

APPENDIX U

Significant Enforcement Actions Issued, 2016

Significant (escalated) enforcement actions include notices of violation (NOVs) for severity level (SL) I, II, or III violations; NOVs associated with inspection findings that the significance determination process (SDP) categorizes as white, yellow, or red; civil penalties (CPs); and enforcement-related orders. The NRC Enforcement Policy also allows related violations to be categorized collectively as a single problem. Escalated enforcement actions are issued to reactor, materials, and individual licensees; nonlicensees; and fuel cycle facility licensees.

Action #	Name	Type	Issue Date	Enforcement Action
EA-15-184	Megan, LLC	Materials	1/25/16	NOV/CP SL III--\$3,500
EA-15-170	Wolf Creek Nuclear Operating Corp. (Wolf Creek 1)	Reactor	1/27/16	Problem SL III
EA-15-205	Ferroval Agroman, S.A.	Materials	2/1/16	NOV SL III
EA-15-190	Whitworth-Muench, Inc.	Materials	2/2/16	NOV SL III
IA-15-074	Brian F. Quinn	Individual	2/8/16	NOV SL III
IA-16-010	Erik Simpson	Individual	2/16/16	NOV SL III
EA-15-221	Materials Testing Consultants, Inc.	Materials	2/19/16	NOV SL III
EA-15-188	Pacific Soils Engineering & Testing	Materials	3/10/16	NOV SL III
EA-15-100	Entergy Operations, Inc. (Waterford 3)	Reactor	4/6/16	2 Confirmatory Orders result of an ADR mediation
EA-15-247	Entergy Nuclear Generation Company (Pilgrim 1)	Reactor	4/11/16	Problem SL III
EA-15-212	C&D Technologies, Inc.	Reactor	4/20/16	Confirmatory Order result of an ADR mediation
EA-15-258	Team Industrial Services, Inc.	Materials	4/20/16	NOV SL III
EA-15-251	Siouxland Urology Center, LLC	Materials	4/21/16	NOV SL III
EA-16-035	Weaver Consultants Group	Materials	5/10/16	NOV SL III
EA-15-213	Novelis Corporation	Materials	5/13/16	NOV/CP SL III--\$7,000
IA 15-079	Stephen Mick	Individual	5/13/16	NOV SL III
EA-15-039	Entergy Nuclear Operations, Inc. (Palisades)	Reactor	5/16/16	Confirmatory Order result of an ADR mediation
EA-16-054	FMC & Associates, LLC	Materials	5/17/16	Problem SL III
EA-16-031	Wayne County Well Surveys, Inc.	Materials	5/19/16	NOV SL III
EA-16-078	Curtis-Wright Corporation	Materials	5/20/16	NOV SL III
IA-15-053	Terry LaBue	Individual	5/25/16	NOV SL III
IA-15-052	Kristen Smith	Individual	5/31/16	NOV SL III
EA-16-045	Thielsch Engineering, Inc.	Materials	6/1/16	Problem SL III
EA-15-165	Montana State University	Materials	6/24/16	NOV SL III
IA-16-018	Curtis Hofer	Individual	6/24/16	NOV SL III
EA-14-080	CAMPSCO, Inc.	Materials	6/26/16	Confirmatory Order result of an ADR mediation
EA-16-098	7NT Enterprises	Materials	7/1/16	NOV SL III
EA-16-057	Exelon Generation Co., LLC (Oyster Creek)	Reactor	7/6/16	NOV White SDP finding resulting in plant inspections
EA-15-173	ACUREN USA	Materials	7/7/16	Problem/CP SL III--\$7,000
IA-16-025	Troy Morehead	Individual	7/11/16	Confirmatory Order result of an ADR mediation
IA-16-026	Kyle Dickerson	Individual	7/11/16	Confirmatory Order result of an ADR mediation
EA-16-075	Patriot Engineering and Environment	Materials	7/12/16	NOV/CP SL III--\$3,500
EA-16-109	MEDSTAR Washington Hospital Center	Materials	7/19/16	NOV SL III
EA-16-074	QHG of Indiana, Inc.	Materials	7/23/16	NOV SL III
EA-16-046	Applied Technical Services, Inc.	Materials	7/28/16	Problem/CP SL III--\$7,000
IA-15-081	Justin Hubbard	Individual	7/28/16	NOV SL III
IA-16-040	Martin Ferenc	Individual	7/28/16	NOV SL III



