



850 Main St.  
Bridgeport, CT 06604  
Personal and Confidential

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LICENSING ASSISTANCE TEAM  
DIVISION OF NUCLEAR MATERIALS SAFETY  
REGION 1  
2100 RENAISSANCE BOULEVARD, STE 100  
RENAISSANCE PARK  
KING OF PRUSSIA PA 19406-2713

44-00728-13  
03013022

602264

NUCLEAR MATERIALS

**ACCOUNT OFFICER:**  
SIMONA CHUBB (802) 660-1490

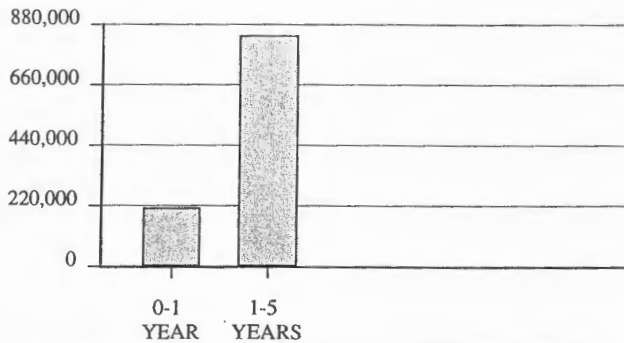
**PORTFOLIO MANAGER:**  
CELIA CAZAYOUX (802) 660-1518

BEGINNING MARKET VALUE	1,120,062.92
TOTAL RECEIPTS	23,530.14
TOTAL DISBURSEMENTS	-10,376.69
REALIZED GAIN/LOSS	-7,430.90
UNREALIZED GAIN/LOSS	-2,904.27
ENDING MARKET VALUE	1,122,881.20

	PERCENT OF ASSETS	MARKET VALUE
CASH & EQUIV	5.9%	65,647.00
FIXED INCOME	94.2%	1,057,234.20
<b>TOTAL ASSETS</b>	<b>100.0%</b>	<b>1,122,881.20</b>

QUALITY RATING	PERCENT OF BONDS	MARKET VALUE
US GOVERNMENT	100.0%	1,057,234.20
TOTAL BONDS	100.0%	1,057,234.20

### BOND MATURITY SUMMARY



YEARS TO MATURITY	PERCENT OF BONDS	MARKET VALUE
0-1	20.3%	214,878.95
1-5	79.7%	842,355.25
<b>TOTAL BONDS</b>	<b>100.0%</b>	<b>1,057,234.20</b>

### ASSET DETAIL

	QUANTITY	CURRENT YIELD	COST	MARKET VALUE
<b>CASH &amp; EQUIVALENTS</b>				
<b>CASH</b>				
PRINCIPAL CASH	0.000	0.00%	0.00	0.00
INCOME CASH	0.000	0.00%	0.00	0.00
<b>TOTAL CASH</b>			<b>0.00</b>	<b>0.00</b>
<b>CASH &amp; EQUIVALENTS</b>				
DREYFUS GOVT CASH MGMT IS #289	51,472.660	0.90%	51,472.66	51,472.66
DREYFUS GOVT CASH MGMT IS #289 (INVESTED INCOME)	14,174.340	0.90%	14,174.34	14,174.34
<b>TOTAL CASH &amp; EQUIVALENTS</b>			<b>65,647.00</b>	<b>65,647.00</b>
<b>TOTAL CASH &amp; EQUIVALENTS</b>			<b>65,647.00</b>	<b>65,647.00</b>
<b>FIXED INCOME</b>				
<b>GOVERNMENT BONDS</b>				
FEDERAL HOME LOAN BANK 1.250% 6/08/2018	100,000.000	1.25%	99,732.00	99,864.00
TENNESSEE VALLEY AUTH 1.75% 10/15/18	115,000.000	1.75%	116,003.95	115,014.95
FEDERAL FARM CREDIT BANK 1.625% 1/17/2019	100,000.000	1.63%	99,937.00	99,808.00
FEDERAL HOME LOAN BANK 1.500% 3/08/2019	125,000.000	1.51%	125,460.14	124,501.25
FEDL HOME LOAN BANK 1.875% 12/11/2020	100,000.000	1.88%	101,586.00	99,628.00
FEDERAL NATL MTG ASSN 1.875% 12/28/2020	100,000.000	1.88%	100,235.20	99,505.00

PERSONAL INFORMATION WAS REMOVED  
 ETC. NO COPY OF THIS INFORMATION  
 WAS RETAINED BY THE NRC.

