuel products (See Section 1.4).

**C. *The rod drop accident analysis methodology in Reference 1-16 shall be applied.***

**The rod drop accident analysis methodology documented in Reference 1-16 defines the fuel, plant, and cycle specific activities associated with the application of this methodology. [[**

**]] The cycle specific control rod withdrawal sequence developed using the Reference 1-16 process is included in plant reload documentation. The plant's supplemental reload licensing report (SRLR) documentation will confirm that the Reference 1-16 processes have been applied.**

## **1.5 References**

**1-16 Global Nuclear Fuel, *GNF CRDA Application Methodology*, NEDE-33885P-A, Revision 1, TBD.**

### **6.1.2 US Supplement Section S.2.2.3.1 Changes**

#### **S.2.2.3.1.5 Alternate Control Rod Drop Accident Evaluation Based on Reference S-70**

**This section provides for the application of the Rod Drop Accident Analysis methodology in Reference S-70. This methodology demonstrates that no fuel failures will occur. Therefore, there is no need for a discussion of the number of rod failures.**

**The cycle specific control rod withdrawal sequence developed using the Reference S-70 process is included in plant reload documentation. The plant's supplemental reload licensing report (SRLR) documentation will confirm that the Reference S-70 processes have been applied.**

## **S.6 References**

**S-70 Global Nuclear Fuel, *GNF CRDA Application Methodology*, NEDE-33885P-A, Revision 1, TBD.**



## **6.2 Technical Specifications**

The current methodology may be implemented without changes to the current TS. However, a review of the current BWR/4 Standard Technical Specifications (STS) (Reference 30), which are based on the BPWS methodology (Reference 5), reveals a number of changes which must be made to allow for the full flexibility provided by the methodology described in this LTR. Plants that do not implement changes to the TS may be limited in their application of this LTR, such that sequences may still need to follow BPWS (Reference 5) related restrictions including, separation criteria on inoperable (or out-of-sequence as defined herein) rods, no more than three inoperable rods in a group, and restrictions on order of groups pulled.

A sample set of TS and Bases markups for the BWR/4 STS (References 30 and 32) which implement the full flexibility of the methodology described in this LTR are included in Appendices A and B, respectively.

## **7.0 METHODOLOGY UPDATES AND SPECIAL APPLICATIONS**

The methodology described in Sections 3.0 and 4.0 includes an example demonstration of the application in Section 5.0. The reasons for and restrictions on updating this methodology are described below, along with requirements on special applications.

**7.1** [[ ]]

[[

]]

### **7.2 Special Applications**

**7.2.1** [[ ]]

The CRDA licensing basis to confirm no fuel cladding failures is defined as adherence to the criteria of Sections 3.2, 3.3, 3.4, and 3.5. [[

]]

#### **7.2.2 Failure Threshold Criteria Changes**

The methodology described in this LTR uses the NRC failure thresholds provided in Reference 7. However, should changes be made to these thresholds in the future, the methodology described here can be applied without requiring additional review and approval provided the new failure thresholds (if more restrictive than those evaluated in this document) can be accommodated by the methodology described in this document.

#### **7.2.3 Hydrogen Model Changes**

The hydrogen model provided in Section 3.2.1 is provided by the NRC and applicable for the fuels described herein. However, should a hydrogen model for a given fuel design or range of fuel designs that has been reviewed and approved by the NRC for CRDA applications become available, that model may be applied instead of the model in Section 3.2.1. Use of such an NRC-approved hydrogen model as described in the process of Section 3.2 may be applied without requiring additional review and approval.

#### **7.2.4 Mixed-Cores**

This methodology addresses mixed cores [[ ]]. As the blade worth calculation in PANACEA is still being performed with the individually defined fuel types in the core, this adequately captures the differences in the fuel types. [[

]]

### **7.3 Model Updates**

The PANACEA core simulator and the TRACG transient thermal hydraulics code have been used as generic terms for Engineering Computer Programs (ECPs) that implement the modeling elements and methodology described in this LTR. The TGBLA lattice physics ECP is used to provide inputs to PANACEA and the PRIME fuel thermal/mechanical ECP is used to provide inputs to TRACG. The maintenance and updating of these ECPs is performed in accordance with the GE-Hitachi Nuclear Energy Americas LLC (GEH) / GNF quality assurance program that complies to 10 CFR 50, Appendix B. Corrections, changes, and improvements in these ECPs that do not fundamentally alter the modeling capabilities required for CRDA and are within the limitations associated with the approved method may be made without prior NRC review and approval. Some examples include changes in the numerical methods to improve efficiency, the addition or enhancement of features that support effective code input/output and automation, or the porting to a new computer platform.

Modifications to the basic models in PANACEA, TRACG, PRIME, or TGBLA that require NRC review and approval for AOO transient, stability, or LOCA applications can also be used in CRDA applications once the updated model has been approved for the other application(s). This includes ongoing improvements to PRIME. Also included would be the replacement of TGBLA with LANCR and/or the replacement of PANACEA with AETNA. This approach acknowledges that the CRDA methodology described herein does not require new modeling but instead relies on applying capability that has already been reviewed and approved by the NRC.

## **8.0 CONCLUSION**

This LTR documents a methodology for determining CRDA consequences to confirm BWRs are within the applicable licensing bases. The proposed methodology evaluates the fuel response in relation to the NRC-provided guidance on the fuel cladding failure thresholds. For BWRs that adhere to withdrawal sequences developed with the methodology described herein, compliance with the required cladding failure criteria is ensured and cladding failure from a postulated CRDA is precluded. The methodology is applicable to all BWR types and all fuel designs for which the methods described in Section 3.0 are applicable.

The technical and regulatory bases for the acceptance criteria are provided by the NRC in SRP Section 4.2 (Reference 6) and modified by a subsequent technical memorandum (Reference 7). There are two types of enthalpy criteria: HTCF criteria which vary with fuel rod internal pressure and PCMI criteria which vary with hydrogen content. The calculations are performed with TRACG, and make use of the PANACEA 3D core simulator. The use of these NRC-approved methods allows for modeling of the event feedback during a CRDA to calculate a realistic enthalpy, temperature, and cladding strain response for the given conditions. [[

]]

## 9.0 REFERENCES

1. General Electric Company, “Rod Drop Accident Analysis for Large Boiling Water Reactors,” NEDO-10527, March 1972.
2. General Electric Company, “Rod Drop Accident Analysis for Large Boiling Water Reactors Addendum No. 1 Multiple Enrichment Cores with Axial Gadolinium,” NEDO-10527 Supplement 1, July 1972.
3. General Electric Company, “Rod Drop Accident Analysis for Large Boiling Water Reactors Addendum No. 2 Exposed Cores,” NEDO-10527 Supplement 2, January 1973.
4. Regulatory Guide 1.77, “Assumptions Used for Evaluating A Control Rod Ejection Accident for Pressurized Water Reactors,” May 1974.
5. General Electric Company, “Banked Position Withdrawal Sequence,” NEDO-21231, January 1977.
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## **APPENDIX A: EXAMPLE OF CHANGES TO BWR/4 STANDARD TECHNICAL SPECIFICATIONS**



### 3.1 REACTIVITY CONTROL SYSTEMS

#### 3.1.3 Control Rod OPERABILITY

LCO 3.1.3 Each control rod shall be OPERABLE.

APPLICABILITY: MODES 1 and 2.

#### ACTIONS

-----NOTE-----  
Separate Condition entry is allowed for each control rod.  
-----

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One withdrawn control rod stuck.	-----NOTE----- Rod worth minimizer (RWM) may be bypassed as allowed by LCO 3.3.2.1, "Control Rod Block Instrumentation," if required, to allow continued operation. -----	
	A.1 Verify stuck control rod separation criteria are met.  <u>AND</u>	Immediately
	A.2 Disarm the associated control rod drive (CRD).  <u>AND</u>	2 hours
	A.3 Perform SR 3.1.3.2 for each withdrawn OPERABLE control rod.  <u>AND</u>	24 hours from discovery of Condition A concurrent with THERMAL POWER greater than the low power setpoint (LPSP) of the RWM

ACTIONS (continued)

CONDITION	REQUIRED ACTION	COMPLETION TIME
	A.4 Perform SR 3.1.1.1.	72 hours
B. Two or more withdrawn control rods stuck.	B.1 Be in MODE 3.	12 hours
C. One or more control rods inoperable for reasons other than Condition A or B.	<p>C.1 -----NOTE----- RWM may be bypassed as allowed by LCO 3.3.2.1, if required, to allow insertion of inoperable control rod and continued operation. -----</p> <p>Fully insert inoperable control rod.</p> <p>3 hours</p> <p><u>AND</u></p> <p>C.2 Disarm the associated CRD.</p> <p>4 hours</p>	
<p><del>D. -----NOTE----- Not applicable when THERMAL POWER &gt; [10]% RTP. -----</del></p> <p><del>Two or more inoperable control rods not in compliance with banked position withdrawal sequence (BPWS) and not separated by two or more OPERABLE control rods.</del></p>	<p><del>D.1 Restore compliance with BPWS.</del></p> <p><u>OR</u></p> <p><del>D.2 Restore control rod to OPERABLE status.</del></p>	<p><del>4 hours</del></p> <p><del>4 hours</del></p>

ACTIONS (continued)

CONDITION	REQUIRED ACTION	COMPLETION TIME
<del>E.</del> <del>NOTE</del> <del>[ Not applicable when THERMAL POWER &gt; [10]% RTP.</del> <del>One or more groups with four or more inoperable control rods.</del>	<del>E.1 Restore control rod to OPERABLE status.</del>	<del>4 hours]</del>
<del>FD.</del> Required Action and associated Completion Time of Condition A, <del>or</del> C, <del>D,</del> <del>or</del> <del>E</del> not met.  <u>OR</u>  Nine or more control rods inoperable.	<del>FD.1</del> Be in MODE 3.	12 hours

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
SR 3.1.3.1 Determine the position of each control rod.	[ 24 hours  <u>OR</u>  In accordance with the Surveillance Frequency Control Program ]

SURVEILLANCE REQUIREMENTS (continued)

SURVEILLANCE		FREQUENCY
SR 3.1.3.2	<p style="text-align: center;">-----NOTE-----</p> <p>Not required to be performed until 31 days after the control rod is withdrawn and THERMAL POWER is greater than the LPSP of the RWM.</p> <p style="text-align: center;">-----</p> <p>Insert each withdrawn control rod at least one notch.</p>	<p>[ 31 days</p> <p><u>OR</u></p> <p>In accordance with the Surveillance Frequency Control Program ]</p>
SR 3.1.3.3	Verify each control rod scram time from fully withdrawn to notch position [06] is $\leq 7$ seconds.	In accordance with SR 3.1.4.1, SR 3.1.4.2, SR 3.1.4.3, and SR 3.1.4.4
SR 3.1.3.4	Verify each control rod does not go to the withdrawn overtravel position.	<p>Each time the control rod is withdrawn to "full out" position</p> <p><u>AND</u></p> <p>Prior to declaring control rod OPERABLE after work on control rod or CRD System that could affect coupling</p>

### 3.1 REACTIVITY CONTROL SYSTEMS

#### 3.1.6 Rod Pattern Control

LCO 3.1.6 ~~OPERABLE~~ Control rods shall comply with the requirements of the **analyzed rod position sequence** ~~[banked position withdrawal sequence (BPWS)]~~.

