

Updated in December 2017

COMPANIES IN WHICH NRC EMPLOYEES COVERED BY 5 C.F.R. 5801.102 ARE PROHIBITED FROM OWNING STOCK, BONDS, OR OTHER SECURITY INTERESTS

### **1. Nuclear Power Reactor Licensees**

Aerotest Operations  
Alabama Power Company  
Alachua Electric Department, FL\*  
Allegheny Electric Cooperative, Incorporated  
Ameren Corporation  
American Electric Power Company, Incorporated (also known as AEP)  
Anaheim Electric Division, CA\*  
Arizona Public Service Company (also known as APS)  
Bangor Hydro Electric Company  
Bushnell Utility Department, FL\*  
Carolina Power & Light Company  
Central Iowa Power Cooperative  
Central Vermont Public Service Corporation  
City of Austin, TX\*  
City Public Service of San Antonio, TX\*  
CMS Energy Corporation  
Comanche Peak Nuclear Power Company, LLC\*\*  
Connecticut Yankee Atomic Power Company  
Constellation NewEnergy, Inc.\*\*  
Corn Belt Power Cooperative  
CPS Energy  
Dairyland Power Cooperative  
Dalton Water and Light Commission, GA (also known as Dalton Utilities)\*  
Dominion Energy, Incorporated\*\*  
DTE Energy Company (also known as Detroit Energy Company)  
Duke Energy Corporation  
Eastern Utilities Associates  
Edison International  
El Paso Electric Company  
Energy Capital Partners II, LLC  
Energy Northwest  
EnergySolutions Company\*\*  
Entergy Corporation  
Eversource Energy  
Exelon Corporation  
FirstEnergy Corporation  
Florida Municipal Power Agency\*  
Florida Power & Light Company\*\*  
Florida Progress Corporation  
Gainesville-Alachua County Regional Electric, Water, and Sewer Utilities Board, FL\*  
GPU (also known as Georgia Power Company)  
Great Plains Energy  
Hudson Light & Power Department, MA\*  
Indiana Michigan Power Company  
IPC Development Company  
Jersey Central Power and Light Company  
Kansas Electric Power Cooperative\*

Kissimmee Utility Authority, FL\*

LaCrosse Solutions, LLC\*\*

Leesburg Municipal Electric Department, FL\*

Long Island Power Authority

Los Angeles Department of Water & Power\*

Luminant Generation Company

Maine Public Service Company

Massachusetts Municipal Wholesale Electric Company\*

MidAmerican Energy Company

Montaup Electric Company

Municipal Electric Authority of Georgia\*

Nebraska Public Power District\*

New Smyrna Beach Utilities Commission, FL\*

NextEra Energy Resources, LLC\*\*

NextEra Energy, Inc. (previously Florida Power & Light or FPL)

North Carolina Eastern Municipal Power Agency\*

North Carolina Electric Membership Corporation\*

North Carolina Municipal Power Agency

Northeast Nuclear Energy Company

NRG Energy, Incorporated

Nuclear Innovation North America, LLC (also known as NINA)

Ocala Utilities Division, FL\*

Oglethorpe Power Corporation

Old Dominion Electric Cooperative

Omaha Public Power District\*

Orlando Utilities Commission, FL\*

Pacific Gas & Electric Company

Pennsylvania Electric Company

Piedmont Municipal Power Agency\*

Pinnacle West Capital Corporation

Public Service Company of New Mexico (also known as PNM)

Public Service Enterprise Group (also known as PSE & G)

PSEG Power, LLC

PSEG Nuclear, LLC

Reliant Energy

Resources Capital Management Company

Riverside Public Utilities, CA\*

Riverstone Holdings, LLC

Rockwell Holdco, Incorporated

Sacramento Municipal Utility District\*

Salt River Project Agricultural Improvement & Power District\*

Saluda River Electric Cooperative

San Diego Gas & Electric Company

SCANA Corporation

Scottish Power, plc

Seminole Electric Cooperative, Incorporated

Sempra Energy Corporation

South Carolina Public Service Authority\*

South Mississippi Electric Power Association

Southern California Edison Company

Southern California Public Power Authority\*

Southern Company

STP Nuclear Operating Company (also known as the South Texas Project)

Talen Energy Corporation\*\*  
Taunton Municipal Lighting Plant, MA\*  
Tennessee Valley Authority  
TEX Operations Company, LLC  
UniStar Nuclear Operating Services  
Unitil Corporation  
Virginia Electric & Power Company  
Vistra Asset Company, LLC\*\*  
Vistra Energy Corporation\*\*  
Vistra Intermediate Company, LLC\*\*  
Vistra Operations Company, LLC\*\*  
Vistra Preferred, Incorporated\*\*  
Westar Energy  
Wolf Creek Nuclear Operating Company  
WP&L Holdings  
Xcel Energy, Incorporated  
Yankee Atomic Electric Company