**UVM DECOMM FUND**  
 FROM 01/01/17 THROUGH 12/31/17

### ASSET DETAIL

	QUANTITY	CURRENT YIELD	COST	MARKET VALUE
FEDL HOME LOAN BANK 1.875% 11/29/2021	200,000.000	1.89%	197,558.20	198,442.00
FEDERAL FARM CREDIT BANK 1.750% 9/13/2022	100,000.000	1.79%	99,649.17	97,691.00
FEDERAL FARM CREDIT BANK 1.880% 10/05/2022	125,000.000	1.91%	124,940.00	122,780.00
<b>TOTAL GOVERNMENT BONDS</b>			<b>1,065,101.66</b>	<b>1,057,234.20</b>
<b>TOTAL FIXED INCOME</b>			<b>1,065,101.66</b>	<b>1,057,234.20</b>

### TRANSACTIONS

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	COST
<b>RECEIPTS</b>				
<b>INTEREST</b>				
	<b>FEDL HOME LOAN BANK</b>			
	<b>1.875% 11/29/2021</b>			
05/30/17	INT 11/30/16 TO 05/29/17 ON 200000	1,864.58		
11/29/17	INT TO 11/29/17 ON 200,000	1,875.00		
	<b>FEDL HOME LOAN BANK</b>			
	<b>1.875% 12/11/2020</b>			
06/12/17	INT TO 06/12/17 ON 100,000	937.50		
12/11/17	INT TO 12/11/17 ON 100,000	937.50		
	<b>FEDERAL FARM CREDIT BANK</b>			
	<b>1.880% 10/05/2022</b>			
10/05/17	INT TO 10/05/17 ON 125,000	1,175.00		
	<b>FEDERAL FARM CREDIT BANK</b>			
	<b>1.625% 1/17/2019</b>			
01/17/17	INT TO 01/17/17 ON 100,000	812.52		
07/17/17	INT TO 07/17/17 ON 100,000	812.50		
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>2.250% 9/08/2017</b>			
03/08/17	INT TO 03/08/17 ON 100,000	1,125.00		
09/08/17	INT TO 09/08/17 ON 100,000	1,125.00		
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>1.500% 3/08/2019</b>			
03/08/17	INT TO 03/08/17 ON 125,000	937.50		
09/08/17	INT TO 09/08/17 ON 125,000	937.50		
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>1.000% 6/21/2017</b>			
06/21/17	INT TO 06/21/17 ON 100,000	500.00		

**TRANSACTIONS**

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	COST
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>1.250% 6/08/2018</b>			
06/08/17	INT TO 06/08/17 ON 100,000	625.00		
12/08/17	INT TO 12/08/17 ON 100,000	625.00		
	<b>FEDERAL NATL MTG ASSN</b>			
	<b>1.875% 12/28/2020</b>			
06/28/17	INT TO 06/28/17 ON 100,000	937.50		
12/28/17	INT TO 12/28/17 ON 100,000	937.50		
	<b>TENNESSEE VALLEY AUTH 1.75%</b>			
	<b>10/15/18</b>			
04/17/17	INT TO 04/15/17 ON 115,000	1,006.25		
10/16/17	INT TO 10/15/17 ON 115,000	1,006.25		
	<b>TOTAL INTEREST</b>	<b>18,177.10</b>	<b>0.00</b>	<b>0.00</b>
	<b>DIVIDENDS</b>			
	<b>DREYFUS GOVT CASH MGMT IS #289</b>			
01/03/17	DIV TO 12/31/16	9.95		
01/03/17	DIV TO 12/31/16	61.35		
02/01/17	DIV TO 01/31/17	4.79		
02/01/17	DIV TO 01/31/17	27.03		
03/01/17	DIV TO 02/28/17	0.34		
03/01/17	DIV TO 02/28/17	29.93		
04/03/17	DIV TO 03/31/17	1.26		
04/03/17	DIV TO 03/31/17	39.44		
05/01/17	DIV TO 04/30/17	1.35		
05/01/17	DIV TO 04/30/17	45.84		
06/01/17	DIV TO 05/31/17	1.10		
06/01/17	DIV TO 05/31/17	48.26		
07/03/17	DIV TO 06/30/17	3.39		
07/03/17	DIV TO 06/30/17	67.61		
08/01/17	DIV TO 07/31/17	4.65		
08/01/17	DIV TO 07/31/17	42.00		
09/01/17	DIV TO 08/31/17	4.85		
09/01/17	DIV TO 08/31/17	40.49		
10/02/17	DIV TO 10/01/17	5.97		
10/02/17	DIV TO 10/01/17	52.28		
11/01/17	DIV TO 10/31/17	7.43		
11/01/17	DIV TO 10/31/17	40.98		
12/01/17	DIV TO 11/30/17	7.73		
12/01/17	DIV TO 11/30/17	40.30		
	<b>TOTAL DIVIDENDS</b>	<b>588.32</b>	<b>0.00</b>	<b>0.00</b>
	<b>PURCHASED INCOME</b>			
	<b>FEDERAL FARM CREDIT BANK</b>			
	<b>1.880% 10/05/2022</b>			
06/27/17	ACCRUED INT TO 06/27/17 PAID ON PURCHASE OF 125,000	-535.28		
	<b>TOTAL PURCHASED INCOME</b>	<b>-535.28</b>	<b>0.00</b>	<b>0.00</b>
	<b>MISCELLANEOUS RECEIPTS</b>			

