



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

January 19, 2017

MEMORANDUM TO: Victor M. McCree  
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
PERSONAL IDENTITY VERIFICATION CARD ACCESS  
SYSTEM (OIG-16-A-10)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION  
MEMORANDUM DATED DECEMBER 28, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated December 28, 2016. Based on this response, recommendations 2 and 6 are closed. Recommendations 3, 4, 5, and 7 were previously closed. Recommendation 1 remains resolved. Please provide an updated status of the resolved recommendation by December 31, 2017.

If you have questions or concerns, please call me at (301) 415-5915, or Beth Serepca, Team Leader at (301) 415-5911.

Attachment: As stated

cc: H. Rasouli, OEDO  
R. Lewis, OEDO  
J. Jolicoeur, OEDO  
J. Bowen, OEDO  
EDO\_ACS\_Distribution

## **Audit Report**

### **AUDIT OF NRC'S PERSONAL IDENTITY VERIFICATION CARD ACCESS SYSTEM**

**OIG-16-A-10**

#### **Status of Recommendations**

Recommendation 1:      Require return of contractor PIV cards as part of the contract deliverables.

Agency Response Dated  
December 28, 2016:      The staff is developing language to put in the statement of work templates and the contract security clauses to require the return of contractor PIV cards. This recommendation is still in progress.

Target date for completion: December 31, 2017

OIG Analysis:      The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that NRC included language in the Statement of Work template requiring contractors who are issued NRC PIV cards to return the card as soon as the contractor is no longer on a contract or no longer needs physical or logical access as part of the contract deliverables.

**Status:**      Resolved.

## **Audit Report**

### **AUDIT OF NRC'S PERSONAL IDENTITY VERIFICATION CARD ACCESS SYSTEM**

**OIG-16-A-10**

#### **Status of Recommendations**

Recommendation 2: Provide mandatory formal training to all CORs on the process for contractor termination and PIV card retrieval.

Agency Response Dated  
December 28, 2016: The staff developed training for the CORs regarding their security responsibilities including the process for contractor termination and PIV card retrieval. This training can be found in iLearn entitled, "Security Responsibilities for CORs" (ID\_293148) and became available for CORs on November 1, 2016. This closes the staff's action on this recommendation.

Target date for completion: December 31, 2016

OIG Analysis: OIG determined that CORS have been provided mandatory formal training on the process for contractor termination and PIV card retrieval. This recommendation is therefore considered closed.

**Status:** Closed.

## **Audit Report**

### **AUDIT OF NRC'S PERSONAL IDENTITY VERIFICATION CARD ACCESS SYSTEM**

**OIG-16-A-10**

#### **Status of Recommendations**

Recommendation 6: Revise Management Directive (MD) 12.1 to include standards for offices to appoint room owners and notify ADM of changes to access rights for limited access areas.

Agency Response Dated  
December 28, 2016:

On September 28, 2016, MD 12.1 was revised and issued. MD 12.1, Section II.D includes information for offices to appoint room owners and notify the Office of Administration (ADM) of changes to access rights for administratively controlled, limited access, and security controlled area. This closes the staff's action on this recommendation.

Target date for completion: October 14, 2016

OIG Analysis: OIG has reviewed MD 12.1 and verified that the revision includes standards for offices to appoint room owners and notify ADM of changes to access rights for limited access areas. This recommendation is therefore considered closed.

**Status:** Closed.