



RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST

2018-0079

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RESPONSE
TYPE
☐

INTERIM

☒

FINAL

REQUESTER:

Julian Tarver

DATE:

12/07/2017

DESCRIPTION OF REQUESTED RECORDS:

"Job duties, org chart, and cell # of Laura Pearson"

PART I. -- INFORMATION RELEASED

You have the right to seek assistance from the NRC's FOIA Public Liaison. Contact information for the NRC's FOIA Public Liaison is available at <https://www.nrc.gov/reading-rm/foia/contact-foia.html>

- ☐ Agency records subject to the request are already available on the Public NRC Website, in Public ADAMS or on microfiche in the NRC Public Document Room.
- ☐ Agency records subject to the request are enclosed.
- ☐ Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- ☐ We are continuing to process your request.
- ☒ See Comments.

PART I.A -- FEES

NO FEES

AMOUNT*

*See Comments for details

- ☐ You will be billed by NRC for the amount listed.
- ☐ You will receive a refund for the amount listed.
- ☐ Fees waived.

- ☒ Minimum fee threshold not met.
- ☐ Due to our delayed response, you will not be charged fees.

PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- ☐ We did not locate any agency records responsive to your request. *Note:* Agencies may treat three discrete categories of law enforcement and national security records as not subject to the FOIA ("exclusions"). 5 U.S.C. 552(c). This is a standard notification given to all requesters; it should not be taken to mean that any excluded records do, or do not, exist.
- ☒ We have withheld certain information pursuant to the FOIA exemptions described, and for the reasons stated, in Part II.
- ☐ Because this is an interim response to your request, you may not appeal at this time. We will notify you of your right to appeal any of the responses we have issued in response to your request when we issue our final determination.
- ☒ You may appeal this final determination within 90 calendar days of the date of this response by sending a letter or e-mail to the FOIA Officer, at U.S. Nuclear Regulatory Commission, Washington, D.C. 20555-0001, or FOIA.Resource@nrc.gov. Please be sure to include on your letter or email that it is a "FOIA Appeal." You have the right to seek dispute resolution services from the NRC's Public Liaison, or the Office of Government Information Services (OGIS). Contact information for OGIS is available at <https://ogis.archives.gov/about-ogis/contact-information.htm>

PART I.C COMMENTS (Use attached Comments continuation page if required)

This also acknowledges receipt of your request. We have enclosed the Ms. Pearson's position description, which sets out the job duties of that position, as well as the most current organization chart for the Office of Nuclear Security & Incident Response (NSIR). The NRC withholds the cell numbers of its staff on the basis of exemption 6.

Signature - Freedom of Information Act Officer or Designee

Stephanie A. Blaney

Digitally signed by Stephanie A. Blaney
Date: 2017.12.07 12:15:06 -05'00'



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PART II.A -- APPLICABLE EXEMPTIONS

Records subject to the request are being withheld in their entirety or in part under the FOIA exemption(s) as indicated below (5 U.S.C. 552(b)).

- ☐ Exemption 1: The withheld information is properly classified pursuant to an Executive Order protecting national security information.
- ☐ Exemption 2: The withheld information relates solely to the internal personnel rules and practices of NRC.
- ☐ Exemption 3: The withheld information is specifically exempted from public disclosure by the statute indicated.
- ☐ Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).
- ☐ Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).
- ☐ 41 U.S.C. 4702(b), which prohibits the disclosure of contractor proposals, except when incorporated into the contract between the agency and the submitter of the proposal.
- ☐ Exemption 4: The withheld information is a trade secret or confidential commercial or financial information that is being withheld for the reason(s) indicated.
- ☐ The information is considered to be proprietary because it concerns a licensee's or applicant's physical protection or material control and accounting program for special nuclear material pursuant to 10 CFR 2.390(d)(1).
- ☐ The information is considered to be another type of confidential business (proprietary) information.
- ☐ The information was submitted by a foreign source and received in confidence pursuant to 10 CFR 2.390(d)(2).
- ☐ Exemption 5: The withheld information consists of interagency or intraagency records that are normally privileged in civil litigation.
- ☐ Deliberative process privilege.
- ☐ Attorney work product privilege.
- ☐ Attorney-client privilege.
- ☒ Exemption 6: The withheld information from a personnel, medical, or similar file, is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy.
- ☐ Exemption 7: The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated.
- ☐ (A) Disclosure could reasonably be expected to interfere with an open enforcement proceeding.
- ☐ (C) Disclosure could reasonably be expected to constitute an unwarranted invasion of personal privacy.
- ☐ (D) The information consists of names and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources.
- ☐ (E) Disclosure would reveal techniques and procedures for law enforcement investigations or prosecutions, or guidelines that could reasonably be expected to risk circumvention of the law.
- ☐ (F) Disclosure could reasonably be expected to endanger the life or physical safety of an individual.
- ☐ Other