**UVM DECOMM FUND**  
**FROM 01/01/17 THROUGH 12/31/17**

# TRANSACTIONS

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	COST
01/18/17	MISCELLANEOUS RECEIPTS FDS TO COVER SHORTAGE FOR ANNUAL VALUATION		5,300.00	
	<b>TOTAL MISCELLANEOUS RECEIPTS</b>	<b>0.00</b>	<b>5,300.00</b>	<b>0.00</b>
	<b>TOTAL RECEIPTS</b>	<b>18,230.14</b>	<b>5,300.00</b>	<b>0.00</b>
	<b>DISBURSEMENTS</b>			
	<b>FEES</b>			
01/12/17	QUARTERLY FEE TO 12/31/16		-839.57	
04/12/17	QUARTERLY FEE TO 03/31/17	-843.43		
07/12/17	QUARTERLY FEE TO 06/30/17	-846.50		
10/12/17	QUARTERLY FEE TO 09/30/17	-846.04		
	<b>TOTAL FEES</b>	<b>-2,535.97</b>	<b>-839.57</b>	<b>0.00</b>
	<b>PAYMENTS TO OR FOR BENEFICIARY</b>			
04/18/17	PAYMENTS UNIVERSITY OF VERMONT INCOME EARNED BY FUND FROM 01/01/17 THROUGH 03/31/2017 CHECK NUMBER: 1007906	-1,519.83		
07/20/17	UNIVERSITY OF VERMONT PAYMENTS INCOME EARNED BY FUND FROM 04/01/17 THROUGH 06/30/17 CHECK NUMBER: 1008102		-4,830.10	
10/17/17	PAYMENTS UNIVERSITY OF VERMONT INCOME EARNED BY FUND FROM 07/01/17 THROUGH 09/30/17 CHECK NUMBER: 1008248		-651.22	
	<b>TOTAL PAYMENTS TO OR FOR BENEFICIARY</b>	<b>-1,519.83</b>	<b>-5,481.32</b>	<b>0.00</b>
	<b>OTHER DISTRIBUTIONS</b>			
01/12/17	TRANSFERS OF CASH WITHIN AN ACCOUNT TO CORRECT POSTING OF 7/6 RELEASE OF INCOME	-5,116.63	5,116.63	
01/12/17	TRANSFERS OF CASH WITHIN AN ACCOUNT TO CORRECT POSTING OF 10/05 RELEASE OF INCOME	-3,022.03	3,022.03	