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EA-16-016	AREVA NP, Inc.	Materials	8/4/16	Confirmatory Order result of an ADR mediation
EA-16-100	City of Muskegon	Materials	8/8/16	NOV SL III
EA-16-022	FirstEnergy Nuclear Operating Co. (Davis-Besse)	Reactor	9/1/16	Confirmatory Order result of an ADR mediation
IA-16-039	Franklin D. Hayden, Jr.	Individual	9/1/16	Problem SL III
EA-16-115	Consumers Energy	Materials	9/14/16	NOV SL III
EA-16-128	R.E. Ginna Nuclear Power Plant, LLC	Reactor	9/20/16	NOV White SDP finding resulting in plant inspections
EA-16-135	Ontonagon County Road Commission	Materials	9/26/16	NOV SL III
EA-16-140	Ideker, Inc.	Materials	9/27/16	NOV SL III
EA-16-010	CB&I AREVA MOX Services	Fuel Facility	9/28/16	NOV SL III
EA-16-097	Jenbo USA, LLC	Materials	9/29/16	NOV SL III; Problem SL III
EA-16-051	Power Resources, Inc.	Materials	9/30/16	Confirmatory Order result of an ADR mediation
IA-16-043	Kevin Brainard	Individual	9/30/16	NOV SL III
EA-16-163	Southern Nuclear Operating Co., Inc. (Hatch)	Reactor	10/3/16	Confirmatory Order result of an ADR mediation
EA-16-099	Florida Power & Light Co. (Turkey Point 3, Turkey Point 4)	Reactor	10/10/16	NOV SL III
EA-16-136	Southern Nuclear Operating Co., Inc. (Hatch 1)	Reactor	10/19/16	NOV SL III
EA-16-154	CQM, Inc.	Materials	11/14/16	NOV SL III
EA-16-064	Tennessee Valley Authority (Browns Ferry)	Reactor	11/28/16	NOV/CP SL III--\$140,000
EA-16-172	Hartford Quality Assurance	Materials	12/2/16	NOV SL III
EA-16-153	Lehigh Cement Company, LLC	Materials	12/7/16	NOV SL III
EA-16-175	Northern States Power Company (Monticello)	Reactor	12/12/16	NOV White SDP finding resulting in plant inspections
EA-16-138	EMSI Engineering, Inc.	Materials	12/15/16	NOV/CP SL III--\$14,000
EA-16-179	Romeo RIM	Materials	12/15/16	Problem SL III
EA-16-168	Pacific Gas & Electric Co. (Diablo Canyon 2)	Reactor	12/28/16	NOV White SDP finding resulting in plant inspections
EA-13-190	Plus, LLC	Materials	5/3/2016 8/8/2016	3 NOV/CP SL III Order Imposing Civil Penalty of \$21,000
EA-15-230	Tetra Tech EC, Inc.	Materials	7/28/2016 10/11/2016	NOV/CP SL III--\$7,000 Confirmatory Order result of an ADR mediation
EA-16-055	International Cyclotron, Inc.	Materials	8/30/2016 11/17/2016	NOV/CP SL III--\$14,000 Order Imposing Civil Penalty of \$14,000

Notes: Reactor facilities in a decommissioning status are listed as materials licensees. The NRC report on Issued Significant Enforcement Actions can be found on the NRC Web site at <https://www.nrc.gov/about-nrc/regulatory/enforcement/current.html>.



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