PART II.B -- DENYING OFFICIALS

In accordance with 10 CFR 9.25(g) and 9.25(h) of the U.S. Nuclear Regulatory Commission regulations, the official(s) listed below have made the determination to withhold certain information responsive to your request.

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL	
			EDO	SECY
Stephanie A. Blaney	FOIA Officer	staff member's cell phone number	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

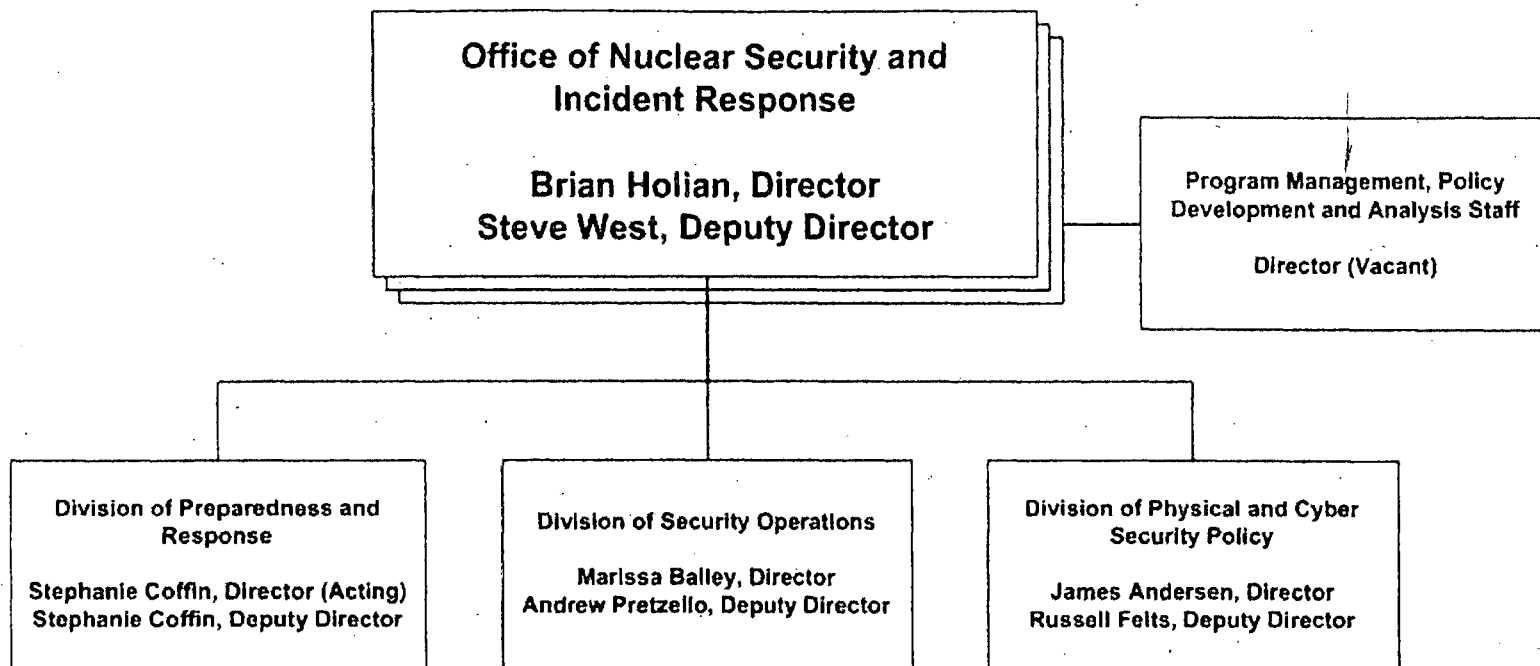
Appeals must be made in writing within 90 calendar days of the date of this response by sending a letter or email to the FOIA Officer, at U.S. Nuclear Regulatory Commission, Washington, D.C. 20555-0001, or FOIA.Resource@nrc.gov. Please be sure to include on your letter or email that it is a "FOIA Appeal."