APPLICABILITY: MODE ~~S 1 and 2~~ with THERMAL POWER  $\leq$  ~~[510]~~ % RTP **and reactor steam dome pressure  $\leq$  [300] psig.**

#### ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One or more <del>OPERABLE</del> control rods not in compliance with <del>[BPWS]</del> <b>analyzed rod position sequence</b> .	A.1 -----NOTE----- Rod worth minimizer (RWM) may be bypassed as allowed by LCO 3.3.2.1, "Control Rod Block Instrumentation." ----- Move associated control rod(s) to correct position.	8 hours
	<u>OR</u> A.2 <del>Declare associated</del> <b>Fully insert</b> control rod(s)- <del>inoperable</del> .	8 hours
B. <b>[Nine]</b> or more <del>OPERABLE</del> <b>fully inserted</b> control rods not in compliance with <del>[BPWS]</del> <b>analyzed rod position sequence</b> .	B.1 -----NOTE----- Rod worth minimizer (RWM) may be bypassed as allowed by LCO 3.3.2.1. ----- Suspend withdrawal of control rods.  <u>AND</u>	Immediately

ACTIONS (continued)

CONDITION	REQUIRED ACTION	COMPLETION TIME
	B.2 Place the reactor mode switch in the shutdown position.	1 hour

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
SR 3.1.6.1      Verify all <del>OPERABLE</del> control rods comply with <del>{BPWS}</del> analyzed rod position sequence.	[ 24 hours  <u>OR</u>  In accordance with the Surveillance Frequency Control Program ]

### 3.3 INSTRUMENTATION

#### 3.3.2.1A Control Rod Block Instrumentation (Without Setpoint Control Program)

LCO 3.3.2.1A The control rod block instrumentation for each Function in Table 3.3.2.1-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.2.1-1.

#### ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One rod block monitor (RBM) channel inoperable.	A.1 Restore RBM channel to OPERABLE status.	24 hours
B. Required Action and associated Completion Time of Condition A not met.  <u>OR</u>  Two RBM channels inoperable.	B.1 Place one RBM channel in trip.	1 hour
C. Rod worth minimizer (RWM) inoperable during reactor startup.	C.1 Suspend control rod movement except by scram.  <u>OR</u> C.2.1.1 Verify $\geq 12$ rods withdrawn.  <u>OR</u>	Immediately     Immediately

ACTIONS (continued)

CONDITION	REQUIRED ACTION	COMPLETION TIME
	<p>C.2.1.2 Verify by administrative methods that startup with RWM inoperable has not been performed in the last calendar year.</p> <p><u>AND</u></p> <p>C.2.2 Verify movement of control rods is in compliance with <b>the analyzed rod position</b> <del>banked position withdrawal</del> sequence <del>(BPWS)</del> by a second licensed operator or other qualified member of the technical staff.</p>	<p>Immediately</p> <p>During control rod movement</p>
D. RWM inoperable during reactor shutdown.	<p>D.1 Verify movement of control rods is in compliance with <del>BPWS</del> <b>the analyzed rod position sequence</b> by a second licensed operator or other qualified member of the technical staff.</p>	During control rod movement
E. One or more Reactor Mode Switch - Shutdown Position channels inoperable.	<p>E.1 Suspend control rod withdrawal.</p> <p><u>AND</u></p> <p>E.2 Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.</p>	<p>Immediately</p> <p>Immediately</p>



## SURVEILLANCE REQUIREMENTS

### -----NOTE-----

1. Refer to Table 3.3.2.1-1 to determine which SRs apply for each Control Rod Block Function.
2. When an RBM channel is placed in an inoperable status solely for performance of required Surveillances, entry into associated Conditions and Required Actions may be delayed for up to 6 hours provided the associated Function maintains control rod block capability.

SURVEILLANCE		FREQUENCY
SR 3.3.2.1.1	Perform CHANNEL FUNCTIONAL TEST.	[ [92] days  <u>OR</u>  In accordance with the Surveillance Frequency Control Program ]
SR 3.3.2.1.2	<p>-----NOTE-----</p> <p>Not required to be performed until 1 hour after any control rod is withdrawn at <math>\leq</math> <del>105</del>% RTP and <math>\leq</math> <u>300</u> psig reactor steam dome pressure in MODE 2.</p> <p>-----</p> <p>Perform CHANNEL FUNCTIONAL TEST.</p>	[ [92] days  <u>OR</u>  In accordance with the Surveillance Frequency Control Program ]

SURVEILLANCE REQUIREMENTS (continued)

SURVEILLANCE	FREQUENCY
<p>SR 3.3.2.1.3</p> <p>-----NOTE-----  Not required to be performed until 1 hour after  THERMAL POWER is <math>\leq</math> [45]% RTP and  reactor steam dome pressure is <math>\leq</math> [300] psig  in MODE 24.  -----</p> <p>Perform CHANNEL FUNCTIONAL TEST.</p>	<p>[ [92] days</p> <p><u>OR</u></p> <p>In accordance  with the  Surveillance  Frequency  Control Program ]</p>
<p>SR 3.3.2.1.4</p> <p>-----NOTE-----  [ Neutron detectors are excluded. ]  -----</p> <p>Verify the RBM:</p> <ul style="list-style-type: none"> <li>a. Low Power Range - Upscale Function is not  bypassed when THERMAL POWER is <math>\geq</math> 29%  and <math>\leq</math> 64% RTP.</li> <li>b. Intermediate Power Range - Upscale Function  is not bypassed when THERMAL POWER is  <math>&gt;</math> 64% and <math>\leq</math> 84% RTP.</li> <li>c. High Power Range - Upscale Function is not  bypassed when THERMAL POWER is  <math>&gt;</math> 84% RTP.</li> </ul>	<p>[ [18] months</p> <p><u>OR</u></p> <p>In accordance  with the  Surveillance  Frequency  Control Program ]</p>
<p>SR 3.3.2.1.5</p> <p>Verify the RWM is not bypassed <del>when THERMAL  POWER is <math>\leq</math> [10]% RTP.</del></p>	<p>[18] months</p> <p><u>OR</u></p>

In accordance  
with the  
Surveillance  
Frequency  
Control Program ]

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SURVEILLANCE REQUIREMENTS (continued)

SURVEILLANCE		FREQUENCY
SR 3.3.2.1.6	<p>-----NOTE-----  Not required to be performed until 1 hour after reactor mode switch is in the shutdown position.  -----</p> <p>Perform CHANNEL FUNCTIONAL TEST.</p>	<p>[ [18] months</p> <p><u>OR</u></p> <p>In accordance with the Surveillance Frequency Control Program ]</p>
SR 3.3.2.1.7	<p>-----NOTE-----  Neutron detectors are excluded.  -----</p> <p>Perform CHANNEL CALIBRATION.</p>	<p>[ [18] months</p> <p><u>OR</u></p> <p>In accordance with the Surveillance Frequency Control Program ]</p>
SR 3.3.2.1.8	<p>Verify control rod sequences input to the RWM are in conformance with <del>BPWS</del>the analyzed rod position sequence.</p>	<p>Prior to declaring RWM OPERABLE</p> <p>following loading of sequence into RWM</p>

Table 3.3.2.1-1 (page 1 of 2)  
Control Rod Block Instrumentation

FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS	SURVEILLANCE REQUIREMENTS	ALLOWABLE VALUE
1. Rod Block Monitor				
a. Low Power Range - Upscale	(a)	[2]	SR 3.3.2.1.1 SR 3.3.2.1.4 SR 3.3.2.1.7 <sup>(b)(c)</sup>	≤ [115.5/125] divisions of full scale
b. Intermediate Power Range - Upscale	(d)	[2]	SR 3.3.2.1.1 SR 3.3.2.1.4 SR 3.3.2.1.7 <sup>(b)(c)</sup>	≤ [109.7/125] divisions of full scale
c. High Power Range - Upscale	(e),(f)	[2]	SR 3.3.2.1.1 SR 3.3.2.1.4 SR 3.3.2.1.7 <sup>(b)(c)</sup>	≤ [105.9/125] divisions of full scale
d. Inop	(f),(g)	[2]	SR 3.3.2.1.1	NA
e. Downscale	(f),(g)	[2]	SR 3.3.2.1.1 SR 3.3.2.1.7	≥ [93/125] divisions of full scale
f. Bypass Time Delay	(f),(g)	[2]	SR 3.3.2.1.1 SR 3.3.2.1.7	≤ [2.0] seconds

- (a) THERMAL POWER ≥ [29]% and ≤ [64]% RTP and MCPR < 1.70.
- (b) If the as-found channel setpoint is outside its predefined as-found tolerance, then the channel shall be evaluated to verify that it is functioning as required before returning the channel to service.
- (c) The instrument channel setpoint shall be reset to a value that is within the as-left tolerance around the Limiting Trip Setpoint (LTSP) at the completion of the surveillance; otherwise, the channel shall be declared inoperable. Setpoints more conservative than the LTSP are acceptable provided that the as-found and as-left tolerances apply to the actual setpoint implemented in the Surveillance procedures (Nominal Trip Setpoint) to confirm channel performance. The LTSP and the methodologies used to determine the as-found and as-left tolerances are specified in [insert the facility FSAR reference or the name of any document incorporated into the facility FSAR by reference].
- (d) THERMAL POWER > [64]% and ≤ [84]% RTP and MCPR < 1.70.
- (e) THERMAL POWER > [84]% and < 90% RTP and MCPR < 1.70.
- (f) THERMAL POWER ≥ 90% RTP and MCPR < 1.40.
- (g) THERMAL POWER ≥ [64]% and < 90% RTP and MCPR < 1.70.

