



**Office of the
Inspector General**

**Defense Nuclear Facilities
Safety Board**
Washington, DC 20004-2901

November 9, 2016

MEMORANDUM TO: Mark T. Welch
General Manager

Katherine Herrera
Deputy General Manager

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
INFORMATION SECURITY PROGRAM (DNFSB-16-A-02)

REFERENCE: DEPUTY GENERAL MANAGER, DEFENSE NUCLEAR
FACILITIES SAFETY BOARD, CORRESPONDENCE DATED
OCTOBER 24, 2016

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in DNFSB's response dated October 24, 2016. Based on this response, recommendations 2 and 3 remain resolved. Recommendation 1 was closed previously. Please provide an updated status of the resolved recommendations by April 28, 2017.

If you have any questions or concerns, please call me at (301) 415-5915 or Beth Serepca, Team Leader, at (301) 415-5911.

Attachment: As stated

cc: R. Howard, OGM

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 2: Implement technical controls in the DNFSB SharePoint site that limit access to UCNI documents on a need-to-know basis.

Agency Response Dated
October 24, 2016:

The new server arrived at DNFSB at the end of September 2016. The server will host the Veronis application, which is the selected appliance/tool, to implement the controls necessary to meet the intent of the recommendation. It is anticipated that full implementation of technical controls in the DNFSB SharePoint site to limit access to UCNI documents will be completed by the end of 2nd quarter FY 2017.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives the verification that the technical controls have been implemented.

Status:

Resolved.

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 3: Incorporate into DNFSB policy the requirement for technical controls in SharePoint to control access to UCNI documents on a need-to know basis.

Agency Response Dated
October 24, 2016:

The new server arrived at DNFSB at the end of September 2016. The server will host the Veronis application, which is the selected appliance/tool, to implement the controls necessary to meet the intent of the recommendation. It is anticipated that full implementation of technical controls in the DNFSB SharePoint site to limit access to UCNI documents will be completed by the end of 2nd quarter FY 2017.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the policy for a requirement that technical controls in SharePoint establishes a need to know basis to control access to UCNI documents.

Status:

Resolved.