

(b)(7)(C)
From: [redacted]
Sent: Thursday, November 13, 2014 10:17 AM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

CON
2016-0005



Sorry...it got buried on my desk. I'll call today.

(b)(7)(C)

Office of Headquarters Personnel
Security Operations
Office of Headquarters Security Operations
U.S. Department of Energy
(b)(7)(C) phone
(301) 903-4816 fax

(b)(7)(C)
From: [redacted]
Sent: Thursday, November 13, 2014 10:16 AM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

(b)(7)(C) did you get a chance to contact OPM about those derog codes?

(b)(7)(C)
Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20585

tel (b)(7)(C)
fax: 301-903-3867 (b)(7)(C)

(b)(7)(C)

From: [redacted]
Sent: Thursday, November 13, 2014 10:15 AM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

Thanks (b)(7)(C)

I think I am going to draft up a letter today but am still waiting for my management to tell me whether or not they want to go forward with me talking to OPM. Please let me know if you hear anything further about what prompted the codes.

Thanks!
(b)(7)(C)

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General
(b)(7)(C)

(b)(7)(C)

From:
Sent:
To:
Subject:

(b)(7)(C)

Wednesday, February 11, 2015 2:28 PM

(b)(7)(C)

RE: OIG Update

Good afternoon

(b)(7)(C)

Hopefully you don't think I've forgotten to get back in touch with you! I just wanted to inform you that they have FINALLY finished the review / concurrence of my report and it has been distributed to the acting DMPSB Chairman.

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General

(b)(7)(C)



(b)(7)(C)

From:

Sent: Friday, December 05, 2014 8:35 AM

To: (b)(7)(C)

Subject: RE: OIG Update

(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
IRS Department of Energy
Washington, DC 20585
tel: (b)(7)(C)
(ext: 301-903-3267)

(b)(7)(C)

From:

Sent: Friday, December 05, 2014 7:37 AM

To: (b)(7)(C)

Subject: RE: OIG Update

Good morning

(b)(7)(C)

I'm not sure if I received everything you did; however, I received enough for what I needed. As far as a status update, I have interviewed the subject twice actually, which should be my last step in our investigation. The

report should be in our review process next week unless I am directed to complete tasks I'm not currently aware of. Please note, our review process is not the most expedient, but as soon as I have a signed report I will get it to you. Feel free to reach out to me at any time for an update.

Thanks.

(b)(7)(C)

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General
301-415-5900



(b)(7)(C)

From: (b)(7)(C)
Sent: Thursday, December 04, 2014 5:29 PM
To: (b)(7)(C)
Subject: RE: OIG Update

(b)(7)(C)

-we received the reports from OPM. It also included some old reports on him as well as reports on his wife who is a Federal employee. Did you get those also? We are holding off on our adjudication until we hear from you. (b)(7)(C)

(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20545
tel: (b)(7)(C)
fax: 301-903-3267

(b)(7)(C)

From: (b)(7)(C)
Sent: Friday, December 21, 2014 1:42 PM
To: (b)(7)(C)
Subject: RE: OIG Update

Correct. It was part of the file.

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General

(b)(7)(C)



(b)(7)(C)
From: (b)(7)(C)
Sent: Monday, November 10, 2014 3:49 PM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

(b)(7)(C) here is the response we got from OPM. Hope it helps. We haven't heard back from them on the summary of the issue codes but probably no one is home up there today. Will check again on Wednesday. From OPIA:
"On their agency letterhead, they should send a written request to us (FIS FOIPA) outlining what they want and why they want it. If the purpose pertains to a law enforcement activity under the IG Act, the request must be submitted/signed by the head of the office/instrumentality making the request (i.e., SAC / GS15 or higher).

I am out of the office today; however, your IG POC can call FIS and ask to speak with (b)(7)(C)
(b)(7)(C) and they can walk him/her through the details of submitting a request.
(b)(7)(C)
(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20585
tel: (b)(7)(C)
fax: 301-903-3267

From: (b)(7)(C)
Sent: Monday, November 10, 2014 7:30 AM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

Thanks (b)(7)(C) I'm out today, but will be checking my email from time to time.