## **2. Manufacturers/Vendors of Power or Test Reactors**

AECL Technologies, Incorporated  
AREVA  
ASEA Brown Boveri  
BWXT Nuclear Operations Group  
BNFL, Ltd.  
British Nuclear Group  
Framatome  
General Electric Company  
GE-Hitachi Nuclear Energy (also known as GEH)  
Hitachi Ltd.  
IHI Corporation  
Korea Electric Power Corporation (also known as KEPCO)  
Korea Hydro & Nuclear Power Company (parent company is the Korea Electric Power Corporation)  
McDermott International, Incorporated  
Mitsubishi Heavy Industries, Ltd.  
NuScale Power  
Toshiba Corporation  
Washington Group International, Incorporated  
Westinghouse Electric Company (parent company is Toshiba Corporation)  
WECTEC\*\*

## **3. Architectural Engineering Companies**

BWXT Nuclear Energy, Inc.  
Bechtel  
Black & Veatch  
Brown Boveri  
Burns & Roe  
C.F. Braun  
Chicago Bridge & Iron Company  
Duke Engineering & Services  
Dravo Corporation  
Ensearch  
Fluor

Gilbert Associates/Commonwealth Companies  
Parsons Corporation  
Sargeant & Lundy  
Southern Services Company

#### **4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees**

Alaron Corporation  
American Nuclear Corporation  
Applied Health Physics, Incorporated  
Areva  
AUC Holdings  
Azarga Uranium Corporation  
AUC LLC (parent company is AUC Holdings)  
Cameco  
Centrus Energy Corporation (Formerly USEC Incorporated)  
Crow Butte Resources, Incorporated  
Diversified Scientific Solutions, Incorporated\*\*  
Edlow International Company  
Energy Capital Partners II, LLC  
Environmental Properties Management, LLC  
Fansteel, Incorporated (FMRI, Incorporated)  
Foster Wheeler  
General Electric  
General Nuclear Systems, Incorporated  
Holtec International  
Homestake Mining Company  
Honeywell International, Incorporated  
Hydro Resources, Incorporated  
International Isotopes, Incorporated  
Kennecott Uranium Corporation  
Lost Creek, ISR, LLC (parent company is Ur-Energy)  
Nordion (Canada) Inc.  
NAC International  
Northwest Medical Isotopes  
NorthStar  
Pathfinder Mines Corporation  
Power Resources, Incorporated  
Powertech (USA), Incorporated  
Radiac Research Corporation  
Rare Element Resources  
RIO Algom Corporation  
Rockwell Holdco, Incorporated  
Scientific Ecology Group, Incorporated  
Shieldalloy Metallurgical Corporation  
Shine Medical Technologies  
Strata Energy, Incorporated  
The Shaw Group  
Umetco Minerals Corporation  
UNC Mining and Milling  
Ur-Energy  
Uranerz Energy Corporation  
Uranium Energy Corporation\*\*

Uranium One Americas, Incorporated  
Uranium One USA  
Uranium Resources  
Urenco  
Valhi, Incorporated\*\*  
Waste Control Specialists, LLC  
Western Nuclear, Incorporated  
ZionSolutions, LLC

**5. Energy or Utility Sector Investment Funds\*\*\***

American Century Utilities Inv.  
Fidelity Advisor Utilities  
Fidelity MSCI Utilities Index ETF\*\*\*  
Fidelity Select Utilities Portfolio  
Fidelity Telecom and Utilities  
First Trust Utilities AlphaDEX Fund  
First Trust Utilities Select Portfolio  
Franklin Utilities  
Gabelli Utility Fund\*\*\*  
Gabelli Utility Trust  
Global X Uranium ETF  
Guggenheim Utilities Portfolio Series  
Guggenheim S&P 500 Equal Weight Utilities ETF  
ICON Utilities  
John Hancock Multifactor Utilities ETF\*\*\*  
iShares Edge MSCI Multifactor Utilities ETF  
iShares US Utilities ETF\*\*\*  
iShares Global Utilities  
MFS Utilities  
PowerShares DWA Utilities Momentum ETF  
ProFunds Utilities UltraSector  
ProShares Ultra Utilities  
Prudential Jennison Utility  
Putnam Global Utilities  
Reaves Utilities ETF\*\*\*  
Rydex Utilities  
S&P Global Nuclear Energy Index  
SNet Nuclear Energy Index  
SPDR Global Infrastructure ETF  
Source US Utilities Sector ETF  
SSgA Utilities Index Fund  
Utilities Select Sector SPDR  
VanEck Vectors Uranium+Nuclear Energy ETF\*\*\*  
Vanguard Utilities ETF  
Vanguard Utilities Index  
Wells Fargo Utilities and High Income Fund\*\*\*  
Wells Fargo Utility & Telecom\*\*\*

\* This entity is a municipal or state government organization. Ownership of bonds or other financial instruments issued by such an organization is proscribed only when the bonds or other financial instruments are issued specifically for the construction of nuclear power plants.

\*\* This entity has been added by this issuance.

\*\*\* This list is compiled based on a recent Office of the General Counsel review of energy and utility

mutual fund reports and prospectuses which show that at least 25% of the assets of each fund are entities in the first four categories of this list. Because the holdings of a fund change, employees should contact OGC if a fund listed above holds less than 25% of its assets in prohibited securities. In addition to these NRC-specific limitations, the government-wide restrictions remain on participation in a particular matter by an employee owning more than \$15,000 of any sector fund where the participation could directly and predictably affect the financial interests of any entity in that particular sector fund. Where the matter is a generic one (such as rulemakings) employees can participate if they do not own more than \$50,000 in the affected sector mutual fund.