



OFFICE OF THE  
INSPECTOR GENERAL

**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

November 29, 2016

MEMORANDUM TO: Victor M. McCree  
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
INFORMATION TECHNOLOGY PROCUREMENT  
PROCESS (OIG-15-A-09)

REFERENCE: CHIEF INFORMATION OFFICER, MEMORANDUM DATED  
NOVEMBER 9, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations 1 and 2 as discussed in the agency's response dated November 9, 2016. Based on this response, recommendations 1 and 2 are closed. All recommendations related to this report are now closed. If you have questions or concerns, please call me at 415-5915, or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO  
H. Rasouli, OEDO  
J. Jolicouer, OEDO  
J. Bowen, OEDO  
EDO\_ACS Distribution Resource

## **Audit Report**

### **AUDIT OF NRC'S INFORMATION TECHNOLOGY PROCUREMENT PROCESS OIG-15-A-09**

#### **Status of Recommendations**

Recommendation 1: Develop and implement selection criteria for use by the ITB and other IT governance groups in reviewing IT procurement proposals.

Agency Response Dated  
November 9, 2016:

Attachment 1 presents the Select Process and Criteria developed by OCIO for use in reviewing IT investment proposals prior to initiation of procurement activities. This is consistent with the process used in March 2016 to review and prioritize IT investments for inclusion in the FY 2018 IT budget request that is currently being considered by the Commission. In addition, OCIO briefed the ITB again with additional detail on October 18, 2016, to explain the select process and criteria for use by the ITB and other IT governance groups. (See the related meeting agenda in Attachment 5.) This briefing resulted in the ITB's acceptance of the process and criteria for use in reviewing future IT procurement proposals and for developing the alternative budget scenarios for the FY 2019 IT budget request. OCIO requests that Recommendation 1 be closed.

Target Completion Date: Completed.

OIG Analysis: OIG reviewed the meeting agenda and determined that the agency developed and implemented selection criteria for use by the ITB and other IT governance groups in reviewing IT procurement proposals.

**Status:** Closed.

## **Audit Report**

### **AUDIT OF NRC'S INFORMATION TECHNOLOGY PROCUREMENT PROCESS OIG-15-A-09**

#### **Status of Recommendations**

Recommendation 2: Develop and implement a communication plan to educate NRC customers on IT procurement criteria and the role of NRC's governance structures in reviewing and approving IT procurement proposals.

Agency Response Dated  
November 9, 2016:

Attachment 2 presents the Communication Plan for the NRC Information Technology Select Process. This plan is intended to educate NRC customers about the agency's IT investment criteria and the role of the NRC's governance bodies in reviewing and approving IT proposals prior to initiation of procurement activities.

The information provided in this communication plan was used to brief the IPEC in April 2016, to explain the process and criteria used to develop the alternative budget scenarios for the FY 2018 IT budget request. (See slides 7 and 8 in Attachment 3, and the related meeting minutes in Attachment 4.) That information enabled the IPEC to consider the various scenarios and support the recommended alternative for FY 2018.

In addition, OCIO briefed the ITB again with additional detail on October 18, 2016, to explain the select process and criteria for use by the ITB and other IT governance groups. (See the related meeting agenda in Attachment 5.) This briefing resulted in the ITB's acceptance of the process and criteria for use in reviewing future IT procurement proposals and for developing the alternative budget scenarios for the FY 2019 IT budget request. OCIO requests that Recommendation 2 be closed.

Target Completion Date: Completed

## **Audit Report**

### **AUDIT OF NRC'S INFORMATION TECHNOLOGY PROCUREMENT PROCESS OIG-15-A-09**

#### **Status of Recommendations**

**OIG Analysis:**

OIG reviewed the communication plan and determined that it contains information to educate NRC customers on IT procurement criteria and the role of NRC's governance structures in reviewing and approving IT procurement proposals and verified that it has been implemented.

**Status:**

Closed.