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General
387-415-5949



From: (b)(7)(C)
Sent: Friday, November 07, 2014 4:04 PM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

We are happy to do it. I will let you know when we get a response. In the meantime, I'm checking to see who the best person would be for you to contact at OPM. I hope to have that info either late today or on Monday (b)(7)(C)

(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20585

tel: (b)(7)(C)

fax: 301-903-3267

(b)(7)(C)

From: (b)(7)(C)
Sent: Friday, November 07, 2014 6:55 AM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

Good morning (b)(7)(C)

If it's not too much work on your end, maybe we can try both approaches? If you can get a summary, it's better than nothing... and if you could point me in the right direction of who to contact at OPM, I can try that as well and see if they could provide me anything.

Thank you!

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General

(b)(7)(C)



From: (b)(7)(C)
Sent: Thursday, November 05, 2014 6:04 PM
To: (b)(7)(C)
Subject: RE: Thanks / Follow up Question

(b)(7)(C) (b)(7)(C) was nice meeting you today. In answer to your question, we can call OPM and they may give us a summary of the investigators notes - if they are available - but I'm not sure that would be sufficient for your needs. Perhaps you could go in your official capacity to the OPM and they would let you see what they have. I know they will not send it to us the requestor, until the investigation is complete. Let me know if you would like us to call (b)(7)(C)

(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20585

tel: (b)(7)(C)
fax: 301-903-3267

(b)(7)(C)
From: (b)(7)(C)
Sent: Thursday, November 06, 2014 4:47 PM
To: (b)(7)(C)
Subject: THANKS / Follow up Question

(b)(7)(C)
Hi

Thanks again for taking the time to help me out today. I'll certainly keep you posted on our progress and of course feel free to reach out to me any time if you have a question

I do have one follow up question. We discussed that OPM had done some of the field interviews, but that information has not yet been returned to your office. Is there any chance at all that we can reach out to OPM to find out what they learned in their field interviews? That would be very good information to have before I talked to the subject in my investigation. The problem I'm facing is the pressure of a timeline set by my management. If it's possible to review those interviews, I can deal with whatever process I'd have to go through to arrange it with OPM. It may be a long shot, but I thought it would be worth trying and my management agrees

Thanks again

(b)(7)(C)

U.S. Nuclear Regulatory Commission



From: (b)(7)(C)
Sent: Friday, November 21, 2014 1:42 PM
To: (b)(7)(C)
Subject: OIG Update

That's good news. I presume you got the eGIP as well?

(b)(7)(C)

Office of Headquarters Personnel Security Operations, AU-43
Office of Headquarters Security Operations,
Office of Environment, Health, Safety, and Security
US Department of Energy
Washington, DC 20585
tel: (b)(7)(C)
fax: 301-903-3157 (b)(7)(C)

From: (b)(7)(C)
Sent: Friday, November 21, 2014 1:41 PM
To: (b)(7)(C)
Subject: OIG Update

(b)(7)(C)

. I have received the custan/open inv file from OPM. I'll be in touch as our report progresses. Feel free to reach out any time.

Thanks

(b)(7)(C)

U.S. Nuclear Regulatory Commission
Office of the Inspector General

(b)(7)(C)



FOIA CONTACT

Case No.: CON-2016-0010

Date Rec'd: 3/29/16

Specialist: _____

Brothers, Karen **FOIA Case**

From: State Department FOIA <openfoia@state.gov>
Sent: Tuesday, November 26, 2013 9:40 AM
To: FOIA Request
Subject: FOIA Request Letter

Thank you for filing your FOIA request online on 11/26/2013. Here is a review of your request.

The records I request can be described as follows:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, Cause of Action hereby requests records reflecting communications between (1) The Office of White House Counsel and the U.S. Department of State (DOS) Office of Information Programs and Services, and (2) The Office of White House Counsel and the DOS Office of the Legal Advisor, concerning the Office of White House Counsel's review of agency records. The time period for this request is January 1, 2012 to the present.

Please note that we do not seek access to the actual records that were forwarded to the Office of White House Counsel for review, but only to records that reflect that such consultations occurred (for example, cover e-mails).

The time period of my request is from 01/01/2012 to present

I am affiliated with an educational or noncommercial scientific institution seeking information for a scholarly or scientific purpose and not for commercial use.
Additional documentation will be required.

I am willing to pay \$25 for my request.

I request a waiver of all fees for this request.