Table 3.3.2.1-1 (page 2 of 2)  
Control Rod Block Instrumentation

FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS	SURVEILLANCE REQUIREMENTS	ALLOWABLE VALUE
2. Rod Worth Minimizer	<del>4<sup>(h)</sup></del> 2 <sup>(h)</sup>	[1]	SR 3.3.2.1.2 SR 3.3.2.1.3 SR 3.3.2.1.5 SR 3.3.2.1.8	NA
3. Reactor Mode Switch - Shutdown Position	(i)	[2]	SR 3.3.2.1.6	NA

(h) With THERMAL POWER  $\leq$  [405] % RTP and reactor steam dome pressure  $\leq$  [300] psig [except during the reactor shutdown process if the coupling of each withdrawn control rod has been confirmed].

(i) Reactor mode switch in the shutdown position.

### 3.3 INSTRUMENTATION

#### 3.3.1.2B Source Range Monitor (SRM) Instrumentation (With Setpoint Control Program)

Note:

If needed, changes to 3.3.1.2B and its bases should be made consistent with the changes to 3.3.1.2A.

**APPENDIX B: EXAMPLE OF CHANGES TO BWR/4 STANDARD  
TECHNICAL SPECIFICATIONS BASES**



## B 3.1 REACTIVITY CONTROL SYSTEMS

### B 3.1.3 Control Rod OPERABILITY

#### BASES

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**BACKGROUND** Control rods are components of the Control Rod Drive (CRD) System, which is the primary reactivity control system for the reactor. In conjunction with the Reactor Protection System, the CRD System provides the means for the reliable control of reactivity changes to ensure under conditions of normal operation, including anticipated operational occurrences, that specified acceptable fuel design limits are not exceeded. In addition, the control rods provide the capability to hold the reactor core subcritical under all conditions and to limit the potential amount and rate of reactivity increase caused by a malfunction in the CRD System. The CRD System is designed to satisfy the requirements of GDC 26, GDC 27, GDC 28, and 29 (Ref. 1).

The CRD System consists of 137 locking piston control rod drive mechanisms (CRDMs) and a hydraulic control unit for each drive mechanism. The locking piston type CRDM is a double acting hydraulic piston, which uses condensate water as the operating fluid. Accumulators provide additional energy for scram. An index tube and piston, coupled to the control rod, are locked at fixed increments by a collet mechanism. The collet fingers engage notches in the index tube to prevent unintentional withdrawal of the control rod, but without restricting insertion.

This Specification, along with LCO 3.1.4, "Control Rod Scram Times," and LCO 3.1.5, "Control Rod Scram Accumulators," ensure that the performance of the control rods in the event of a Design Basis Accident (DBA) or transient meets the assumptions used in the safety analyses of References 2, 3, and 4.

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**APPLICABLE SAFETY ANALYSES** The analytical methods and assumptions used in the evaluations involving control rods are presented in References 2, 3, and 4. The control rods provide the primary means for rapid reactivity control (reactor scram), for maintaining the reactor subcritical and for limiting the potential effects of reactivity insertion events caused by malfunctions in the CRD System.

The capability to insert the control rods provides assurance that the assumptions for scram reactivity in the DBA and transient analyses are not violated. Since the SDM ensures the reactor will be subcritical with the highest worth control rod withdrawn (assumed single failure), the additional failure of a second control rod to insert, if required, could invalidate the demonstrated SDM and potentially limit the ability of the CRD System to hold the reactor subcritical. If the control rod is stuck at

## BASES

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### APPLICABLE SAFETY ANALYSES (continued)

an inserted position and becomes decoupled from the CRD, a control rod drop accident (CRDA) can possibly occur. Therefore, the requirement that all control rods be OPERABLE ensures the CRD System can perform its intended function.

The control rods also protect the fuel from damage which could result in release of radioactivity. The limits protected are the MCPR Safety Limit (SL) (see Bases for SL 2.1.1, "Reactor Core SLs," and LCO 3.2.2, "MINIMUM CRITICAL POWER RATIO (MCPR)"), the 1% cladding plastic strain fuel design limit (see Bases for LCO 3.2.1, "AVERAGE PLANAR LINEAR HEAT GENERATION RATE (APLHGR)," and LCO 3.2.3, "LINEAR HEAT GENERATION RATE (LHGR)"), and the fuel damage limit (see Bases for LCO 3.1.6, "Rod Pattern Control") during reactivity insertion events.

The negative reactivity insertion (scram) provided by the CRD System provides the analytical basis for determination of plant thermal limits and provides protection against fuel damage limits during a CRDA. The Bases for LCO 3.1.4, LCO 3.1.5, and LCO 3.1.6 discuss in more detail how the SLs are protected by the CRD System.

Control rod OPERABILITY satisfies Criterion 3 of 10 CFR 50.36(c)(2)(ii).

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### LCO

The OPERABILITY of an individual control rod is based on a combination of factors, primarily, the scram insertion times, the control rod coupling integrity, and the ability to determine the control rod position. Accumulator OPERABILITY is addressed by LCO 3.1.5. The associated scram accumulator status for a control rod only affects the scram insertion times; therefore, an inoperable accumulator does not immediately require declaring a control rod inoperable. Although not all control rods are required to be OPERABLE to satisfy the intended reactivity control requirements, strict control over the number and distribution of inoperable control rods is required to satisfy the assumptions of the DBA and transient analyses.

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### APPLICABILITY

In MODES 1 and 2, the control rods are assumed to function during a DBA or transient and are therefore required to be OPERABLE in these MODES. In MODES 3 and 4, control rods are not able to be withdrawn since the reactor mode switch is in shutdown and a control rod block is applied. This provides adequate requirements for control rod OPERABILITY during these conditions. Control rod requirements in MODE 5 are located in LCO 3.9.5, "Control Rod OPERABILITY - Refueling."

## BASES

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### ACTIONS

The ACTIONS Table is modified by a Note indicating that a separate Condition entry is allowed for each control rod. This is acceptable, since the Required Actions for each Condition provide appropriate compensatory actions for each inoperable control rod. Complying with the Required Actions may allow for continued operation, and subsequent inoperable control rods are governed by subsequent Condition entry and application of associated Required Actions.

#### A.1, A.2, A.3, and A.4

A control rod is considered stuck if it will not insert by either CRD drive water or scram pressure. With a fully inserted control rod stuck, no actions are required as long as the control rod remains fully inserted. The Required Actions are modified by a Note, which allows the rod worth minimizer (RWM) to be bypassed if required to allow continued operation. LCO 3.3.2.1, "Control Rod Block Instrumentation," provides additional requirements when the RWM is bypassed to ensure compliance with the CRDA analysis. With one withdrawn control rod stuck, the local scram reactivity rate assumptions may not be met if the stuck control rod separation criteria are not met. Therefore, a verification that the separation criteria are met must be performed immediately. The separation criteria are not met if: a) the stuck control rod occupies a location adjacent to two "slow" control rods, b) the stuck control rod occupies a location adjacent to one "slow" control rod, and the one "slow" control rod is also adjacent to another "slow" control rod, or c) if the stuck control rod occupies a location adjacent to one "slow" control rod when there is another pair of "slow" control rods adjacent to one another. The description of "slow" control rods is provided in LCO 3.1.4, "Control Rod Scram Times." In addition, the associated control rod drive must be disarmed in 2 hours. The allowed Completion Time of 2 hours is acceptable, considering the reactor can still be shut down, assuming no additional control rods fail to insert, and provides a reasonable time to perform the Required Action in an orderly manner. Isolating the control rod from scram prevents damage to the CRDM. The control rod can be isolated from scram and normal insert and withdraw pressure, yet still maintain cooling water to the CRD.

Monitoring of the insertion capability of each withdrawn control rod must also be performed within 24 hours from discovery of Condition A concurrent with THERMAL POWER greater than the low power setpoint (LPSP) of the RWM. SR 3.1.3.2 performs periodic tests of the control rod insertion capability of withdrawn control rods. Testing each withdrawn control rod ensures that a generic problem does not exist. This Completion Time allows for an exception to the normal "time zero" for beginning the allowed outage time "clock." The Required Action A.2 Completion Time only begins upon discovery of Condition A concurrent

## BASES

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### ACTIONS (continued)

with THERMAL POWER greater than the actual LPSP of the RWM since the notch insertions may not be compatible with the requirements of rod pattern control (LCO 3.1.6) and the RWM (LCO 3.3.2.1). The allowed Completion Time of 24 hours from discovery of Condition A, concurrent with THERMAL POWER greater than the LPSP of the RWM, provides a reasonable time to test the control rods, considering the potential for a need to reduce power to perform the tests.

To allow continued operation with a withdrawn control rod stuck, an evaluation of adequate SDM is also required within 72 hours. Should a DBA or transient require a shutdown, to preserve the single failure criterion, an additional control rod would have to be assumed to fail to insert when required. Therefore, the original SDM demonstration may not be valid. The SDM must therefore be evaluated (by measurement or analysis) with the stuck control rod at its stuck position and the highest worth OPERABLE control rod assumed to be fully withdrawn.

The allowed Completion Time of 72 hours to verify SDM is adequate, considering that with a single control rod stuck in a withdrawn position, the remaining OPERABLE control rods are capable of providing the required scram and shutdown reactivity. Failure to reach MODE 4 is only likely if an additional control rod adjacent to the stuck control rod also fails to insert during a required scram. Even with the postulated additional single failure of an adjacent control rod to insert, sufficient reactivity control remains to reach and maintain MODE 3 conditions (Ref. 5).

### B.1

With two or more withdrawn control rods stuck, the plant must be brought to MODE 3 within 12 hours. The occurrence of more than one control rod stuck at a withdrawn position increases the probability that the reactor cannot be shut down if required. Insertion of all insertable control rods eliminates the possibility of an additional failure of a control rod to insert. The allowed Completion Time of 12 hours is reasonable, based on operating experience, to reach MODE 3 from full power conditions in an orderly manner and without challenging plant systems.

### C.1 and C.2

With one or more control rods inoperable for reasons other than being stuck in the withdrawn position, operation may continue, provided the control rods are fully inserted within 3 hours and disarmed (electrically or hydraulically) within 4 hours. Inserting a control rod ensures the shutdown and scram capabilities are not adversely affected. The control

## BASES

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### ACTIONS (continued)

rod is disarmed to prevent inadvertent withdrawal during subsequent operations. The control rods can be hydraulically disarmed by closing the drive water and exhaust water isolation valves. The control rods can be electrically disarmed by disconnecting power from all four directional control valve solenoids. Required Action C.1 is modified by a Note, which allows the RWM to be bypassed if required to allow insertion of the inoperable control rods and continued operation. LCO 3.3.2.1 provides additional requirements when the RWM is bypassed to ensure compliance with the CRDA analysis.

