



**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
REGION I  
2100 RENAISSANCE BLVD., Suite 100  
KING OF PRUSSIA, PA 19406-2713

January 3, 2018

MEMORANDUM TO: Dr. Brett M. Baker  
Assistant Inspector General for Audits  
Office of the Inspector General

FROM: David C. Lew */RA/*  
Acting Regional Administrator  
Region I

SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT  
EVALUATION OF NRC'S IMPLEMENTATION OF THE  
FEDERAL INFORMATION SECURITY MODERNIZATION  
ACT (FISMA) OF 2014 FOR FY 2017 – REGION I, KING  
OF PRUSSIA, PA (OIG-17-A-17)

This memorandum provides the Region I staff's update for the recommendation pertaining to the Office of the Inspector General's (OIG) independent evaluation of Region I's implementation of FISMA.

**Recommendation 1:**

Remediate the identified vulnerabilities within the timeframes specified in Information Security Directorate (ISD) standard ISD-STD-0020, Organization Defined Values for System Security Controls, or submit a deviation request in accordance with ISD Process ISD-PROS-1324, Deviation Request Process.

**Response (August 1, 2017):**

Agree. Region I will either remediate the identified vulnerabilities within the timeframes specified in ISD standard ISD-STD-0020, Organization Defined Values for System Security Controls, or submit a deviation request in accordance with ISD Process ISD-PROS-1324, Deviation Request Process.

Region I anticipates completing the remediation/deviation request process for the identified high risk findings by August 17, 2017, and October 1, 2017, for the identified moderate risk finding. Region I anticipates completing all remediation/deviation requests by December 29, 2017, in conjunction with the Regional Information System Authority to Operate.

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Region I Update (December 29, 2017):

Region I remediated identified vulnerabilities specified in ISD standard ISD-STD-0020, Organization Defined Values for System Security Controls, or submitted deviation requests in accordance with ISD Process ISD-PROS-1324, Deviation Request Process for those vulnerabilities that could not be remediated. Deviation requests were submitted on November 13, 2017 (ML17319A119) and December 20, 2017 (ML17353A023). On December 22, 2017, the Chief Information Officer granted an Authorization to Operate for the Regional Information System for three years.

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SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT EVALUATION OF NRC'S  
 IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY  
 MODERNIZATION ACT (FISMA) OF 2014 FOR FY 2017 – REGION I, KING OF  
 PRUSSIA, PA (OIG-17-A-17), MEMORANDUM DATED: JANUARY 3, 2018

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