Reason: Cause of Action requests a waiver of any and all applicable fees pursuant to 5 U.S.C. § 552(a)(4)(A)(ii). This provision provides that requested records shall be furnished without or at reduced charge if "disclosure of the information is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not primarily in the commercial interest of the requester." The requested records would unquestionably shed light on the "operations or activities of the government," namely DOS's policies and procedures with respect to records involving White House equities. Moreover, disclosure would "contribute significantly" to the public's understanding of DOS operations. To date, DOS has not disclosed to the public—either through its regulations or policy memoranda—how it processes agency records that are deemed to contain White House equities. Cause of Action has both the intent and ability to make the results of this request available to a reasonably broad public audience through various media. Our staff members have a wealth of experience and expertise in government oversight, investigative reporting, and federal public interest litigation. These professionals will analyze the information responsive to this request, use their editorial skills to turn raw materials into a distinct work, and share the resulting analysis with the public, whether through Cause of Action's regularly published online newsletter, memoranda, reports, or press releases.

Further, Cause of Action, a non-profit organization as defined under Section 501(c)(3) of the Internal Revenue

Code, does not have a commercial interest in making this request. The requested information will be used solely to educate the general public regarding DOE's long-standing undisclosed FOIA policy and procedures for processing records with White House equities.

For the purposes, Cause of Action also qualifies as a "representative of the news media" under 5 U.S.C. § 552(a)(4)(A)(ii)(II). Cause of Action is organized and operated, among other things, to publish and broadcast news, i.e., information that is about current events or that would be of current interest to the public. Cause of Action gathers the information that it regularly publishes from a wide variety of sources and methods, including whistleblowers/tips, government agencies, universities, scholarly works, and FOIA requests. Cause of Action routinely and systematically disseminates information acquired from such sources to the public through various media. For example, Cause of Action disseminates articles, blog posts, published reports, and newsletters about current events of interest to the general public through its website, which has been viewed just under 120,000 times in the past year alone. Cause of Action also disseminates news to the public via Twitter and Facebook, and it provides news updates to subscribers via e-mail. As a result of these activities, federal agencies have continuously recognized Cause of Action's news media status in connection with its FOIA requests.

My additional comments are as follows:

In an effort to facilitate record production, please provide the responsive records in electronic format (e.g., e-mail, .pdf). If a certain set of responsive records can be produced more readily, we respectfully request that those records be produced first and that the remaining records be produced on a rolling basis as circumstances permit.

If you have any questions about this request, please contact me by e-mail at

(b)(6) or by telephone at (b)(6). Thank you for your attention to this matter.

Contact information

(b)(6)

F: (b)(6)

F: N/A

(b)(6)

Con 2016-0011

F-2013-14470

Response, Form 6

From: State Department FOIA request/strategies
Sent: Monday, November 21, 2011 9:40 AM
To: FOIA Request
Subject: FOIA Request 18018

Thank you for filing your FOIA request online on 11/26/2011. Here is a review of your request.

The requested request can be described as follows:

Request for the Freedom of Information Act (FOIA), 5 U.S.C. § 552, Cause of Action for request records relating to information received (1) The Office of White House Counsel and the U.S. Department of State (2) The Office of White House Counsel and the U.S. Department of State, and (3) The Office of White House Counsel and the U.S. Department of State, regarding the Office of White House Counsel's review of alleged records. The time period for this request is January 1, 2012 to the present.

Requester does not seek records to be released to the public, but rather to be reviewed by the Office of White House Counsel for records, but only to records that reflect that such considerations occurred (for example, records of such).

The time period of my request is from 01/01/2012 to present.

I am affiliated with an educational or noncommercial scientific institution seeking information for a scholarly or research purpose and not for commercial use.

Additional documentation will be required.

I am willing to pay \$25 for my request.

I request a waiver of all fees for this request.

Requester, Cause of Action, requests a waiver of any and all applicable fees pursuant to 5 U.S.C. § 552(a)(4)(B)(iii). This provision provides that requested records shall be furnished without cost and without charge if "disclosure of the information is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not primarily in the commercial interest of the requester." The requested records would significantly shed light on the "operations or activities of the government," namely DOE's policies and procedures with respect to records involving White House Counsel. Moreover, disclosure would "contribute significantly" to the public's understanding of DOE operations. To date, DOE has not disclosed to the public—either through its regulations or policy announcements—how its personnel agency records that are deemed to involve White House Counsel. Cause of Action has both the intent and ability to make the results of this request available to a reasonably broad public audience through various media. Our staff members have a wealth of experience and expertise in government oversight, investigative reporting, and federal public interest litigation. These professionals will analyze the information responsive to this request, use their editorial skills to turn raw materials into a distinct work, and share the resulting analysis with the public, whether through Cause of Action's regularly published online newsletter, manuscripts, reports, or press releases.

