



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

January 17, 2017

MEMORANDUM TO: Dr. Brett M. Baker
Acting Assistant Inspector General for Audits
Office of the Inspector General

FROM: William M. Dean, Director **/RA/**
Office of Nuclear Reactor Regulation

SUBJECT: STATUS OF RECOMMENDATIONS: OFFICE OF THE
INSPECTOR GENERAL'S AUDIT OF NUCLEAR REGULATORY
COMMISSION'S REACTOR OVERSIGHT PROCESS: REACTOR
SAFETY BASELINE INSPECTION PROCEDURES (OIG-16-A-12)

Attached is the U.S. Nuclear Regulatory Commission's status of recommendations from the Office of the Inspector General's (OIG) Audit of NRC's Reactor Oversight Process: Reactor Safety Baseline Inspection Procedures (OIG-16-A-12), dated April 6, 2016, (Agencywide Documents Access and Management System accession number ML16097A515).

If you have any questions regarding this document, please contact the individual identified below.

Enclosure:
As stated

CONTACT: Christopher G. Miller, NRR/DIRS
(301) 415-1004

STATUS OF RECOMMENDATIONS: OFFICE OF THE INSPECTOR GENERAL'S AUDIT OF
NUCLEAR REGULATORY COMMISSION'S REACTOR OVERSIGHT PROCESS: REACTOR
SAFETY BASELINE INSPECTION PROCEDURES (OIG-16-A-12): January 17, 2017

DISTRIBUTION: OEDO-16-00716

RidsEdoMailCenter Resource
RidsOgcMailCenter Resource
RidsNrrMailCenter Resource
RidsNrrOd Resource
RidsOisMailCenter Resource
RidsRgn1MailCenter Resource
RidsRgn2MailCenter Resource
RidsRgn3MailCenter Resource
RidsRgn4MailCenter Resource
JJolicoeur
JStorch
SZane

ADAMS Accession No.: ML16350A179

Pkg. No.: ML16301A433

OFFICE	NRR/DIRS/IRIB	NRR/DIRS/IRIB	NRR/DIRS	NRR
NAME	BMabbott	MFerdas	CMiller	WDean
DATE	12/14/2016	12/20/2016	12/30/2016	1/17/2017

OFFICIAL RECORD COPY

**STATUS OF RECOMMENDATIONS:
AUDIT OF NRC'S REACTOR OVERSIGHT PROCESS
REACTOR SAFETY BASELINE INSPECTION PROCEDURES
OIG-16-A-12**

Recommendation 1:

Develop and implement controls to ensure mandatory and discretionary language used in inspection procedures is clear and consistent.

Update:

Action Complete. Controls have been developed and implemented via revision and issuance of Inspection Manual Chapter (IMC) 0040, "Preparing, Revising and Issuing Documents for the NRC Inspection Manual." IMC 0040 has been revised and issued, effective January 01, 2017, providing strengthened provisions and controls associated with establishing mandatory and discretionary inspection language in inspection documents. IMC-0040 now contains clear governance, regarding the use of mandatory and discretionary language, which shall be followed by inspection program document leads when revising, updating, or creating new inspection manual chapters and inspection procedures. The Division of Inspection and Regional Support, in the Office of Nuclear Reactor Regulation, considers the actions described above are fully responsive to Recommendation 1, with this manual chapter change and associated controls in place, procedure revisions are underway to ensure that discretionary and mandatory language used in existing inspection procedures is in alignment with the strengthened provisions in IMC-0040. These procedure revisions are expected to be completed by December 31, 2017. Finally, as part of the ROP's continuing periodic assessment process, outlined in Appendix B to Inspection Manual Chapter (IMC) 0307, "Reactor Oversight Process Baseline Inspection Procedure Reviews," the staff has undertaken actions to ensure that baseline inspection procedures continue to be reviewed, and if necessary, revised to ensure mandatory and discretionary language remains clear and consistent.

Point of Contact: Christopher G. Miller, Director, NRR/DIRS
(301) 415-1004

Recommendation 2:

Notify staff and managers of controls developed to ensure that mandatory and discretionary language used in inspection procedures is clear and consistent.

Update:

Action Complete. IMC-0040 has been revised to incorporate more stringent controls and provisions to ensure that mandatory and discretionary language used in inspection procedures is clear and consistent. The revised IMC-0040 was issued with an effective date of January 01, 2017. Regional management was formally notified of the revision to IMC-0040 through a memorandum, Agencywide Documents Access and Management

ENCLOSURE

System accession number ML16347A645 from the Director, Division of Inspection and Regional Support, Office of Nuclear Reactor Regulation.

Point of Contact: Christopher G. Miller, Director, NRR/DIRS
(301) 415-1004