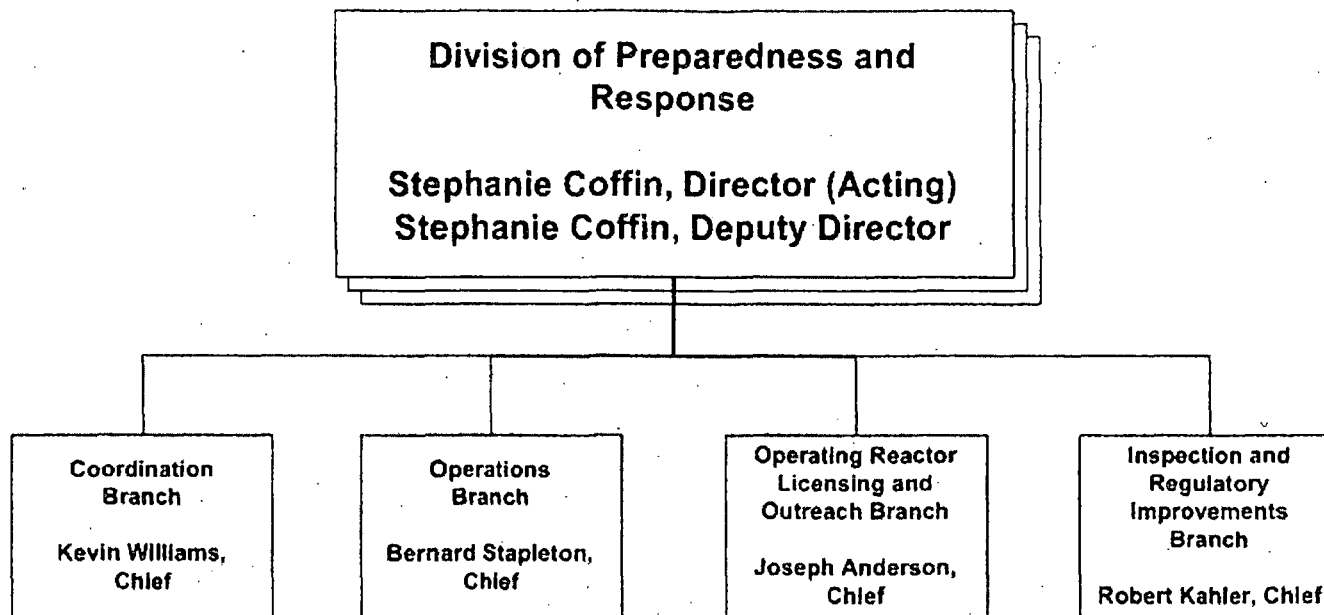
Office of Nuclear Security and Incident Response

[[NRC Organization Chart](#) | [NRC Functional Descriptions](#) | [NSIR Functional Descriptions](#)]
[[NRC Internal Home Page](#) | [NRC External Home Page](#)]