Further, Cause of Action, a non-profit organization as defined under Section 501(c)(3) of the Internal Revenue

[illegible]

IN THE OFFICE OF THE ATTORNEY GENERAL, WASHINGTON, D. C. 20540

(b)(6) (b)(6) Thank you for your attention to this

(b)(6)

P-3 (b)(6)

P-3 MA

(b)(6)

CON 2016-0012

REC'D DATE: 5/3/16

SPECIALIST:

RELATED CASE:

FREEDOM OF INFORMATION ACT RECORD REQUEST FORM

Form Approved
OMB No. 1520-0297

Instructions: Use of this form is optional. You may use the format for a Freedom of Information (FOIA) or Privacy Act (PA) request as long as it contains sufficient identifying data when required. The information you are requesting and sufficient personally identifying data when required. The required information may result in no action being taken on the request. Submit completed requests by mail, or e-mail as scanned attachments. If submitting via e-mail, you should ensure that the e-mail system is adequate for transmitting sensitive information before choosing to transmit. The e-mail system should contain your personally identifiable information. Mail: OPM Federal Investigative Services, 1137 Branchton Road, P.O. Box 611, Boyers, PA 16018. Fax: (724) 794-6086. e-Mail: foia@opm.gov.

1. Type of Request - (This section must be completed)

FOIA Request

☒ I request my own records. (Requester must complete sections 2, 3, 7, and 8.)

FOIA Request

☐ I am making a request for records about someone or something. (Requester must complete sections 2, 3, 7, and 8.)

PA Amendment Request

☐ I wish to amend my own records. In accordance with 5 C.F.R. § 171.16, you must provide proof of your right to the records. (Requester must complete sections 2, 3, 7, and 8.)

RECEIVED

APR 06 2016

FOIA

2. Requester Information - (This section must be completed)

Full Name:

(b)(7)(C)

Street Address:

City:

Country:

Preferred Delivery Method:

☒ Priority e-mail

☐ Ordinary mail

Optional: Telephone Number:

*It is your responsibility to ensure that the information you provide is accurate and complete. If you are unable to provide this information, please contact the OPM FOIA office. If you are unable to provide this information, please contact the OPM FOIA office. If you are unable to provide this information, please contact the OPM FOIA office.

3. Records Requested (Select One)

☐ Standard Form Only (SFMA, MP, or BS)

☒ All records including Standard Form

☐ All investigation and Standard Form

☐ Other (Specify in the space below. Attach a separate page if you need more space than provided below.)

4. **Manufacturer's Identifying Information** - (Complete this section only if you are making a request for records about yourself.)

Social Security Number:

NEW YORK **2000-01-10**

City of Seattle

Abstract

Journey of Uncle

E. Identity Source Documents - Copies of two identity documents must be submitted along with this form.

Examples of acceptable identity exempt documents are published on <http://www.dhs.gov/immigration-services/FOIA/FOIA-exempt> and page 10 of the DHS FOIA Exemption Request Form.

Ⓢ Complete of your identity personal documents are essential.

8. Optional: Authorization to Release Information to Others

By completing this section, you authorize information relating to your personal, financial, or other matters to be shared with the following:

Full Name:

Shipping Address:

7. Verification of Requester's Identity - (Complete this section if you are making a Request for records about yourself.)

I declare under the penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and I am the person who has executed this statement under the provisions of 18 U.S.C. § 893B by a list of not more than 10 individuals who are not currently under false pretenses in obtaining any benefits under false pretenses in the United States.

Handwritten Signature

Date: Feb 3, 2014

6. Complete this section only if you are requesting funding for someone or something other than yourself.

in this last column, you may wish to provide information about your category. While FOIA does not require a response to state the purpose, motivation or purpose of the request, facts for gathering supplies and OPW's regulation (18 U.S.C. § 224-105). If you are asking for a letter of explanation, attach a separate page if you need more space than you

☒ I agree to pay all applicable taxes.

☐ I agree to pay up to a specified amount per month.

Search the database

I request a review or rectification of this decision by the Court of Appeal.

Admitted with an educational or professional background in nursing. Registered nurse. Not for certified use.

A reproduction of the above results and this report is part of a report of the National Academy of Sciences and not for governmental use.

Respecting the information in order to contribute significantly to the functioning of the operations of activities of the government and if he was not a contract employee.