**UVM DECOMM FUND**  
 FROM 01/01/17 THROUGH 12/31/17

**TRANSACTIONS**

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	COST
01/12/17	TRANSFERS OF CASH WITHIN AN ACCOUNT PORTFOLIO REBALANCE AT YEAR END	-24,811.54	24,811.54	
	<b>TOTAL OTHER DISTRIBUTIONS</b>	<b>-32,950.20</b>	<b>32,950.20</b>	<b>0.00</b>
	<b>TOTAL DISBURSEMENTS</b>	<b>-37,006.00</b>	<b>26,629.31</b>	<b>0.00</b>
	<b>PURCHASES</b>			
	<b>DREYFUS GOVT CASH MGMT IS #289</b>			
12/31/17	PURCHASES (33) 01/01/17 TO 12/31/17	-18,836.72	-238,250.20	257,086.92
	<b>FEDERAL FARM CREDIT BANK</b>			
	<b>1.880% 10/05/2022</b>			
06/27/17	PURCHASED 125000 06/26/17 FROM BOND DESK TRADING @ 99.952		-124,940.00	124,940.00
	<b>FEDERAL FARM CREDIT BANK</b>			
	<b>1.750% 9/13/2022</b>			
09/13/17	PURCHASED 100000 09/12/17 FROM BOND DESK TRADING @ 99.649173		-99,649.17	99,649.17
	<b>TOTAL PURCHASES</b>	<b>-18,836.72</b>	<b>-462,839.37</b>	<b>481,676.09</b>
	<b>SALES</b>			
	<b>DREYFUS GOVT CASH MGMT IS #289</b>			
12/31/17	SALES (12) 01/01/17 TO 12/31/17	37,612.58	230,910.06	-268,522.64
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>2.250% 9/08/2017</b>			
09/08/17	RECD PROCEEDS ON MATURITY OF 100,000 PAR VALUE		100,000.00	-106,609.00
	<b>FEDERAL HOME LOAN BANK</b>			
	<b>1.000% 6/21/2017</b>			
06/21/17	RECD PROCEEDS ON MATURITY OF 100,000 PAR VALUE		100,000.00	-100,821.90
	<b>TOTAL SALES</b>	<b>37,612.58</b>	<b>430,910.06</b>	<b>-475,953.54</b>



### **Money Market Disclosure**

People's United Bank, N.A. provides administrative services to money market fund providers for the investment of cash held in your account. Such services include maintaining records of funds invested on a daily basis, application of the daily rate factors to daily fund balances, investment and divestment of all cash flows in order to insure that cash balances are invested at all times and processing and posting of dividends. In consideration for these services, People's United Bank, N.A. receives a fee directly from the money market fund based upon the amounts invested, prorated daily. If you have any questions, please do not hesitate to contact your Administrative or Investment Officer. Assets held in a fiduciary account and Investment Products are not deposits or other obligations of, and are not guaranteed by, People's United Bank, N.A., or any of its affiliates; are not insured by the FDIC or by People's United Bank, N.A., or any of its affiliates, and may lose value.

Assets held in a fiduciary account and Investment Products are not deposits, or other obligations of, and are not guaranteed by, People's United Bank N.A., or any of its affiliates; are not insured by the FDIC or by People's United Bank N.A., or any of its affiliates, and may lose value.



## ACKNOWLEDGEMENT - RECEIPT OF CORRESPONDENCE

## Name and Address of Applicant and/or Licensee

The University of Vermont  
ATTN: Thomas J. Gustafson, Vice President for  
University Relations & Administration  
106 Carrigan Drive  
Burlington, VT 05405

## Date

January 29, 2018

## License Number(s)

44-00728-13

## Mail Control Number(s)

602264

## Licensing and/or Technical Reviewer or Branch

Commercial, Industrial, R&amp;D, &amp; Academic Branch

This is to acknowledge receipt of your: ☐ Letter and/or ☐ Application Dated: 01/16/2018

The initial processing, which included an administrative review, has been performed.

☐ Amendment☐ Termination☐ New License☐ RenewalFinancial Assurance  
Action  
Rec'd. in RI☒ There were no administrative omissions identified during our initial review.☐ This is to acknowledge receipt of your application for renewal of the material(s) license identified above. Your application is deemed timely filed, and accordingly, the license will not expire until final action has been taken by this office.☐ Your application for a new NRC license did not include your taxpayer identification number. Please complete and submit NRC Form 531, Request for Taxpayer Identification Number, located at the following link: <http://www.nrc.gov/reading-rm/doc-collections/forms/nrc531.pdf>

Follow the instructions on the form for submission.

☐ The following administrative omissions have been identified:

Your application has been assigned the above listed MAIL CONTROL NUMBER. When calling to inquire about this action, please refer to this control number. Your application has been forwarded to a technical reviewer. Please note that the technical review, which is normally completed within 180 days for a renewal application (90 days for all other requests), may identify additional omissions or require additional information. If you have any questions concerning the processing of your application, our contact information is listed below:

Region I  
U. S. Nuclear Regulatory Commission  
Division of Nuclear Materials Safety  
2100 Renaissance Boulevard, Suite 100  
King of Prussia, PA 19406-2713  
(610) 337-5260, (610) 337-5313,  
(610) 337-5398, or (610) 337-5239