



Office of the
Inspector General

**Defense Nuclear Facilities
Safety Board**

Washington, DC 20004-2901

December 19, 2017

MEMORANDUM TO: Glenn Sklar
General Manager

Katherine R. Herrera
Deputy General Manager

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
COMPLIANCE WITH THE DATA ACT (DNFSB-18-A-03)

REFERENCE: GENERAL MANAGER, DEFENSE NUCLEAR FACILITIES
SAFETY BOARD, CORRESPONDENCE DATED
NOVEMBER 22, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in DNFSB's response dated November 22, 2017. Based on this response, recommendation 1 is resolved.

Please provide an updated status of the resolved recommendation by May 31, 2018.

If you have any questions or concerns, please call me at (301) 415-5915 or Eric Rivera, Team Leader, at (301) 415-7032.

Attachment: As stated

cc: R. Howard, OGM

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014 (DATA act)

DNFSB-18-A-03

Status of Recommendations

- Recommendation 1: Develop, document, and implement DATA Act policies and procedures to:
- a. Create a system of internal controls that allows DNFSB to publish more reliable and timely account-level and award-level data on USAspending.gov.
 - b. Ensure the submission of DNFSB's Senior Accountable Official statement of assurance with the quarterly Broker submission. This process should include documentation for a system to develop, review, and approve (when such statements are required) any categorical explanations for misalignments of the data reported for display on USAspending.gov.
 - c. Define the mapping between agency specific data elements within Pegasys to the DATA Act Schema (57 elements).
 - d. Define the workflow for addressing validation errors and revisions, including identifying the people and systems necessary to make changes to the data prior to submittal to the Broker, in coordination with the USDA.
 - e. Define the role of the USDA FSSP in any migration or change to information systems supporting Broker submissions in coordination with USDA.
 - f. Define alignment of files A through F and the cross-file linkages and cross-file calculations including documentation of systems, processes and policies for each element.
 - g. Define the process to create consistent and proper handling of data across DNFSB including establishing internal roles and responsibilities and identifying the people and systems necessary to make changes to the data prior to Broker submittal..

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014 (DATA act)

DNFSB-18-A-03

Status of Recommendations

Recommendation 1 (cont.):

Agency Response Dated
November 22, 2017: Agree.

DNFSB will develop, document, and implement DATA Act policies and procedures to improve DNFSB's documentation for the SAO statement of assurance, and to improve internal policies and procedures governing submissions under the DATA Act. We expect the policies and procedures to be completed by 4th quarter FY 2018.

OIG Analysis: The proposed action meets the intent of the recommendation. OIG will close this recommendation on verification that DNFSB has developed, documented, and implemented DATA Act policies and procedures to improve DNFSB's documentation for the SAO statement of assurance, and internal policies and procedures governing submissions under the DATA Act.

Status: Resolved.