The allowed Completion Times are reasonable, considering the small number of allowed inoperable control rods, and provide time to insert and disarm the control rods in an orderly manner and without challenging plant systems.

#### D.1 and D.2

~~Out of sequence control rods may increase the potential reactivity worth of a dropped control rod during a CRDA. At  $\leq 10\%$  RTP, the generic banked position withdrawal sequence (BPWS) analysis (Ref. 5) requires inserted control rods not in compliance with BPWS to be separated by at least two OPERABLE control rods in all directions, including the diagonal. Therefore, if two or more inoperable control rods are not in compliance with BPWS and not separated by at least two OPERABLE control rods, action must be taken to restore compliance with BPWS or restore the control rods to OPERABLE status. Condition D is modified by a Note indicating that the Condition is not applicable when  $> 10\%$  RTP, since the BPWS is not required to be followed under these conditions, as described in the Bases for LCO 3.1.6. The allowed Completion Time of 4 hours is acceptable, considering the low probability of a CRDA occurring.~~

#### E.1

~~In addition to the separation requirements for inoperable control rods, an assumption in the CRDA analysis for ANF fuel is that no more than three inoperable control rods are allowed in any one BPWS group. Therefore, with one or more BPWS groups having four or more inoperable control rods, the control rods must be restored to OPERABLE status. Required Action E.1 is modified by a Note indicating that the Condition is not applicable when THERMAL POWER is  $> 10\%$  RTP since the BPWS is not required to be followed under these conditions, as described in the Bases for LCO 3.1.6. The allowed Completion Time of 4 hours is acceptable, considering the low probability of a CRDA occurring.~~

## BASES

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### ACTIONS (continued)

#### ED.1

If any Required Action and associated Completion Time of Condition A~~7~~  
~~or C, D, or E~~ are not met, or there are nine or more inoperable control rods, the plant must be brought to a MODE in which the LCO does not apply. To achieve this status, the plant must be brought to MODE 3 within

12 hours. This ensures all insertable control rods are inserted and places the reactor in a condition that does not require the active function (i.e., scram) of the control rods. The number of control rods permitted to be inoperable when operating above ~~[5]10%~~ RTP ~~or [300] psig reactor steam dome pressure~~ (e.g., no CRDA considerations ~~as described in the Bases for LCO 3.1.6~~) could be more than the value specified, but the occurrence of a large number of inoperable control rods could be indicative of a generic problem, and investigation and resolution of the potential problem should be undertaken. The allowed Completion Time of 12 hours is reasonable, based on operating experience, to reach MODE 3 from full power in an orderly manner and without challenging plant systems.

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### SURVEILLANCE REQUIREMENTS

#### SR 3.1.3.1

The position of each control rod must be determined to ensure adequate information on control rod position is available to the operator for determining CRD OPERABILITY and controlling rod patterns. Control rod position may be determined by the use of OPERABLE position indicators, by moving control rods to a position with an OPERABLE indicator, or by the use of other appropriate methods. [ The 24 hour Frequency of this SR is based on operating experience related to expected changes in control rod position and the availability of control rod position indications in the control room.

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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## BASES

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### SURVEILLANCE REQUIREMENTS (continued)

#### SR 3.1.3.2

Control rod insertion capability is demonstrated by inserting each partially or fully withdrawn control rod at least one notch and observing that the control rod moves. The control rod may then be returned to its original position. This ensures the control rod is not stuck and is free to insert on a scram signal. These Surveillances are not required when THERMAL POWER is less than or equal to the actual LPSP of the RWM, since the notch insertions may not be compatible with the requirements of the ~~Banked Position Withdrawal Sequence (BPWS)~~ analyzed rod position sequence (LCO 3.1.6) and the RWM (LCO 3.3.2.1). [ Withdrawn control rods are tested at a 31 day Frequency, based on the potential power reduction required to allow the control rod movement. Furthermore, the 31 day Frequency takes into account operating experience related to changes in CRD performance.

At any time, if a control rod is immovable, a determination of that control rod's trippability (OPERABILITY) must be made and appropriate action taken.

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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#### SR 3.1.3.3

Verifying that the scram time for each control rod to notch position 06 is  $\leq 7$  seconds provides reasonable assurance that the control rod will insert when required during a DBA or transient, thereby completing its shutdown function. This SR is performed in conjunction with the control rod scram time testing of SR 3.1.4.1, SR 3.1.4.2, SR 3.1.4.3, and SR 3.1.4.4. The LOGIC SYSTEM FUNCTIONAL TEST in LCO 3.3.1.1, "Reactor Protection System (RPS) Instrumentation," and the functional testing of SDV vent and drain valves in LCO 3.1.8, "Scram Discharge Volume (SDV) Vent and Drain Valves," overlap this Surveillance to provide complete testing of the assumed safety function. The associated

## BASES

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### SURVEILLANCE REQUIREMENTS (continued)

Frequencies are acceptable, considering the more frequent testing performed to demonstrate other aspects of control rod OPERABILITY and operating experience, which shows scram times do not significantly change over an operating cycle.

#### SR 3.1.3.4

Coupling verification is performed to ensure the control rod is connected to the CRDM and will perform its intended function when necessary. The Surveillance requires verifying a control rod does not go to the withdrawn overtravel position. The overtravel position feature provides a positive check on the coupling integrity since only an uncoupled CRD can reach the overtravel position. The verification is required to be performed any time a control rod is withdrawn to the "full out" position (notch position 48) or prior to declaring the control rod OPERABLE after work on the control rod or CRD System that could affect coupling. This includes control rods inserted one notch and then returned to the "full out" position during the performance of SR 3.1.3.2. This Frequency is acceptable, considering the low probability that a control rod will become uncoupled when it is not being moved and operating experience related to uncoupling events.

**The performance of SR 3.1.3.4 is an assumption of Reference 5.**

### REFERENCES

1. 10 CFR 50, Appendix A, GDC 26, GDC 27, GDC 28, and GDC 29.
  2. FSAR, Section [4.2.3.2.2.4].
  3. FSAR, Section [5A.4.3].
  4. FSAR, Section [15.1].
  5. ~~NEDO-21231, "Banked Position Withdrawal Sequence," Section 7.2, January 1977~~ **[NEDE-24011-P-A, "General Electric Standard Application for Reactor Fuel," current revision or NEDE-33885P-A, "GNF CRDA Application Methodology," Revision 1, TBD.]**
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## B 3.1 REACTIVITY CONTROL SYSTEMS

### B 3.1.6 Rod Pattern Control

#### BASES

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BACKGROUND	Control rod patterns during startup conditions are controlled by the operator and the rod worth minimizer (RWM) (LCO 3.3.2.1, "Control Rod Block Instrumentation"), so that only specified control rod sequences and relative positions are allowed over the operating range of all control rods inserted to <del>[105]</del> % RTP <b>or [300] psig reactor steam dome pressure</b> . The sequences limit the potential amount of reactivity addition that could occur in the event of a Control Rod Drop Accident (CRDA).
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This Specification assures that the control rod patterns are consistent with the assumptions of the CRDA analyses of References 1 and 2.

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APPLICABLE SAFETY ANALYSES	The analytical methods and assumptions used in evaluating the CRDA are summarized in References 1 and 2. CRDA analyses assume that the reactor operator follows prescribed withdrawal sequences. These sequences define the potential initial conditions for the CRDA analysis. The RWM (LCO 3.3.2.1) provides backup to operator control of the withdrawal sequences to ensure that the initial conditions of the CRDA analysis are not violated.
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Prevention or mitigation of positive reactivity insertion events is necessary to limit the energy deposition in the fuel, thereby preventing significant fuel damage which could result in the undue release of radioactivity.

**Control rod patterns analyzed in the cycle-specific analyses are developed in accordance with Ref. 8. The Technical Specifications refer to these patterns as the "analyzed rod position sequence(s)." Per Ref. 8, use of the analyzed rod position sequence ensures** ~~Since the failure consequences for UO<sub>2</sub> have been shown to be insignificant below fuel energy depositions of 300 cal/gm (Ref. 3), the fuel damage limit of 280 cal/gm provides a margin of safety from significant core damage which would result in release of radioactivity (Refs. 4 and 5). Generic evaluations (Refs. 1 and 6) of a design basis CRDA (i.e., a CRDA resulting in a peak fuel energy deposition of 280 cal/gm) have shown that if the peak fuel enthalpy remains below 280 cal/gm, then the maximum reactor pressure will be less than the required ASME Code limits (Ref. 7) and the calculated offsite doses will be well within the required limits (Ref. 5).~~

~~Control rod patterns analyzed in Reference 1 follow the banked position withdrawal sequence (BPWS). The BPWS is applicable from the condition of all control rods fully inserted to [10] % RTP (Ref. 2). For the BPWS, the control rods are required to be moved in groups, with all control rods assigned to a specific group required to be within specified banked positions (e.g., between notches 08 and 12). The banked positions are established to minimize the maximum incremental control~~

~~rod worth without being overly restrictive during normal plant operation.~~

## BASES

### APPLICABLE SAFETY ANALYSES (continued)

~~Generic analysis of the BPWS (Ref. 1) has demonstrated that the 280 cal/gm fuel damage limit will not be violated during a CRDA while following the BPWS mode of operation. The generic BPWS analysis (Ref. 8) also evaluates the effect of fully inserted, inoperable control rods not in compliance with the sequence, to allow a limited number (i.e., eight) and distribution of fully inserted, inoperable control rods.~~

-----REVIEWER'S NOTE-----  
Adoption of the use of **the optional shutdown insertion process described in** Reference **89** requires implementation of the following commitments:

1. Before reducing power to the low power setpoint (LPSP) **and before reactor steam dome pressure falls below [300] psig**, operators shall confirm control rod coupling integrity for all rods that are fully withdrawn. Control rods that have not been confirmed coupled and are in intermediate positions must be fully inserted prior to power reduction to the LPSP **and steam dome pressure reduction to [300] psig**. No action is required for fully-inserted control rods. If a shutdown is required and all rods, which are not confirmed coupled, cannot be fully inserted prior to the power dropping below the LPSP **and the steam dome pressure below [300] psig**, then the original **analyzed rod position sequence** ~~standard BPWS~~ must be used. ~~The original/standard BPWS can be found in Licensing Topical Report NEDO-21231, "Banked Position Withdrawal Sequence," January 1977, and is referred to in NUREG-1433 and NUREG-1434.~~
2. After reactor power drops below the LPSP **and steam dome pressure drops below [300] psig**, rods may be inserted from notch position 48 to notch position 00 without stopping at the intermediate positions. However, ~~GE Nuclear Energy~~ **GNF** recommends that operators insert rods in the same order as specified for the original **analyzed rod position sequence** ~~standard BPWS~~ as much as is reasonably possible. If a plant is in the process of shutting down following **the optional shutdown insertion process** ~~improved BPWS~~ with the power below the LPSP **and reactor steam dome pressure below [300] psig**, no control rod shall be withdrawn unless the control rod pattern is in compliance with ~~standard BPWS requirements~~ **the analyzed rod position sequence**.