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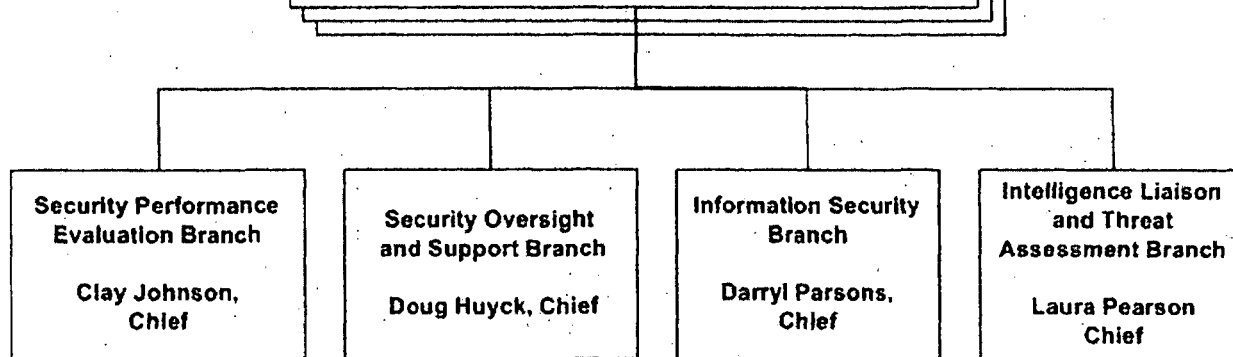
- [Office of Nuclear Security and Incident Response](#)
- [Program Management, Policy Development and Analysis Staff](#)
- [Division of Preparedness and Response](#)
- [Division of Security Operations](#)
- [Division of Physical and Cyber Security Policy](#)

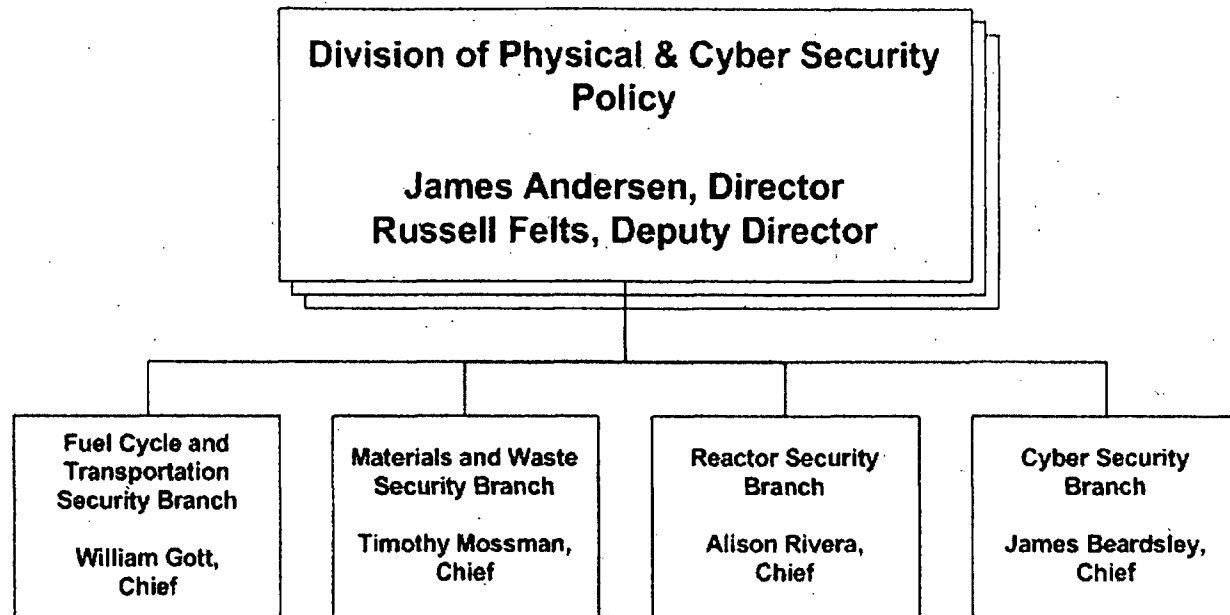




Division of Security Operations

**Marissa Bailey, Director
Andrew Pretzello**





Last Updated: 05/15/17

Maintained by HR (HRWEB@NRC.GOV)

U.S. Nuclear Regulatory Commission
Office of Nuclear Security and Incident Response
Division of Security Operations
Intelligence Liaison and Threat Assessment Branch
Branch Chief, GG-0080-15

J-741

FUNCTIONAL STATEMENT

As Branch Chief, manages the Intelligence Liaison and Threat Assessment Branch and in the analysis and evaluation of domestic and foreign events and incidents to detect patterns and trends and provide a basis for regulatory change and incident response. Directs the assessment of the threat environment affecting SG nuclear facilities and activities.