FREEDOM OF INFORMATION / PRIVACY ACT RECORD REQUEST FORM

Form Approved
OMB No. 3208-0259

Instructions: Use of this form is optional. You may use any written format for a Freedom of Information (FOIA) or Privacy Act (PA) Request as long as it contains a description of the information you are requesting and sufficient personally identifying data when required. Failure to provide the required information may result in no action being taken on the request. Completed forms should be submitted via fax, mail or e-mail as scanned attachments. If submitting via e-mail, you should ensure that the security of your e-mail system is adequate for transmitting sensitive information before choosing to transmit your request which contains your personally identifiable information. **Mail:** OPM-Federal Investigative Services: ATTN: FOIPA office, 1137 Burcham Road, P.O. Box 618, Boyers, PA 16018. **Fax:** (724) 794-4590. **e-Mail:** FOIPA@opm.gov

1. Type of Request - (This section must be completed.)

FOIPA Request

- ☒ I request my own records.
(Requester must complete sections 2, 3, 4, and 6.)

FOIA Request:

- ☐ I am making a request for records about someone or something other than myself.
(Requester must complete sections 2, 3, and 7.)

PA Assessment Request

- ☐ I wish to assess my own records. In accordance with 5 C.F.R. § 207.301, the burden of proof rests with the record subject to show how his/her record is not accurate, timely, relevant, or complete. Requesters should attach additional material to this form. (Requester must complete sections 2, 4, and 6.)

FOIPA REQUEST

Case No.: CON-2015-0001

Date Rec'd: 1/29/15

Specialist: _____

Related Case: _____

2. Requester Information - (This section must be completed.)

Full Name: (b)(6)
 Street Address: (b)(6)
 City: (b)(6) State: (b)(6) Zip Code: (b)(6)
 Country: _____

Outlook:

Telephone Number: (b)(6) e-Mail Address: (b)(6)

3. Response Requested

- ☐ Standard Form Only (FOIA, GPP, or EO)
☒ All Investigations and Standard Forms
☐ Most Recent Investigation, including Standard Forms
☐ Other (Specify in the box below) - Attach a separate page if you need more space than provided below.

U.S. Office of Personnel Management
Federal Investigative Services

Page 1 of 3

NW 100
JUNE 2012

4. Requester's Identifying Information - (Complete this section only if you are making a request for records about yourself.)

Social Security Number: (b)(6)
 Date of Birth (MM/DD/YYYY): (b)(6)
 City of Birth: (b)(6)
 State of Birth: (b)(6)
 Country of Birth: (b)(6)

5. Optionally: Authorization to Release Information to a Third Party.

By completing this section, you authorize information relating to you to be released to another person, such as a family member or legal counsel. Pursuant to 5 U.S.C. § 552(a)(6), I authorize the United States Office of Personnel Management's, Federal Investigative Services to release my records (defined above) to:

Full Name:

Mailing Address:

6. Verification of Requester's Identity - (Complete this section only if you are making a request for records about yourself.)

I declare under the penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and I am the person named in Section 2. I understand that any falsification of this statement is punishable under the provisions of 18 U.S.C. § 1001 by a fine of not more than \$10,000, or by imprisonment for not more than five years or both, and that requesting or obtaining any record(s) under false pretenses is punishable under the provisions of 5 U.S.C. § 552(a)(7) by a fine of not more than \$5,000.

Signature:

Date: 12/16/2014

7. Complete this section only if you are requesting records about someone or something other than yourself.

In the last 12 months, you may wish to provide information about yourself and the purpose of your request to help us determine your eligibility. While FOIA does not require a requester to state the purpose of a request, this may be helpful based on the nature of the request or purpose of the request. For example, requesting and providing records for this category may be used to establish with FOIA exemptions at 5 C.F.R. § 200.303. If you are asking for a review or submission of data, you may also use this section to provide explanation. Attach a separate page if you need more space than provided below.

- ☐ I agree to pay the applicable fee. ☐ I agree to pay up to a specific amount for this. Specify the amount:
- ☐ I request a review or extension of time because I am (check all options listed below that apply):
- ☐ Affiliated with an educational or noncommercial scientific institution and this request is not for commercial use.
 - ☐ A representative of the news media and this request is part of a news dissemination function and not for commercial use.
 - ☐ Requesting the information in order to maintain significantly to the public understanding of the operations or activities of the government and does not primarily have a commercial interest in the information.