When performing a shutdown of the plant, an optional ~~BPWS~~ control rod **insertion** sequence (Ref. **98**) may be used provided that all withdrawn control rods have been confirmed to be coupled. The rods may be inserted without the need to stop at intermediate positions since the possibility of a CRDA is eliminated by the confirmation that withdrawn

## BASES

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control rods are coupled. When using the Reference ~~89~~ control rod ~~insertion~~ sequence for shutdown, the rod worth minimizer may be reprogrammed to enforce the requirements of the ~~optional improved~~ ~~BPWS~~ control rod insertion process, [or bypassed in accordance with the allowance provided in the Applicability Note for the Rod Worth Minimizer in Table 3.3.2.1-1.]

## BASES

### APPLICABLE SAFETY ANALYSES (continued)

In order to use the Reference ~~98 BPWS~~ shutdown process, an extra check is required in order to consider a control rod to be "confirmed" to be coupled. This extra check ensures that no Single Operator Error can result in an incorrect coupling check. For purposes of this shutdown process, the method for confirming that control rods are coupled varies depending on the position of the control rod in the core. Details on this coupling confirmation requirement are provided in Reference ~~98~~. If the requirements for use of the ~~BPWS~~ optional control rod insertion process contained in Reference ~~9-8~~ are followed, the plant is considered to be in compliance with ~~BPWS requirements~~ the analyzed rod position sequence, as required by LCO 3.1.6.

Rod pattern control satisfies Criterion 3 of 10 CFR 50.36(c)(2)(ii).

#### LCO

Compliance with the prescribed control rod sequences minimizes the potential consequences of a CRDA by limiting the initial conditions to those consistent with the analyzed rod position sequence ~~BPWS~~. This LCO ~~only applies to OPERABLE~~ applies to all control rods, whether operable or inoperable. ~~For inoperable control rods required to be inserted, separate requirements are specified in LCO 3.1.3, "Control Rod OPERABILITY," consistent with the allowances for inoperable control rods in the BPWS.~~

#### APPLICABILITY

In MODES ~~1 and 2~~, when THERMAL POWER is  $\leq$  [~~105~~] % RTP and reactor steam dome pressure is  $\leq$  [300] psig, the CRDA is a Design Basis Accident and, therefore, compliance with the assumptions of the safety analysis is required. When THERMAL POWER is  $>$  [~~105~~] % RTP or reactor steam dome pressure is  $>$  [300] psig, there is no credible control rod configuration that results in a control rod worth that could exceed the ~~280 cal/gm fuel damage limit~~ fuel cladding failure criteria during a CRDA (Ref. ~~28~~). In MODES 3, 4, and 5, since the reactor is shut down and only a single control rod can be withdrawn from a core cell containing fuel assemblies, adequate SDM ensures that the consequences of a CRDA are acceptable, since the reactor will remain subcritical with a single control rod withdrawn. Before entering MODE 1, the reactor has completed heat up and pressurization. Reactor steam dome pressure is therefore above 300 psig, and so constraints on the control rod pattern due to CRDA are not required in MODE 1.

## BASES

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### ACTIONS

#### A.1 and A.2

With one or more ~~OPERABLE~~ control rods not in compliance with the prescribed control rod sequence, actions may be taken to either correct the control rod pattern or ~~declare the associated control rods inoperable~~ **fully insert the associated control rods** within 8 hours. Noncompliance with the prescribed sequence may be the result of "double notching," drifting from a control rod drive cooling water transient, leaking scram valves, or a power reduction to  $\leq$  ~~[45]~~ % RTP **and  $\leq$  [300] psig reactor steam dome pressure** before establishing the correct control rod pattern. The number of ~~OPERABLE~~ control rods not in compliance with the prescribed sequence is limited to **[eight]**, to prevent the operator from attempting to correct a control rod pattern that significantly deviates from the prescribed sequence. When the control rod pattern is not in compliance with the prescribed sequence, all control rod movement should be stopped except for moves needed to correct the rod pattern, or scram if warranted.

Required Action A.1 is modified by a Note which allows the RWM to be bypassed to allow the affected control rods to be returned to their correct position. LCO 3.3.2.1 requires verification of control rod movement by a qualified member of the technical staff. This ensures that the control rods will be moved to the correct position. A control rod not in compliance with the prescribed sequence is not **necessarily** considered inoperable-~~except as required by Required Action A.2~~. OPERABILITY of control rods is determined by compliance with LCO 3.1.3, "Control Rod OPERABILITY," LCO 3.1.4, "Control Rod Scram Times," and LCO 3.1.5, "Control Rod Scram Accumulators." The allowed Completion Time of 8 hours is reasonable, considering the restrictions on the number of allowed out of sequence control rods and the low probability of a CRDA occurring during the time the control rods are out of sequence.

#### B.1 and B.2

If **[nine]** or more ~~OPERABLE~~ control rods are out of sequence, the control rod pattern significantly deviates from the prescribed sequence. Control rod withdrawal should be suspended immediately to prevent the potential for further deviation from the prescribed sequence. Control rod insertion to correct control rods withdrawn beyond their allowed position is allowed since, in general, insertion of control rods has less impact on control rod worth than withdrawals have. Required Action B.1 is modified by a Note

## BASES

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### ACTIONS (continued)

which allows the RWM to be bypassed to allow the affected control rods to be returned to their correct position. LCO 3.3.2.1 requires verification of control rod movement by a qualified member of the technical staff.

When [nine] or more ~~OPERABLE~~ control rods are not in compliance with the analyzed rod position sequence ~~BPWS~~, the reactor mode switch must be placed in the shutdown position within 1 hour. With the mode switch in shutdown, the reactor is shut down, and as such, does not meet the applicability requirements of this LCO. The allowed Completion Time of 1 hour is reasonable to allow insertion of control rods to restore compliance, and is appropriate relative to the low probability of a CRDA occurring with the control rods out of sequence.

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### SURVEILLANCE REQUIREMENTS

#### SR 3.1.6.1

The control rod pattern is periodically verified to be in compliance with the analyzed rod position sequence ~~BPWS~~ to ensure the assumptions of the CRDA analyses are met. [ The 24 hour Frequency was developed considering that the primary check on compliance with the analyzed rod position sequence ~~BPWS~~ is performed by the RWM (LCO 3.3.2.1).

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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The RWM provides control rod blocks to enforce the required sequence and is required to be OPERABLE when operating at  $\leq$  [540] % RTP and  $\leq$  [300] psig reactor steam dome pressure.

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### REFERENCES

1. NEDE-24011-P-A-9-US, "General Electric Standard Application for Reactor Fuel, Supplement for United States," Section 2.2.3.1, September 1988.
  2. "Modifications to the Requirements for Control Rod Drop Accident Mitigating System," BWR Owners Group, July 1986 ~~Deleted~~.
  3. NUREG-0979, Section 4.2.1.3.2, April 1983.
  4. NUREG-0800, Section 15.4.9, Revision 2, July 1981.
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## BASES

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## REFERENCES (continued)

5. 10 CFR 100.11.
  6. NEDO-21778-A, "Transient Pressure Rises Affected Fracture Toughness Requirements for Boiling Water Reactors," December 1978.
  7. ASME, Boiler and Pressure Vessel Code.
  8. ~~NEDO-21231, "Banked Position Withdrawal Sequence," January 1977~~ **NEDE-33885P-A, "GNF CRDA Application Methodology," Revision 1, TBD.**
  9. ~~NEDO 33091-A, Revision 2, "Improved BPWS Control Rod Insertion Process," July 2004~~ **Deleted.**
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## B 3.3 INSTRUMENTATION

### B 3.3.2.1A Control Rod Block Instrumentation (Without Setpoint Control Program)

#### BASES

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**BACKGROUND** Control rods provide the primary means for control of reactivity changes. Control rod block instrumentation includes channel sensors, logic circuitry, switches, and relays that are designed to ensure that specified fuel design limits are not exceeded for postulated transients and accidents. During high power operation, the rod block monitor (RBM) provides protection for control rod withdrawal error events. During low power operations, control rod blocks from the rod worth minimizer (RWM) enforce specific control rod sequences designed to mitigate the consequences of the control rod drop accident (CRDA). During shutdown conditions, control rod blocks from the Reactor Mode Switch - Shutdown Position Function ensure that all control rods remain inserted to prevent inadvertent criticalities.

The protection and monitoring functions of the control rod block instrumentation has been designed to ensure safe operation of the reactor. This is achieved by specifying limiting safety system settings (LSSS) in terms of parameters directly monitored by the Reactor Protection System (RPS), as well as LCOs on other reactor system parameters and equipment performance.

Technical Specifications are required by 10 CFR 50.36 to include LSSS for variables that have significant safety functions. LSSS are defined by the regulation as "Where a LSSS is specified for a variable on which a safety limit has been placed, the setting must be chosen so that automatic protective actions will correct the abnormal situation before a Safety Limit (SL) is exceeded." The Analytical Limit is the limit of the process variable at which a safety action is initiated, as established by the safety analysis, to ensure that a SL is not exceeded. Any automatic protection action that occurs on reaching the Analytical Limit therefore ensures that the SL is not exceeded. However, in practice, the actual settings for automatic protection channels must be chosen to be more conservative than the Analytical Limit to account for instrument loop uncertainties related to the setting at which the automatic protective action would actually occur.

----- REVIEWER'S NOTE -----

The term "Limiting Trip Setpoint" [LTSP] is generic terminology for the calculated trip setting (setpoint) value calculated by means of the plant specific setpoint methodology documented in a document controlled under 10 CFR 50.59. The term [LTSP] indicates that no additional margin has been added between the Analytical Limit and the calculated trip setting.

## BASES

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### BACKGROUND (continued)

"Nominal Trip Setpoint [NTSP]" is the suggested terminology for the actual setpoint implemented in the plant surveillance procedures where margin has been added to the calculated [LTSP]. The as-found and as-left tolerances will apply to the [NTSP] implemented in the Surveillance procedures to confirm channel performance.