REGULAR DUTIES

Plans, coordinates and manages NRC's response activities dealing with Safeguards events during transportation and at non-reactor facilities. Represents NRC in liaison with other Federal and State agencies for exchange of threat related information, resource support and negotiating formal interagency agreements for incident response support.

Functions as Branch Chief, Intelligence Liaison and Threat Assessment Branch, Division of Security Operations, NSIR.

Develops and recommends goals and courses of action applicable to safeguards operational data assessments and identifies requirements for the improvements of safeguards systems and regulatory policy and programs as a result of assessments.

Directs analyses of events or trends from the operational data base to provide feedback to the Regions and licensees for improved Safeguards operations.

Represents NRC in liaison with other Federal and State government agencies (CIA, FBI, DOE, etc.) regarding the exchange of threat related information, incident response, and revision/implementation of formal interagency agreements.

Directs the branch staff in identifying interface needs and in developing a working exchange with key personnel from other Federal and State government agencies to facilitate Information exchange and resource support for coordinated responses to threats or incidents. Directs the preparation of formal requirements letters as necessary to the agencies concerned for the Director, NSIR.

Directs the analysis and assessment of threat information to determine credibility and degree of seriousness. As a member of the Information Assessment Team, provides assessment to NRC senior management for information or recommended action.

Interfaces with applicable Federal and State government agencies, NRC Regional offices, laboratories, industry and media regarding threat related information and to provide on-going analysis of information to determine the characteristics, capabilities and trends of the current and developing threat; assesses information on proliferation, concerns research and developing technology in support of NSIR Safeguards incident response, threat environment, and event analysis activities. Coordinates with other NRC offices as appropriate and reports results to cognizant senior management.

Responsible for analysis and assessment of threat environment in foreign countries in support of U. S. Nuclear Export Licensing.

Directs the preparation, publication and subsequent revisions of the Safeguards Summary Event List (NUREG-0525) semi-annually which provides a trend analysis of domestic nuclear-related events of Safeguards significance.

Directs the development and publication of Foreign Safeguards Summary Events List of foreign nuclear related events of Safeguards significance.

Responsible for developing and implementing Safeguards incident response plans and procedures and for the scheduling and execution of training exercises for the Safeguards Incident Response Team.

Develops and recommends studies and programs to achieve more effective threat assessment systems which provide a basis for safeguards policy, regulations and guides.

Responsible for identifying and directing threat, event, and incident response related studies. In this capacity provides technical guidance, requests input from other agencies, NRC offices and Regions, laboratories, coordinates, integrates and evaluates input and results to meet studies objectives. Studies include development and maintenance of support data bases for threat assessment, event analysis, and incident response; analysis of threat environment, published semi-annually as Threat Environment Review; joint FBI/DOE/NRC Threat Credibility Assessment; jointly supported NRC and DOE in-service training programs for the FBI.

Presents briefings to other Federal and State agencies, other NRC offices, and industry representatives on threat assessment, inter-agency responsibilities, and incident response planning and implementation.

Responsible for all Branch technical support contracts. Directs the identification of contract support requirements, statements of work and evaluation criteria. Monitors contractor performance to provide guidance and direction as necessary.

OCCASIONAL DUTIES

Serves as Acting Deputy Director when designated.

Assists in planning and participating in NRC seminars with licensees and other Federal and State government agencies concerning threat assessment and incident response.

Provides direct support to the Division Director on safeguards policy as a result of operational data assessment.

BASIC SKILLS

Detailed and comprehensive knowledge of intelligence organizations, roles and capabilities. Competence must be of a degree to enable independent evaluation of the safeguards significance, source credibility and validity of information for safeguards purposes.

Knowledge of theories and concepts related to systems analysis/systems engineering sufficient to direct and evaluate projects associated with the development and implementation of threat assessment and incident response systems.

