



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

February 1, 2018

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NUCLEAR
REGULATORY COMMISSION (NRC) SHARED "S" DRIVE
(OIG-11-A-15)

REFERENCE: CHIEF INFORMATION OFFICER MEMORANDUM DATED
DECEMBER 19, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated December 19, 2017. Based on this response, recommendations 2 and 3 remain in resolved status. Recommendations 1, 4, and 5 were closed previously. Please provide an updated status of the resolved recommendations by June 3, 2019.

If you have any questions or concerns, please call me at (301) 415-5915 or Beth Serepca, Team Leader, at (301) 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO
J. Jolicoeur, OEDO
H. Rasouli, OEDO
J. Bowen, OEDO
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Audit Report

AUDIT OF NRC'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

Recommendation 2: Revise current information security training for NRC staff to address specific practices for protecting Sensitive Unclassified Non-Safeguards Information (SUNSI) on the agency's shared network drives.

Agency Response Dated
December 19, 2017:

The CUI final rule became effective on November 14, 2016, and requires that all sensitive information that is not classified be categorized under the CUI rule. SUNSI and Safeguards Information are captured under this definition. Staff anticipates closing this recommendation after NRC CUI policy is published and employees receive training on CUI. The estimated completion date for all staff to take CUI training is the third quarter of FY 2019.

Target Completion Date: Third quarter of FY 2019

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation verifying that the training addresses protection of SUNSI within CUI on the agency's shared network drives.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

Recommendation 3: Develop Controlled Unclassified Information (CUI) policies and guidance for storing and protecting CUI in agency shared drives, and:

- a. post this guidance on the NRC intranet; and
- b. include this guidance in annual training.

Agency Response Dated
December 19, 2017:

The CUI final rule became effective on November 14, 2016. NRC has established a CUI working group which is developing agency CUI policy. Revisions to policy from SUNSI to CUI are being drafted and are expected to be completed by the third quarter of FY 2018. The accompanying guidance and annual training will follow.

Target Completion Date: Third quarter of FY 2019

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when CUI policies and guidance are developed, posted on the intranet, and included in annual training.

Status: Resolved.