Licensees are to insert the name of the document(s) controlled under 10 CFR 50.59 that contain the methodology for calculating the as-left and as-found tolerances, in Note c of Table 3.3.2.1-1 for the phrase "[insert the name of a document controlled under 10 CFR 50.59 such as the Technical Requirements Manual or any document incorporated into the facility FSAR]" throughout the Bases.

If the [LTSP] is not included in Table 3.3.2.1-1, the plant specific location for the [LTSP] or [NTSP] must be cited in Note c of Table 3.3.2.1-1. The brackets indicate plant specific terms may apply, as reviewed and approved by the NRC.

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The [Limiting Trip Setpoint (LTSP)] specified in Table 3.3.2.1-1, is a predetermined setting for a protection channel chosen to ensure automatic actuation prior to the process variable reaching the Analytical Limit and thus ensuring that the SL would not be exceeded. As such, the [LTSP] accounts for uncertainties in setting the channel (e.g., calibration), uncertainties in how the channel might actually perform (e.g., repeatability), changes in the point of action of the channel over time (e.g., drift during surveillance intervals), and any other factors which may influence its actual performance (e.g., harsh accident environments). In this manner, the [LTSP] ensures that SLs are not exceeded. Therefore, the [LTSP] meets the definition of an LSSS (Ref. 1).

The Allowable Values specified in Table 3.3.2.1-1 serves as the LSSS such that a channel is OPERABLE if the trip setpoint is found not to exceed the Allowable Value. As such, the Allowable Value differs from the trip setpoint by an amount primarily equal to the expected instrument loop uncertainties, such as drift, during the surveillance interval. In this manner, the actual setting of the device will still meet the LSSS definition and ensure that a SL is not exceeded at any given point of time as long as the device has not drifted beyond that expected during the surveillance interval.

Technical Specifications contain values related to the OPERABILITY of equipment required for safe operation of the facility. OPERABLE is defined in Technical Specifications as "...being capable of performing its safety function(s)." Relying solely on the [LTSP] to define OPERABILITY in Technical Specifications would be an overly restrictive requirement if it

## BASES

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### BACKGROUND (continued)

were applied as an OPERABILITY limit for the "as found" value of a protection channel setting during a Surveillance. This would result in Technical Specification compliance problems, as well as reports and corrective actions required by the rule which are not necessary to ensure safety. For example, an automatic protection channel with a setting that has been found to be different from the [LTSP] due to some drift of the setting may still be OPERABLE because drift is to be expected. This expected drift would have been specifically accounted for in the setpoint methodology for calculating the [LTSP] and thus the automatic protective action would still have ensured that the SL would not be exceeded with the "as found" setting of the protection channel. Therefore, the channel would still be OPERABLE because it would have performed its safety function and the only corrective action required would be to reset the channel within the established as-left tolerance around [LTSP] to account for further drift during the next surveillance interval. Note that, although the channel is OPERABLE under these circumstances, the trip setpoint must be left adjusted to a value within the as-left tolerance, in accordance with uncertainty assumptions stated in the referenced setpoint methodology (as-left criteria), and confirmed to be operating within the statistical allowances of the uncertainty terms assigned (as-found criteria).

However, there is also some point beyond which the channel would have not been able to perform its function due to, for example, greater than expected drift. This value needs to be specified in the Technical Specifications in order to define OPERABILITY of the channels and is designated as the Allowable Value.

If the actual setting (as-found setpoint) of the channel is found to be conservative with respect to the Allowable Value but is beyond the as-found tolerance band, the channel is OPERABLE, but degraded. The degraded condition will be further evaluated during performance of the SR. This evaluation will consist of resetting the channel setpoint to the [LTSP] (within the allowed tolerance), and evaluating the channel response. If the channel is functioning as required and expected to pass the next surveillance, then the channel is OPERABLE and can be restored to service at the completion of the surveillance. After the surveillance is completed, the channel as-found condition will be entered into the Corrective Action Program for further evaluation.

The purpose of the RBM is to limit control rod withdrawal if localized neutron flux exceeds a predetermined setpoint during control rod manipulations. It is assumed to function to block further control rod withdrawal to preclude a MCPR SL violation. The RBM supplies a trip signal to the Reactor Manual Control System (RMCS) to appropriately

## BASES

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### BACKGROUND (continued)

inhibit control rod withdrawal during power operation above the low power range setpoint. The RBM has two channels, either of which can initiate a control rod block when the channel output exceeds the control rod block setpoint. One RBM channel inputs into one RMCS rod block circuit and the other RBM channel inputs into the second RMCS rod block circuit. The RBM channel signal is generated by averaging a set of local power range monitor (LPRM) signals at various core heights surrounding the control rod being withdrawn. A signal from one average power range monitor (APRM) channel assigned to each RPS trip system supplies a reference signal for the RBM channel in the same trip system. This reference signal is used to determine which RBM range setpoint (low, intermediate, or high) is enabled. If the APRM is indicating less than the low power range setpoint, the RBM is automatically bypassed. The RBM is also automatically bypassed if a peripheral control rod is selected (Ref. 2).

The purpose of the RWM is to control rod patterns during startup, such that only specified control rod sequences and relative positions are allowed over the operating range from all control rods inserted to **[5]10% RTP or [300] psig reactor steam dome pressure**. The sequences effectively limit the potential amount and rate of reactivity increase during a CRDA. Prescribed control rod sequences are stored in the RWM, which will initiate control rod withdrawal and insert blocks when the actual sequence deviates beyond allowances from the stored sequence. The RWM determines the actual sequence based position indication for each control rod. The RWM also uses feedwater flow and steam flow signals to determine when the reactor power is above the preset power level at which the RWM is automatically bypassed (Ref. 3). The RWM is a single channel system that provides input into both RMCS rod block circuits.

With the reactor mode switch in the shutdown position, a control rod withdrawal block is applied to all control rods to ensure that the shutdown condition is maintained. This Function prevents inadvertent criticality as the result of a control rod withdrawal during MODE 3 or 4, or during MODE 5 when the reactor mode switch is required to be in the shutdown position. The reactor mode switch has two channels, each inputting into a separate RMCS rod block circuit. A rod block in either RMCS circuit will provide a control rod block to all control rods.

Permissive and interlock setpoints allow the blocking of trips during plant startups, and restoration of trips when the permissive conditions are not satisfied, but they are not explicitly modeled in the Safety Analyses. These permissives and interlocks ensure that the starting conditions are consistent with the safety analysis, before preventive or mitigating actions occur. Because these permissives or interlocks are only one of multiple

## BASES

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### BACKGROUND (continued)

conservative starting assumptions for the accident analysis, they are generally considered as nominal values without regard to measurement accuracy.

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APPLICABLE SAFETY ANALYSES, LCO, and APPLICABILITY Allowable Values are specified for each Rod Block Function specified in SR 3.3.2.1.7. [LTSP] and the methodologies for calculation of the as-left and as-found tolerances are described in [insert the name of a document controlled under 10 CFR 50.59 such as the Technical Requirements Manual or any document incorporated into the facility FSAR]. The [LTSPs] are selected to ensure that the actual setpoints remain conservative with respect to the as-found tolerance band between successive CHANNEL CALIBRATIONS. After each calibration the trip setpoint shall be left within the as-left band around the [LTSP].

[LTSPs] are those predetermined values of output at which an action should take place. The setpoints are compared to the actual process parameter (e.g., reactor vessel water level), and when the measured output value of the process parameter exceeds the setpoint, the associated device (e.g., trip unit) changes state. The analytical limits are derived from the limiting values of the process parameters obtained from the safety analysis. The Allowable Values are derived from the analytical limits, corrected for calibration, process, and some of the instrument errors. The [LTSPs] are then determined accounting for the remaining instrument errors (e.g., drift). The [LTSPs] derived in this manner provide adequate protection because instrumentation uncertainties, process effects, calibration tolerances, instrument drift, and severe environment errors (for channels that must function in harsh environments as defined by 10 CFR 50.49) are accounted for.

The specific Applicable Safety Analyses, LCO, and Applicability discussions are listed below on a Function by Function basis.

#### 1. Rod Block Monitor

The RBM is designed to prevent violation of the MCPR SL and the cladding 1% plastic strain fuel design limit that may result from a single control rod withdrawal error (RWE) event. The analytical methods and assumptions used in evaluating the RWE event are summarized in Reference 4. A statistical analysis of RWE events was performed to determine the RBM response for both channels for each event. From these responses, the fuel thermal performance as a function of RBM Allowable Value was determined. The Allowable Values are chosen as a function of power level. Based on the specified Allowable Values, operating limits are established.

The RBM Function satisfies Criterion 3 of 10 CFR 50.36(c)(2)(ii).

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### APPLICABLE SAFETY ANALYSES, LCO, and APPLICABILITY (continued)

Two channels of the RBM are required to be OPERABLE, with their setpoints within the appropriate Allowable Value for the associated power range, to ensure that no single instrument failure can preclude a rod block from this Function. The actual setpoints are calibrated consistent with applicable setpoint methodology.

The RBM is assumed to mitigate the consequences of a RWE event when operating  $\geq 29\%$  RTP. Below this power level, the consequences of a RWE event will not exceed the MCPR SL and, therefore, the RBM is not required to be OPERABLE (Ref. 4). When operating  $< 90\%$  RTP, analyses (Ref. 4) have shown that with an initial MCPR  $\geq 1.70$ , no RWE event will result in exceeding the MCPR SL. Also, the analyses demonstrate that when operating at  $\geq 90\%$  RTP with MCPR  $\geq 1.40$ , no RWE event will result in exceeding the MCPR SL (Ref. 4). Therefore, under these conditions, the RBM is also not required to be OPERABLE.

#### 2. Rod Worth Minimizer

The RWM enforces the **analyzed rod position** ~~banked position withdrawal sequence (BPWS)~~ to ensure that the initial conditions of the CRDA analysis are not violated.

The analytical methods and assumptions used in evaluating the CRDA are summarized in References 5, 6, 7, ~~8~~, and 9. ~~The standard BPWS requires that control rods be moved in groups, with all control rods assigned to a specific group required to be within specified banked positions.~~ Requirements that the control rod sequence is in compliance with the **analyzed rod position sequence** ~~BPWS~~ are specified in LCO 3.1.6, "Rod Pattern Control."

