



**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

December 4, 2017

Alicia Barton, President and CEO  
New York State Energy Research  
and Development Authority  
17 Columbia Circle  
Albany, NY 12223-6399

**SUBJECT: STATE LIAISON OFFICER APPOINTMENT**

Dear Ms. Barton:

I am pleased to learn of your appointment as the State Liaison Officer (SLO) to the U.S. Nuclear Regulatory Commission (NRC) for the State of New York. The NRC has received your letter dated October 30, 2017, naming Alyse Peterson, Senior Project Manager for Radioactive Waste Policy and Nuclear Coordination at the New York State Energy Research and Development Authority, as New York's SLO designee. The NRC will continue to work with Ms. Peterson; however, I would like to take this opportunity to welcome you to the position, and provide some general information about the role of the SLO in serving as the principal communications liaison between the NRC and your State.

The SLO Program was established in 1976 in response to recommendations from the National Governors Association and other organizations to improve cooperation between the NRC and the States. The NRC relies on the Governor-appointed SLOs to provide the primary communication channel between the States and the NRC. The SLO serves as the key person in the State to keep the Governor informed on issues under the NRC's jurisdiction (specifically matters addressing nuclear regulation, nuclear security, and radiological public health and safety), and provides the NRC with State information on these issues. I have included with this letter an NRC brochure – NUREG/BR-0520 "State Programs at the U.S. Nuclear Regulatory Commission" (Enclosure 1) – that describes some of the NRC's interactions with States, including the SLO Program. "U.S. Nuclear Regulatory Commission State Liaison Officer Program" (Enclosure 2), describes the program in greater detail, including responsibilities of the SLO.

Also enclosed, you will find an NRC procedure – Management Directive 5.2, "Cooperation with States at Commercial Nuclear Power Plants and Other Nuclear Production or Utilization Facilities" (Enclosure 3). This directive contains the NRC's policy on cooperation with States and more detailed information about the SLO program and responsibilities. I encourage you to review and become familiar with this document.

### Communications

Mr. Douglas Tifft and Mr. Jonathan Greives, our Regional SLOs in the NRC Region I office, located in King of Prussia, Pennsylvania, will be your primary contacts for routine communications. Both you and Ms. Peterson will receive copies of NRC documents and reports on a regular basis to keep you informed of matters that are of interest to your State. In addition, I encourage you to visit the NRC's Web site at [www.nrc.gov](http://www.nrc.gov), where you will find information about the agency's mission and programs. The "Stay Connected" section (located on the left side of the main page) contains links to multiple social media tools that can help you stay informed of NRC-related news. One of these features, "RSS feeds," allows you to subscribe to a variety of agency updates and may be particularly useful. Also, the NRC conducts a National State Liaison Officer Conference, usually every 2-3 years, and regional meetings as needed to discuss matters of mutual regulatory interest. The NRC thanks New York for supporting Ms. Peterson's attendance at the 2017 national conference, which was held September 26-28, 2017, near NRC headquarters in Rockville, Maryland.

### Handling of Sensitive Information

The SLO (or SLO designee) serves as the primary individual in the State who is responsible for handling sensitive information, including Safeguards Information (SGI), from the NRC. The SLO or designee is responsible for ensuring that recipients of such information have a "need-to-know" and for implementing the appropriate measures for storing and protecting the information from unauthorized disclosure in accordance with Title 10 of the *Code of Federal Regulations* Part 73.21. Please find enclosed NRC Regulatory Guide 5.79, "Protection of Safeguards Information" (Enclosure 4). This document describes a method that the NRC considers acceptable for use in establishing an information protection system and implementing the specific requirements for the protection of SGI.

In closing, the NRC's cooperative relationships with States are essential to accomplishing the agency's mission of protecting public health and safety, providing for common defense and security, and protecting the environment. We hope that this relationship will be beneficial for both the State of New York and the NRC. Your role in continuing this vital relationship is important. We look forward to our continued work with you and Ms. Peterson.

Please feel free to contact Mr. Tifft at (610) 337-6918 or Mr. Greives at (610) 337-5337. In the event of their unavailability, please do not hesitate to call me at NRC Headquarters at (301) 415-3340, or Ms. Sarah Lopas of my staff at (301) 415-6360 concerning any questions you may have.

Sincerely,

***/RA Kevin Williams for/***

Daniel S. Collins, Director  
Division of Material Safety, State, Tribal  
and Rulemaking Programs  
Office of Nuclear Material Safety  
and Safeguards

Enclosures:

1. NUREG/BR-0520, "State Programs at the U.S. Nuclear Regulatory Commission"
2. "U.S. Nuclear Regulatory Commission State Liaison Officer Program"
3. Management Directive 5.2, "Cooperation with States at Commercial Nuclear Power Plants and Other Nuclear Production or Utilization Facilities"
4. Regulatory Guide 5.79, "Protection of Safeguards Information"

cc: Alyse Peterson, NYSERDA  
D. Tifft, RI (w/o Enclosures)  
J. Greives, RI (w/o Enclosures)

SUBJECT: WELCOME LETTER FOR STATE LIAISON OFFICER APPOINTMENT FOR  
STATE OF NEW YORK DATE: December 4, 2017

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<b>NAME</b>	SLopas	RSLO	CEinberg	KWilliams for DCollins
<b>DATE</b>	11/22/17	11/1/17	11/22/17	12/4/17

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