Ability to identify and direct the performance of the exceptionally complex studies required in support of safeguards contingency planning from the aspects of threat assessment, interagency liaison, and incident response. Ability to define the objectives, scope, content and approach of required studies which provide a basis for safeguards policy, planning and rulemaking.

Advanced skill in the administration and direction of technical contracts in order to obtain desired objectives. Demonstrated ability in the preparation and presentation of briefings concerning contingency planning, threat assessment and event analysis to other government agencies, NRC offices and regional representatives.

Complete knowledge of NRC's safeguards programs, regulatory process, and atomic energy legislation to effectively direct the branch activities.

Demonstrated skill in task priority determination and the ability for expeditious, meaningful response to exceptionally complex, sensitive action items.

Demonstrated ability in exercising tact and diplomacy in successfully establishing and maintaining high level sensitive interfaces for information exchange and mutual support between NRC and other agencies. Ability to effectively negotiate Interagency Agreements with other Federal agencies and skill to sufficiently recognize relative merits and potential impact of various negotiated concessions within proposed agreements.

Ability to identify pertinent items whose threat significance merits the attention of NRC senior safeguards management and to prepare appropriate conclusions and recommend actions for consideration by management, up to and including the Commission, and cognizant Federal agencies.

Sophisticated analytical ability to make definitive and authoritative evaluations and effectively present technical results of individual studies and contracts. Advanced executive writing skills required for preparation of Commission papers and other high-level, exceptionally complex documentation.

Ability to correlate and corroborate intelligence information from diverse sources and direct and evaluate the analysis and assessment of such information to determine its credibility and seriousness in regard to potential impact on the nuclear industry.

CONTACTS

Frequent contact with the Office Director to provide information and/or recommendations concerning Information Assessment Team.

Frequent contact with program directors and managers of other Federal and State government agencies to exchange information and develop resource support for coordinated agency response to threats or incidents. Frequent contact with program directors and managers of other Federal government agencies for the development and implementation of interagency agreements.

Frequent communication with NRC Division officials and other Federal government agency officials at the Director, Deputy Director and Branch Chief levels to resolve problems in operational data assessment.

Frequent contact with Office and Division Directors and other agency officials to recommend improvements in SG systems and changes in regulatory policy and programs as a result of studies and assessments.

Frequent contact with NRC Directors and managers, up to and including the Commission level, Regional representatives, other Federal and State government officials and industry representatives to present briefings on SG threat and incident response policy, analysis and interpretation of evaluation results.

Occasional contact with academic and technical personnel in the private sector to maintain the branch's proficiency in state-of-the-art analysis as related to threat and event analysis and evaluation.

Occasional contact with Congressional and executive department staff to explain technical aspects of ongoing studies, reports and plans as related to the threat environment.

RESPONSIBILITY FOR DECISIONS

Supervision Received

General Supervision "A".

Administrative guidance is indicated in overall NRC policy. Operational guidance is generally received by oral instructions or action items with specific due dates.

Independent Action

Explores need for and establishes working liaison with multiple Federal agencies.

Identifies and recommends to Office Director studies in support of safeguards incident response from the aspects of interagency liaison and crisis management; conducts and implements joint studies with other Federal agencies and other NRC offices, as independent contract support efforts or in-house projects.

Recommends to Office Director overall policy and goals for the NRC threat assessment and interagency liaison program.

RESPONSIBILITY FOR DECISIONS

Independent Action

Recommends to Office Director alternative courses of action in response to the assessment of threat and safeguards events.

Recommends to Office Director requirements for interagency agreements in relation to threat assessment and intelligence information requirements.

Provides technical supervision to branch personnel in the accomplishment of assigned projects.

Negotiates interagency safeguards agreements with program directors of other Federal agencies. Makes on the spot determinations of the relative merits and potential impact of various items within the proposed agreements.

Presents briefings to other Federal agencies, industry representatives, NRC offices, and NRC Regional representatives on threat assessment, safeguards incident response, interagency agreements, and intelligence information aspects of contingency planning.

Approves branch administration matters.

SUPERVISION EXERCISED

Eight professional employees grades GG-13 thru GG-15 and one GG-08 Intelligence Assistant.

WORKING CONDITIONS

Normal.

EFFORT

Normal.

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