-----REVIEWER'S NOTE-----  
Adoption of the use of **the optional shutdown insertion process in** Reference ~~8~~7 requires implementation of the following commitments:

1. Before reducing power to the low power setpoint (LPSP) **and before reactor steam dome pressure falls below [300] psig**, operators shall confirm control rod coupling integrity for all rods that are fully withdrawn. Control rods that have not been confirmed coupled and are in intermediate positions must be fully inserted prior to power reduction to the LPSP **and steam dome pressure reduction to [300] psig**. No action is required for fully-inserted control rods. If a shutdown is required and all rods, which are not confirmed coupled, cannot be fully inserted prior to the power dropping below the LPSP **and the steam dome pressure below [300] psig**, then the original **analyzed rod position sequence** ~~/standard BPWS~~ must be used. ~~The original/standard BPWS can be found in Licensing Topical Report NEDO-21231, "Banked Position Withdrawal Sequence," January 1977, and is~~

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~~referred to in NUREG-1433 and NUREG-1434.~~

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### APPLICABLE SAFETY ANALYSES, LCO, and APPLICABILITY (continued)

2. After reactor power drops below the LPSP **and steam dome pressure drops below [300] psig**, rods may be inserted from notch position 48 to notch position 00 without stopping at the intermediate positions. However, ~~GE Nuclear Energy~~ **GNF** recommends that operators insert rods in the same order as specified for the original **analyzed rod position sequence** ~~standard BPWS~~ as much as is reasonably possible. If a plant is in the process of shutting down following **the optional shutdown insertion process** ~~improved BPWS~~ with the power below the LPSP **and reactor steam dome pressure below [300] psig**, no control rod shall be withdrawn unless the control rod pattern is in compliance with ~~standard BPWS requirements~~ **the analyzed rod position sequence**.

When performing a shutdown of the plant, an optional ~~BPWS~~ control rod **insertion** sequence (Ref. ~~7~~**8**) may be used if the coupling of each withdrawn control rod has been confirmed. The rods may be inserted without the need to stop at intermediate positions. When using the Reference ~~8-7~~ control rod insertion sequence for shutdown, the rod worth minimizer may be reprogrammed to enforce the requirements of the **optional** ~~improved BPWS~~ control rod insertion process, or it can be bypassed if it is not programmed to reflect the optional ~~BPWS~~ shutdown sequence, as permitted by the Applicability Note for the RWM in Table 3.3.2.1-1].

The RWM Function satisfies Criterion 3 of 10 CFR 50.36(c)(2)(ii).

Since the RWM is a hardwired system designed to act as a backup to operator control of the rod sequences, only one channel of the RWM is available and required to be OPERABLE (Ref. 9). Special circumstances provided for in the Required Action of LCO 3.1.3, "Control Rod OPERABILITY," and LCO 3.1.6 may necessitate bypassing the RWM to allow continued operation with ~~inoperable~~ **fully inserted, out-of-sequence** control rods, or to allow correction of a control rod pattern not in compliance with the **analyzed rod position sequence** ~~BPWS~~. The RWM may be bypassed as required by these conditions, but then it must be considered inoperable and the Required Actions of this LCO followed.

Compliance with the **analyzed rod position sequence** ~~BPWS~~, and therefore OPERABILITY of the RWM, is required in ~~MODES 1 and 2~~ when THERMAL POWER is  $\leq$  ~~[540]~~ % RTP **and reactor steam dome pressure  $\leq$  [300] psig**. When THERMAL POWER is  $>$  ~~[540]~~ % RTP **or reactor steam dome pressure  $>$  [300] psig**, there is no possible control rod configuration that results in a control rod worth that could exceed the ~~280 cal/gm fuel damage limit~~ **fuel cladding failure criteria** during a CRDA (Refs. ~~7-9~~). In ~~MODES 3 and 4~~, all control rods are required to be inserted into the core;



## BASES

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therefore, a CRDA cannot occur. In MODE 5, since only a single control rod can be withdrawn from a core cell containing fuel assemblies, adequate SDM ensures that the consequences of a CRDA are acceptable, since the reactor will be subcritical. **Before entering MODE 1, the reactor has completed heat up and pressurization. Reactor steam dome pressure is therefore above 300 psig, and so constraints on the control rod pattern due to CRDA are not required in MODE 1.**

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### APPLICABLE SAFETY ANALYSES, LCO, and APPLICABILITY (continued)

#### 3. Reactor Mode Switch - Shutdown Position

During MODES 3 and 4, and during MODE 5 when the reactor mode switch is required to be in the shutdown position, the core is assumed to be subcritical; therefore, no positive reactivity insertion events are analyzed. The Reactor Mode Switch - Shutdown Position control rod withdrawal block ensures that the reactor remains subcritical by blocking control rod withdrawal, thereby preserving the assumptions of the safety analysis.

The Reactor Mode Switch - Shutdown Position Function satisfies Criterion 3 of 10 CFR 50.36(c)(2)(ii).

Two channels are required to be OPERABLE to ensure that no single channel failure will preclude a rod block when required. There is no Allowable Value for this Function since the channels are mechanically actuated based solely on reactor mode switch position.

During shutdown conditions (MODE 3, 4, or 5), no positive reactivity insertion events are analyzed because assumptions are that control rod withdrawal blocks are provided to prevent criticality. Therefore, when the reactor mode switch is in the shutdown position, the control rod withdrawal block is required to be OPERABLE. During MODE 5 with the reactor mode switch in the refueling position, the refuel position one-rod-out interlock (LCO 3.9.2) provides the required control rod withdrawal blocks.

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### ACTIONS

-----REVIEWER'S NOTE-----  
Certain LCO Completion Times are based on approved topical reports. In order for the licensee to use the times, the licensee must justify the Completion Times as required by the staff Safety Evaluation Report (SER) for the topical report.  
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#### A.1

With one RBM channel inoperable, the remaining OPERABLE channel is adequate to perform the control rod block function; however, overall reliability is reduced because a single failure in the remaining OPERABLE channel can result in no control rod block capability for the RBM. For this reason, Required Action A.1 requires restoration of the inoperable channel to OPERABLE status. The Completion Time of 24 hours is based on the low probability of an event occurring coincident with a failure in the remaining OPERABLE channel.

#### B.1

If Required Action A.1 is not met and the associated Completion Time has expired, the inoperable channel must be placed in trip within 1 hour. If both RBM channels are inoperable, the RBM is not capable of performing its intended function; thus, one channel must also be placed in trip. This initiates a control rod withdrawal block, thereby ensuring that the RBM function is met.

The 1 hour Completion Time is intended to allow the operator time to evaluate and repair any discovered inoperabilities and is acceptable because it minimizes risk while allowing time for restoration or tripping of inoperable channels.

#### C.1, C.2.1.1, C.2.1.2, and C.2.2

With the RWM inoperable during a reactor startup, the operator is still capable of enforcing the prescribed control rod sequence. However, the overall reliability is reduced because a single operator error can result in violating the control rod sequence. Therefore, control rod movement must be immediately suspended except by scram. Alternatively, startup may continue if at least 12 control rods have already been withdrawn, or a reactor startup with an inoperable RWM was not performed in the last 12 months. Required Actions C.2.1.1 and C.2.1.2 require verification of these conditions by review of plant logs and control room indications. Once Required Action C.2.1.1 or C.2.1.2 is satisfactorily completed,

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### ACTIONS (continued)

control rod withdrawal may proceed in accordance with the restrictions imposed by Required Action C.2.2. Required Action C.2.2 allows for the RWM Function to be performed manually and requires a double check of compliance with the prescribed rod sequence by a second licensed operator (Reactor Operator or Senior Reactor Operator) or other qualified member of the technical staff.

The RWM may be bypassed under these conditions to allow continued operations. In addition, Required Actions of LCO 3.1.3 and LCO 3.1.6 may require bypassing the RWM, during which time the RWM must be considered inoperable with Condition C entered and its Required Actions taken.

#### D.1

With the RWM inoperable during a reactor shutdown, the operator is still capable of enforcing the prescribed control rod sequence. Required Action D.1 allows for the RWM Function to be performed manually and requires a double check of compliance with the prescribed rod sequence by a second licensed operator (Reactor Operator or Senior Reactor Operator) or other qualified member of the technical staff. The RWM may be bypassed under these conditions to allow the reactor shutdown to continue.

#### E.1 and E.2

With one Reactor Mode Switch - Shutdown Position control rod withdrawal block channel inoperable, the remaining OPERABLE channel is adequate to perform the control rod withdrawal block function. However, since the Required Actions are consistent with the normal action of an OPERABLE Reactor Mode Switch - Shutdown Position Function (i.e., maintaining all control rods inserted), there is no distinction between having one or two channels inoperable.

In both cases (one or both channels inoperable), suspending all control rod withdrawal and initiating action to fully insert all insertable control rods in core cells containing one or more fuel assemblies will ensure that the core is subcritical with adequate SDM ensured by LCO 3.1.1. Control rods in core cells containing no fuel assemblies do not affect the reactivity of the core and are therefore not required to be inserted. Action must continue until all insertable control rods in core cells containing one or more fuel assemblies are fully inserted.

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### SURVEILLANCE REQUIREMENTS

#### -----REVIEWER'S NOTE-----

Certain Frequencies are based on approved topical reports. In order for a licensee to use these Frequencies, the licensee must justify the Frequencies as required by the staff SER for the topical report.

#### -----REVIEWER'S NOTE-----

Notes b and c are applied to the setpoint verification Surveillances for the Control Rod Block Instrumentation Functions in Table 3.3.2.1-1 unless one or more of the following exclusions apply:

1. Manual actuation circuits, automatic actuation logic circuits or instrument functions that derive input from contacts which have no associated sensor or adjustable device, e.g., limit switches, breaker position switches, manual actuation switches, float switches, proximity detectors, etc. are excluded. In addition, those permissives and interlocks that derive input from a sensor or adjustable device that is tested as part of another TS function are excluded.
2. Settings associated with safety relief valves are excluded. The performance of these components is already controlled (i.e., trended with as-left and as-found limits) under the ASME Code for Operation and Maintenance of Nuclear Power Plants testing program.
3. Functions and Surveillance Requirements which test only digital components are normally excluded. There is no expected change in result between SR performances for these components. Where separate as-left and as-found tolerance is established for digital component SRs, the requirements would apply.

As noted at the beginning of the SRs, the SRs for each Control Rod Block instrumentation Function are found in the SRs column of Table 3.3.2.1-1.

The Surveillances are modified by a Note to indicate that when a RBM channel is placed in an inoperable status solely for performance of required Surveillances, entry into associated Conditions and Required Actions may be delayed for up to 6 hours provided the associated Function maintains control rod block capability. Upon completion of the Surveillance, or expiration of the 6 hour allowance, the channel must be returned to OPERABLE status or the applicable Condition entered and Required Actions taken. This Note is based on the reliability analysis (Ref. 11) assumption of the average time required to perform channel Surveillance. That analysis demonstrated that the 6 hour testing allowance does not significantly reduce the probability that a control rod block will be initiated when necessary.

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### SURVEILLANCE REQUIREMENTS (continued)

#### SR 3.3.2.1.1

A CHANNEL FUNCTIONAL TEST is performed for each RBM channel to ensure that the entire channel will perform the intended function. It includes the Reactor Manual Control Multiplexing System input. A successful test of the required contact(s) of a channel relay may be performed by the verification of the change of state of a single contact of the relay. This clarifies what is an acceptable CHANNEL FUNCTIONAL TEST of a relay. This is acceptable because all of the other required contacts of the relay are verified by other Technical Specifications and non-Technical Specifications tests at least once per refueling interval with applicable extensions.

Any setpoint adjustment shall be consistent with the assumptions of the current plant specific setpoint methodology. [ The Frequency of 92 days is based on reliability analyses (Ref. 10).

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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#### SR 3.3.2.1.2 and SR 3.3.2.1.3

A CHANNEL FUNCTIONAL TEST is performed for the RWM to ensure that the entire system will perform the intended function. A successful test of the required contact(s) of a channel relay may be performed by the verification of the change of state of a single contact of the relay. This clarifies what is an acceptable CHANNEL FUNCTIONAL TEST of a relay. This is acceptable because all of the other required contacts of the relay are verified by other Technical Specifications and non-Technical Specifications tests at least once per refueling interval with applicable extensions. The CHANNEL FUNCTIONAL TEST for the RWM is performed by attempting to withdraw a control rod not in compliance with the prescribed sequence and verifying a control rod block occurs. As noted in the SRs, SR 3.3.2.1.2 is not required to be performed until 1 hour after any control rod is withdrawn **at  $\leq$  [5]% RTP and  $\leq$  [300] psig reactor steam dome pressure** in MODE 2. As noted, SR 3.3.2.1.3 is

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### SURVEILLANCE REQUIREMENTS (continued)

not required to be performed until 1 hour after THERMAL POWER is  $\leq$  ~~10~~[5]% RTP and steam dome pressure is  $\leq$  [300] psig in MODE ~~1~~2. This allows entry into MODE 2 during a startup for SR 3.3.2.1.2, and entry into MODE ~~1~~2 during a shutdown when THERMAL POWER is  $\leq$  ~~10~~[5]% RTP and steam dome pressure is  $\leq$  [300] psig for SR 3.3.2.1.3, to perform the required Surveillance if the Frequency is not met per SR 3.0.2. The 1 hour allowance is based on operating experience and in consideration of providing a reasonable time in which to complete the SRs. [ The Frequencies are based on reliability analysis (Ref. 10).

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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#### SR 3.3.2.1.4

The RBM setpoints are automatically varied as a function of power. Three Allowable Values are specified in Table 3.3.2.1-1, each within a specific power range. The power at which the control rod block Allowable Values automatically change are based on the APRM signal's input to each RBM channel. Below the minimum power setpoint, the RBM is automatically bypassed. These power Allowable Values must be verified periodically to be less than or equal to the specified values. If any power range setpoint is nonconservative, then the affected RBM channel is considered inoperable. Alternatively, the power range channel can be placed in the conservative condition (i.e., enabling the proper RBM setpoint). If placed in this condition, the SR is met and the RBM channel is not considered inoperable. As noted, neutron detectors are excluded from the Surveillance because they are passive devices, with minimal drift, and because of the difficulty of simulating a meaningful signal. Neutron detectors are adequately tested in SR 3.3.1.1.2 and SR 3.3.1.1.6. [ The 18 month Frequency is based on the actual trip setpoint methodology utilized for these channels.

OR

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### SURVEILLANCE REQUIREMENTS (continued)

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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#### SR 3.3.2.1.5

The RWM is automatically bypassed when power is above a specified value. The power level is determined from feedwater flow and steam flow signals. The automatic bypass setpoint must be verified periodically to be  $\leq$  [105]% RTP. If the RWM low power setpoint is nonconservative, then the RWM is considered inoperable. Alternately, the low power setpoint channel can be placed in the conservative condition (nonbypass). If placed in the nonbypassed condition, the SR is met and the RWM is not considered inoperable. [ The Frequency is based on the trip setpoint methodology utilized for the low power setpoint channel.

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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#### SR 3.3.2.1.6

A CHANNEL FUNCTIONAL TEST is performed for the Reactor Mode Switch - Shutdown Position Function to ensure that the entire channel will perform the intended function. A successful test of the required contact(s) of a channel relay may be performed by the verification of the change of state of a single contact of the relay. This clarifies what is an acceptable CHANNEL FUNCTIONAL TEST of a relay. This is acceptable because all of the other required contacts of the relay are verified by other



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### SURVEILLANCE REQUIREMENTS (continued)

Technical Specifications and non-Technical Specifications tests at least once per refueling interval with applicable extensions. The CHANNEL FUNCTIONAL TEST for the Reactor Mode Switch - Shutdown Position Function is performed by attempting to withdraw any control rod with the reactor mode switch in the shutdown position and verifying a control rod block occurs.

As noted in the SR, the Surveillance is not required to be performed until 1 hour after the reactor mode switch is in the shutdown position, since testing of this interlock with the reactor mode switch in any other position cannot be performed without using jumpers, lifted leads, or movable links. This allows entry into MODES 3 and 4 if the Frequency is not met per SR 3.0.2. The 1 hour allowance is based on operating experience and in consideration of providing a reasonable time in which to complete the SRs.

[ The 18 month Frequency is based on the need to perform this Surveillance under the conditions that apply during a plant outage and the potential for an unplanned transient if the Surveillance were performed with the reactor at power. Operating experience has shown these components usually pass the Surveillance when performed at the 18 month Frequency.

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----

Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.

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#### SR 3.3.2.1.7

A CHANNEL CALIBRATION is a complete check of the instrument loop and the sensor. This test verifies the channel responds to the measured parameter within the necessary range and accuracy. CHANNEL CALIBRATION leaves the channel adjusted to account for instrument drifts between successive calibrations consistent with the plant specific setpoint methodology.

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### SURVEILLANCE REQUIREMENTS (continued)

As noted, neutron detectors are excluded from the CHANNEL CALIBRATION because they are passive devices, with minimal drift, and because of the difficulty of simulating a meaningful signal. Neutron detectors are adequately tested in SR 3.3.1.1.2 and SR 3.3.1.1.6.

[ The Frequency is based upon the assumption of an 18 month calibration interval in the determination of the magnitude of equipment drift in the setpoint analysis.

OR

The Surveillance Frequency is controlled under the Surveillance Frequency Control Program.

-----REVIEWER'S NOTE-----  
Plants controlling Surveillance Frequencies under a Surveillance Frequency Control Program should utilize the appropriate Frequency description, given above, and the appropriate choice of Frequency in the Surveillance Requirement.  
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SR 3.3.2.1.7 for Functions [3.3.2.1-1.1.a, 3.3.2.1-1.1.b, and 3.3.2.1-1.1.c] is modified by two Notes as identified in Table 3.3.2.1-1. The first Note requires evaluation of channel performance for the condition where the as-found setting for the channel setpoint is outside its as-found tolerance but conservative with respect to the Allowable Value. Evaluation of channel performance will verify that the channel will continue to behave in accordance with safety analysis assumptions and the channel performance assumptions in the setpoint methodology. The purpose of the assessment is to ensure confidence in the channel performance prior to returning the channel to service. For channels determined to be OPERABLE but degraded, after returning the channel to service the performance of these channels will be evaluated under the plant Corrective Action Program. Entry into the Corrective Action Program will ensure required review and documentation of the condition. The second Note requires that the as-left setting for the channel be within the as-left tolerance of the [LTSP]. Where a setpoint more conservative than the [LTSP] is used in the plant surveillance procedures [Nominal Trip Setpoint (NTSP)], the as-left and as-found tolerances, as applicable, will be applied to the surveillance procedure setpoint. This will ensure that sufficient margin to the Safety Limit and/or Analytical Limit is maintained. If the as-left channel setting cannot be returned to a setting within the as-left tolerance of the [LTSP], then the channel shall be declared inoperable. The second Note also

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### SURVEILLANCE REQUIREMENTS (continued)

requires that [LTSP] and the methodologies for calculating the as-left and the as-found tolerances be in [insert the facility FSAR reference or the name of any document incorporated into the facility FSAR by reference].

#### SR 3.3.2.1.8

The RWM will only enforce the proper control rod sequence if the rod sequence is properly input into the RWM computer. This SR ensures that the proper sequence is loaded into the RWM so that it can perform its intended function. The Surveillance is performed once prior to declaring RWM OPERABLE following loading of sequence into RWM, since this is when rod sequence input errors are possible.

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### REFERENCES

1. Regulatory Guide 1.105, "Setpoints for Safety-Related Instrumentation," Revision 3.
2. FSAR, Section [7.6.2.2.5].
3. FSAR, Section [7.6.8.2.6].
4. NEDC-30474-P, "Average Power Range Monitor, Rod Block Monitor, and Technical Specification Improvements (ARTS) Program for Edwin I. Hatch Nuclear Plants," December 1983.
5. NEDE-24011-P-A-9-US, "General Electrical Standard Application for Reload Fuel," Supplement for United States, Section S 2.2.3.1, September 1988.
6. "Modifications to the Requirements for Control Rod Drop Accident Mitigating Systems," BWR Owners' Group, July 1986.
7. ~~NEDO-21231, "Banked Position Withdrawal Sequence," January 1977~~ **NEDE-33885P-A, "GNF CRDA Application Methodology," Revision 1, TBD.**
8. ~~NEDO-33091-A, Revision 2, "Improved BPWS Control Rod Insertion Process," July 2004~~ **Deleted.**
9. NRC SER, "Acceptance of Referencing of Licensing Topical Report NEDE-24011-P-A," "General Electric Standard Application for Reactor Fuel, Revision 8, Amendment 17," December 27, 1987.

## BASES

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## REFERENCES (continued)

10. NEDC-30851-P-A, "Technical Specification Improvement Analysis for BWR Control Rod Block Instrumentation," October 1988.
  11. GENE-770-06-1, "Addendum to Bases for Changes to Surveillance Test Intervals and Allowed Out-of-Service Times for Selected Instrumentation Technical Specifications," February 1991.
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